

To: The Board

For meeting on: 17 December 2015

Agenda item: 4

Report by: Miranda Carter, Executive Director of Provider Appraisal

Report on: Provider Appraisal Update

Introduction:

1. The Provider Appraisal directorate currently has three active assessments, with no decisions to be taken this month. There are two ongoing transaction reviews.

A. Update on assessments

2. A list of the 13 NHS trusts currently with Monitor for assessment is provided in Annex 1. Any changes from the last report are highlighted in red.

Active assessments (exception reporting)

3. The NHS Trust Development Authority referred Mersey Care NHS Trust for assessment at its November Board meeting, and the assessment was kicked off on 25 November 2015.

Assessment Pipeline

4. An overview of the assessment pipeline is provided in Annex 2.

B. Update on transactions and new models of care (NMoC)

Transaction Pipeline

5. The review of Ashford and St Peter's Hospitals NHS Foundation Trust and Royal Surrey County Hospital NHS Foundation Trust is expected to commence early in the New Year under the streamlined approach agreed at November 2015 Provider Appraisal Executive (PAE).
6. The first of the new models of care vanguard transactions is still planned to go ahead from 1 April 2016. The team continues to work with Salford Royal NHS

Foundation Trust on evaluating this project. A paper updating the PAE on the team's proposed approach will be considered at the next PAE meeting.

7. The review of Cornwall Partnership NHS Foundation Trust taking on the community services currently delivered by Peninsula Community Health commenced on 1 December 2015. A stage 1 transaction review meeting was held on 2 December 2015 to discuss the strategic rationale and timetable.

Miranda Carter
Executive Director of Provider Appraisal

Making a difference for patients:

Monitor's mission is to make the health sector work better for patients. This report provides an update on the Provider Appraisal team. The key focus of this team is to assess if providers are well led and can therefore deliver sustainable high quality services to patients.

Public Sector Equality Duty:

Monitor has a duty under the Equality Act 2010 to have due regard to the need to eliminate unlawful discrimination, advance equality of opportunity and foster good relations between people from different groups. In drafting this report consideration has been given to the impact that the issues dealt with might have on these requirements and on the nine protected groups identified by this Act. It is anticipated that the issues dealt with in this paper are not likely to have any particular impact upon the requirements of or the protected groups identified by the Act.

This report considers the activity of the Provider Appraisal team, whose key focus is to authorise NHS Trusts as Foundation Trusts. The assessment process considers how representative of the population that it serves the membership of an applicant NHS FT actually is, and therefore has had regard to the duties required by the Equality Act. It is not considered that there are any decisions within this paper which would impact on protected groups Identified by the Act.

Exempt information:

Some of the information in this report is exempt from publication under the Freedom of Information Act 2000.

The information is exempt as it falls under section 36 of the Freedom of Information Act 2000. Disclosure of that information would, or would be likely to, inhibit the free and frank provision of advice, or exchange of views for the purposes of deliberation. In applying these exemptions, we have considered the public interest test; disclosure of this information will not enhance the accountability or transparency of Monitor as its disclosure would inhibit the free frank disclosure of information.

Annex 1: Current status of NHS trusts with Monitor for assessment

Active assessments				
Trust name	Status detail	Start	Target Board to Board	Decision
Mersey Care NHS Trust	Referral	November 2015	February 2016	March 2016
Sussex Community NHS Trust	Referral	October 2015	January 2016	February 2016
Birmingham Community Healthcare NHS Trust	Reactivation	December 2015	TBC	TBC
<i>Paused in short-term</i>				
Surrey and Sussex Healthcare NHST	Postponed	To be confirmed		
South West London and St George's Mental Health	Referral	To be confirmed – delayed due to CQC findings		
Wirral Community	Paused	To be confirmed		
Norfolk Community Health and Care	Paused	To be confirmed		
Lincolnshire Community Health Services	Paused	To be confirmed		

Annex 2: Pipeline information

(i) Monitor pipeline

	At 5 November 2015	Pipeline progress			At 2 December 2015
Total Foundation Trusts (FTs)	153	-			153
Monitor pipeline					
Active Assessments - decisions due this month	0	-	-	-	0
Active Assessments - in progress	4	(3)	1	1	3
Assessments paused in the short-term	2	3	-	-	5
Referrals waiting to start	0	-	-	-	0
Assessments paused post phase 1	2	-	-	-	2
Deferrals	4	-	-	(1)	3
Withdrawal	0	-	-	-	0
Total Monitor pipeline	12	-	-	-	13
NHS TDA pipeline	74	-	(1)	-	73
TOTAL NUMBER OF TRUSTS	239	-	-	-	239

(ii) Pipeline analysis

	Acute	Mental Health	Community	Ambulance	Total
Foundation Trusts	101	43	4	5	153
Monitor pipeline	2	4	6	1	13
With TDA	51	9	9	4	73
Total trusts	154	56	19	10	239