Part 1.2 - CONVENING ORDER AND TORS

MAA SI Convening Order



7 Oct 13

SI President SI Members CO RNAS Yeovilton

Hd MilAAIB MAA-Legad 1 MAA-Legad 2

Copy to:

EA/CNS MA/Comd JHC CO CHF MA/MAA D(Ops) MA/MAA D(Tech)

MAA DG/SI/02/13 - CONVENING ORDER FOR SERVICE INQUIRY INTO AIRCRAFT OCCURRENCE INVOLVING SEA KING MK4, ZE428 ON 3 OCT 13 AT RNAS YEOVILTON

- 1. A Service Inquiry (SI) is to be held under Section 343 of Armed Forces Act 2006 and in accordance with JSP 832 Guide To Service Inquiries (Issue 1.0 Oct 08).
- 2. The purpose of this SI is to investigate the circumstances surrounding the subject aviation occurrence and to make recommendations in order to prevent recurrence.
- 3. The SI Panel is to assemble at RNAS Yeovilton on 7 Oct at 1430.
- 4. The SI Panel comprises:

President: Members:



- 5. The legal advisor to the SI is (MAA-Legad2) supervised by (MAA-Legad1) and technical investigation/assistance is to be provided by the Military Air Accident Investigation Branch (MilAAIB).
- 6. The SI is to investigate and report on the facts relating to the matters specified in its Terms of Reference (TOR) and otherwise to comply with those TOR (at Annex). It is to record all evidence and express opinions as directed in the TOR.
- 7. Attendance at the SI by advisors/observers is limited to the following:

Hd MilAAIB - Unrestricted Attendance.

Personnel from the MilAAIB, nominated by Hd MilAAIB - Unrestricted Attendance¹.

, RAFCAM HF Accident Investigator – Unrestricted Attendance.

¹ Names of nominated pers to be sent to the Convening Authority.



OFFICIAL SENSITIVE - SI

- 8. CO RNAS Yeovilton is requested to provide facilities, equipment and assistance suitable for the nature and duration of the SI, as requested by the SI President.
- 9. Reasonable costs will be borne by DG MAA under UIN D0456A.

Original Signed

R F Garwood AM DG MAA

Annex:

A. Terms of Reference for SI into Aviation Occurrence Involving Sea King Mk4, ZE428 on 3 Oct 13 at RNAS Yeovilton.



TERMS OF REFERENCE FOR SI INTO AVIATION OCCURRENCE INVOLVING SEA KING MK4 ZE428 ON 3 OCT 13 AT RNAS YEOVILTON.

- 1. As the nominated Inquiry Panel for the subject SI, you are to:
 - a. Investigate and, if possible, determine the cause of the occurrence and examine contributory factors.
 - b. Ascertain whether Service personnel involved were acting in the course of their duties.
 - c. Examine what policies, orders and instructions were applicable and whether they were complied with.
 - d. Determine the state of serviceability of the aircraft and relevant equipment.
 - e. Establish the level of training, relevant competencies, qualifications and currency of the individuals involved in the accident.
 - f. Review the levels of authority and supervision covering the task during which the incident occurred.
 - g. Identify if the levels of planning and preparation were commensurate with the activities' objectives.
 - h. Investigate and comment on relevant fatigue implications of individuals' activities prior to the matter under investigation.
 - i. Ascertain if aircrew escape and survival facilities were fully utilized and functioned correctly.
 - j. If appropriate, investigate the level of any injury sustained and whether such injury will be the exciting cause of later disability, as established from expert testimony.
 - k. Determine any relevant equipment deficiencies.
 - I. Confirm that the Aircraft Post-Crash Management procedures were carried out correctly and that they were adequate.
 - m. Determine and comment on any broader contributory organizational and/or resource factors or causes.
 - n. Assess whether the security of personnel, equipment or information was compromised and if so to what degree.
 - o. Ascertain value of loss/damage to the Service and/or extent (and, if readily available, the value) of loss/damage to civilian property.
 - p. Assess any Health and Safety at Work and Environmental Protection implications in line with JSP 375 and JSP 418.
 - q. Report and make appropriate recommendations to DG MAA.

- r. Produce an Aircraft Accident Summary, to be completed within 2 wks of DG MAA signing off the SI.
- 2. You are to ensure that any material provided to the Inquiry by the United States, or any other foreign state, is properly identified as such, and is marked and handled in accordance with MOD security guidance. This material continues to belong to those nations throughout the SI process. Before the SI report is released to a third party, authorization should be sought from the relevant authorities in those nations to release, whether in full or redacted form, any of their material included in the SI report, or amongst the documents supporting it². You are not to make a judgement on the origin of any classified material³. In addition, the relevant PDR directorate should be informed early when dealing with the US or other foreign state material, and should be engaged in the process where doubt exists.
- 3. During the course of your investigations, should you identify a potential conflict of interest between the CA and the Inquiry, you are to pause work and take advice from your MAA Legal Advisor, Hd MilAAIB and DG MAA. Following that advice it may be necessary to reconvene reporting directly to MOD PUS.

² For intellectual intelligence material this should be done through DIS (DICSD-SEC).

³ If you are unable to positively identify the origin of the material, you must contact INFO-ACCESS DPAD or, for intelligence material, DIS (DI CSD-SEC).

List of Abbreviations Used in the Text

401 A&A BRd 767 Naval Aviation Orders Order 401, Assessment and Achievement Log

A1 Exceptional Aircrew and Instructor
A2 Above Average Aircrew and Instructor

A&E Accident and Emergency

ACP Aircraft/Advanced Conversion Phase AEA Aircrew Equipment Assemblies ALARP As Low As Reasonably Practicable

ASE Advanced Single Engine

ASEF Advanced Single Engine Failure

ASIMS Aviation Safety Information Management System

AUW All Up Weight
B1 Capable Instructor
B2 Instructor on Probation
BFT Basic Flying Training
BRd Book of Reference (digital)
CFS Central Flying School

CFS(H) Central Flying School (Helicopters)
CHF Commando Helicopter Force

CO Commanding Officer
CofC Certificate of Competence
CRM Crew Resource Management

CTR Conversion to Role
CTT Conversion to Type
CVR Cockpit Voice Recorder
DEF STAN Defence Standards
DG Director General
DH Duty Holder

DSG Defence Support Group
EFT Elementary Flying Training
EQ Environmental Qualification

FAA Fleet Air Arm ft Foot/Feet

FOB Flying Order Book
FP Flying Procedures
FULL Pages of Move

FRM Full Range of Movement

HEELS Helicopter Emergency Egress Lighting System

HF Human Factors
HP Handling Pilot
HTq Hover Torque

HUMS Health and Usage Monitoring System

Hz Hertz

I-Specs Instructional Specifications

JARTS Joint Aircraft Recovery and Transportation Squadron

JHC Joint Helicopter Command JRRF Joint Rapid Reaction Force

kt(s) Knot(s) lbs Pounds

MAA Military Aviation Authority

MAA RA Military Aviation Authority Regulatory Article

MAOT Mobile Air Operations Team

MilAAIB Military Air Accident Investigation Branch

Mk Mark

MRGB Main Rotor Gearbox

MX15 Multi Sensor Imaging Device

NAS Naval Air Squadron NAO Naval Aviation Order

NFSF(RW) Naval Flying Standards Flight (Rotary Wing)

Nr Rotor Speed

OCP Operational Conversion Phase ODH Operational Duty Holder

OEM Original Equipment Manufacturer

OJT On Job Training

OPS Operational Performance Statement

PCM Post Crash Management
PTF Partial Test Flight

QHI Qualified Helicopter Instructor

RA Regulatory Article
Rad Alt Radar Altimeter
RAF Royal Air Force

RAFCAM Royal Air Force Centre for Aviation Medicine

RN Royal Navy

RNAS Royal Naval Air Station

RoD Rate of Descent

s Seconds
SI Service Inquiry
Sqn Squadron

SSL Speed Select Lever

STARS Squadron Training Achievement Recording System

TCI Total Cyclic Input
TO Training Officer

TO1 The Principle Squadron Training Officer

ToRs Terms of Reference

Tq Torque

Vv Vertical Velocity