

DO NOT STAPLE
PRINT ON ONE SIDE ONLY

FORM AR27

Trade Union and Labour Relations (Consolidation) Act 1992

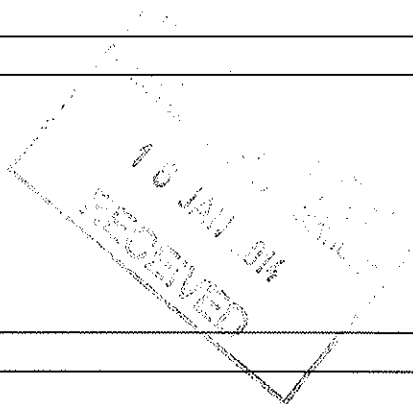
ANNUAL RETURN FOR AN EMPLOYERS' ASSOCIATION

Name of Employers' Association:

Year ended:

List No:

Head or Main Office:



Website address (if available)

Has the address changed during the year to which the return relates? Yes No (Tick as appropriate)

General Secretary:

Contact name for queries regarding the completion of this return:

Telephone Number:

e-mail:

PLEASE FOLLOW THE GUIDANCE NOTES IN THE COMPLETION OF THIS RETURN.
Any difficulties or problems in the completion of this return should be directed to the Certification Office as below or by telephone to: 020 7210 3734

The address to which returns and other documents should be sent are:

For Employers' Associations based in England and Wales:
Certification Office for Trade Unions and Employers' Associations
22nd Floor, Euston Tower, 286 Euston Road, London NW1 3JJ

For Employers' Associations based in Scotland:
Certification Office for Trade Unions and Employers' Associations
Melrose House, 69a George Street, Edinburgh EH2 2JG

RETURN OF MEMBERS

(see note 9)

| NUMBER OF MEMBERS AT THE END OF THE YEAR | | | | |
|--|------------------|----------------|--|--------|
| Great Britain | Northern Ireland | Irish Republic | Elsewhere Abroad (including Channel Islands) | TOTALS |
| 11 | — | — | — | 11 |

OFFICERS IN POST

(see note 10)

Please attach as an annexe to this form a complete list of all officers in post at the end of the year to which this form relates, with the title of each persons office.

SEE BELOW LIST OF OFFICERS

CHANGE OF OFFICERS

Please complete the following to record any changes of officers during the twelve months covered by this return.

| Title of Office | Name of Officer ceasing to hold office | Name of Officer Appointed | Date of Change |
|--------------------|--|---------------------------|------------------|
| CHAIRMAN | — | IAIN McFADYEN | no change |
| NATIONAL SECRETARY | PAUL PEARSON | DR KERRY SENIOR | 1 September 2013 |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

REVENUE ACCOUNT/GENERAL FUND

(see notes 11 to 16)

| Previous Year | | | £ | £ |
|---------------|-------------------------------------|---|---|-------|
| | INCOME | | | |
| 4542 | From Members | Subscriptions, levies, etc | | 4542 |
| 5 | Investment income | Interest and dividends (gross) Bank interest (gross) Other (specify) | | 6 |
| | Other income | Rents received Insurance commission Consultancy fees Publications/Seminars Miscellaneous receipts (specify) | | |
| 557 | Handbooks | | | 1459 |
| | | | | |
| 5104 | TOTAL INCOME | | | 5805 |
| | EXPENDITURE | | | |
| | Administrative expenses | | | |
| 3780 | | Remuneration and expenses of staff Occupancy costs Printing, Stationery, Post | | 5553 |
| 50 | | Telephones | | 114 |
| 730 | | Legal and Professional fees Miscellaneous (specify) | | 730 |
| | Other charges | Bank charges Depreciation Sums written off Affiliation fees Donations Conference and meeting fees Expenses Miscellaneous (specify) | | |
| 755 | | | | 797 |
| | Taxation | | | |
| 5315 | TOTAL EXPENDITURE | | | 7194 |
| -211 | Surplus/Deficit for year | | | -1389 |
| 9073 | Amount of fund at beginning of year | | | 8862 |
| 8862 | Amount of fund at end of year | | | 7473 |

ACCOUNTS OTHER THAN THE REVENUE ACCOUNT/GENERAL FUND

(see notes 17 to 18)

| ACCOUNT 2 | | Fund Account | |
|--|-----------------------------|--------------|---|
| Name of account: | | £ | £ |
| Income | From members | | |
| | Investment income | | |
| | Other income (specify) | | |
| | Total Income | | |
| Expenditure | Administrative expenses | | |
| | Other expenditure (specify) | | |
| | | | |
| | | | |
| | Total Expenditure | | |
| Surplus (Deficit) for the year | | | |
| Amount of fund at beginning of year | | | |
| Amount of fund at the end of year (as Balance Sheet) | | | |

| ACCOUNT 3 | | Fund Account | |
|--|-----------------------------|--------------|---|
| Name of account: | | £ | £ |
| Income | From members | | |
| | Investment income | | |
| | Other income (specify) | | |
| Total Income | | | |
| Expenditure | Administrative expenses | | |
| | Other expenditure (specify) | | |
| | | | |
| | | | |
| | Total Expenditure | | |
| Surplus (Deficit) for the year | | | |
| Amount of fund at beginning of year | | | |
| Amount of fund at the end of year (as Balance Sheet) | | | |

ACCOUNTS OTHER THAN THE REVENUE ACCOUNT/GENERAL FUND

(see notes 17 to 18)

| ACCOUNT 4 | | Fund Account | |
|--------------------|---|--------------|---|
| Name of account: | | £ | £ |
| Income | From members Investment income Other income (specify) | | |
| | | | |
| | Total Income | | |
| Expenditure | Administrative expenses Other expenditure (specify) | | |
| | | | |
| | Total Expenditure | | |
| | Surplus (Deficit) for the year | | |
| | Amount of fund at beginning of year | | |
| | Amount of fund at the end of year (as Balance Sheet) | | |

| ACCOUNT 5 | | Fund Account | |
|--------------------|---|--------------|---|
| Name of account: | | £ | £ |
| Income | From members Investment income Other income (specify) | | |
| | | | |
| | Total Income | | |
| Expenditure | Administrative expenses Other expenditure (specify) | | |
| | | | |
| | Total Expenditure | | |
| | Surplus (Deficit) for the year | | |
| | Amount of fund at beginning of year | | |
| | Amount of fund at the end of year (as Balance Sheet) | | |

ACCOUNTS OTHER THAN THE REVENUE ACCOUNT/GENERAL FUND

(see notes 17 to 18)

| ACCOUNT 6 | | Fund Account | |
|--------------------|--|--------------|---|
| Name of account: | | £ | £ |
| Income | From members | | |
| | Investment income | | |
| | Other income (specify) | | |
| | Total Income | | |
| Expenditure | Administrative expenses | | |
| | Other expenditure (specify) | | |
| | Total Expenditure | | |
| | Surplus (Deficit) for the year | | |
| | Amount of fund at beginning of year | | |
| | Amount of fund at the end of year (as Balance Sheet) | | |

| ACCOUNT 7 | | Fund Account | |
|--------------------|--|--------------|---|
| Name of account: | | £ | £ |
| Income | From members | | |
| | Investment income | | |
| | Other income (specify) | | |
| | Total Income | | |
| Expenditure | Administrative expenses | | |
| | Other expenditure (specify) | | |
| | Total Expenditure | | |
| | Surplus (Deficit) for the year | | |
| | Amount of fund at beginning of year | | |
| | Amount of fund at the end of year (as Balance Sheet) | | |

BALANCE SHEET AS AT [30 SEPTEMBER 2013]

(see notes 19 and 20)

| Previous Year | | £ | £ |
|---------------|---|--------------------------|------|
| | Fixed Assets (as at page 11) | | |
| | Investments (as per analysis on page 13) | | |
| | Quoted (Market value £) | | |
| | Unquoted | | |
| | Total Investments | | |
| | Other Assets | | |
| 1104 | Sundry debtors | | 678 |
| 8374 | Cash at bank and in hand | | 8631 |
| 650 | Stocks of goods | | 550 |
| | Others (specify) | | |
| | Total of other | | |
| | assets | | |
| 10128 | | TOTAL ASSETS | 9859 |
| | | | |
| | | Fund (Account) | |
| | | Fund (Account) | |
| | | Fund (Account) | |
| | | Revaluation Reserve | |
| | Liabilities | | |
| | Loans | | |
| | Bank overdraft | | |
| | Tax payable | | |
| | Sundry creditors | | |
| 1266 | Accrued expenses | | 2386 |
| | Provisions | | |
| | Other liabilities | | |
| 1266 | | TOTAL LIABILITIES | 2386 |
| 8862 | | TOTAL ASSETS | 7473 |

FIXED ASSETS ACCOUNT

(see note 21)

| | Land & Buildings | Fixtures & Fittings | Motor Vehicles & Equipment | Total |
|--|---------------------|------------------------|----------------------------------|-------|
| | £ | £ | £ | £ |
| COST OR VALUATION | | | | |
| At start of period | | | | |
| | | | | |
| Additions during period | | | | |
| | | | | |
| Less: Disposals during period | | | | |
| | | | | |
| Less: DEPRECIATION: | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total to end of period | | | | |
| | | | | |
| BOOK AMOUNT at end of period | | | | |
| | | | | |
| Freehold | | | | |
| | | | | |
| | | | | |
| Leasehold (50 or more years unexpired) | | | | |
| | | | | |
| | | | | |
| Leasehold (less than 50 years unexpired) | | | | |
| | | | | |
| | | | | |
| AS BALANCE SHEET | | | | |

ANALYSIS OF INVESTMENTS

(see note 22)

| | | Other Funds £ |
|-----------------|---|---------------------|
| QUOTED | British Government & British Government Guaranteed Securities | |
| | British Municipal and County Securities | |
| | Other quoted securities (to be specified) | |
| | TOTAL QUOTED (as Balance Sheet) | |
| | *Market Value of Quoted Investments | |
| UNQUOTED | British Government Securities | |
| | British Municipal and County Securities | |
| | Mortgages | |
| | Other unquoted securities (to be specified) | |
| | TOTAL QUOTED (as Balance Sheet) | |
| | *Market Value of Unquoted Investments | |

* Market value of investments to be stated where these are different from the figures quoted in the balance sheet

ANALYSIS OF INVESTMENT INCOME (CONTROLLING INTERESTS)

(see notes 23 to 25)

| | | | |
|---|--|-----|----|
| Does the association, or any constituent part of the association, have a controlling interest in any limited company? | | YES | NO |
| If YES name the relevant companies: | | | |
| COMPANY NAME | COMPANY REGISTRATION NUMBER (if not registered in England & Wales, state where registered) | | |
| INCORPORATED EMPLOYERS' ASSOCIATIONS | | | |
| Are the shares which are controlled by the association registered in the association's name | | YES | NO |
| If NO, please state the names of the persons in whom the shares controlled by the association are registered. | | | |
| COMPANY NAME | NAMES OF SHAREHOLDERS | | |
| UNINCORPORATED EMPLOYERS ASSOCIATIONS | | | |
| Are the shares which are controlled by the association registered in the names of the association's trustees? | | YES | NO |
| If NO, state the names of the persons in whom the shares controlled by the association are registered. | | | |
| COMPANY NAME | NAMES OF SHAREHOLDERS | | |

SUMMARY SHEET

(see notes 26 to 35)

| | All funds except Political Funds £ | Political Funds £ | Total Funds £ |
|--|--|-------------------------|---------------------|
| INCOME | | | |
| From Members | 4340 | | 4340 |
| From Investments | 6 | | 6 |
| Other Income (including increases by revaluation of assets) | 1459 | | 1459 |
| Total Income | 5805 | | 5805 |
| EXPENDITURE (including decreases by revaluation of assets) | | | |
| Total Expenditure | 7194 | | 7194 |
| Funds at beginning of year (including reserves) | | | 8862 |
| Funds at end of year (including reserves) | | | 7473 |
| ASSETS | | | |
| Fixed Assets | | | |
| Investment Assets | | | |
| Other Assets | | | 9859 |
| Total Assets | | | 9859 |
| LIABILITIES | | | |
| Total Liabilities | | | 2386 |
| NET ASSETS (Total Assets less Total Liabilities) | | | 7473 |

NOTES TO THE ACCOUNTS

(see note 36)

All notes to the accounts must be entered on or attached to this part of the return.

1. Stock

| | | |
|-----------|-------|-------|
| Handbooks | £550 | £650 |
| | ===== | ===== |

2. Sundry Debtors

| | | |
|----------------|-------|-------|
| Other debtors | 638 | 291 |
| Other taxation | 40 | 813 |
| | — | — |
| | £678 | £1104 |
| | ===== | ===== |

3. Staff

The Association does not have any direct employees. Staff are seconded from the UKLF and charged to the Association on a day-worked basis, which is included in the charge made for management costs.

ACCOUNTING POLICIES

(see notes 37 and 38)

The principal accounting policies adopted by the Association are as follows and are consistent with those applied in previous years.


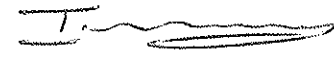
Accounting Convention
The accounts have been prepared under the historical cost convention.

Stock
Stock is stated at the lower of cost and net realisable value.

SIGNATURES TO THE ANNUAL RETURN

(see notes 39 and 40)

including the accounts and balance sheet contained in the return.

| | |
|--|---|
| Secretary's Signature:  Name: <u>DL KERRY SENIOR</u> Date: <u>3/1/14</u> | Chairman's Signature:  (or other official whose position should be stated) Name: <u>IAIN MCFADYEN</u> Date: <u>9/1/14</u> |
|--|---|

CHECK LIST

(see note 41)

(please tick as appropriate)

SEE PAGE 2

| | | | | |
|---|-----|---|----|---|
| IS THE RETURN OF OFFICERS ATTACHED? (see Page 3) | YES | | NO | ✓ |
| HAS THE RETURN OF CHANGE OF OFFICERS BEEN COMPLETED? (see Page 3) | YES | ✓ | NO | |
| HAS THE RETURN BEEN SIGNED? (see Note 38) | YES | ✓ | NO | |
| HAS THE AUDITOR'S REPORT BEEN COMPLETED (see Note 39) | YES | ✓ | NO | |
| IS A RULE BOOK ENCLOSED? (see Note 40) | YES | ✓ | NO | |
| HAS THE SUMMARY SHEET BEEN COMPLETED (see Notes 6 and 25 to 34) | YES | ✓ | NO | |

AUDITOR'S REPORT

(see notes 42 to 47)

made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?
(See section 36(1) and (2) of the 1992 Act and notes 43 and 44)
 YES
If "No" please explain below.

2. Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:
 - (a) whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
 - (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
 - (c) whether the accounts to which the report relates agree with the accounting records?(See section 36(3) of the 1992 Act, set out in note 43)
 YES
If "No" please explain below.


3. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
 - (a) kept proper accounting records with respect to its transactions and its assets and liabilities; and
 - (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.(See section 36(4) of the 1992 Act set out in note 43)
 YES
If "No" please explain below.

4. Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR27 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.
(See note 45)

AUDITOR'S REPORT (continued)

I have audited the accounts on pages 3 to 14 of this return.

In my opinion the accounts give a true and fair view of the state of affairs of the Association as at 30 September 2013, and of its deficit for the year then ended.

| | | |
|--------------------------------------|--|--|
| Signature(s) of auditor or auditors: |  | |
| Name(s): | J D Gardiner | |
| Profession(s) or Calling(s): | A.C.A | |
| Address(es): | Edinburgh House 7 Corporation Street Corby Northants. | |
| Date: | 2 January 2014 | |
| Contact name and telephone number: | 01536 201019 | |

N.B. When notes to the accounts are referred to in the auditor's report a copy of those notes must accompany this return.