To:	The Board
For meeting on:	28 January 2015
Agenda item:	7
Report by:	Sigurd Reinton, Technology Assurance Committee Chair
Report on:	Report of the Technology Assurance Committee meeting on 8 January 2015

Background:

1. The Chair of Technology Assurance Committee (TAC) is required to report on its work to the Board after every meeting of the Committee. This report highlights the key issues considered by the Committee. The minutes of the meeting are attached at the Annex to this report. The Board is asked to note the report and provide any comments.

Issues:

Progressing Monitor's relationship with the Health and Social Care Information Centre

2. TAC members provided their feedback on a proposed approach to progressing Monitor's relationship with the Health and Social Care Information Centre (HSCIC) and discussed possible organisational and governance arrangements for this purpose. An additional arrangement was proposed and will be further explored.

3. Following the steer of the TAC, a number of drafting amendments will be undertaken on the draft Memorandum of Understanding that had been developed by the HSCIC as part of its initial proposal for formalising joint working arrangements with Monitor. A summary document is to be drawn up and will be submitted to the Board for consideration in due course. Further work will also seek to develop a long-term vision for managing the partnership with HSCIC.

The Developing Information Governance Environment

4. TAC members discussed the manner in which the collection and processing of patient level data currently operated and might evolve in the future, as well as the likely implications for Monitor's access to the information it requires to fulfil its regulatory obligations. Further discussion will take place at the next meeting of the TAC.

Business Planning update

5. The TAC received an update on the draft business plan for KIM in 2015/16, including the status of KIM's strategic priorities for 2014/15. Further information on Monitor's quarterly planning system and management process will be provided to TAC members in due course.

Sigurd Reinton
TAC Chair

Public Sector Equality Duty

Monitor has a duty under the Equality Act 2010 to have due regard to the need to eliminate unlawful discrimination, advance equality of opportunity and foster good relations between people from different groups. In relation to the issues set out in this paper, consideration has been given to the impact that the recommendations might have on these requirements and on the nine protected groups identified by the Act (age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion and belief, gender and sexual orientation).

As it is for information, it is anticipated that none of the recommendations of this paper will have an impact upon the requirements of or the protected groups identified by the Equality Act.

Exempt information:

None of this report is exempt under the Freedom of Information Act 2000.

ANNEX

ANNEX logo area — Monitor / Making the health sector work for patients

MINUTES OF A MEETING OF THE MONITOR TECHNOLOGY ASSURANCE COMMITTEE HELD ON 8 JANUARY 2015 AT 3PM AT WELLINGTON HOUSE, 133-155 WATERLOO ROAD, LONDON SE1 8UG

Present:

Sigurd Reinton, Technology Assurance Committee (TAC) Chair, Non Executive Director
Stuart Jobbins, TAC Independent Member
Paul Willer, TAC Independent Member
Ted Woodhouse, TAC Independent Member

In attendance:

Graham Binns, Enterprise Architect
Derek Cox, Head of Governance and Assurance (KIM)
Philippa Harding, Board Secretary
Stephen Hay, Managing Director of Provider Regulation
Adrian Masters, Managing Director of Sector Development
Peter Sinden, Chief Information Officer
Neil Stutchbury, Director of Business Engagement (KIM)
Rebecca Threlfall, Committee Secretary

Executive officers attended the meeting as detailed under specific agenda items below.

1. **Welcome and apologies**

1.1 Apologies for absence had been received from Joan Hanham (Chairman of the Board).

2. **Declarations of interest**

2.1 No interests were declared.

3. **Minutes and matters arising from the meeting held on 12 September 2014 (TAC/15/01)**

3.1 The minutes of the meeting of the Technology Assurance Committee (TAC) on 12 September 2014 were approved and the matters arising were noted.

4. **Progressing Monitor's relationship with the Health and Social Care Information Centre (TAC/15/02)**

4.1 Peter Sinden presented the report which outlined a proposed approach to progressing Monitor's relationship with the Health and Social Care Information Centre (HSCIC).

4.2 TAC members discussed the remit of the HSCIC, Monitor's historical relationship with it, and how this had changed in light of Monitor's role as health sector regulator. The importance of working closely with HSCIC was emphasised, particularly in light of the increasing complexity of Monitor's data requirements to meet its regulatory objectives, including costing and outcome information at a patient level. Consideration was given to the draft Memorandum of Understanding that had been developed by the HSCIC as part of its initial proposal for formalising joint working arrangements with Monitor. In relation to this, TAC members proposed a number of drafting amendments. A summary document was also requested by the Committee.

ACTION: PS

4.3 The TAC considered how best to ensure an effective working relationship between Monitor and HSCIC. Possible organisational and governance arrangements to ensure that Monitor retained sufficient access to and confidence in the integrity of the data it required for the fulfilment of its regulatory functions were explored. TAC members requested that further work be undertaken to develop both the short term approach and longer term vision for managing the partnership with HSCIC.

ACTION: PS

4.4 Given the centrality of robust information governance to Monitor's ability to fulfil its regulatory functions, the TAC considered it important for all members of the Board to be informed of the issues discussed by the TAC.

ACTION: SR

5. The Developing Information Governance Environment (TAC/15/03)

5.1 Peter Sinden presented the report which considered the manner in which the collection and processing of patient level data currently operated and might evolve in the future.

5.2 Given the significance of the changes that were currently taking place across the information governance landscape, the TAC reflected on the likely implications for Monitor's ability to collect data to fulfil its regulatory obligations. It was considered important to anticipate future risks regarding information governance and put mitigations in place proactively. Further discussion would take place at the next TAC meeting.

6. Business Planning update (TAC/15/04)

6.1 Neil Stutchbury presented the report, which set out the draft business plan for KIM in 2015/16. The TAC reviewed KIM's six strategic objectives and the associated actions that had been due for completion in 2014/15. It was reported that action in pursuit of two of these objectives had not been started as resources had been unexpectedly diverted to projects of more immediate and higher priority. TAC

members requested that information on Monitor's quarterly planning system and management process be provided to the Committee.

ACTION: NS

7. Other business

7.1 No other business was raised.

Close