NATIONAL HEALTH SERVICE, ENGLAND

The National Health Service Commissioning Board (Payments to Local Authorities) Directions 2014

The Secretary of State for Health gives the following Directions in exercise of the powers conferred by sections 256(5A), (5B) and (6) and 272(7) and (8) of the National Health Service Act 2006(a).

Citation, commencement and interpretation

1.—(1) These Directions may be cited as the National Health Service Commissioning Board (Payments to Local Authorities) Directions 2014 and come into force on 1st April 2014.

(2) These Directions—

- (a) are given to the National Health Service Commissioning Board in connection with its power to make payments to local authorities under section 256(1)(a) and (3) of the National Health Service Act 2006; and
- (b) apply in relation to the financial year ending 31st March 2015 ("the relevant financial year").

(3) In these Directions—

"the 2006 Act" means the National Health Service Act 2006;

"the Board" means the National Health Service Commissioning Board(b);

"integration payment" has the meaning given in Direction 5;

"partner clinical commissioning group" means, in relation to a local authority, any clinical commissioning group whose area coincides with or falls wholly or partly within the area of the authority; and

"service integration" means the integration of the provision of health services with the provision of health-related services or social care services, as referred to in sections 13N and 14Z1 of the 2006 Act.

Minimum amounts of section 256 payments

- 2.—(1) The minimum amount which the Board must spend in the relevant financial year in making payments under section 256 of the 2006 Act is £1,100 million, of which at least £200 million must be used for purposes relating to service integration.
- (2) The Board must make payments under either or both of subsections (1) and (3) of section 256 of the 2006 Act to the local authorities listed in the Schedule.
- (3) The minimum amount which the Board must pay to each local authority listed in the Schedule in respect of the relevant financial year is the amount identified in column 4 of the Schedule in relation to that authority.

⁽a) 2006 c.41; section 256 was amended by paragraph 129 of Schedule 4 to the Health and Social Care Act 2012 ("the 2012

⁽b) The National Health Service Commissioning Board is established under section 1H of the National Health Service Act 2006 as inserted by section 9(1) of the 2012 Act.

Functions and activities in respect of which payment must be made

- **3.**—(1) Subject to paragraphs (2) and (3), the payments made to a local authority up to the minimum amount specified in Direction 2 in relation to that authority must be made in respect of—
 - (a) the functions of that authority as specified in section 256(1)(a) of the 2006 Act, in so far as those functions are exercised in relation to persons aged 18 years or more;
 - (b) the functions of that authority as specified in section 256(3); or
 - (c) activity which supports the exercise of the functions in (a) or (b).
 - (2) The payments must be made in respect of functions or activity which would—
 - (a) have a beneficial effect on-
 - (i) the health of any individuals, or
 - (ii) the exercise of functions, or the provision of health services, as part of the health service; or
 - (b) promote service integration.
- (3) The payments may be made in order to support new or existing services or programmes to transform such services only if the services or programmes—
 - (a) are, or would be, of benefit to the wider health and care system in the area of the local authority;
 - (b) provide, or would provide, beneficial outcomes for persons using the services in question; and
 - (c) in the case of existing services or programmes, would be terminated or reduced as a result of financial considerations by the local authority, if the payment was not made.
- (4) In making payments in accordance with these Directions, the Board is to have regard to the commitment in the White Paper "Caring for our Future; reforming care and support" published July 2012 to the effect that payments under section 256 may be used to cover the revenue costs to local authorities in the relevant financial year of the commitments in that White Paper.

Conditions relating to payments other than the integration payment

- **4.**—(1) The following conditions are prescribed in relation to payments (other than the integration payment) made in accordance with these Directions.
 - (2) Each local authority must agree with its partner clinical commissioning groups—
 - (a) how the payments are to be used in relation to its social care functions;
 - (b) the outcomes for service users which are expected to result from the payments.
- (3) In agreeing the matters referred to in paragraph (2), the local authority and its partner clinical commissioning groups must have regard to—
 - (a) any assessment of relevant needs prepared by the authority and its partner clinical commissioning groups under section 116 of the Local Government and Public Involvement in Health Act 2007 (health and social care: joint strategic needs assessments)(a);
 - (b) any commissioning plan prepared by a partner clinical commissioning group under section 14Z11 of the 2006 Act(b);
 - (c) any plan prepared by the local authority setting out how it proposes to exercises its social care functions.

⁽a) 2007 c.28; section 116 was amended by section 192 of the 2012 Act.

⁽b) Section 14Z11 was inserted by section 26 of the 2012 Act.

- (4) Each local authority must be able to demonstrate to the Board how the payments will improve services provided in the exercise of social care functions and the outcomes that are expected to be achieved for the users of those services.
- (5) In this Direction, "social care functions" means the functions of a local authority falling within section 256(1)(a) of the 2006 Act.

Conditions in relation to the integration payment

- **5.**—(1) This Direction applies to the integration payment made by the Board to each local authority as part of the minimum payment under Direction 2(3).
 - (2) The following conditions are prescribed in relation to the integration payment.
 - (3) Before the integration payment is made, each local authority must—
 - (a) agree with its partner clinical commissioning groups a plan as to—
 - (i) the arrangements the local authority and its partner clinical commissioning groups intend to make to establish and maintain a pooled fund in the financial year ending 31st March 2016, and
 - (ii) the proposed use of contributions made to that fund; and
 - (b) submit the plan for approval by the Board.
- (4) Each local authority must use the integration payment for purposes relating to preparation for establishing the pooled fund.
 - (5) In this Direction—
 - "integration payment" means, for each local authority, the amount identified in column 2 of the Schedule in relation to that authority; and
 - "pooled fund" means a fund established and maintained under arrangements made by the local authority and the clinical commissioning groups under section 75(2)(a) of the 2006 Act.

Reporting and other matters

- **6.**—(1) For the purpose of ensuring that the conditions specified in Directions 3(2), (3), (4) and Direction 5(4) are met, the Board must make arrangements for each local authority to provide it with information as to how the payments made in accordance with these Directions are being used by the authority.
- (2) The Board must not impose any conditions on the payments made in accordance with these Directions, other than conditions to ensure that Directions 3, 4 and 5 are complied with, unless—
 - (a) the conditions are prescribed by directions under section 256(6) of the 2006 Act; or
 - (b) the Department of Health has agreed those conditions in writing.

Signed by authority of the Secretary of State for Health

Sebastian Habibi Deputy Director

Social Care Strategic Policy and Finance

Department of Health

1 April 2014

SCHEDULE Amounts to be paid to individual local authorities

Column 1	Column 2	Column 3	Column 4
Local authority	Integration payment	Main allocation	Total allocation
Barking and Dagenham	£761,000	£3,423,865	£4,184,865
Barnet	£1,206,000	£5,428,324	£6,634,324
Barnsley	£1,032,000	£4,644,004	£5,676,004
Bath & North East Somerset	£608,000	£2,736,700	£3,344,700
Bedford	£517,000	£2,328,389	£2,845,389
Bexley	£774,000	£3,481,050	£4,255,050
Birmingham	£4,667,000	£21,001,020	£25,668,020
Blackburn with Darwen	£637,000	£2,866,576	£3,503,576
Blackpool	£753,000	£3,388,888	£4,141,888
Bolton	£1,158,000	£5,213,303	£6,371,303
Bournemouth	£737,000	£3,314,273	£4,051,273
Bracknell Forest	£302,000	£1,356,414	£1,658,414
Bradford	£1,914,000	£8,614,876	£10,528,876
Brent	£1,119,000	£5,036,585	£6,155,585
Brighton & Hove	£1,024,000	£4,607,359	£5,631,359
Bristol	£1,690,000	£7,606,677	£9,296,677
Bromley	£992,000	£4,464,253	£5,456,253
Buckinghamshire	£1,393,000	£6,267,209	£7,660,209
Bury	£681,000	£3,062,258	£3,743,258
Calderdale	£767,000	£3,452,494	£4,219,494
Cambridgeshire	£1,937,000	£8,714,926	£10,651,926
Camden	£1,071,000	£4,822,076	£5,893,076
Central Bedfordshire	£722,000	£3,247,039	£3,969,039
Cheshire East	£1,209,000	£5,439,756	£6,648,756
Cheshire West and Chester	£1,223,000	£5,501,753	£6,724,753
City of London	£41,000	£182,624	£223,624
Cornwall	£2,328,000	£10,475,010	£12,803,010
Coventry	£1,293,000	£5,816,033	£7,109,033
Croydon	£1,168,000	£5,254,805	£6,422,805
Cumbria	£2,089,000	£9,402,433	£11,491,433
Darlington	£418,000	£1,879,038	£2,297,038
Derby	£957,000	£4,307,275	£5,264,275
Derbyshire	£3,023,000	£13,602,152	£16,625,152
Devon	£2,980,000	£13,407,857	£16,387,857
Doncaster	£1,258,000	£5,662,282	£6,920,282
Dorset	£1,613,000	£7,256,611	£8,869,611
Dudley	£1,301,000	£5,856,427	£7,157,427

Durham	£2,352,000	£10,583,888	£12,935,888
Ealing	£1,181,000	£5,316,189	£6,497,189
East Riding of Yorkshire	£1,205,000	£5,422,355	£6,627,355
East Sussex	£2,155,000	£9,695,899	£11,850,899
Enfield	£1,082,000	£4,870,079	£5,952,079
Essex	£4,932,000	£22,199,015	£27,131,015
Gateshead	£944,000	£4,250,221	£5,194,221
Gloucestershire	£2,108,000	£9,487,761	£11,595,761
Greenwich	£1,109,000	£4,988,101	£6,097,101
Hackney	£1,171,000	£5,268,598	£6,439,598
Halton	£533,000	£2,396,355	£2,929,355
Hammersmith and Fulham	£765,000	£3,444,247	£4,209,247
Hampshire	£3,962,000	£17,829,444	£21,791,444
Haringey	£957,000	£4,305,594	£5,262,594
Harrow	£808,000	£3,637,047	£4,445,047
Hartlepool	£418,000	£1,878,816	£2,296,816
Havering	£838,000	£3,771,381	£4,609,381
Herefordshire	£734,000	£3,302,146	£4,036,146
Hertfordshire	£3,445,000	£15,504,402	£18,949,402
Hillingdon	£868,000	£3,903,743	£4,771,743
Hounslow	£833,000	£3,747,316	£4,580,316
Isle of Wight Council	£639,000	£2,873,737	£3,512,737
Isles of Scilly	£11,000	£47,029	£58,029
Islington	£1,072,000	£4,821,658	£5,893,658
Kensington and Chelsea	£722,000	£3,250,860	£3,972,860
Kent	£5,136,000	£23,117,657	£28,253,657
Kingston upon Hull	£1,211,000	£5,448,322	£6,659,322
Kingston upon Thames	£478,000	£2,149,070	£2,627,070
Kirklees	£1,550,000	£6,974,456	£8,524,456
Knowsley	£814,000	£3,664,172	£4,478,172
Lambeth	£1,257,000	£5,658,866	£6,915,866
Lancashire	£4,598,000	£20,693,529	£25,291,529
Leeds	£2,759,000	£12,415,176	£15,174,176
Leicester	£1,311,000	£5,901,968	£7,212,968
Leicestershire	£2,012,000	£9,053,300	£11,065,300
Lewisham	£1,140,000	£5,129,459	£6,269,459
Lincolnshire	£2,807,000	£12,629,437	£15,436,437
Liverpool	£2,464,000	£11,089,410	£13,553,410
Luton	£657,000	£2,955,238	£3,612,238
Manchester	£2,222,000	£9,997,395	£12,219,395
Medway	£832,000	£3,741,577	£4,573,577
Merton	£623,000	£2,804,920	£3,427,920
Middlesbrough	£632,000	£2,841,880	£3,473,880
Milton Keynes	£757,000	£3,405,024	£4,162,024
Newcastle upon Tyne	£1,251,000	£5,627,808	£6,878,808

Newham	£1,224,000	£5,506,226	£6,730,226
Norfolk	£3,482,000	£15,670,274	£19,152,274
North East Lincolnshire	£650,000	£2,923,670	£3,573,670
North Lincolnshire	£634,000	£2,853,546	£3,487,546
North Somerset	£770,000	£3,464,750	£4,234,750
North Tyneside	£859,000	£3,866,769	£4,725,769
North Yorkshire	£2,020,000	£9,088,171	£11,108,171
Northamptonshire	£2,264,000	£10,189,410	£12,453,410
Northumberland	£1,268,000	£5,705,323	£6,973,323
Nottingham	£1,292,000	£5,812,294	£7,104,294
Nottinghamshire	£2,939,000	£13,226,738	£16,165,738
Oldham	£935,000	£4,209,124	£5,144,124
Oxfordshire	£1,910,000	£8,592,958	£10,502,958
Peterborough	£661,000	£2,976,614	£3,637,614
Plymouth	£1,070,000	£4,815,479	£5,885,479
Poole	£531,000	£2,391,090	£2,922,090
Portsmouth	£742,000	£3,339,079	£4,081,079
Reading	£475,000	£2,135,218	£2,610,218
Redbridge	£930,000	£4,184,891	£5,114,891
Redcar and Cleveland	£600,000	£2,701,031	£3,301,031
Richmond upon Thames	£551,000	£2,477,859	£3,028,859
Rochdale	£924,000	£4,155,976	£5,079,976
Rotherham	£1,121,000	£5,044,899	£6,165,899
Rutland	£113,000	£509,051	£622,051
Salford	£1,098,000	£4,941,312	£6,039,312
Sandwell	£1,540,000	£6,929,670	£8,469,670
Sefton	£1,271,000	£5,718,057	£6,989,057
Sheffield	£2,254,000	£10,145,124	£12,399,124
Shropshire	£1,162,000	£5,226,357	£6,388,357
Slough	£430,000	£1,932,493	£2,362,493
Solihull	£725,000	£3,264,132	£3,989,132
Somerset	£2,081,000	£9,366,183	£11,447,183
South Gloucestershire	£779,000	£3,506,625	£4,285,625
South Tyneside	£763,000	£3,431,944	£4,194,944
Southampton	£924,000	£4,160,686	£5,084,686
Southend-on-Sea	£687,000	£3,089,668	£3,776,668
Southwark	£1,309,000	£5,889,801	£7,198,801
St Helens	£802,000	£3,611,088	£4,413,088
Staffordshire	£2,952,000	£13,282,003	£16,234,003
Stockport	£1,069,000	£4,812,404	£5,881,404
Stockton-on-Tees	£704,000	£3,170,010	£3,874,010
Stoke-on-Trent	£1,110,000	£4,994,522	£6,104,522
Suffolk	£2,718,000	£12,230,080	£14,948,080
Sunderland	£1,306,000	£5,879,647	£7,185,647
Surrey	£3,329,000	£14,979,754	£18,308,754

Sutton	£614,000	£2,765,211	£3,379,211
Swindon	£641,000	£2,884,754	£3,525,754
Tameside	£962,000	£4,327,332	£5,289,332
Telford and the Wrekin	£645,000	£2,903,832	£3,548,832
Thurrock	£545,000	£2,453,436	£2,998,436
Torbay	£690,000	£3,107,657	£3,797,657
Tower Hamlets	£1,221,000	£5,493,421	£6,714,421
Trafford	£788,000	£3,546,480	£4,334,480
Wakefield	£1,374,000	£6,183,346	£7,557,346
Walsall	£1,193,000	£5,369,530	£6,562,530
Waltham Forest	£907,000	£4,082,838	£4,989,838
Wandsworth	£1,081,000	£4,865,673	£5,946,673
Warrington	£686,000	£3,089,463	£3,775,463
Warwickshire	£1,862,000	£8,379,844	£10,241,844
West Berkshire	£417,000	£1,878,781	£2,295,781
West Sussex	£2,753,000	£12,387,821	£15,140,821
Westminster	£1,103,000	£4,961,479	£6,064,479
Wigan	£1,327,000	£5,970,688	£7,297,688
Wiltshire	£1,519,000	£6,836,709	£8,355,709
Windsor and Maidenhead	£397,000	£1,786,762	£2,183,762
Wirral	£1,500,000	£6,751,695	£8,251,695
Wokingham	£335,000	£1,505,617	£1,840,617
Wolverhampton	£1,147,000	£5,161,854	£6,308,854
Worcestershire	£1,987,000	£8,942,532	£10,929,532
York	£610,000	£2,744,086	£3,354,086
TOTAL	£200,000,000	£900,000,000	£1,100,000,000