



Education
Funding
Agency

Funding allocation pack: 2014 to 2015 academic year

**A guide for special and alternative
provision free schools opening between
1 September 2014 and 31 March 2015**

July 2014

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Introduction to the funding allocation pack 2014 to 2015

This operational guide is to help you understand how your academic year (AY) 2014 to 2015 funding has been calculated by the Education Funding Agency (EFA).

The guide supports your AY 2014 to 2015 general annual grant (GAG) statement. Your GAG statement sets out the funding you will receive, how it has been calculated and where the data is from. It covers the period from when you opened/converted to academy status up to the end of the academic year, which is 31 August 2015. The number of days for which you are funded can be found at the top of each page of your funding allocation pack.

The guide has been produced in a format which enables you to easily access the specific parts that interest you, or areas where you require more detailed information.

You may also be interested in the [High needs funding](#) web page on GOV.UK.

Your pupil premium allocation is paid outside of the GAG and is therefore not included in your AY 2014 to 2015 funding allocation.

This guide is intended for special and alternative provision (AP) free schools which open during the period 1 September 2014 to 31 March 2015. Further information about revenue funding for all types of free schools can be found [here](#).

Summary statement

The first page of your funding allocation pack is a summary statement of the tables that make up your GAG. The information used to populate the summary table comes from each of the tables in your pack.

Sample AY 2014 to 2015 General Annual Grant statement - special and alternative provision free schools¹

1. Breakdown of AY 14/15 School Block Allocation		
Education Services Grant	£55,930.00	See Table B
Start Up Grant / Post-opening grant	£47,500.00	See Table C

¹ The sample statement shown is one for a special free school

Total School Block	£103,430.00	
2. Breakdown of AY 14/15 High needs Block Allocation (place funding)		
Pre 16 High Needs Block	£760,00.00	See Table A
Post 16 High Needs Block	£180,00.00	See Table A
Hospital Provision Funding	£0.00	See Table A
Total High Needs Block	£940,000.00	(Excludes any top-up funding from LA)
3. Breakdown of 16-19 Allocation (see 16-19 Allocation Statement)		
Total Programme Funding	£0.00	Pro rata – months
Formula Protection Funding	£0.00	
Transitional Protection	£0.00	
16-19 Allocation	£0.00	
Student Support Services	£631.00	April 2015 payment
Total 16-19 Allocation including Student Support Services	£631.00	
TOTAL ALLOCATION (1+2+3)	£1,099,990.72	
<p>Information on funding lines that are in addition to GAG will be provided separately (Pupil Premium, for example).</p> <p>Student Support Services include Bursary/Free School Meals/Residential Bursary funding, where applicable.</p>		

Post-16 allocations

Section 3 of the summary table refers to the post-16 statement sent to alternative provision free schools and those special free schools who have non-statemented post-16 students. Special free schools with post-16 provision (statemented pupils) are funded for pre and post-16 provision on the same place-funded basis and do not receive a separate statement. Funding for student support services for special and alternative provision free schools will be included in section 3. Information on [post-16 high needs funding](#) can be found on our website.

Table A – high needs block

This section includes:

- An overview of pre and post-16 high needs funding
- [Sample Table A](#)
- [Explanation of funding lines](#)

Clicking on the bullet points above will take you directly to the relevant section.

Introduction to high needs block

From 2013 local authorities have had an enhanced role in funding high needs pupils in academies and free schools, as a commissioner of education provision for these pupils. This means that academies and free schools now receive funding from both the EFA (place funding) and local authorities (top-up funding) for pupils with high needs. Sometimes the top-up funding comes directly from schools, where they commission alternative provision (AP) places directly.

Special free schools receive £10,000 per place for each SEN place; alternative provision free schools receive £8,000 for each AP place.

Top-up funding above these levels, based on the assessed needs of the pupil and the cost of meeting their needs in the setting, should be agreed between the commissioning local authority and the free school. Top-up funding is paid on a per-pupil basis, for the period that the pupil is actually occupying the place in the free school. It is paid directly on a monthly basis unless a different payment frequency is agreed with the local authority. Top-up funding for pupils in AP can be paid directly by other academies and schools if they commission the places for those pupils. The top-up element is not included in this allocation.

Sample Table A

Pre-16 Breakdown

<u>Place numbers</u>	<u>Annual per place unit value</u>	<u>Total pre-16 allocation</u>	<u>Allocation to August 2015</u>
76	£10,000.00	£760,000.00	£760,000.00
0	£8,000.00	£0.00	£0.00
<u>Pre-16 High Needs Block adjusted for 365 days, 1 Sep 2014 - 31 Aug 2015</u>			£760,000.00

Post-16 Breakdown

<u>Place numbers</u>	<u>Annual per place unit value</u>	<u>Total post-16 allocation</u>	<u>Allocation to August 2015</u>
18	£10,000.00	£180,000.00	£180,000.00
<u>Post-16 High Needs Block adjusted for 365 days, 1 Sep 2014 - 31 Aug 2015</u>			£180,000.00

Hospital Provision Breakdown

<u>Place numbers</u>	<u>Full year Total Funding</u>	<u>Allocation to August 2015</u>
0	£0.00	£0.00
<u>Hospital Provision adjusted for 365 days, 1 Sep 2014 - 31 Aug 2015</u>		£0.00

Table A – explanation of funding lines

Pre-16 breakdown

Funding line	Description
Place numbers	These are the agreed estimates for AY 2014 to 2015.
Annual per-place unit value	For AY 2014/15 this is set at £10,000 per place for SEN provision, and £8,000 for places in alternative provision.
Total pre-16 allocation	The product of special places x annual per-place unit value and, or the product of alternative provision places x annual per-place unit value.

Funding line	Description
Allocation to August 2015	We calculate the proportion of funding due to you for the academic year by dividing the pre-16 total allocation by 365 days; this figure is then multiplied by the total number of days from opening to 31 August 2015. For a September opener, this value will be the same as the full year pre-16 funding amount.
Pre-16 high needs block adjusted for # days	The outcome of the above calculation is shown in this box.

Post-16 breakdown

Funding line	Description
Place numbers	These are the agreed estimates for AY 2014 to 2015.
Annual per place unit value	For AY 2014/15 this is set at £10,000 per place.
Total post-16 allocation	The product of local authority agreed places x annual per place unit value.
Allocation to August 2015	We calculate the proportion of funding due to you for the academic year by dividing the pre-16 total allocation by 365 days, this figure is then multiplied by the total number of days from opening to 31 August 2015. For a September opener, this value will be the same as the full year pre-16 funding amount.
Post-16 high needs block adjusted for # days	The product of the above calculation is shown in this box.

Hospital provision breakdown²

Funding line	Description
Place numbers	These are agreed by the EFA with the local authority that previously maintained the provision.
Full year total funding	This is calculated by the local authority that previously maintained the provision, based on the agreed number of places you will provide. The local authority informs the EFA of the relevant amount.
Allocation to August 2014	We calculate the proportion of funding due to you for the academic year by dividing the pre-16 total allocation by 365 days; this figure is then multiplied by the total number

² Hospital provision is not applicable to special or alternative provision free schools, so this table will show nil funding.

Funding line	Description
	of days from opening to 31 August 2015. For a September opener, this value will be the same as the full year pre-16 funding amount.
Hospital provision high needs block adjusted for # days, 1 Sep 2014 - 31 Aug 2015	The outcome of the above calculation is shown in this box.

Table B – AY 2014 to 2015 Education Services Grant (ESG)

This table includes:

- An overview of Table B
- [Sample Table B](#)
- [Table B explanation of funding lines](#)

Introduction to Education Services Grant

Local Authority Central Spend Equivalent Grant (LACSEG) ended as part of the AY 2014 to 2015 funding reforms and was replaced by the Education Services Grant (ESG). This grant is payable to academies and free schools as they are responsible for a range of education services – such as school improvement, audit and asset management – that local authorities perform on behalf of maintained schools. The ESG is allocated on a simple per-place basis to local authorities, academies and free schools according to the number of places for which they are responsible.

For AY 2014 to 2015, each academy/free school will receive:

- £140 per pupil (mainstream provision)
- £525 per Alternative Provision **place**
- £595 per SEN **place**

For alternative provision and special free schools the allocation will be based on planned places data.

Sample Table B – AY 2014 to 2015 Education Services Grant

1.	Number of special or hospital places	94	
2.	ESG basic rate per special/ hospital place	£595.00	
3.	Number of alternative provision places	0	
4.	ESG basic rate per alternative provision place	£525.00	
5.	AY 14/15 Education Services Grant Allocation	£55,930.00	$=(1 * 2) + (3 * 4)$
6.	ESG Allocation 365 days 1 Sep 2014 - 31 Aug 2015	£55,930.00	$[5] * (365 \text{ days}) / 365$

Table B - explanation of funding lines

Funding Line	Description
Number of special or hospital places	These are the agreed estimates for AY 2014 to 2015.
ESG basic rate per special/hospital place	For 2014/15 this is set at £595.00 for places in special free schools and hospital provision.
Number of alternative provision places	These are the agreed estimates for AY 2014 to 2015.
ESG basic rate per alternative provision place	For 2014/15 this is set at £525.00 for alternative provision.
AY 2014/15 Education Services Grant Allocation	This is $(1*2) + (3*4)$.
ESG Allocation # days 1 Sep 2014 - 31 Aug 2015	We calculate the proportion of funding due to you for the academic year by dividing the pre-16 total allocation by 365 days; this figure is then multiplied by the total number of days from opening to 31 August 2015. For a September opener, this value will be the same as the full-year pre-16 funding amount.

Table C – free schools post-opening grant

This section includes:

- [An explanation of post-opening grants](#)
- [An example of table C post-opening grant](#)
- [Explanation of table C post-opening grant](#)

Post-opening grant (POG)

The post-opening grant is for special and alternative provision free schools that meet the eligibility criteria. It is funding in addition to the per-pupil funding to reflect the additional essential costs of establishing a brand new school. The post-opening grant is paid in two parts: the per-pupil non-staffing resources element, to cover items such as buying books and equipment; and the leadership element, to meet the costs of employing key staff as the school builds up its cohorts over time. Further information about the post-opening grant for special and alternative provision free schools can be found in the relevant [funding guide](#).

Table C sets out the POG payment for AY 2014 to 2015 if applicable.

Sample table C –post-opening grant

1.	Non- staffing resources	£7,500.00	
2.	Leadership grant	£40,000.00	
3.	Total post-opening grant allocation	£47,500.00	= 1 + 2

Table C – explanation of funding lines

Element	Description
Non-staffing resources	This line will display POG element 1 (non-staffing resources) where applicable.
Leadership grant	This line will display POG element 2 (leadership) where applicable.
Total post-opening grant allocation	This will display total POG funding where applicable.



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