



Disclosure & Barring Service

Barring decision process for auto bar with representations

NAME:

DATE OF BIRTH:

PRIMARY REFERENCE:

CASEWORKER NAME:

DATE REFERRAL RECEIVED:

Final barring decision / recommendation		
	Children	Adults
Barred?		
Automatic Inclusion (no Reps)		
Automatic Inclusion (Reps)		
Discretionary - Relevant Conduct		
Discretionary - Risk of Harm		

Stage	Completed by	Reviewed by	Signature	Level	Date
1					
2					
3					
4					
5					

Quality Assurance	
Signature	
Level	
Date	

Stage 1 ~ Original Assessment

Which of the Schedule 3 Powers are relevant?

Tick relevant box(es) and explain reasons

	Test for Regulated Activity	Auto Inclusion (No Reps)	Auto Inclusion (Reps)	Relevant Conduct	Risk of Harm
Children					
Adults					
<i>Brief outline of offence details:</i>					

Test for regulated activity (TRA)

Brief outline of any evidence that the person is, has been or might in the future engage in regulated activity:

Do representations trigger an intention to bar for the second workforce? If yes, proceed with process via secondary case:

Caseworker name

Date of stage 1 completion

Stage 2 ~ Case Information, Findings & Evaluation

Overview of any additional allegations, cautions, convictions, competent body findings or key background information

Only to be completed to document any additional relevant information

Flag	Document	Date
Autobar Offence(s)		Evaluation <i>(add reps information in blue italics)</i>

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Cautions/Convictions/Competent Body Finding(s)	Evaluation <i>(add reps information in blue italics)</i>

Potential Further Allegation(s) (PFAs)	Evaluation <i>(add reps information in blue italics)</i>

If further information was required but could not be obtained, please provide details:

If further information is known to exist but has not been sought, please provide details:

Findings of the case

Caseworker name		Date of stage 2 completion	
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Stage 3 ~ Representations

Representations disputing inclusion / potential inclusion on:	Children	
	Adults	

Consideration of submitted representations/other case information
Reasons for proceeding / not proceeding following representations:

	Test for Regulated Activity	Auto Inclusion (No Reps)	Auto Inclusion (Reps)	Relevant Conduct	Risk of Harm
Children					
Adults					

Caseworker name		Date of stage 3 completion	
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Stage 4 ~ Structured Judgement Process

Predispositional Factors – Harm-related intrinsic drives and interests

1. Excessive / obsessive interest in sex

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

2. Sexual preference for children

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

3. Sexual interest in harming

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

4. Any other significant sexual interest

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

5. Intense interest in violence

Indications	Ref	Counter-indications	Ref

Level of Concern	
<i>Any additional comments:</i>	

 **6. Any other significant interest linked to harmful behaviour**

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

Cognitive Factors – Harm-supportive thinking, attitude and beliefs

 **7. Child abuse supportive beliefs**

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

 **8. Entitlement to sex**

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

 **9. Anti-social, pro-violence thinking and beliefs**

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

 **10. Hostile attributions**

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

 **11. Exploitative attitudes**

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			



12. Any other attitude endorsing harmful behaviour

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

Emotional Factors – Relationships



13. Emotional congruence with children

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			



14. Inadequacy

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			



15. Suspicious, angry, vengeful style of relating to others

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			



16. Susceptibility to peer influence

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			



17. Poor intimacy skills

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			



18. Callousness / lack of empathy

Indications	Ref	Counter-indications	Ref

Level of Concern			
<i>Any additional comments:</i>			

Behavioural Factors – Self management and lifestyle

19. Impulsive, chaotic and unstable lifestyle

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

20. Irresponsible and reckless

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

21. Poor problem solving / coping skills

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

22. Poor emotional arousal / urge management

Indications	Ref	Counter-indications	Ref
Level of Concern			
<i>Any additional comments:</i>			

Please complete SJP Overview Matrix

Stage 4 ~ Structured Judgement Process (Cont)

Structured Judgement Process overview matrix

		No Information	No Concerns	Some Concerns	Definite Concerns	Critical Concerns
Predispositional Factors – Intrinsic drives / interests						
1	Excessive / Obsessive interest in sex	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Sexual preference for children	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Sexual interest in harming	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Any other significant sexual interest	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	Intense interest in violence	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Any other significant interest linked to harmful behaviour	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cognitive Factors – Harm-Supportive thinking, attitudes & beliefs						
7	Child abuse supportive beliefs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8	Entitlement to sex	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	Anti-social, pro-violence thinking and beliefs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	Hostile attributions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11	Exploitative attitudes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12	Any other attitude endorsing harmful behaviour	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Emotional Factors – Relationships						
13	Emotional congruence with children	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14	Inadequacy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15	Suspicious, angry, vengeful style of relating to others	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16	Susceptibility to peer influence	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17	Poor intimacy skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
18	Callousness / Lack of empathy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Behavioural Factors – Self management and Lifestyles						
19	Impulsive, chaotic and unstable lifestyle	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20	Irresponsible and reckless	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
21	Poor problem solving / coping skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
22	Poor emotional arousal / urge management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Stage 4 ~ Structured Judgement Process (Cont)

Summary of risk factors: Minded to Bar?	
Number of fields in which 'definite concerns' have been determined:	
Are any of the risk factors assessed as 'critical' to risk of harm?	
Which risk factors are assessed as 'critical'?	
Predispositional Factors – Intrinsic drives / interests	
The combination of risk factors recorded as present are central/causal to the harmful behaviour	<input type="checkbox"/>
The risk of future harm could reasonably be considered imminent (If the risk of future harm is considered imminent then advice should be sought from a Senior Officer regarding a mandatory 'duty to warn' relevant authorities and/or victims.)	<input type="checkbox"/>
The risk of future harm (potential for further victim impact) is too serious for a reasonable person to ignore	<input type="checkbox"/>
There is a strong belief that there is potential for escalation in harmful behaviour	<input type="checkbox"/>
Cognitive Factors – Harm-supportive thinking, attitudes & beliefs	
The combination of risk factors recorded as present are central/causal to the harmful behaviour	<input type="checkbox"/>
The risk of future harm could reasonably be considered imminent (If the risk of future harm is considered imminent then advice should be sought from a Senior Officer regarding a mandatory 'duty to warn' relevant authorities and/or victims.)	<input type="checkbox"/>
The risk of future harm (potential for further victim impact) is too serious for a reasonable person to ignore	<input type="checkbox"/>
There is a strong belief that there is potential for escalation in harmful behaviour	<input type="checkbox"/>
Emotional Factors – Relationships	
The combination of risk factors recorded as present are central/causal to the harmful behaviour	<input type="checkbox"/>
The risk of future harm could reasonably be considered imminent (If the risk of future harm is considered imminent then advice should be sought from a Senior Officer regarding a mandatory 'duty to warn' relevant authorities and/or victims.)	<input type="checkbox"/>
The risk of future harm (potential for further victim impact) is too serious for a reasonable person to ignore	<input type="checkbox"/>
There is a strong belief that there is potential for escalation in harmful behaviour	<input type="checkbox"/>
Behavioural Factors – Self management and lifestyles	
The combination of risk factors recorded as present are central/causal to the harmful behaviour	<input type="checkbox"/>
The risk of future harm could reasonably be considered imminent (If the risk of future harm is considered imminent then advice should be sought from a Senior Officer regarding a mandatory 'duty to warn' relevant authorities and/or victims.)	<input type="checkbox"/>
The risk of future harm (potential for further victim impact) is too serious for a reasonable person to ignore	<input type="checkbox"/>
There is a strong belief that there is potential for escalation in harmful behaviour	<input type="checkbox"/>

Do the representations and supporting documentary evidence cast doubt on the original barring decision?	Children	<input type="checkbox"/>
	Adults	<input type="checkbox"/>

Specialist Referrals	
Is a specialist referral considered appropriate?	

Reason for specialist referral:			
Specialist referral carried out by:			
Summary of report:			
Conclusions:			
Caseworker name	<input type="text"/>	Date of stage 4 completion	<input type="text"/>

Stage 5 ~ Final Case Summary

Final Case Summary (including which barred list)
Patterns of risk factors, decision making and appropriateness

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Barring Decision	Children Adults	Yes / No	If yes – power

Caseworker name		Date of stage 5 completion	
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Annex 1

Escalation to Senior Officer
Reason for escalation:
Specific issues for Senior Officer to address:
Caseworker recommendation:
Senior Officer response: