

**MEETING OF THE AUDIT AND RISK COMMITTEE
MONDAY 24 NOVEMBER 2014, at 4.00pm**

Barnard, Wellington House, 133-155 Waterloo Road, London SE1 8UG

AGENDA

- | | | | | |
|-----|------|---|---------------------|----------------|
| 1. | 4.00 | Welcome and Apologies | | (oral item) |
| 2. | | Declarations of interest
If any member of the Audit and Risk Committee has an interest in any of the items on the agenda, they should declare these and if necessary withdraw from the meeting. | | |
| 3. | 4.05 | Minutes and matters arising from the meeting held on Thursday 18 September 2014 (attached) | Keith Palmer | ARC/14/30 |
| 4. | 4.10 | Internal Audit Progress Report (attached) | PwC | ARC/14/31 |
| 5. | 4.05 | Internal Audit reports: | PwC | |
| | | (i) Financial Regulations (attached) | | ARC/14/32(i) |
| | | (ii) Procurement (attached) | | ARC/14/32(ii) |
| | | (iii) Core Financial Systems (attached) | | ARC/14/32(iii) |
| 6. | 4.20 | 2014/15 Audit Planning Report (Monitor) (attached) | NAO | ARC/14/33 |
| 7. | 4.30 | 2014/15 Audit Planning Report (NHS Foundation Trusts: consolidated account) (attached) | NAO | ARC/14/34 |
| 8. | 4.40 | Planned assurances over Consolidated NHS Foundation Trust Accounts 2014/15 (attached) | Ian Ratcliffe | ARC/14/35 |
| 9. | 5.00 | Q2 Risk Report (attached) | Das
Mootanah | ARC/14/36 |
| 10. | 5.15 | Board Risk Workshop 03/12/14 – draft agenda (attached) | Das
Mootanah | ARC/14/37 |
| 11. | 5.30 | Draft Annual Governance Statement (attached) | Philippa
Harding | ARC/14/38 |

12. 5.40 **Whistleblowing Review**
(attached)

Philippa
Harding

ARC/14/39

13. 5.55 **Any other business**

14. 6.00 **Close**