



European Union

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Contract notice
(Directive 2004/18/EC)

Section I : Contracting authority

I.1) Name, addresses and contact point(s):

Official name: [Department for Transport](#)

National ID: *(if known)*

Postal address: [Great Minster House, 33 Horseferry Road](#)

Town: [London](#)

Postal code: [SW1P 4DR](#)

Country: [United Kingdom \(UK\)](#)

Contact point(s): [Department for Transport](#)

Telephone:

For the attention of: [STAR Framework Administrator](#)

E-mail: star-framework@railexecutive.gsi.gov.uk

Fax:

Internet address(es): *(if applicable)*

General address of the contracting authority/entity: *(URL)* <https://www.gov.uk/government/organisations/department-for-transport>

Address of the buyer profile: *(URL)*

Electronic access to information: *(URL)*

Electronic submission of tenders and requests to participate: *(URL)*

Further information can be obtained from

- The above mentioned contact point(s) Other (please complete Annex A.I)

Specifications and additional documents (including documents for competitive dialogue and a dynamic purchasing system) can be obtained from

- The above mentioned contact point(s) Other (please complete Annex A.II)

Tenders or requests to participate must be sent to

- The above mentioned contact point(s) Other (please complete Annex A.III)

I.2) Type of the contracting authority

- Ministry or any other national or federal authority, including their regional or local sub-divisions
- National or federal agency/office
- Regional or local authority
- Regional or local agency/office
- Body governed by public law
- European institution/agency or international organisation
- Other: *(please specify)*

I.3) Main activity

- General public services

- Defence
- Public order and safety
- Environment
- Economic and financial affairs
- Health
- Housing and community amenities
- Social protection
- Recreation, culture and religion
- Education
- Other: *(please specify)*
 Transport

I.4) Contract award on behalf of other contracting authorities

The contracting authority is purchasing on behalf of other contracting authorities:

yes no

information on those contracting authorities can be provided in Annex A

Section II : Object of the contract

II.1) Description :

II.1.1) Title attributed to the contract by the contracting authority :

Provision of Transport Related Specialist Technical Advice for Rail (STAR) Framework.

II.1.2) Type of contract and location of works, place of delivery or of performance :

choose one category only – works, supplies or services – which corresponds most to the specific object of your contract or purchase(s)

- | | | |
|---|---|--|
| <input type="radio"/> Works | <input type="radio"/> Supplies | <input checked="" type="radio"/> Services |
| <input type="checkbox"/> Execution | <input type="checkbox"/> Purchase | Service category No: 11 |
| <input type="checkbox"/> Design and execution | <input type="checkbox"/> Lease | Please see Annex C1 for service categories |
| <input type="checkbox"/> Realisation, by whatever means of work, corresponding to the requirements specified by the contracting authorities | <input type="checkbox"/> Rental | |
| | <input type="checkbox"/> Hire purchase | |
| | <input type="checkbox"/> A combination of these | |

Main site or location of works, place of delivery or of performance :

UK

NUTS code: UK

II.1.3) Information about a public contract, a framework agreement or a dynamic purchasing system (DPS):

- The notice involves a public contract
- The notice involves the establishment of a framework agreement
- The notice involves the setting up of a dynamic purchasing system (DPS)

II.1.4) Information on framework agreement : *(if applicable)*

- Framework agreement with several operators
- Framework agreement with a single operator

Number :

or

(if applicable) maximum number : **66** of participants to the framework agreement envisaged

Duration of the framework agreement

Duration in years : **4** or in months :

Justification for a framework agreement, the duration of which exceeds four years :

Estimated total value of purchases for the entire duration of the framework agreement *(if applicable, give figures only)*

Estimated value excluding VAT : Currency :

or

Range: between : **40000000.00** : and : **80000000.00** : Currency : **GBP**

Frequency and value of the contracts to be awarded : *(if known)*

II.1.5) Short description of the contract or purchase(s) :

The DfT's Rail Executive seek to procure the very best expertise available within the market place to offer specialist railway technical and commercial advisory services that support the DfT's rail contracting, major rail projects and other rail-related activities. The DfT's significant programme of rail franchises, projects, schemes and major works all require general and specialist technical and commercial consultancy and advisory support. The DfT recognises that it cannot internally satisfy its future demand for suitably experienced rail specialists and professionally qualified experts and as a result is seeking to procure these externally through the Specialist Technical Advice for Rail (STAR) Framework.

The STAR Framework will support the delivery of DfT's aims enabling access to technical and commercial resources with specialist experience and professional expertise. The framework will be structured to reflect the full range of advisory and specialist rail requirements that will be called upon from time to time by DfT. A key objective of the framework will be to develop depth and breadth in capability within our supply chain and in order to support that, we have designed the framework to attract a variety of differing sized organisations, including small and medium enterprises. The DfT is committed to not only meeting, but improving upon Cabinet Office SME targets. Applicants should note that this objective is a core requirement that will be tested throughout the procurement competition and applied in future STAR Framework post-award competitions.

The framework will be awarded for an initial term of two years with the option to extend for a further two years in 12 month increments.

In the interests of efficient public procurement, associated bodies of the Authority, may wish to procure Work Packages to be undertaken for the Services covered by this Framework. In that event, Package Orders would be placed by those bodies and they would have the rights and the obligations assigned to them in the Framework, including full responsibility for any Package Orders raised by them, and payment. Use of the Framework by the Employer's agencies will be managed by the Authority. Any such usage of this Framework will be by agreement between the parties, including the Authority. Any management returns or other information from the Contractor must report on the extent of usage by all parties other than the Employer.

II.1.6) Common procurement vocabulary (CPV) :

	Main vocabulary	Supplementary vocabulary (if applicable)
Main object	71311200	
Additional object(s)	71311230	
	71311300	
	71313000	
	72224000	
	79411000	
	79418000	
	79419000	

II.1.7) Information about Government Procurement Agreement (GPA) :

The contract is covered by the Government Procurement Agreement (GPA) : yes no

II.1.8) Lots: (for information about lots, use Annex B as many times as there are lots)

This contract is divided into lots: yes no

(if yes) Tenders may be submitted for

one lot only

one or more lots

all lots

II.1.9) Information about variants:

Variants will be accepted : yes no

II.2) Quantity or scope of the contract :

II.2.1) Total quantity or scope : *(including all lots, renewals and options, if applicable)*

Specialist Technical Advice for Rail (STAR) Framework.

The framework will be split into several categories of service based on the following:

Category A Rail Strategy, Transport Integration and Planning Advice.

Category B Rail Operations and Performance Advice.

Category C Economic and Revenue Forecasting for a Sustainable Railway Advice.

Category D Railway Infrastructure, Rolling Stock and Asset Advice.

Category D Project and Programme Management Advice.

(if applicable, give figures only)

Estimated value excluding VAT : Currency :

or

Range: between : 40000000.00 : and : 80000000.00 : Currency : GBP

II.2.2) Information about options : *(if applicable)*

Options : yes no

(if yes) Description of these options :

(if known) Provisional timetable for recourse to these options :

in months : or in days : (from the award of the contract)

II.2.3) Information about renewals : *(if applicable)*

This contract is subject to renewal: yes no

Number of possible renewals: *(if known)* or Range: between : and:

(if known) In the case of renewable supplies or service contracts, estimated timeframe for subsequent contracts:

in months: or in days: (from the award of the contract)

II.3) Duration of the contract or time limit for completion:

Duration in months : 48 or in days: (from the award of the contract)

or

Starting: (dd/mm/yyyy)

Completion: (dd/mm/yyyy)

Section III : Legal, economic, financial and technical information

III.1) Conditions relating to the contract:

III.1.1) Deposits and guarantees required: *(if applicable)*

III.1.2) Main financing conditions and payment arrangements and/or reference to the relevant provisions governing them:

The DFT will accept no liability or responsibility for any of the costs associated with the preparation of any Potential Provider's response to the PQQ or ITT or any losses or costs incurred as a result of: attending pre or post tender meetings; Extensions of time limits; or cancellation of the ITT.

III.1.3) Legal form to be taken by the group of economic operators to whom the contract is to be awarded: *(if applicable)*

The Framework Contract will be awarded utilising the NEC3 Framework Contract and the NEC3 Professional Services Contract with options A, C or E for Package Orders.

III.1.4) Other particular conditions: *(if applicable)*

The performance of the contract is subject to particular conditions : yes no

(if yes) Description of particular conditions:

See III.2.1 conditions of participation below.

III.2) Conditions for participation:

III.2.1) Personal situation of economic operators, including requirements relating to enrolment on professional or trade registers:

Information and formalities necessary for evaluating if the requirements are met:

Please refer to the PQQ pack for participation criteria at each Supplier Grade.

III.2.2) Economic and financial ability:

Information and formalities necessary for evaluating if the requirements are met:

Minimum level(s) of standards possibly required: *(if applicable)*

The financial conditions of participation for each grade are as detailed below:

PRIME

Minimum of £10m professional indemnity insurance

MEDIUM

Minimum of £5m professional indemnity insurance

EXPERT

Minimum of £1m professional indemnity insurance

III.2.3) Technical capacity:

Information and formalities necessary for evaluating if the requirements are met:

Minimum level(s) of standards possibly required: *(if applicable)*

The Technical conditions of participation for each Grade are as detailed below;

PRIME

- Is able to provide a team of 40 personnel at any one time to meet the future requirement for an entire project.
- 5 Years Transport Experience
- 2 Years Rail Specific Experience
- Must have capability across all categories of service with a minimum of 5 personnel per category at Senior Consultant or above

MEDIUM

- Is able to provide a team of 10 personnel at any one time to meet the future requirement for an entire category package
- 5 Years Transport Experience
- 2 Years Rail Specific Experience
- Must have capability across no more than two categories of service with a minimum of 5 personnel per category Senior Consultant or above

EXPERT

- Is able to provide at least 1 resource to meet the future requirement for isolated specialist advice
- 5 Years Transport Experience
- 2 Years Rail Specific Experience
- Must have capability across no more than two categories of service with a minimum of 1 personnel per category Senior Consultant or above

III.2.4) Information about reserved contracts: *(if applicable)*

- The contract is restricted to sheltered workshops
- The execution of the contract is restricted to the framework of sheltered employment programmes

III.3) Conditions specific to services contracts:

III.3.1) Information about a particular profession:

Execution of the service is reserved to a particular profession: yes no
(if yes) Reference to the relevant law, regulation or administrative provision :

III.3.2) Staff responsible for the execution of the service:

Legal persons should indicate the names and professional qualifications of the staff responsible for the execution of the service: yes no

Section IV : Procedure

IV.1) Type of procedure:

IV.1.1) Type of procedure:

Open

Restricted

Accelerated restricted

Justification for the choice of accelerated procedure:

Negotiated

Some candidates have already been selected (if appropriate under certain types of negotiated procedures) : yes no
(if yes, provide names and addresses of economic operators already selected under Section VI.3 Additional information)

Accelerated negotiated

Justification for the choice of accelerated procedure:

Competitive dialogue

IV.1.2) Limitations on the number of operators who will be invited to tender or to participate: (restricted and negotiated procedures, competitive dialogue)

Envisaged number of operators:

or

Envisaged minimum number: 48 and (if applicable) maximum number 110

Objective criteria for choosing the limited number of candidates:

The expected number of suppliers who will be invited to tender is as follows; PRIME - 8 Bidders across all Categories MEDIUM - 8 Bidders per Category EXPERT - 8 Bidders per Category

IV.1.3) Reduction of the number of operators during the negotiation or dialogue: (negotiated procedure, competitive dialogue)

Recourse to staged procedure to gradually reduce the number of solutions to be discussed or tenders to be negotiated : yes no

IV.2) Award criteria

IV.2.1) Award criteria (please tick the relevant box(es))

Lowest price

or

The most economically advantageous tender in terms of

the criteria stated below (the award criteria should be given with their weighting or in descending order of importance where weighting is not possible for demonstrable reasons)

the criteria stated in the specifications, in the invitation to tender or to negotiate or in the descriptive document

Criteria	Weighting	Criteria	Weighting
1.		6.	
2.		7.	
3.		8.	

Criteria	Weighting	Criteria	Weighting
4.		9.	
5.		10.	

IV.2.2) Information about electronic auction

An electronic auction will be used yes no

(if yes, if appropriate) Additional information about electronic auction:

IV.3) Administrative information:

IV.3.1) File reference number attributed by the contracting authority: (if applicable)

IV.3.2) Previous publication(s) concerning the same contract:

yes no

(if yes)

Prior information notice Notice on a buyer profile

Notice number in the OJEU: [2014/S 178-314724](#) of: [17/09/2014](#) (dd/mm/yyyy)

Other previous publications(if applicable)

IV.3.3) Conditions for obtaining specifications and additional documents or descriptive document: (in the case of a competitive dialogue)

Time limit for receipt of requests for documents or for accessing documents

Date: Time:

Payable documents yes no

(if yes, give figures only) Price: Currency:

Terms and method of payment:

IV.3.4) Time limit for receipt of tenders or requests to participate:

Date: [30/01/2015](#) Time: [12:00](#)

IV.3.5) Date of dispatch of invitations to tender or to participate to selected candidates: (if known, in the case of restricted and negotiated procedures, and competitive dialogue)

Date: [16/03/2015](#)

IV.3.6) Language(s) in which tenders or requests to participate may be drawn up:

Any EU official language

Official EU language(s):

[EN](#)

Other:

IV.3.7) Minimum time frame during which the tenderer must maintain the tender:

until: :

or

Duration in months : or in days : (from the date stated for receipt of tender)

IV.3.8) Conditions for opening of tenders:

Date : (dd/mm/yyyy) Time

(if applicable) Place:

Persons authorised to be present at the opening of tenders *(if applicable)* :

yes no

(if yes) Additional information about authorised persons and opening procedure:

Section VI: Complementary information

VI.1) Information about recurrence: *(if applicable)*

This is a recurrent procurement : yes no

(if yes) Estimated timing for further notices to be published:

VI.2) Information about European Union funds:

The contract is related to a project and/or programme financed by European Union funds : yes no

(if yes) Reference to project(s) and/or programme(s):

VI.3) Additional information: *(if applicable)*

[Published Information Packs are available upon request](#)

VI.4) Procedures for appeal:

VI.4.1) Body responsible for appeal procedures:

Official name:

Postal address:

Town:

Postal code:

Country:

Telephone:

E-mail:

Fax:

Internet address: *(URL)*

Body responsible for mediation procedures *(if applicable)*

Official name:

Postal address:

Town:

Postal code:

Country:

Telephone:

E-mail:

Fax:

Internet address: *(URL)*

VI.4.2) Lodging of appeals: *(please fill in heading VI.4.2 or if need be, heading VI.4.3)*

VI.4.3) Service from which information about the lodging of appeals may be obtained:

Official name:

Postal address:

Town:

Postal code:

Country:

Telephone:

E-mail:

Fax:

Internet address: (*URL*)

VI.5) Date of dispatch of this notice:

[17/12/2014](#) (*dd/mm/yyyy*) - ID:2014-167808

Annex A
Additional addresses and contact points

I) Addresses and contact points from which further information can be obtained

Official name: National ID: *(if known)*
Postal address:
Town: Postal code: Country:
Contact point(s): Telephone:
For the attention of:
E-mail: Fax:
Internet address: *(URL)*

II) Addresses and contact points from which specifications and additional documents can be obtained

Official name: National ID: *(if known)*
Postal address:
Town: Postal code: Country:
Contact point(s): Telephone:
For the attention of:
E-mail: Fax:
Internet address: *(URL)*

III) Addresses and contact points to which tenders/requests to participate must be sent

Official name: National ID: *(if known)*
Postal address:
Town: Postal code: Country:
Contact point(s): Telephone:
For the attention of:
E-mail: Fax:
Internet address: *(URL)*

IV) Address of the other contracting authority on behalf of which the contracting authority is purchasing

Official name National ID (if known):
Postal address:
Town Postal code
Country

----- (Use Annex A Section IV as many times as needed) -----

Annex B Information about lots

Title attributed to the contract by the contracting authority Provision of Transport Related Specialist Technical Advice for Rail (STAR) Framework.

Lot No : 1 Lot title : Provision of Transport Related Specialist Technical Advice for Rail (STAR) Framework.

1) Short description:

2) Common procurement vocabulary (CPV):

	Main vocabulary	Supplementary vocabulary <i>(if applicable)</i>
Main object	71311200	
Additional object(s)	71311230	
	71311300	
	71313000	
	72224000	
	79411000	
	79418000	
	79419000	

3) Quantity or scope:

Category A - Rail Strategy, Transport Integration and Planning Advice - Providing technical and commercial support to Rail Executive for a range of macro-level strategic activities such as future forecasts and plans, the analysis of optimal solutions for the development of long term rail investment proposals, option analysis and the construction of project and programme business cases.

Typical requirements identified by Rail Executive clients include but are not limited to:

- General Rail Strategy, Transport Integration and Planning Advice
- Strategic corporate planning and appraisal
- Business case support
- Control period advice and analysis
- Strategic planning and research
- Transport systems integration
- High level Rail Investment Strategy (RIS) support
- Technical assurance
- Strategic technical commercial advice
- Macro-level revenue forecasting
- Package-specific bid evaluation support
- Remapping and Devolution studies

Category B – Rail Operations and Performance Advice

Providing technical and commercial support to Rail Executive across a full range of operational specialisms to ensure that strategic objectives of schemes and programmes, including rail franchises and direct awards are articulated effectively and attract bidder responses that optimally meet them.

Typical requirements identified by Rail Executive clients include but are not limited to:

- General Rail Operations and Performance Advice
- Operational proposal package
- Operational management
- Timetabling, diagramming, rostering
- Staffing policy/strategy
- Industrial relations/Trade Unions/TUPE/pensions
- Network Rail operational interfaces
- Environment and sustainability
- Franchise integration
- Management and business structure
- Operational mobilisation
- Operational safety
- Operational cost modelling
- Modal integration, interfaces and connectivity
- Passenger communication
- Passenger connectivity
- Service quality/customer service
- Passenger flow monitoring
- Station design layout, logistics, passenger & pedestrian movement and facilities
- Operations commercial advice
- Package-specific bid evaluation support

Category C - Economic and Revenue Forecasting for a Sustainable Railway

Providing technical and commercial support to Rail Executive for a range of short to medium term activities specifically in relation to forecasting and meeting demand, fares calibration and the development of bidding strategies and analysis.

Typical requirements identified by Rail Executive clients include but are not limited to:

- General Economic and Revenue Forecasting for a Sustainable Railway
- Technical risk adjustment
- Macro-economic advice
- Market reviews production
- Benefits realisation support
- Rail transport commercial advice
- Commercial risk analysis/profiling
- Fare reviews and policy
- Ticketless travel
- Ticket retailing
- Revenue protection
- Marketing and branding
- Modelling - revenue, fares and demand
- Revenue commercial advice
- Revenue forecasting
- Package-specific bid evaluation support
- Comparator modelling and associated business case support

Category D - Railway Infrastructure, Rolling Stock and Assets Advice

Providing Rail Executive with technical and commercial support and advice across the full spectrum of railway assets ranging from infrastructure to rolling stock. Services will include a wide range of technical and commercial advice throughout the asset lifecycle such as strategic level planning and option analysis, whole life cost reviews, value engineering and management, assurance, technical specification, category management, procurement of assets and asset interface risk assessment.

Typical requirements identified by Rail Executive clients include but are not limited to:

- General Railway Infrastructure, Rolling Stock and Assets Advice
- Railway asset management
- Stations – including access, property, parking, mobility and security
- Depots and stabling - strategy and advice
- Signalling and systems - strategy and advice
- Rolling stock - strategy and advice
- Rolling stock - management, acceptance, planning
- Rolling stock - leasing
- On train facilities and services
- Technical due diligence
- Rail engineering, feasibility & operating advice
- Power / electrification - strategy and advice
- Telecommunications / CIS - strategy and advice
- Railway infrastructure and assets commercial advice
- Package-specific bid evaluation support

Category E - Project and Programme Management Advice

Providing Rail Executive with technical and commercial support and advice across a full range of programme and project management services throughout the project lifecycle from strategy to post-transition mobilisation.

Typical requirements identified by Rail Executive clients include but are not limited to:

- General Project and Programme Management Advice
- Programme partner
- Programme management
- Project management
- Project delivery
- Project Controls
- Assurance
- Project management commercial advice
- Project representative
- Enterprise, programme and project integration management
- Package-specific bid evaluation support

(if known, give figures only) Estimated cost excluding VAT:

Currency:

or

Range: between :

and:

Currency:

4) Indication about different date for duration of contract or starting/completion: (if applicable)

Duration in months : or in days : (from the award of the contract)

or

Starting: (dd/mm/yyyy)

Completion: (dd/mm/yyyy)

5) Additional information about lots:

Annex C1 – General procurement
Service categories referred to in Section II: Object of the contract
Directive 2004/18/EC

Category No [1]	Subject
1	Maintenance and repair services
2	Land transport services [2], including armoured car services, and courier services, except transport of mail
3	Air transport services of passengers and freight, except transport of mail
4	Transport of mail by land [3] and by air
5	Telecommunications services
6	Financial services: a) Insurances services b) Banking and investment services [4]
7	Computer and related services
8	Research and development services [5]
9	Accounting, auditing and bookkeeping services
10	Market research and public opinion polling services
11	Management consulting services [6] and related services
12	Architectural services; engineering services and integrated engineering services; urban planning and landscape engineering services; related scientific and technical consulting services; technical testing and analysis services
13	Advertising services
14	Building-cleaning services and property management services
15	Publishing and printing services on a fee or contract basis
16	Sewage and refuse disposal services; sanitation and similar services
Category No [7]	Subject
17	Hotel and restaurant services
18	Rail transport services
19	Water transport services
20	Supporting and auxiliary transport services
21	Legal services
22	Personnel placement and supply services [8]
23	Investigation and security services, except armoured car services
24	Education and vocational education services
25	Health and social services
26	Recreational, cultural and sporting services [9]
27	Other services

1 Service categories within the meaning of Article 20 and Annex IIA to Directive 2004/18/EC.

2 Except for rail transport services covered by category 18.

3 Except for rail transport services covered by category 18.

4 Except financial services in connection with the issue, sale, purchase or transfer of securities or other financial instruments, and central bank services. The following are also excluded: services involving the acquisition or rental, by whatever financial means, of land, existing buildings or other immovable property or concerning rights thereon. However, financial service contracts concluded at the same time as, before or after the contract of acquisition or rental, in whatever form, shall be subject to the Directive.

- 5 Except research and development services other than those where the benefits accrue exclusively to the contracting authority for its use in the conduct of its own affairs on condition that the service provided is wholly remunerated by the contracting authority.
- 6 Except arbitration and conciliation services.
- 7 Service categories within the meaning of Article 21 and Annex IIB of Directive 2004/18/EC.
- 8 Except employment contracts.
- 9 Except contracts for the acquisition, development, production or co-production of program material by broadcasters and contracts for broadcasting time.