



Rail Accident Investigation Branch



Annual Report 2012

Section 2:

Reported Status of RAIB's Recommendations 2012

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This report is published in accordance with:

- the Railway Safety Directive 2004/49/EC;
- the Railways and Transport Safety Act 2003; and
- the Railways (Accident Investigation and Reporting) Regulations 2005.

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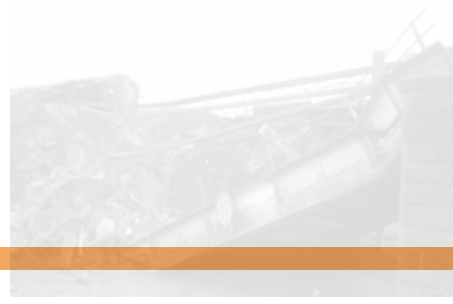
Any enquiries about this publication should be sent to:

RAIB	Email: enquiries@raib.gov.uk
The Wharf	Telephone: 01332 253300
Stores Road	Fax: 01332 253301
Derby UK	Website: www.raib.gov.uk
DE21 4BA	

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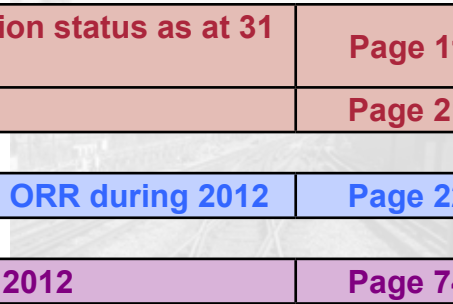
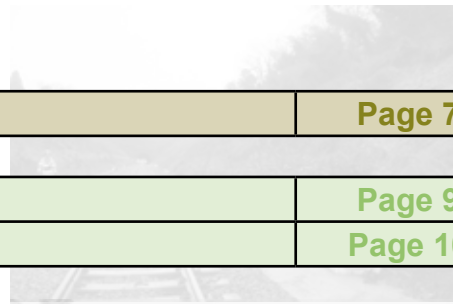
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**Annual Report 2011 Section 2:
Reported Status of RAIB's
Recommendations 2012**



Contents

Index of investigation reports in this report	Page 7
Introduction	Page 9
The Recommendation Progress Report	Page 10
List of all Investigation reports showing recommendation status as at 31 December 2012	Page 11
Recommendations made in 2012 to end implementer	Page 21
Recommendations that were the subject of a report by ORR during 2012	Page 22
Recommendations made in RAIB reports published in 2012	Page 74



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Index of investigations included in this report

National Networks			
Place	Date	Accident / Incident	Page No
Report on runway trolley between Larkhall and Barncluith Tunnell	02-Nov-05	Runaway incident	23
Train collision with RV at Bratts Blackhouse User X Sizewell, Suffolk	22-May-06	LX Minor damage	23
Possession irregularity near Manor Park	19-Mar-06	Staff hit by train (Injury)	23
Collision at Badminton	31-Oct-06	Collision with other train	23
Fatal collision between a Super Voyager train and car Copmanthorpe	25-Sep-06	Collision with an obstacle	24
The derailment of a freight train at King Edward Bridge, Newcastle	10-May-07	Freight train derailment	24
Derailment of a train at Croxton Level Crossing	12-Sep-06	Collision with an obstacle	24
Derailment at Duddeston Junction, Birmingham	10-Aug-07	Freight train derailment	25
Derailment at Grayrigg	23-Feb-07	Passenger train derailment	27
Train overspeeding through an emergency speed restriction at Ty Mawr	29-Aug-07	Unauthorised train movement	28
Network Rail's Management of Existing Earthworks	28-Feb-08	Class investigation	29
Fatal accident at West Lodge crossing, Haltwhistle	22-Jan-08	LX Fatality	30
Runaway of a road rail vehicle at Glen Garry	05-Dec-07	Collision with other train	31
Derailment at Santon near Foreign Ore Branch Junction, Scunthorpe	25-Jan-08	Freight train derailment	32
RRV runaway incidents at Brentwood, Essex and at Birmingham Snow Hill	04-Nov-07	Runaway incident	33
Detachment of containers from wagons near Cheddington & Hardendale	01-Mar-08	Unsafe loads	35
Derailment of two locomotives at East Somerset Junction	10-Nov-08	Freight train derailment	35
Serious injury sustained by a signal technician, Kennington Junction	23-May-08	Staff hit by train (Injury)	36
Accident at Dalston Junction	30-Mar-09	Staff hit by train (Injury)	37
Container doors hit passenger trains, Penrith & Eden Valley Loop, Cumbria	04-Jul-09	Out of gauge train collision	37
Collision & derailment at North Rode btw Macclesfield & Congleton	18-Dec-08	Collision with an obstacle	38
Derailment of a freight train near Stewarton, Ayrshire	27-Jan-09	Freight train derailment	38
Incident at Greenhill Upper Junction, near Falkirk	22-Mar-09	Failure of signaling system	41
Fatal accident at Fairfield crossing, Bedwyn	06-May-09	LX Fatality	43
Collision at Exeter St Davids station	04-Jan-10	Collision with other train	43
Overhead line failure, St Pancras International	23-Sep-09	Electric shock (near miss)	43
Fatal accident at Whitehall West junction, Leeds	02-Dec-09	Staff hit by train (Fatality)	44
Fatal accident at Halkirk level crossing, Caithness	29-Sep-09	LX Fatality	44
Near-miss on Victory level crossing, near Taunton, Somerset	19-Dec-09	LX Near miss	45
Derailment near Gillingham tunnel, Dorset	28-Nov-09	Collision with an obstacle	46
Incident at Romford Station	04-Feb-10	Train movement accidents inv passenger/pedestrians	48
Passenger train struck by object at Washwood Heath	06-Mar-10	Collision with an obstacle	49
Near miss involving a freight train & two passenger trains, Carstairs	22-Dec-09	Runaway incident	50
Fatal accident at Moreton-on-Lugg, near Hereford	16-Jan-10	LX Fatality	51
Track worker struck by a train at Cheshunt Junction	30-Mar-10	Staff hit by train (Injury)	55
Runaway and derailment of wagons at Ashburys	04-May-10	Runaway incident	56
Collision between train 1C84 and a tree at Lavington, Wiltshire	10-Jul-10	Collision with an obstacle	57
Runaway and collision of RRV near Raigmore, Inverness	20-Jul-10	Collision with other train	60
Accident at Falls of Cruachan, Argyll	06-Jun-10	Collision with an obstacle	61
Investigation into safety of AOCLs on Network Rail's infrastructure	02-Feb-10	Class investigation	63
Bridge strike & RV incursion onto roof of passing train near Oxshott Station	05-Nov-10	Collision with an obstacle	65
Collision tanker & train Sewage Works Lane, near Sudbury, Suffolk	17-Aug-10	LX Injury	65
Uncontrolled freight train runback between Shap and Tebay, Cumbria	17-Aug-10	Runaway incident	67
Derailment in Summit tunnel, near Todmorden, West Yorkshire	28-Dec-10	Collision with an obstacle	68
Derailment of a passenger train near Dryclough Junction, Halifax	05-Feb-11	Collision with an obstacle	70
Station overrun at Stonegate, East Sussex	08-Nov-10	Runaway incident	70
Train passed over Lydney level crossing with crossing barriers raised	23-Mar-11	LX Near miss	71

Index of Reports

National Networks			
Place	Date	Accident / Incident	Page No
Passenger train derailment near East Langton, Leicestershire	20-Feb-12	Passenger train derailment	75
Tamper driver struck by a train at Torworth level crossing	08-Jan-11	Staff hit by train (Injury)	76
Two incidents involving track workers between Clapham Junction & Earlsfield	08-Mar-11	Staff hit by train (near miss)	77
Partial failure of Bridge 94, near Bromsgrove	06-Apr-11	Infrastructure failure	80
Safety incident between Dock Junction and Kentish Town	26-May-11	Train movement accidents inv passenger/pedestrians	81
Person trapped in doors and pulled along platform at King's Cross Station	10-Oct-11	Train movement accidents inv passenger/pedestrians	83
Fatal accident at Mexico footpath crossing (near Penzance)	03-Oct-11	LX Fatality	84
Incident at Llanbadarn Automatic Barrier Crossing (LM) nr Aberystwyth	19-Jun-11	LX Near miss	86
Detachment of a cardan shaft at Durham station	10-Apr-11	Train defects	88
Incident involving runaway track maintenance trolley near Haslemere	10-Sep-11	Runaway incident	92
Fatal accident Gipsy Lane Footpath Crossing, Needham Market, Suffolk	24-Aug-11	LX Fatality	94
Track worker struck by a train at Stoats Nest Junction	12-Jun-11	Staff hit by train (Injury)	96
Container train accident near Althorpe Park, Northamptonshire	18-Jul-11	Out of gauge train collision	96
Derailment at Princes Street Gardens, Edinburgh	27-Jul-11	Passenger train derailment	98
Derailment at Bordesley junction, Birmingham	26-Aug-11	Freight train derailment	100
Collision between a train and lorry on Llanboidy AHB level crossing	19-Dec-11	LX Injury	102
Fatal accident at James Street station, Liverpool	22-Oct-11	Train movement accidents inv passenger/pedestrians	106
Derailment at Bletchley Junction, Bletchley	03-Feb-12	Passenger train derailment	108
Road vehicle incursion and collision with train at Stowmarket Road	30-Nov-11	Collision with an obstacle	109
Fatality at Johnson's Footpath Crossing near Bishop's Stortford, Herts	28-Jan-12	LX Fatality	114
Ufton AHBC level crossing	04-Sep-11	LX Near miss	115

Light Rail			
Place	Date	Accident / Incident	Page No
Collision at New Addington on Croydon Tramlink	23-Nov-05	Collision with other train	23
Fatal accident at Piccadilly Gardens, Manchester	05-Jun-11	Train movement accidents inv passenger/pedestrians	82
Collapse of the OHL near to Jewellery Quarter Tram Stop, Midland Metro	20-Apr-11	Collision with an obstacle	104

Metro			
Place	Date	Accident / Incident	Page No
Derailment of a DLR train near West India Quay station, London	10-Mar-09	Passenger train derailment	40
Derailment engineering train between Gloucester Road & Earls Court LUL	12-May-10	Freight train derailment	52
Runaway of an engineering train from Highgate	13-Aug-10	Runaway incident	58
Train departed with doors open, Warren Street, Victoria Line, London	11-Jul-11	Train movement accidents inv passenger/pedestrians	90
Person trapped in train door at Jarrow station, Tyne & Wear Metro	12-Apr-12	Train defects	113

Heritage			
Place	Date	Accident / Incident	Page No
Boiler incident on the Kirklees Light Railway	03-Jul-11	Train defects	72 + 79
Fatal accident at Grosmont, North Yorkshire Moors Railway	21-May-11	Staff hit by train (Fatality)	107

Section 5: Channel Tunnel			
Place	Date	Accident / Incident	Page No

Introduction

For details about the role of the Rail Accident Investigation Branch (RAIB), see [part 1 of the Annual Report](#) titled “The role of the Rail Accident Investigation Branch”; this includes hyperlinks to the RAIB website that give a full explanation of the various organisation duties.

This part of the report gives details of feedback to the RAIB from Safety Authorities, the response and detail of actions taken is very important to provide a clear view of the process, and enables everyone to have a view of the safety improvements arising from the RAIB’s investigations. Please note the status quoted against each recommendation relates to the position recorded as at 31 December 2012. Further progress may have been made since January 2013, if so this will be included in the RAIB Report for 2013.

The RAIB plans to publish details on the progress of its recommendations on the Branch website; the recommendation report will be accessed via an icon placed on the same web page as the investigation report.

The Recommendation Progress Report

The Recommendation Progress Report

This status report is based on a consolidation of information provided to the RAIB by the Office of Rail Regulation (ORR) and other public bodies.

The status of implementation of the RAIB's recommendations, as reported by the safety authority or public body, has been divided into six categories:

Key to Recommendation Status

Implemented:	Regulation 12(2)(b)(i) = recommendation accepted and implemented
Implemented by alternative means:	
Implementation ongoing:	Regulation 12(2)(b)(ii) = recommendation accepted and implementation has started
In-progress:	Regulation 12(2)(b)(ii) = recommendation accepted and implementation proposed
Non-implementation:	Regulation 12(2)(b)(iii) = recommendation considered and no implementation action to be taken
Awaiting response	Awaiting initial report from ORR on the status of the recommendation

- ▲ The red triangle shows recommendations where the RAIB has particular concerns that no actions have been taken in response to a recommendation.
- ▲ The blue triangle shows recommendations where the RAIB has concerns that the actions taken, or proposed to be taken, are inappropriate or insufficient to address the risk identified during the investigation.

List of investigation reports showing status of recommendations as at 31 December 2012

Report year 2006		Status Category					Total recommendations from report
No	Investigation Title	1 Implemented	2 In-progress	3 Non-implementation	4 Awaiting response	5	
1	Tram, Pedestrian Collision at Staniforth Road, Sheffield	3				3	
2	Derailment at Watford Junction Yard	4				4	
3	Passenger train collision with a road vehicle at Swainsthorpe level crossing, Norfolk					0	
4	Derailment at Phipps Bridge, Croydon Tramlink	4				4	
5	Runway incidents on Blackpool Transport Services Tramway	4				4	
7	Collision of loco with carriages at GCR Loughborough Central Station	4				4	
8	Freight train derailment at Hatherley, near Cheltenham Spa	5				5	
9	Near miss two track pax by a tram on Manchester Metrolink, Radcliffe	9				9	
10	Station over-run at Haywards Heath	2				2	
11	Collision at New Addington on Croydon Tramlink	4				4	
12	Collision at Black Horse Drive Crossing, nr Littleport, Cambridgeshire	3		1		4	
14	Derailment near Liverpool Central underground station	7		1		8	
15	Cutting of rail still open to traffic, Thirsk station, East Coast ML	7		1		8	
16	Trackworker fatality at Trafford Park	8		1		9	
17	Derailment of a Ballast Plough Brake Van at Carlisle	6				6	
18	Derailment at Blackpool Pleasure Beach	2				2	
19	Derailment at Oubeck North near Lancaster	3		3		6	
20	Report on runaway trolley between Larkhall and Barncluith Tunnell	16				16	
21	Wagon derailment at York station	4				4	
22	Derailment near Moy, Inverness-shire	10				10	
23	Investigation into pedestrian crossings initiated by Eisenham fatality	9		1		10	
24	Derailment at Archway	3				3	
26	Collision between train and buffer stops at Sudbury	2				2	
27	Broken rails at Urchfront & Kennington following passage freight trn	6				6	
	Totals	125	0	8	0	133	
	Percentage	94.0%	0.0%	6.0%	0.0%	100.0%	

Report year 2007		Status Category				
		1	2	3	4	5
No	Investigation Title	Implemented	In-progress	Non-implementation	Awaiting response	Total recommendations from report
0	Autumn Adhesion Investigation Pt1 Signals WK338 / WK336 at Esher	22	3			25
1	Derailment of a freight train at Brentingby Junction nr Melton Mowbray	8		2		10
2	Derailment of a freight train at Cricklewood Curve	5		1		6
3	Unauthorised train movement & derailment at Haymarket, Edinburgh	3				3
4	The blowback of a locomotive fire at Grosmont on the NYMR	8		1		9
5	Derailment near Waterside, East Ayrshire	7				7
6	Dispatch of a train with an unsecured load, Basford Hall Yard, Crewe	5				5
7	Ravenglass & Eskdale derailment of passenger coach at Spouthouse Curve	8				8
8	Derailment at Long Millgate, Manchester	4				4
9	Train collision with RV at Bratts Blackhouse User X Sizewell, Suffolk	6		2		8
10	Traction control failure causing signal passed at danger, Camden Road	9				9
11	Huntingdon train door incident	6				6
12	Runaway permanent way trolley at Notting Hill Gate	9				9
13	Locomotive runaway near East Didsbury	7	1			8
14	Fatal accident involving a train driver, Deal	8		1		9
15	Derailment at Starr Gate, Blackpool	2				2
16	Near misses at Crofton Old station No.1 LX, near Wakefield W Yorks	6				6
17	Tram collision at Soho Benson Road, Midland Metro	3				3
18	Collision between tram and RV at New Swan Lane LX on Midland Metro	2				2
19	Unauthorised train movement at High Street Kensington	14				14
20	Derailment at Ropley (Mid Hants Railway)	6				6
21	Derailment of a tram on the Seaton Tramway	2				2
22	Fatal accident at Bronwydd Arms station, Gwili Railway	9				9
23	Fatal accident to Shunter, Dagenham Dock	7				7
24	Derailment of a freight train at Maltby North	2		2		4
25	Derailment at Trooperslane near Carrickfergus, Northern Ireland	7		1		8
26	Possession irregularity near Manor Park	3				3
27	Signal T172 passed at danger at Purley station, Surrey	5				5
28	Derailment at Phipps Bridge on Croydon Tramlink	2				2
29	Collision at Pickering Station NYMR	2				2
30	Collision at Badminton	3		1		4
31	Passenger door open on a moving train near Desborough	9				9

Report year 2007		Status Category					Total recommendations from report
		1	2	3	4	5	
No	Investigation Title	Implemented	In-progress	Non-implementation	Awaiting response		
32	Passenger train derailment near Fisherground - Ravenglass/Eskdale RW	2				2	
33	Fatal collision between a Super Voyager train and car Copmanthorpe	2				2	
34	Derailment at Epsom	3				3	
35	Collision at Swanage station	5				5	
36	Collision between a train and a RV, M20 overline bridge, Aylesford	5	1			6	
37	Fire on HGV shuttle in the Channel Tunnel	13	1	2		16	
38	Derailment at Birmingham Snow Hill, Midland Metro	4				4	
39	The derailment of a freight train at Washwood Heath	4				4	
40	Incident at Wellesley Road on Croydon Tramlink					0	
41	Fire on prototype tram 611 at Blackpool	2				2	
42	Derailment at Cromore, Northern Ireland	7				7	
43	Near miss involving a track worker at Tinsley Green Junction	8				8	
44	Derailment at Waterloo South sidings 1565 points	14				14	
45	Train / vehicle collision on the Leighton Buzzard Narrow Gauge Railway	2	1			3	
46	Train and RV collision on Leighton Buzzard Narrow Gauge Railway	2				2	
	Totals	272	8	12	0	292	
	Percentage	93.2%	2.7%	4.1%	0.0%	100.0%	

Report year 2008		Status Category						
		1	2	3	4	5	6	7
No	Investigation Title	Implemented	Implemented by alternative means	Implementation ongoing	In-progress	Non-implementation	Awaiting response	Total recommendations from report
1	Collision near Burton on Trent	4						4
2	The derailment of a freight train at King Edward Bridge, Newcastle	4						4
3	Derailment of a London Underground Central Line train near Mile End	5						5
4	Track worker fatality at Ruscombe Junction	7						7
5	Derailment in Hooley Cutting, near Merstham, Surrey	9						9
6	Tube Train driven in wrong direction, Camden Town, Northern Line	4						4
7	Derailment of a passenger train near Kemble	2						2
8	Runaway and collision at Armathwaite	3						3
9	Derailment of a tram at Pomona, Manchester	5						5
10	Collision btw train and tractor on LX nr Limavady Jnc, Northern Ireland	5	1					6
11	Derailment of a train at Croxton Level Crossing	11						11
12	Runaway of two wagons from Camden Road Tunnel	8						8
13	Two trains in the same section at Aylesbury	4						4
14	Collision with the gates at Lydney Town level crossing	10						10
15	Child fell from train on the Nene Valley Railway	1						1
16	Derailment at Duddeston Junction, Birmingham	7				1		8
17	Passenger trapped in train door, Tooting Broadway, Northern Line.	1						1
18	Collision of a train with a demolished footbridge, Barrow upon Soar	3				1		4
19	Accident at Leatherhead	6						6
20	Derailment at Grayrigg	28			1			29
21	Fatal accident to a trackworker east of Reading Station	3			2			5
22	Train overspeeding through an emergency speed restriction at Ty Mawr	6	1					7
23	SPAD and subsequent near miss at Didcot North Junction	5	1	1			2	9
24	Mnr collision engineering unit & 2 manual trolleys nr St. John's Wood	14						14
25	Network Rail's Management of Existing Earthworks	6						6

Report year 2008		Status Category						
		1	2	3	4	5	6	7
No	Investigation Title	Implemented	Implemented by alternative means	Implementation ongoing	In-progress	Non-implementation	Awaiting response	Total recommendations from report
26	Near miss nr Bishops Stortford and Stanstead Mounfitchet, Essex	4				1		5
27	Fatal accident at Moor Lane footpath crossing, Staines	4						4
	Totals	169	2	1	4	5	0	181
	Percentage	93.4%	1.1%	0.6%	2.2%	2.8%	0.0%	100%

Report year 2009		Status Category						
		1	2	3	4	5	6	7
No	Investigation Title	Implemented	Implemented by alternative means	Implementation ongoing	In-progress	Non-implementation	Awaiting response	Total recommendations from report
1	Fatal accident at West Lodge crossing, Halthwhistle	4						4
2	Derailment at Ely Dock Junction	16						16
3	Derailment of a rail vehicle at Terryhoogan, near Scarva, NI	4						4
4	Derailment near Exhibition Centre station, Glasgow	3				1		4
5	Runaway of a road rail vehicle at Glen Garry	7						7
6	Fatal accident at Morden Hall Park footpath crossing	1						1
7	Derailment of a freight train near Moor Street station, Birmingham	3						3
8	Uncontrolled movement RV Channel Tunnel passenger trn UK-France	3						3
9	Fatal accident at Tackley station level crossing, Oxfordshire	5				1		6
10	Derailment at Santon near Foreign Ore Branch Junction, Scunthorpe	4			4			9
11	RRV runaway incidents at Brentwood, Essex and at Birmingham Snow Hill	6						6
12	Detachment of containers from wagons near Cheddington & Hardendale	10						10
13	Investigation into safety at user worked crossings	4			1	2	1	8
14	Near miss at Poplar Farm level crossing, Attleborough, Norfolk	2						2
15	Collision between passenger train & 2 grinding machines Acton West	7			1			8

Report year 2009	Status Category						
	1	2	3	4	5	6	7
No Investigation Title	Implemented	Implemented by alternative means	Implementation ongoing	In-progress	Non-implementation	Awaiting response	Total recommendations from report
16 Derailment of a DLR train near Deptford Bridge station, London	11						11
17 Collision near New Southgate	5						5
18 Derailment of a passenger train at Gysgfa, Ffestiniog Railway	5						5
19 Track worker struck by train Grosvenor Bridge, London Victoria	3			5	1		9
20 Near miss at Llanbadarn ABC (Locally monitored), near Aberystwyth	8						8
21 Incident involving a container train at Basingstoke station	3						3
22 Collision with debris from bridge GE19 near London Liverpool Street	5			1			7
23 Trackworker struck by train, Stevenage	6						6
24 Freight train collision at Leigh-on-Sea	6				1		7
25 Derailment at St Peter's Square, Manchester	3			2			5
26 Fatal accident at Wraysholme crossing, Flookburgh, Cumbria	2			3			5
27 Investigation into runaways of RRV & their trailers on NR				3			3
28 Derailment of two locomotives at East Somerset Junction	7			4			11
29 Serious injury sustained by a signal technician, Kennington Junction	3						3
30 Accident at Dalston Junction	3						3
31 Container doors hit pax trains, Penrith & Eden Valley Loop, Cumbria	3						3
32 Double fatality at Bayles & Wylies FPC, Bestwood, Nottingham	7			1			8
33 Collision & derailment at North Rode btw Macclesfield & Congleton	3						3
Totals	162	0	0	25	8	1	196
Percentage	82.7%	0.0%	0.0%	12.8%	4.1%	0.5%	100%

Report year 2010		Status Category						
		1	2	3	4	5	6	7
No	Investigation Title	Implemented	Implemented by alternative means	Implementation ongoing	In-progress	Non-implementation	Awaiting response	Total recommendations from report
1	Derailment of a freight train at Marks Tey, Essex						7	7
2	Derailment of a freight train near Stewarton, Ayrshire	12						12
3	Derailment of a DLR train near West India Quay station, London	6			1			7
4	Incident at Greenhill Upper Junction, near Falkirk	6						6
5	Near-miss at Hanger Lane junction	6						6
6	Derailment of a passenger train near Cummersdale, Cumbria	5						5
7	Derailment at Hampton Loade, Severn Valley Railway	5						5
8	Fatal accident at Fairfield crossing, Bedwyn	3						3
9	Fatal accident at Norbreck, Blackpool	2						2
10	Collision at Exeter St Davids station	1						1
11	Derailment at Windsor & Eton Riverside station				3			3
12	Overhead line failure, St Pancras International	2					5	7
13	Collision on the Great Orme Tramway				2			2
14	Derailment at Wigan North Western station	1			3			4
15	Fatal accident at Whitehall West junction, Leeds	1		1				2
16	Fatal accident at Halkirk level crossing, Caithness	4		2				6
17	Failure of Bridge RDG1 48 (River Crane) between Whitton & Feltham				6			6
18	Near-miss on Victory level crossing, near Taunton, Somerset	3				1		4
19	Derailment near Gillingham tunnel, Dorset	4			1			5
20	Incident at Romford Station	5						5
	Totals	66	0	3	16	1	12	98
	Percentage	67.3%	0.0%	3.1%	16.3%	1.0%	12.2%	100%

Report year 2011		Status Category						
		1	2	3	4	5	6	7
No	Investigation Title	Implemented	Implemented by alternative means	Implementation ongoing	In-progress	Non-implementation	Awaiting response	Total recommendations from report
1	Passenger train struck by object at Washwood Heath	3			1			4
2	Near miss - freight train & two passenger trains, Carstairs	3						3
3	Derailment of freight train at Carrbridge, Badenoch & Strathspey	1			3			4
4	Fatal accident at Moreton-on-Lugg, near Hereford			2				4
5	Derailment engineering train between Gloucester Rd & Earls Ct LU	9						9
6	Track worker struck by a train at Cheshunt Junction	1			1			2
7	Runaway and derailment of wagons at Ashburys	4			2			6
8	Collision between train IC84 and a tree at Lavington, Wiltshire	4						4
9	Runaway of an engineering train from Highgate	7						7
10	Runaway and collision of RRV near Raigmore, Inverness	3			1			4
11	Accident at Falls of Cruachan, Argyll	4		1				6
12	Investigation into safety of AOCLs on Network Rail's infrastructure	2			2			4
13	Bridge strike & RV incursion onto roof of passing train nr Oxshott Stn	1			2		2	5
14	Collision lorry & train Sewage Works Lane, near Sudbury, Suffolk	4		1	1			6
15	Uncontrolled freight train runback between Shap and Tebay, Cumbria	1			3			4
16	Derailment in Summit tunnel, near Todmorden, West Yorkshire			4	1			5
17	Derailment of a passenger train near Dryclough Jcn, Halifax	1			4			5
18	Station overrun at Stonegate, East Sussex	3						3
19	Passenger accident at Brentwood station				5			5
20	Train passed over Lydney level crossing with crossing barriers raised	1			2			3
	Totals	52	0	8	31	0	2	93
	Percentage	55.9%	0.1%	8.6%	33.3%	0.0%	2.2%	100%

Report year 2012		Status Category						
		1	2	3	4	5	6	7
No	Investigation Title	Implemented	Implemented by alternative means	Implementation ongoing	In-progress	Non-implementation	Awaiting response	Total recommendations from report
1	Passenger train derailment near East Langton, Leicestershire						4	4
2	Tamper driver struck by a train at Torworth level crossing						1	1
3	Two incidents involving track workers btw Clapham Jcn & Earlsfield						5	5
4	Boiler incident on the Kirklees Light Railway	1		1				2
5	Partial failure of Bridge 94, near Bromsgrove						3	3
6	Collision between a train and tractor at White House Farm user worked crossing							0
7	Safety incident between Dock Junction and Kentish Town						3	3
8	Fatal accident at Piccadilly Gardens, Manchester						2	2
9	Person trapped in doors and pulled along platform at King's Cross Stn						1	1
10	Fatal accident at Mexico footpath crossing (near Penzance)						5	5
11	Incident at Llanbadarn Automatic Barrier Crossing (LM) nr Aberystwyth						6	6
12	Detachment of a cardan shaft at Durham station						6	6
13	Train departed with doors open, Warren Street, Victoria Line, London						4	4
14	Incident involving runaway track maintenance trolley nr Haslemere						6	6
15	Fatal accident Gypsy Lane Footpath Crossing, Needham Market, Suffolk						4	4
16	Track worker struck by a train at Stoats Nest Junction						1	1
17	Container train accident near Althorpe Park, Northamptonshire						4	4
18	Derailment at Princes Street Gardens, Edinburgh						5	5
19	Derailment at Bordesley junction, Birmingham						4	4
20	Collision between a train and lorry on Llanboidy AHB level crossing						6	6
21	Collapse of the OHL near to Jewellery Quarter Tram Stop, Midland Metro						7	7

The Recommendation Progress Report

Recommendations made in 2012 to end implementer

End Implementer	Number
Department for Transport (DfT)	4
Freight, Train Operating Company (FOC)	1
Heritage Railway	3
Light Rail Tram (LRT) Infrastructure	7
Light Rail Tram (LRT) Operating Company (TOC)	6
London Underground Ltd	4
Manufacturers	3
Network Rail	52
Other Public Bodies	6
Passenger, Train Operating Company (TOC)	12
Rail Safety and Standards Board (RSSB)	5
Railway Contractors	2
Rolling Stock Maintainers	4
ROSCO (Rolling Stock Leasing Company)	9
The Office of Rail Regulation (ORR)	3
Total	*121
* Note: a number of Safety Recommendations are made to more than one implementer	

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Any enquiries about this publication should be sent to:

RAIB	Telephone: 01332 253300
The Wharf	Fax: 01332 253301
Stores Road	Email: enquiries@raib.gov.uk
Derby UK	Website: www.raib.gov.uk
DE21 4BA	