

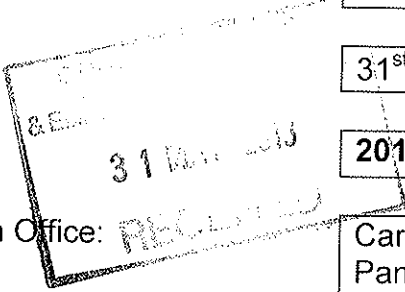
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FORM AR21

Trade Union and Labour Relations (Consolidation) Act 1992

ANNUAL RETURN FOR A TRADE UNION

Name of Trade Union:	<input type="text" value="NUM Cokemen's Area"/>				
Year ended:	<input type="text" value="31<sup>st</sup> December 2012"/>				
List no:	<input type="text" value="201"/>				
Head or Main Office:	<input type="text" value="Cartref
Pamela Street
Mountain Ash
Mid Glamorgan
CF43 3LH"/>				
Website address (if available)	<input type="text"/>				
Has the address changed during the year to which the return relates?	<table border="1"><tr><td>Yes</td><td><input type="checkbox"/></td><td>No</td><td><input checked="" type="checkbox"/></td></tr></table> (Click the appropriate box)	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>
Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>		
General Secretary:	<input type="text" value="Mr Idwal Morgan"/>				
Telephone Number:	<input type="text" value="01443 478829"/>				
Contact name for queries regarding	<input type="text" value="Mr Idwal Morgan"/>				
Telephone Number:	<input type="text" value="01443 478829"/>				
E-mail:	<input type="text"/>				



PLEASE FOLLOW THE GUIDANCE NOTES IN THE COMPLETION OF THIS RETURN.
Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 020 7210 3734

The address to which returns and other documents should be sent are:

For Unions based in England and Wales:
Certification Office for Trade Unions and Employers' Associations
22nd Floor, Euston Tower, 286 Euston Road, London NW1 3JJ

For Unions based in Scotland:
Certification Office for Trade Unions and Employers' Associations
Melrose House, 69a George Street, Edinburgh EH2 2JG

(Revised February 2011)

RETURN OF MEMBERS

(see notes 10 and 11)

NUMBER OF MEMBERS AT THE END OF THE YEAR					
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	TOTALS
MALE	10				10
FEMALE					
TOTAL	10				A 10

Number of members included in totals box 'A' above for whom no home or authorised address is held:

--	--

Number of members at end of year contributing to the General Fund

	10
--	----

OFFICERS IN POST

(see note 12)

Please attach as an annexe to this form a complete list of all officers in post at the end of the year to which this form relates, with the title of each persons office.

RETURN OF CHANGE OF OFFICERS

Please complete the following to record any changes of officers during the twelve months covered by this return.

Title of Office	Name of Officer ceasing to hold office	Name of Officer Appointed	Date

State whether the union is:

a. A branch of another trade union?

Yes

No

If yes, state the name of that other union:

National Union of Mineworkers

b. A federation of trade unions?

Yes

No

If yes, state the number of affiliated unions:

--

and names:

--

OFFICERS

NATIONAL UNION OF MINEWORKERS – COKEMEN'S AREA

Year End 31st December 2012

D. Thomas	President
I. Morgan	Secretary

GENERAL FUND

(see notes 13 to 18)

	£	£
INCOME		
From Members: Contributions and Subscriptions		2344
From Members: Other income from members (specify)		
Total other income from members		
Total of all income from members		2344
Investment income (as at page 12)		5747
Other Income		
Income from Federations and other bodies (as at page 4)		
Income from any other sources (as at page 4)		
Total of other income (as at page 4)		
	TOTAL INCOME	8091
EXPENDITURE		
Benefits to members (as at page 5)		42808
Administrative expenses (as at page 10)		
Federation and other bodies (specify)		
Total expenditure Federation and other bodies		
Taxation		
	TOTAL EXPENDITURE	42808
Surplus (deficit) for year		-34717
Amount of general fund at beginning of year		60411
Amount of general fund at end of year		25694

ANALYSIS OF INCOME FROM FEDERATION AND OTHER BODIES AND OTHER INCOME

(see notes 19 and 20)

DESCRIPTION	£	£
Federation and other bodies		
TOTAL FEDERATION AND OTHER BODIES		
Other income		
TOTAL OTHER INCOME		
TOTAL OF ALL OTHER INCOME		

ANALYSIS OF BENEFIT EXPENDITURE SHOWN AT GENERAL FUND

(see notes 21 to 23)

	£		£
Representation – Employment Related Issues		brought forward	
		Education and Training services	
Representation – Non Employment Related Issues			
		Negotiated Discount Services	
Communications			
		Salary Costs	
Advisory Services			
		Other Benefits and Grants (specify)	
Dispute Benefits			
Other Cash Payments			
carried forward		Total (should agree with figure in General Fund)	

(See notes 24 and 25)

FUND 2		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
Number of members contributing at end of year			

FUND 3		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
Number of members contributing at end of year			

FUND 4		Fund Account	
Name:		£	£
Income			
	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 5		Fund Account	
Name:		£	£
Income			
	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(See notes 24 and 25)

FUND 6		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 7		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(see notes 26 to 31)

POLITICAL FUND ACCOUNT 1		To be completed by trade unions which maintain their own fund		
		£	£	
Income	Members contributions and levies			
	Investment income (as at page 12)		1	
	Other income (specify)	39		
		Total other income as specified	39	
		Total income	40	
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)			
	Administration expenses in connection with political objects (specify)			
	Non-political expenditure			
			Total expenditure	
			Surplus (deficit) for year	
			Amount of political fund at beginning of year	4670
			Amount of political fund at the end of year (as Balance Sheet)	4710
			Number of members at end of year contributing to the political fund	/
			Number of members at end of the year not contributing to the political fund	10
	Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund			/

POLITICAL FUND ACCOUNT 2		To be completed by trade unions which act as components of a central trade union		
		£	£	
Income	Contributions and levies collected from members on behalf of central political fund			
	Funds received back from central political fund			
	Other income (specify)			
		Total other income as specified		
		Total income		
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)			
	Administration expenses in connection with political objects (specify)			
	Non-political expenditure			
			Total expenditure	
			Surplus (deficit) for year	
			Amount held on behalf of trade union political fund at beginning of year	
			Amount remitted to central political fund	
			Amount held on behalf of central political fund at end of year	
			Number of members at end of year contributing to the political fund	
			Number of members at end of the year not contributing to the political fund	
Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund				

ANALYSIS OF ADMINISTRATIVE EXPENSES AND OTHER OUTGOINGS EXCLUDING AMOUNTS CHARGED TO POLITICAL FUND ACCOUNTS

(see notes 32 and 33)

	£
Administrative Expenses	
Remuneration and expenses of staff	34242
Salaries and Wages included in above	£34242
Auditors' fees	1164
Legal and Professional fees	
Occupancy costs	2552
Stationery, printing, postage, telephone, etc.	78
Expenses of Executive Committee (Head Office)	4772
Expenses of conferences	
Other administrative expenses (specify)	
Other Outgoings	
Interest payable:	
Bank loans (including overdrafts)	
Mortgages	
Other loans	
Depreciation	
Taxation	
Outgoings on land and buildings (specify)	
Other outgoings (specify)	
Total	42808
Charged to:	
General Fund (Page 3)	42808
Fund (Account)	
Fund (Account)	
Fund (Account)	
Fund (Account)	
Total	42808

ANALYSIS OF INVESTMENT INCOME

(see notes 45 and 46)

	Political Fund £		Other Fund(s) £
Rent from land and buildings			5200
Dividends (gross) from:			
Equities (e.g. shares)			84
Interest (gross) from:			
Government securities (Gilts)			
Mortgages			
Local Authority Bonds			
Bank and Building Societies	1		463
Other investment income (specify)			
	1		5747
Total investment income			5748
Credited to:			
General Fund (Page 3)			5747
Fund (Account)			
Fund (Account)			
Fund (Account)			
Fund (Account)			
Fund (Account)			
Political Fund			1
Total Investment Income			

BALANCE SHEET as at

(see notes 47 to 50)

Previous Year		£	£
	Fixed Assets (at page 14)		110002
	Investments (as per analysis on page 15)		
	Quoted (Market value £ 2481)	694	
	Unquoted		
	Total Investments		694
	Other Assets		
	Loans to other trade unions		
	Sundry debtors	17	
	Cash at bank and in hand	25782	
	Income tax to be recovered		
	Stocks of goods		
	Others (specify)		
	Total of other assets		25799
	TOTAL ASSETS		136495
	Fund (Account)		25694
	Fund (Account)		
	Fund (Account)		
	Superannuation Fund (Account)		
	Political Fund (Account)		4710
	Revaluation Reserve		86109
	LIABILITIES		
	Amount held on behalf of central trade union political fund		
	Loans: From other trade unions		18000
	Loans: Other		
	Bank overdraft		
	Tax payable		
	Sundry creditors		1982
	Accrued expenses		
	Provisions		
	Other liabilities		
	TOTAL LIABILITIES		136495
	TOTAL ASSETS		136495

FIXED ASSETS ACCOUNT

(see notes 51 to 55)

	Land and Buildings		Furniture and Equipment £	Motor Vehicles £	Not used for union business £	Total £
	Freehold	Leasehold				
Cost or Valuation						
At start of year			1401		110000	111401
Additions						
Disposals						
Revaluation/Transfer s						
At end of year			1401			111401
Accumulated Depreciation						
At start of year			1399			1399
Charges for year						
Disposals						
Revaluation/Transfer s						
At end of year			1399			1399
Net book value at end of year			2		110000	110002
Net book value at end of previous year			2		110000	110002

ANALYSIS OF INVESTMENTS

(see notes 56 and 57)

QUOTED	All Funds Except Political Funds £	Political Fund £
Equities (e.g. Shares)	694	
Government Securities (Gilts)		
Other quoted securities (to be specified)		
TOTAL QUOTED (as Balance Sheet)	694	
Market Value of Quoted Investment	2481	
UNQUOTED		
Equities		
Government Securities (Gilts)		
Mortgages		
Bank and Building Societies	25001	781
Other unquoted investments (to be specified)		
TOTAL UNQUOTED (as Balance Sheet)	25001	781
Market Value of Unquoted Investments	25001	781

ANALYSIS OF INVESTMENT INCOME (CONTROLLING INTERESTS)

(see notes 58 and 59)

Does the union, or any constituent part of the union, have a controlling interest in any limited company?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If YES name the relevant companies:			
COMPANY NAME	COMPANY REGISTRATION NUMBER (if not registered in England & Wales, state where registered)		
Are the shares which are controlled by the union registered in the names of the union's trustees?		YES <input type="checkbox"/>	NO <input type="checkbox"/>
If NO, state the names of the persons in whom the shares controlled by the union are registered.			
COMPANY NAME	NAMES OF SHAREHOLDERS		

SUMMARY SHEET

(see notes 60 to 71)

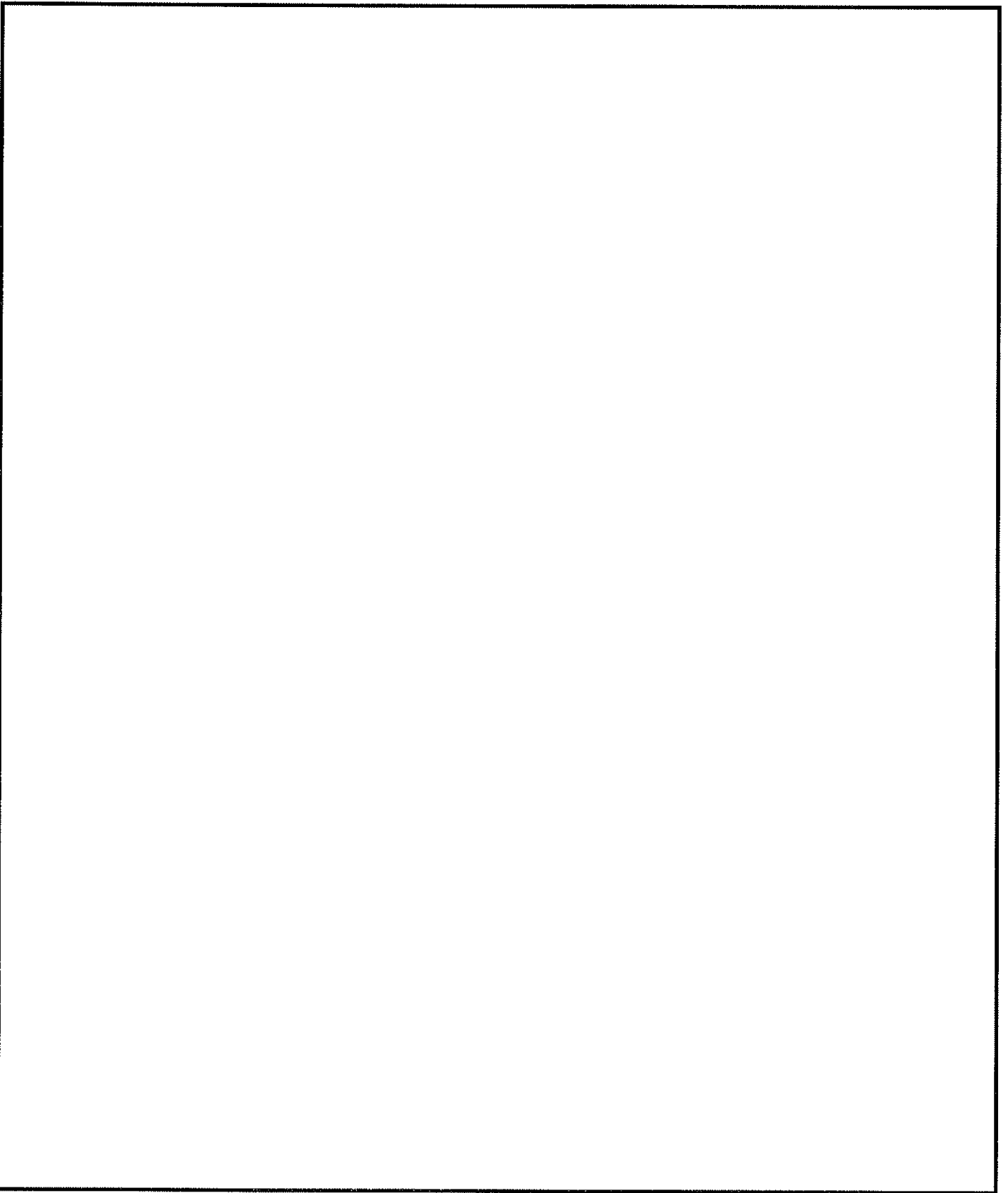
	All funds except Political Funds £	Political Funds £	Total Funds £
INCOME			
From Members	2344		2344
From Investments	5747	1	5748
Other Income (including increases by revaluation of assets)		39	39
Total Income	8091	40	8131
EXPENDITURE (including decreases by revaluation of assets)	42808		42808
Total Expenditure	42808		42808
Funds at beginning of year (including reserves)	146520	4670	151190
Funds at end of year (including reserves)	111803	4710	116513
ASSETS			
Fixed Assets			2
Investment Assets			110000
Other Assets			26493
Total Assets			136495
LIABILITIES			
Total Liabilities			19982
NET ASSETS (Total Assets less Total Liabilities)			116513

NOTES TO THE ACCOUNTS

(see notes 72 and 73)

All notes to the accounts must be entered on or attached to this part of the return.

1. Operating Deficit	2012	2011
	£	£
Is stated after charging:		
Auditor's Remuneration	1164	1146
2. Income from Investments		
Income from Investments	84	77
3. Tangible Fixed Assets	Fixtures and Fittings	
Cost		
At 1 st January 2012 and 31 st December 2012	1401	
Depreciation		
At 1 st January 2012 and 31 st December 2012	1399	
	2	
4. Fixed Asset Investment	Freehold Investment Property	
Valuation		
At 1 st January 2012 and 31 st December 2012	110000	
Net Book Value at 31 st December 2011 and 2012	110000	
The property was valued by the executive committee on the basis of market value.		
5. Debtors	2012	2011
Prepayments and accrued income	17	100
6. Current Asset Investments		
Listed Investments	694	694
Market Value	2481	3847
7. Creditors: Amount falling due within one year		
Other Creditors	1982	1822
8. Creditors: Amounts falling due after more than one year		
Loan	18000	18000
9. Related Party Transactions		
These accounts are prepared for members of the Cokemen's Area of the National Union of Mineworkers(NUM). The area is not a separate legal entity but a division of the NUM, a Trade Union		



ACCOUNTING POLICIES

(see notes 74 and 75)

1. Accounting Convention

The financial statements are prepared under the historical cost convention modified to include the revaluation of certain fixed assets and in accordance with the Financial Reporting Standard for Smaller Entities (effective April 2008).

2. Income

a. Contributions

In accordance with Union rules the area receives from its members an agreed amount from which the union submits an agreed amount to the National Union of Mineworkers, the balance is retained by the area for its own use.

Contributions are included within the income and expenditure account when they become receivable.

b. Donations

Donations are accounted for as and when they become legally available to the union for its own unrestricted use.

c. Interest Received

Interest is included within the accounts on an accrual basis. Provision is made for any accrued interest due.

d. Rental Income

Rental Income is included in the income and expenditure account in the year to which it relates.

3. Tangible fixed assets and depreciation

Depreciation is provided at rates calculated to write off the cost or valuation less residual value of each asset over its expected useful life as follows:

Fixtures and Fittings - over 1 year and 7 years on a straight line basis.

4. Investments

Fixed asset property investments are stated at market value

Current asset investments are stated at the lower of cost and net realisable value.

SIGNATURES TO THE ANNUAL RETURN

(see notes 76 and 77)

including the accounts and balance sheet contained in the return.

Secretary's Signature: <u>Edwal Morgan</u> Name: <u>EDWAL MORGAN</u> Date: <u>29th May 2013</u>	Chairman's Signature: <u>David Thomas</u> (or other official whose position should be stated) Name: <u>DAVID THOMAS</u> Date: <u>29th May 2013</u>
--	--

CHECK LIST

(see notes 78 to 80)

(please tick as appropriate)

IS THE RETURN OF OFFICERS ATTACHED? (see Page 2 and Note 12)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN OF CHANGE OF OFFICERS BEEN COMPLETED? (see Page 2 and Note 12)	YES	<input type="checkbox"/>	NO	<input checked="" type="checkbox"/>
HAS THE RETURN BEEN SIGNED? (see Pages 19 and 21 and Notes 76 and 77)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>

HAS THE AUDITOR'S REPORT BEEN COMPLETED? (see Pages 20 and 21 and Notes 2 and 77)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
IS A RULE BOOK ENCLOSED? (see Notes 8 and 78)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
A MEMBER'S STATEMENT IS: (see Note 80)	ENCLOSE D	<input checked="" type="checkbox"/>	TO FOLLOW	<input type="checkbox"/>
HAS THE SUMMARY SHEET BEEN COMPLETED (see Page 17 and Notes 7 and 59)	YES	<input type="checkbox"/>	NO	<input type="checkbox"/>

AUDITOR'S REPORT

(see notes 81 to 86)

made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?
(See section 36(1) and (2) of the 1992 Act and notes 83 and 84)

YES

If "No" please explain below.

2. Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:
- (a) whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
 - (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
 - (c) whether the accounts to which the report relates agree with the accounting records?
- (See section 36(3) of the 1992 Act, set out in note 83)

YES

If "No" please explain below.

3. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
- (a) kept proper accounting records with respect to its transactions and its assets and liabilities; and
 - (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.
- (See section 36(4) of the 1992 Act set out in rule 83)

YES

If "No" please explain below.

4. Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR21 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.
(See note 85)

AUDITOR'S REPORT (continued)

Independent Auditors' report to the members of the National Union of Mineworkers: Cokemen's Area

We have audited the financial statements of the National Union of Mineworkers: Cokemen's Area for the year ended 31st December 2012 which comprise the General and Political Fund, the balance sheet and the related notes. The financial reporting framework that has been applied in their preparation is applicable law and the Financial Reporting Standard for Smaller Entities (effective April 2008) (United Kingdom Generally Accepted Accounting Practice applicable to Smaller Entities).

The report is made solely to the NUM Cokemen's Area members as a body. Our audit work has been undertaken so that we might state to the NUM Cokemen's Area members those matters we are required to state to them in an auditors' report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the NUM Cokemen's Area members as a body, for our audit work, for this report, or for the opinions we have formed.

Respective responsibilities of members of the committee and the auditors

As described in the Statement of Committees' Responsibilities set out on page 1, the committee is responsible for the preparation of financial statements and for being satisfied that they give a true and fair view.

Our responsibility is to audit the financial statements in accordance with applicable law and International Standards on Auditing (UK and Ireland). These standards require us to comply with the Auditing Practices Board's Ethical Standard for Auditors.

Scope of the audit of the financial statements

An audit involves obtaining evidence about the amounts and disclosures in the financial statements sufficient to give reasonable assurance that the statements are free from material misstatement, whether caused by fraud or error. This includes an assessment of: the reasonableness of significant accounting estimates made by the committee; the overall presentation of the financial statements and whether the accounting policies are appropriate to the union's circumstances, consistently applied and adequately disclosed.

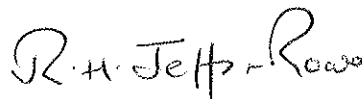
Opinion on the financial statements

In our opinion the financial statements:

- Give a true and fair view of the state of the union's affairs as at 31st December 2012 and of its deficit for the year then ended
- Have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice applicable to smaller entities
- Have been properly prepared in accordance with the Trade Union and Labour Relations (Consolidation) Act 1992

RH Jeffs & Rowe
Statutory Auditor and Chartered Accountants
Pontypridd

Signature(s) of auditor or auditors:



Name(s):

RH Jeffs & Rowe

Profession(s) or Calling(s):	Chartered Accountants	
Address(es):	27/28 Gelliwastad Road Pontypridd Mid Glamorgan CF37 2BW	
Date:	29 th May 2013	
Contact name and telephone number:	H Jones 01443 402116	

N.B. When notes to the accounts are referred to in the auditor's report a copy of those notes must accompany this return.

IRREGULARITY STATEMENT TO MEMBERS

Required by Section 32A(6) of the Trade Union and Labour Relations (Consolidation) Act 1992 as amended by the Employment Relations Act 1999.

A member who is concerned that some irregularity may be occurring, or have occurred, in the conduct of the financial affairs of the Union may take steps with a view to investigating further, obtaining clarification and, if necessary, securing regularisation of that conduct.

The member may raise any such concern with such one or more of the following as it seems appropriate to raise it with: the officials of the Union, the Certification Officer (who is an independent Officer appointed by the Secretary of State) and the police.

Where a member believes that the financial affairs of the Union have been or are being conducted in breach of the law or in breach of rules of the Union and contemplates bringing civil proceedings against the Union or responsible officials or trustees, he should consider obtaining independent legal advice.

