



Education
Funding
Agency

Funding allocation pack: 2015 to 2016 academic year

**A guide for special and alternative
provision academies and free schools
open before 1 April 2015**

February 2015

Contents

| | |
|---|-----------|
| Introduction | 3 |
| How to use the guide | 3 |
| Who is the guide for? | 3 |
| Further information | 3 |
| What's new in the 2015 to 2016 academic year? | 5 |
| Changes to the funding formula and your GAG statement | 5 |
| The GAG statement explained | 7 |
| Summary statement | 7 |
| Academic year 2015 to 2016 summary table | 7 |
| Sixth form funding | 8 |
| Table A – high needs funding | 9 |
| Sample Table A – high needs funding | 10 |
| Table B – post-opening grant (start-up grant) | 11 |
| Start-up grant (SUG) | 11 |
| Post-opening grant (POG) | 11 |
| Sample Table B – academic year 2015 to 2016 post-opening grant (start-up grant) | 12 |
| Table C – education services grant (ESG) | 13 |
| Sample Table C – academic year 2015 to 2016 education services grant (ESG) | 14 |
| Table D - education services grant (ESG) protection | 15 |
| Sample Table D – academic year 2015 to 2016 education services grant (ESG) protection | 16 |
| Funding outside the GAG | 23 |
| Risk protection arrangement (RPA) | 23 |

Introduction

This operational guide helps you understand how the Education Funding Agency (EFA) has calculated your 2015 to 2016 academic year funding. It updates last year's guidance, setting out the minor changes to academy funding we introduced this year. We have developed the guide in consultation with a sample of academies to make sure it better meets your needs.

The guide supports your 2015 to 2016 academic year general annual grant (GAG) statement. Your GAG statement sets out the funding you will receive and how we have calculated it. You may notice minor changes to some of the tables, but we have kept changes to a minimum to allow headline year-on-year comparisons.

How to use the guide

We have produced the guide in a format that allows you to easily access the specific parts that interest you or areas where you require more detailed information.

The '[What's new?](#)' section shows the changes to funding for special and alternative provision academies in the 2015 to 2016 academic year at a glance, and where the change is reflected in your GAG statement. The subsequent chapters take you through an example funding statement table by table, explaining each line in turn.

We recognise that GAG funding makes up only part of the overall funding for your academy. We are looking at ways we can show all your EFA funding lines together in one statement in the future. We are not able to do that this year, but we have added a section to the end of the guide listing the main [non-GAG revenue and capital funding lines](#). This section includes links to information about how and when these are paid.

Who is the guide for?

This guide is for special and alternative provision (AP) academies and free schools open before 1 April 2015. Separate guidance is available for [mainstream academies and free schools](#), and for [academies opening between 1 April and 31 August 2015](#).

When we refer to academies in this document we are referring to all types, including free schools, unless otherwise stated.

Further information

In response to feedback from academies we have developed a [glossary of academy funding terms](#).

In addition to this guide you can access a series of [online presentations and slide sets](#) about changes to academy funding in the 2015 to 2016 academic year. This site also contains videos about financial returns, post-16 and capital funding.

What's new in the 2015 to 2016 academic year?

The changes to funding in 2015 to 2016 are summarised below and you can find more information on the technical aspects of these in subsequent chapters.

Further policy information on the [fairer schools funding arrangements](#) and [education services grant arrangements](#) for 2015 to 2016 is also available.

Operational guidance on schools revenue funding and the factors that local authorities can use in their funding formula can be found in the [guide to schools revenue funding in 2015 to 2016](#).

Changes to the funding formula and your GAG statement

| What's new? | What does this mean? | How will this be reflected in my GAG statement? |
|---|---|---|
| Change to the Education Services Grant (ESG) rate | A reduction in the ESG rate from £140 to £87 for mainstream academies and free schools. The multipliers applied to uplift ESG will remain the same in the 2015 to 2016 academic year: 4.25 for special academies and 3.75 for alternative provision academies. | The new ESG rate is used in the calculation in Table C. |
| Tapered ESG protection | We have introduced a new tapered protection to provide a measure of stability against large budget reductions resulting from the new ESG rate and the removal of SEN LACSEG. | The tapered protection is set out in Table D. |
| High needs – increase in the per place rate of funding for alternative provision (AP) | An increase in the AP rate from £8,000 per place to £10,000 per place. ¹ | The new rate is used in the calculation in Table A. |

¹ Applicable to all alternative provision places, and must include those that schools can commission directly as well as those that the local authority can commission. We will also expect to see reductions in the top-up funding for alternative provision, so that this adjustment is cost-neutral for local authorities and schools.

| What's new? | What does this mean? | How will this be reflected in my GAG statement? |
|---|---|---|
| High needs – simplification of the process for allocating numbers of places | Roll forward of the 2014 to 2015 academic year place numbers (except where an exceptional case has been agreed with the EFA ²). | You will see the rolled forward places used in the calculation in Tables A and C. |

² For special and AP free schools that opened in 2014, place numbers will be based on the trajectory as agreed in their financial plan.


The GAG statement explained

The following sections of this guide walk you through the GAG statement for the 2015 to 2016 academic year. Please note that the figures in the sample Tables A to D **are intended as an illustration only**. They are drawn from a number of different cases to show you as many of the calculation workings as possible, and together they do not represent a single real-life academy.

Summary statement

The first page of your GAG statement is a summary statement of the tables that make up your GAG. The information used to populate the summary table comes from each of the tables in your pack. Section 3 (16-19 allocation) will only be populated if your academy has a sixth form.

Academic year 2015 to 2016 summary table

| | | | |
|--|---------------|---|-------------|
|  Education Funding Agency | | Academic year 2015 to 2016 General Annual Grant statement Special and Alternative Provision (AP) academies | |
| Name | Provider name | | |
| LA name | LA name | | |
| LAESTAB | LAEstab | | |
| UPIN | UPIN | | |
| UKPRN | UKPRN | | |
| URN | URN | | |
| Opening date | Date | | |
| 1. Breakdown of academic year 2015 to 2016 school allocation | | | |
| Post-opening grant (start-up grant) | | £0.00 | See Table B |
| Education services grant | | £0.00 | See Table C |
| ESG protection | | £0.00 | See Table D |
| Total school allocation | | £0.00 | |
| 2. Breakdown of academic year 2015 to 2016 high needs allocation | | | |
| Pre-16 high needs funding | | £0.00 | See Table A |
| Post-16 high needs funding | | £0.00 | See Table A |
| Hospital education funding | | £0.00 | See Table A |

| | | |
|--|--------------|--|
| Total high needs allocation | £0.00 | (Excludes any top-up funding from LAs and other schools) |
| 3. Breakdown of 16-19 allocation | | |
| Total programme funding | £0.00 | See 16-19 allocation statement |
| Formula protection funding | £0.00 | |
| 16-19 allocation | £0.00 | |
| Student support services | £0.00 | |
| Total 16-19 allocation including student support services | £0.00 | |
| Total allocation (1+2+3) | £0.00 | |
| Information on funding lines that are in addition to GAG (for example pupil premium) will be provided separately | | |

Sixth form funding

We wrote to open academies with sixth forms about [funding for students aged 16 to 19 for the 2015 to 2016 academic year](#) in October 2014. In this letter we set out the 16 to 19 funding formula, funding rates and the allocations timeline.

Section 3 of the summary table refers to the post-16 statement sent to alternative provision academies and free schools. Special academies with post-16 provision are funded for pre- and post-16 provision on the same place funded basis and do not receive a separate statement. Funding for student support services for special academies and alternative provision will be included in section 3.

Further information on [16 to 19 funding allocations](#) can be found on GOV.UK.

Table A – high needs funding

Local authorities have a role in funding high needs pupils in academies, as a commissioner of special education provision for these pupils. This means that academies receive funding for pupils with high needs from both the EFA (place funding) and local authorities (top-up funding). In the case of alternative provision (AP) the top-up funding can also come directly from schools, where they commission places directly.

High needs place funding for both pre-16 and post-16 special educational needs (SEN) is funded on a simple per place basis.

AP provision (which by definition is pre-16 only) is also funded on a simple per place basis. Post-16 students at AP academies are funded per pupil at the national 16-19 funding formula rate. There may also be some post-16 high needs places at AP academies which are funded on a per place basis.

In the 2015 to 2016 academic year we will roll forward your 2014 to 2015 academic year place numbers and use these to calculate your allocation. The exception to this is where an exceptional case for additional SEN places has been agreed by the EFA. In this instance we would use this agreed higher figure in your allocation. Exceptionally, the EFA may also have agreed to fund additional AP places³.

Top-up funding above these levels, based on the assessed needs of the pupil and the cost of meeting these in the setting, should be agreed between the commissioning local authority or mainstream school/academy and the special or AP academy. Top-up funding is paid on a per pupil basis for the period that the pupil is actually occupying the place in the academy. It is paid directly to the academy on a monthly basis unless a different payment frequency is agreed with the local authority. Top-up funding for pupils in AP can be paid directly by other academies and schools if they commission the places for those pupils.

Hospital education places that exist in some academies will be funded at the same rate as in 2014 to 2015. Pupils occupying these places do not attract top-up funding.

More information on [high needs funding](#) is available online.

³ For special and AP free schools that opened in 2014, place numbers will be based on the trajectory as agreed in their financial plan.

Sample Table A – high needs funding

| Pre-16 breakdown | | | | | |
|---|---------------|-----------------------------|-------------------------|----------------|--|
| Type | Place numbers | Annual per place unit value | Total pre-16 allocation | | Explanation |
| 1. Special | 188 | £10,000.00 | £1,880,000.00 | | Place numbers: rolled forward pre-16 2014 to 2015 places (or place numbers agreed by the EFA) Unit value: £10,000 Total allocation = place numbers*unit value |
| 2. Alternative provision | 60 | £10,000.00 | £600,000.00 | | |
| 3. Total pre-16 high needs funding | | | £2,480,000.00 | = 1 + 2 | Total pre-16 allocation (special) + total pre-16 allocation (alternative provision) |

| Post-16 breakdown | | | | |
|-------------------|-----------------------------|--------------------------|--|---|
| Place numbers | Annual per place unit value | Total post-16 allocation | | Explanation |
| 20 | £10,000.00 | £200,000.00 | | Place numbers: rolled forward post-16 2014 to 2015 places (or place numbers agreed by the EFA) Unit value: £10,000 Total post-16 allocation = place numbers*unit value |

| Hospital education breakdown | | |
|------------------------------|---------------------------|--|
| Place numbers | Total hospital allocation | Explanation |
| 325 | £4,291,003.00 | Funding per place remains the same as in 2014 to 2015. It is an amount unique to the academy that will normally have been calculated by the local authority who previously maintained the provision. |

Table B – post-opening grant (start-up grant)

Table B will only be included in your 2015 to 2016 funding statement if you are due to receive start-up grant or post-opening grant funding.

Start-up grant (SUG)

Start-up grant is paid to sponsored academies. From September 2013 this was limited to full sponsored academies only.

Special and AP academies may receive start-up grant part A. This is a flat rate allocation paid in the first year of opening only: 50% in month one, 25% in month two and 25% in month three.

Special and AP academies do not receive start-up grant B, which is a formulaic allocation for leadership capacity. This is because these academies receive a GAG allocation per place rather than per pupil, which already takes account of staff diseconomies of scale and recognises that pupil numbers in such provision can fluctuate widely throughout the year.

More information is available in [Sponsored academies funding: advice for sponsors](#).

Post-opening grant (POG)

Post-opening grant is paid to special and AP free schools to reflect the additional costs in establishing a new publicly-funded school. The POG provides funding in two elements as the school grows: non-staffing resources, paid on a per place basis, and a leadership diseconomies grant. These titles reflect the basis on which the funding is calculated, but the grant can be spent on any legitimate purpose of the school.

The first element (resources) is paid each year that the school builds up to capacity for each new pupil expected to be on roll.

The second element (leadership) is agreed on a case-by-case basis following negotiation. It is based on the needs of the new special or AP free school.

Sample Table B – academic year 2015 to 2016 post-opening grant (start-up grant)

| Line | | Value | Calculation | Explanation |
|------|---|------------------|---------------------------------------|--|
| 1. | Start-up grant part A | £4,000.00 | | For academies that opened from April 2013 this is a one-off payment. For academies that opened before April 2013 the payments will be made over a number of years and any funding applicable to the 2015 to 2016 academic year will be shown in this line. |
| 2. | Start-up grant part B – formulaic allocation | £0.00 | | Special and AP academies do not receive start-up grant B. |
| 3. | Start-up grant part B – assessment | £0.00 | | |
| 4. | Post-opening grant – per place resources | £0.00 | | For special and AP free schools this is the total of the resource element of the POG. |
| 5. | Post-opening grant – leadership diseconomies | £0.00 | | For special and AP free schools this is the total of the diseconomies element of the POG. |
| 6. | Total post-opening grant (start-up grant) allocation | £4,000.00 | = 1 + 2 + 3 or = 4 + 5 | The sum of SUG or POG elements as applicable. |

Table C – education services grant (ESG)

ESG is allocated on a simple per place basis. It is payable for the total number of places up to year 14. The place number is derived in the same way as for your high needs place funding.

In academic year 2015 to 2016 each special or hospital place is funded at 4.25 times⁴ the basic per pupil rate at £369.75 per place. Each alternative provision (AP) place is funded at 3.75 times the basic per pupil rate at £326.25 per place. This is a reduction from £595 per place and £525 per place respectively in 2014 to 2015. There is a protection against the impact of significant reductions in your ESG allocation, which is explained in [Table D](#).

Note that post-16 learners at an AP academy will be paid ESG at the mainstream rate.

ESG is paid to academies to cover the cost of the services that local authorities provide centrally to maintained schools but which academies must secure independently. These may include school improvement, education welfare, audit and asset management.

⁴ Special schools, special academies, pupil referral units (PRUs) and alternative provision (AP) academies have smaller group sizes and offer intensive support, which means they need more space per pupil and have much higher staffing ratios - around 4 times higher than in mainstream settings. These factors all result in increased overheads and higher staff-related costs

Sample Table C – academic year 2015 to 2016 education services grant (ESG)

| Line | | Value | Calculation | Explanation |
|------|---|--------------------|----------------------------|--|
| 1. | Number of special or hospital places | 513 | | Total place numbers including sixth form pupils. |
| 2. | ESG basic rate per special/ hospital place | £369.75 | | £369.75 per place in 2015 to 2016. |
| 3. | Total academic year 2015 to 2016 special and hospital education services grant allocation | £189,681.75 | = 1 * 2 | Total ESG allocation is calculated by a simple multiplication of place numbers by the per place rate. |
| 4. | Number of alternative provision places | 60 | | Total number of pre-16 places. |
| 5. | ESG rate per alternative provision place | £326.25 | | £326.25 per place in 2015 to 2016. |
| 6. | Number of post-16 learners | 0 | | The number of post-16 students at an AP academy. These learners receive ESG funding at the basic rate. |
| 7. | ESG basic rate per learner (post-16) | £87.00 | | £87 for post-16 students at AP academies. |
| 8. | Total academic year 2015 to 2016 alternative provision education services grant allocation | £19,575.00 | = (4 * 5) + (6 * 7) | The total ESG for AP places and for post-16 students at academies with pre-16 AP. |
| 9. | Total academic year 2015 to 2016 education services grant allocation | £209,256.75 | = 3 + 8 | The academy's total ESG allocation. |

Table D - education services grant (ESG) protection

The ESG rate in the 2015 to 2016 academic year will be £369.75 per place for special academies and hospital education and £326.25 per place for alternative provision. This is set out in [Table C](#).

To provide continued protection against significant budget reductions as a result of changes to ESG, a tapered protection will be applied. The protection ensures that the reduction in funding resulting from these changes cannot be greater than a specified percentage of the overall budget⁵ on a per place basis.

The percentage protection for an academy is determined by its level of ESG per place (ESG + ESG protection) in the 2014 to 2015 academic year. For academies that had no ESG protection the level of ESG per place would be £595 for special academies and £525 for AP; for others with legacy funding from LACSEG and ESG protection the level of ESG per place will be higher.

For special and hospital education:

- if the academy received up to and including £595 of ESG the protection rate will be -1%
- if the academy received up to and including £680 of ESG the first £595 will be protected at -1% and the amount above £595 will be protected at -2%
- if the academy received more than £680 the first £595 will be protected at -1%, any amount above £595 and up to and including £680 will be protected at -2%, and any amount above £680 will be protected at -3%

For alternative provision:

- if the academy received up to and including £525 of ESG the protection rate will be -1%
- if the academy received up to and including £600 of ESG the first £525 will be protected at -1% and the amount above £525 will be protected at -2%
- if the academy received more than £680 the first £525 will be protected at -1%, any amount above £525 and up to and including £600 will be protected at -2%, and any amount above £600 will be protected at -3%

Further information including examples for special and AP academies is available in the [supplementary guidance note](#) and the [ESG training video](#).

⁵ This excludes any top-up funding from the local authority.

Sample Table D – academic year 2015 to 2016 education services grant (ESG) protection

| Line | | Value | | Calculation | Explanation |
|---|---|----------------------|-----------------------|-------------|--|
| | | Special and hospital | Alternative provision | | |
| D.1 Academic year 2015 to 2016 reduction rate | | | | | |
| High needs places | | | | | |
| 1. | Academic year 2014 to 2015 total number of high needs places (pre- and post-16) | 591 | | | <p>The place count in line [1] is the total number of high needs places including pre- and post-16 places for the 2014 to 2015 academic year.</p> <p>For academies with joint special and alternative provision the total places shown in line [1] will be the sum of the number of its special / hospital and AP places shown separately in line [2].</p> |
| 2. | Academic year 2014 to 2015 number of high needs places (pre- and post-16) | 531 | 60 | | <p>This is the number of high needs places for the 2014 to 2015 academic year including pre- and post-16 places. It shows special / hospital and AP places separately.</p> <p>For most academies this number will be the same as the number in line [1].</p> |
| 3. | Academic year 2015 to 2016 number of high needs places (pre- and post-16) | 531 | 60 | | <p>This is the total number of high needs places for the 2015 to 2016 academic year including pre- and post-16 places. It shows special / hospital and AP places separately.</p> |
| Academic year 2014 to 2015 total allocation unit values | | | | | |

| Line | | Value | | Calculation | Explanation |
|------|--|----------------------|-----------------------|-------------|---|
| | | Special and hospital | Alternative provision | | |
| 4. | Academic year 2014 to 2015 high needs allocation | £5,919,086.00 | £480,000.00 | | This step calculates the total 2014 to 2015 allocation in per place terms. Line [4] is the high needs allocation for the 2014 to 2015 academic year including post-16. This is shown in Table A of your 2014 to 2015 funding statement. This excludes any top-up funding from your local authority. |
| 5. | Academic year 2014 to 2015 education services grant | £315,945.00 | £31,500.00 | | This is the amount of ESG received in the 2014 to 2015 academic year. This is shown in Table B of your 2014 to 2015 funding statement. |
| 6. | Academic year 2014 to 2015 allocation protection | £26,489.00 | £26,489.00 | | Allocation protection was paid in academic year 2014 to 2015 to protect academies' per place funding against losses resulting from the fall in the ESG rate between the 2013 to 2014 and 2014 to 2015 academic years. If this applies to your academy it will be shown in Table C of your 2014 to 2015 funding statement. |
| 7. | Academic year 2014 to 2015 post-16 allocation (total funding excluding student support services) | £0.00 | £0.00 | | This is the total post-16 allocation for the 2014 to 2015 academic year as shown in the summary statement of your 2014 to 2015 funding allocation, where applicable. It excludes student support services. |

| Line | | Value | | Calculation | Explanation |
|--|---|----------------------|-----------------------|-------------------------------|---|
| | | Special and hospital | Alternative provision | | |
| 8. | Academic year 2014 to 2015 total allocation adjusted unit value | £11,786.88 | £8,569.82 | $= (4 + 5) / 2 + (6 + 7) / 1$ | This is the total per place allocation for the 2014 to 2015 academic year. If the academy is to receive a protection amount in the 2015 to 2016 academic year the percentage protection rate will be applied to this figure multiplied by the number of places for the 2015 to 2016 academic year. Place numbers from lines [1] and [2] are used because special / hospital and AP places attract different funding rates. This applies to academies with joint special / hospital and alternative provision. For most academies the place numbers in both lines will be identical. |
| ESG per place rates and change between academic years 2014 to 2015 and 2015 to 2016 | | | | | |
| 9. | Academic year 2014 to 2015 ESG per place rate | £595.00 | £525.00 | | This step calculates the difference between the academic year 2014 to 2015 and 2015 to 2016 ESG rates. Line [9] is the ESG rate per place for 2014 to 2015 which is equal to £595 for special and hospital provision and £525 for alternative provision. |
| 10. | Academic year 2015 to 2016 ESG per place rate | £369.75 | £326.25 | | The 2015 to 2016 academic year ESG per place rate is £369.75 for special and hospital provision and £326.25 for alternative provision. |
| 11. | Change to ESG rate between academic years 2014 to 2015 and 2015 to 2016 | (£225.25) | (£198.75) | $= 10 - 9$ | This is the reduction in the ESG per place rate between the 2014 to 2015 and 2015 to 2016 academic years. This is equal to -£225.25 for special and hospital provision and -£198.75 for alternative provision. |

| Line | Value | | Calculation | Explanation | |
|--|---|-----------------------|-------------|-----------------|---|
| | Special and hospital | Alternative provision | | | |
| Academic year 2015 to 2016 adjusted reduction unit value | | | | | |
| 12. | Change to ESG rate between academic years 2014 to 2015 and 2015 to 2016 | (£225.25) | (£198.75) | = 11 | As line [11] this is the reduction in the ESG per place rate between the 2014 to 2015 and 2015 to 2016 academic years. This is equal to -£225.25 for special and hospital education and -£198.75 for alternative provision. |
| 13. | Removal of academic year 2014 to 2015 allocation protection | £23,799.76 | £2,689.24 | = (6 / 1) * 2 | The removal of the 2014 to 2015 allocation protection - along with the reduction in the ESG rate - is used to calculate the potential reduction in an academy's funding between the 2014 to 2015 and 2015 to 2016 academic years. |
| 14. | Academic year 2015 to 2016 adjusted reduction unit value | (£270.07) | (£243.57) | = 12 - (13 / 3) | This line shows the potential reduction in the academy's funding per place as a result of the reduced ESG rate. It is made up of the reduction in the ESG rate between the 2014 to 2015 and 2015 to 2016 academic years and the removal of academic year 2014 to 2015 allocation protection. It is divided by the number of places to show the reduction per place. |
| 15. | Academic year 2015 to 2016 reduction rate (loss) = adjusted reduction as a proportion of total allocation adjusted unit value | -2.29% | -2.84% | = 14 / 8 | This shows the reduction per place as a proportion of the total allocation received in the 2014 to 2015 academic year. It shows the proportion of the academy's academic year 2014 to 2015 per place allocation they would lose without protection. |
| D.2 Academic year 2015 to 2016 tapered protection | | | | | |
| Academic year 2014 to 2015 funding rate | | | | | |

| Line | | Value | | Calculation | Explanation |
|---|--|----------------------|-----------------------|------------------------|---|
| | | Special and hospital | Alternative provision | | |
| 16. | Academic year 2014 to 2015 ESG | £315,945.00 | £31,500.00 | = 5 | This step calculates the tapered protection rate for the academy's 2015 to 2016 academic year funding. As [5] this line shows the total ESG received for academic year 2014 to 2015. |
| 17. | Academic year 2014 to 2015 allocation protection | £23,799.76 | £2,689.24 | = 13 | As [13] the rate of allocation protection received in academic year 2014 to 2015. |
| 18. | Academic year 2014 to 2015 funding rate | £639.82 | £569.82 | = (16 + 17) / 2 | The funding rate for academic year 2014 to 2015 is made up of the amount of ESG and allocation protection received. This is divided by the number of places to show the funding rate per place. |
| Academic year 2015 to 2016 tapered protection | | | | | |
| 19. | Condition 1 | 1% | 1% | | The conditions and thresholds refer to the protection rates and the level of ESG these apply to. Condition 1 is the lowest rate of protection of 1%. |
| 20. | Threshold 1 | £595.00 | £525.00 | | Threshold 1 is £595 for special and hospital education and £525 for alternative provision. |
| 21. | Condition 2 | 2% | 2% | | Condition 2 is 2%. |
| 22. | Threshold 2 | £680.00 | £600.00 | | Threshold 2 is £680 for special and hospital education and £600 for alternative provision. |
| 23. | Condition 3 | 3% | 3% | | Condition 3 is 3%. |
| Academic year 2015 to 2016 adjusted reduction unit value | | | | | |

| Line | | Value | | Calculation | Explanation |
|--|---|----------------------|-----------------------|---|---|
| | | Special and hospital | Alternative provision | | |
| 24. | Funding rate up to and including threshold 1 is protected against losses below condition 1 | -0.93% | -0.92% | $= -1 * (\text{MIN}(20, 18) / 18) * 19$ | <p>The 2015 to 2016 allocation reduction floor is the maximum reduction in an academy's funding between the 2014 to 2015 and 2015 to 2016 academic years. For each academy this is calculated by applying the protection rates (conditions) to the relevant part of the 2014 to 2015 academic year per place funding rate.</p> <p>For special and hospital education the 2014 to 2015 funding rate up to and including £595 is protected at -1%.</p> <p>For alternative provision the 2014 to 2015 funding rate up to and including £525 is protected at -1%.</p> |
| 25. | Funding rate beyond threshold 1 up to and including threshold 2 is protected against losses below condition 2 | -0.14% | -0.16% | $= -1 * (\text{MIN}((22 - 20), (18 - 20)) / 18) * 21$ | <p>For special and hospital education the 2014 to 2015 funding rate up to and including £680 is protected at -2%.</p> <p>For alternative provision the 2014 to 2015 funding rate up to and including £600 is protected at -2%.</p> |
| 26. | Funding rate beyond threshold 2 is protected against losses below condition 3 | 0.00% | 0.00% | $= -1 * (\text{MAX}(0, 18 - 22) / 18) * 23$ | <p>For special and hospital education the 2014 to 2015 funding rate above £680 is protected at -3%.</p> <p>For alternative provision the 2014 to 2015 funding rate above £600 is protected at -3%.</p> |
| 27. | Allocation reduction floor | -1.07% | -1.08% | = 24 + 25 + 26 | This line shows the maximum per place reduction in the academy's funding between the 2014 to 2015 and 2015 to 2016 academic years resulting from the reduction in ESG. |
| D.3 Academic year 2015 to 2016 protection calculation | | | | | |
| Academic year 2015 to 2016 ESG protection | | | | | |

| Line | | Value | | Calculation | Explanation |
|------|---|----------------------|-----------------------|--------------------------|--|
| | | Special and hospital | Alternative provision | | |
| 28. | Extent to which academic year 2015 to 2016 allocation reduction rate falls below the protection floor | 1.22% | 1.76% | =IF (27 < 15, 0, 27 -15) | This shows how much per pupil funding has dropped beyond the threshold shown in line [27] between the two academic years. |
| 29. | Academic year 2015 to 2016 ESG protection | £76,357.77 | £9,049.73 | = 28 * 8 * 3 | This line shows the protection amount the academy will receive in the 2015 to 2016 academic year for each type of provision it provides. It is calculated by applying the protection rate to the total 2014 to 2015 allocation per place multiplied by the academic year 2015 to 2016 place numbers. |
| 30. | Academic year 2015 to 2016 total ESG protection | £85,407.50 | | | For most academies this figure will be the same as the figure in line [29]. For academies with joint special and alternative provision line [30] shows the total protection amount the academy will receive in the 2015 to 2016 academic year for its total place numbers, including special, hospital and AP places as applicable. |

Funding outside the GAG

The previous sections explain the elements that make up your GAG funding. As an academy you will receive other revenue funding that is not part of your GAG. This includes:

- [early years funding](#) - paid by the local authority to academies with a nursery class through the early years single funding formula (EYSFF)
- national non-domestic rates (NNDR) - paid on receipt of a claim using an [online form](#) in a single amount outside of the SBS
- [pupil premium](#) - paid in four instalments by the EFA
- [PE and sport premium for primary schools](#) - paid by the EFA in the autumn term
- [universal infant free school meals](#) – paid in instalments by the EFA to academies with infant classes or pupils of infant age
- high needs top-up funding - paid by the local authority where required. In the case of AP, top-up funding can be paid directly by other schools and academies. Information about 2015 to 2016 high needs funding arrangements can be found in [Schools revenue funding 2015 to 2016](#)
- funding for commissioned SEN or AP services – paid by local authorities and other schools and academies, usually under a service level agreement or contract, where such services are offered by the academy.

In addition your academy may receive [capital funding](#) from the EFA.

Our series of [online presentations and slide sets](#) includes a video about funding outside the GAG.

Risk protection arrangement (RPA)

If you are a member of [RPA](#) any adjustment made to your funding will be shown on your monthly pay schedule. It is not shown on your GAG statement as it does not affect your allocation, but does affect the amount you will receive on a monthly basis. If you opt into RPA after the allocation was published you will not receive an adjusted GAG statement, but your monthly pay schedule will be reissued.

© Crown copyright 2015

This publication (not including logos) is licensed under the terms of the Open Government Licence v3.0 except where otherwise stated. Where we have identified any third party copyright information you will need to obtain permission from the copyright holders concerned.

To view this licence:

visit www.nationalarchives.gov.uk/doc/open-government-licence/version/3

email psi@nationalarchives.gsi.gov.uk

write to Information Policy Team, The National Archives, Kew, London, TW9 4DU

About this publication:

enquiries www.education.gov.uk/contactus

download www.gov.uk/government/publications

Reference: EFA-00066-2015



Follow us on
Twitter: [@educationgovuk](https://twitter.com/educationgovuk)



Like us on Facebook:
facebook.com/educationgovuk