

GENERAL INFORMATION

- 1 Are any other Export Credit Agencies (ECAs) or other International Financial Institutions (IFIs) involved in the project?**

Yes No Don't know

If yes, please state names of IFIs or ECAs involved.

STANDARDS

- 2 (a) If you have corporate Environment, Occupational Health & Safety, Social Issues or Human Rights policies and/or your company subscribes to ISO 14001/EMAS accreditation, please attach relevant details (if not previously provided).**

Copy of policies/certificates previously provided to ECGD

Copy of policies/certificates attached

No policies/accreditation in place thus none attached

(b) Please attach details of any documented Environment, Occupational Health & Safety or Social Issues policy statements issued by the project developer or owner.

Copy of policies attached None issued Don't know

(c) Is the project owner/developer ISO 14001/EMAS accredited?

Copy of certificate attached No Don't know

- 3 (a) Is the project which you are supplying goods/services to or investing in designed to meet recognised environmental standards? (Mark all relevant.)**

Host Country UK /EU World Bank IFC Other None

If None, please explain:

(b) If the project/business is designed to meet any other environmental performance standards please identify and provide a copy of these.

(b) Where the goods or project impact on the local population and an EIA, SIA or RAP has not been prepared, have they (or their authorised representatives) been consulted?

Yes No Don't know

If Yes, please give details, including any mitigation or compensation measures

ENVIRONMENTAL IMPACTS

6 (a) As or for what is the project site currently used?

Urban Industrial Greenfield Other – please specify

(b) Please indicate if the project will be located in or could have an environmental impact upon any of the following: (please mark all relevant box(es))

- Sites in the World Biosphere Reserves Directory
(for list see website <http://www.unesco.org/mab/wnbr.htm>)
- Sites on the World Heritage List
(for list see website <http://www.unesco.org/whc/heritage.htm>)
- Semi-arid areas and desert margins
- Tropical or sub-tropical forests (especially primary forests)
- Rivers, lakes, coastline, coral reefs and wetlands, including mangroves
- National Parks, nationally designated nature reserves and all other conservation areas, and the margins of these
- Habitat of flora or fauna especially that of endangered species or areas of high concentrations of biological diversity
- Habitats providing important resources for vulnerable groups (e.g. indigenous or tribal groups, women or ethnic minorities)
- Areas largely untouched by humans (wildlands)
- Areas of high concentration of population or industrial activity where further development could create significant environmental problems
- Other areas of local interest or sensitive locations – please provide details

7 (a) Please identify and quantify the annual consumption or extraction by the project and provide details relating to the following resources:

- Metals and metal ores** Annual consumption:
Details:
- Minerals** Annual consumption:
Details:
- Coal** Annual consumption:
Details:
- Oil** Annual consumption:
Details:
- Gas** Annual consumption:
Details:
- Forest products** Annual consumption:
Details:
- Electrical power** Annual consumption:
Details (*Where possible, please identify original energy source.*):

(b) Please identify and quantify the annual production or use by the project of greenhouse gases, ozone depleting substances, hazardous substances or persistent organic pollutants (POPs):

- Carbon dioxide** Annual production:
Details:
- Methane** Annual production:
Details:
- Other greenhouse gas (Nitrous oxide, sulphur hexafluoride, hydrofluorocarbons and perfluorocarbons)**
Annual production:
Details:
- Ozone depleting substance** Annual production/use:
Details:
- Hazardous substance** Annual production/use:
Details:
- Persistent organic pollutant** Annual production/use:
Details:

SOCIAL AND HUMAN RIGHTS IMPACTS

8 Will the goods or project cause, require, bring about or stimulate any specific social or human rights impacts?

Please provide details of these.

Social benefits, please specify:

9 Will the benefits of the goods/project (including employment opportunities for local people) be open to all, regardless of race, religion, gender, social grouping, etc.?

Yes

No

Don't know

If No, please provide details and justification of selection process.

10 Please give details of any other information that you think may be relevant to ECGD's assessment of the impact of this project, including any positive impacts not previously identified.

DECLARATION

We declare that:

1. if there are any material changes in the information provided in response to this Impact Questionnaire prior to ECGD approving support we will advise ECGD immediately;
2. if this Impact Questionnaire has been transmitted by electronic means, we have not amended any of the declarations or the questions contained in the Impact Questionnaire provided to us by ECGD;
3. the facts stated and the representations made in this form and in any related discussions or correspondence are, to the best of our knowledge and belief, true and that we have neither misrepresented nor omitted any fact nor failed to provide any information known to us which is material to ECGD's assessment of the impact of the contract or the project to which it relates.

Signed on behalf of (Company Name)

Signature

Name (block capitals)

Capacity of signatory

(Signatory must be an authorised officer on behalf of the Company)

Date

GUIDANCE NOTES

These notes provide guidance to applicants to assist the completion of the Environmental, Social and Human Rights (ESHR) Impact Questionnaire. The answers you provide will help ECGD to understand the ESHR impacts of the exports (and, if appropriate, the related project) we are being asked to support. If you require further assistance, contact the relevant Underwriter or the Environmental Advisory Unit at ECGD.

Through asking applicants to provide ESHR information, ECGD also seeks to raise awareness of sustainability issues and the impact of business on the global environment. ECGD reports on the sustainability of the projects for which it has provided support and some of the answers may be included in such reports.

QUESTION 1

Are any other Export Credit Agencies (ECAs) or International Financial Institutions (IFIs) involved in the project?

If yes, please state names of IFIs or ECAs involved.

GUIDANCE NOTE

Your answer may assist us in avoiding the duplication of requests for information to understand the ESHR impacts and the standards to be applied e.g. the use of the World Bank standards. ECGD may be able to make use of assessments/investigations undertaken by or for IFIs e.g. the International Finance Corporation (IFC), the European Bank for Reconstruction and Development (EBRD) etc. or other ECAs. We may wish to co-ordinate our activities with them in order to streamline the process of investigation and analysis. Provision of contact details for the relevant people involved would facilitate this.

QUESTION 2

(a) If you have corporate Environment, Occupational Health & Safety, Social Issues or Human Rights policies and/or your company subscribes to ISO 14001/EMAS accreditation, please attach relevant details (if not previously provided).

GUIDANCE NOTE

ECGD is interested to know about your commitment to Sustainable Development through the relevant policies you employ and the implementation of appropriate environmental management systems (EMS) which may be verified/accredited where possible. Since EMS certificates are awarded for individual sites, please provide copies of the certificate(s) for the site(s) connected with each specific request for support.

Your Human Rights policies might contain, for example, your policy on equal opportunities.

QUESTION 3

(a) Is the project which you are supplying goods/services to or investing in designed to meet recognised environmental standards?

(b) If the project/business is designed to meet any other environmental performance standards please identify and provide a copy of these.

GUIDANCE NOTE

ECGD is interested in the impacts of both the goods/services being supplied and also any associated project of which the goods/services form a part.

ECGD 'benchmarks' projects against internationally recognised standards, usually those of the World Bank Group but others where this is appropriate e.g. Regional Development Banks, World Health Organisation (WHO), EU, UK). If Host country or other standards are

being used please provide a copy of these.

Host country or project standards that are below international environmental standards would normally be unacceptable and, in line with the World Bank Guidelines¹, would need site specific justification.

If there are no applicable or appropriate environmental standards please provide an explanation of why this is the case.

QUESTION 4

Please indicate whether the goods/services/project that you are supplying/investing in will be compliant with UK or destination/host country Health and Safety standards and supply details of any Health and Safety guidance that you supply to the users/operators of your goods/ projects.

GUIDANCE NOTE

ECGD needs to satisfy itself that due regard is being paid to Health & Safety issues. If the guidance that you normally supply is extensive then please provide a contents list only in the first instance.

The World Bank Group has produced guidelines relating to occupational health and safety which are available from the IFC website at:

http://www.ifc.org/enviro/EnvSoc/pollution/Gen_h_s.pdf

<http://www.ifc.org/enviro/EnvSoc/pollution/occupation/DraftOHS.pdf>

ECGD uses these guidelines as the benchmarks of good practice for the occupational health and safety aspects of projects.

QUESTION 7

(a) Please identify and quantify the annual consumption or extraction by the project and provide details relating to the following resources:

GUIDANCE NOTE

The use of renewable resources is being encouraged and minimisation of the use of non-renewable resources is being discouraged.

If the project sources its electrical power from the national grid, please state this. If the project has a dedicated power plant, please provide details of the fuel and technology used.

(b) Please identify and quantify the annual production or use by the project of greenhouse gases, ozone depleting substances, hazardous substances or persistent organic pollutants (POPs):

Greenhouse gases (UNFCCC and Kyoto Protocol)

The release of greenhouse gases is being discouraged and the use of renewable power sources is being encouraged.

Further information about the *United Nations Framework Convention on Climate Change* and the *Kyoto Protocol* can be found on the website: <http://unfccc.int/>

Ozone depletion (Montreal Protocol)

Are CFCs or HCFCs used in refrigeration or air-conditioning systems? Have alternatives been considered? Are any other ozone depleters used?

Details of the Montreal Protocol including a list of ozone depleters can be found on the UNEP website at: http://www.unep.ch/ozone/mont_t.htm.

◆ _____

¹ See "Using the Guidelines" in Part III of the World Bank's *Pollution Prevention and Abatement Handbook 1998* available at: <http://wbIn0018.worldbank.org/essd/essd.nsf/Docs/PPAH>.

Hazardous substances

What hazardous substances are used or produced in the project e.g. are polychlorinated biphenyls (PCBs) present in transformers, capacitors or gas pipelines? What processes or procedural systems are there to prevent or deal with the escape of any such hazardous substances?

The IFC has produced guidelines for the management of hazardous materials and PCBs in particular. These are available from the IFC website at:

<http://www.ifc.org/enviro/EnvSoc/pollution/guidelines.htm>.

Persistent Organic Pollutants

Persistent Organic Pollutants (POPs) are chemical substances that persist in the environment, bioaccumulate through the food web, and pose a risk of causing adverse effects to human health and the environment. There is evidence of long-range transport of these substances to regions where they have never been used or produced and the consequent threats they pose to the global environment. The reduction and elimination of releases of these chemicals is being encouraged.

Further information about POPs may be obtained from the UN Environment Programme website at: <http://www.chem.unep.ch/pops/>.

QUESTION 8

Will the goods or project cause, require, bring about or stimulate any specific social or human rights impacts?

GUIDANCE NOTES

Please provide information on whether or not such impacts that you are aware of, after enquiry appropriate to your role in the project, are significant. This should include information about potential impacts outside the immediate project area, for example, downstream or downwind. The following questions may assist in determining the appropriate answer and the information required.

Resettlement of the local population

Are people being moved from or excluded from the site of the project. Are they being moved on a voluntary or involuntary basis? How many are involved? How long have they lived or worked on the site? What is the source of this data and how reliable is it?

The World Bank Group has an Operational Directive relating to Involuntary Resettlement (OD 4.30) available from the IFC website at:

http://www.ifc.org/enviro/EnvSoc/Safeguard/Resettlement/OD_430_Involuntary_Resettlement.pdf.

The IFC has also produced a handbook to assist in the preparation of Resettlement Action Plans – further details are available at:

<http://www.ifc.org/enviro/Publications/ResettlementHandbook/resettlementhandbook.htm>.

ECGD uses OD 4.30 as the benchmark of good practice for projects involving involuntary resettlement.

Compulsory acquisition of land

Is land being acquired by or on behalf of the project company through compulsory purchase systems? How and by whom are the assets and resource base being valued? Are current inhabitants/users being offered alternative land or cash or both? Are equivalent or better services and infrastructure being provided? Compulsory land acquisition is sometimes referred to as 'economic resettlement' and many of the practices described in OD 4.30 can be adopted in these circumstances.

Displacement of or damage to existing industry or agriculture

Are alternative jobs (with appropriate training) being offered? Is the whole community being consulted?

Job losses among the local population

Are job losses expected to arise as a result of the project? What opportunities for re-employment or re-training are being provided?

Child labour

Are any children under 14 employed? What mechanisms are being put in place to monitor this? Do any children accompany their parent(s) onto the business premises?

In common with most countries around the world, the UK has ratified the United Nations convention on the Rights of the Child and the International Labour Organisation conventions on the abolition of child labour. It is ECGD's policy not to provide support to projects that involve harmful child labour.

The World Bank Group has produced a Policy relating to harmful child and forced labour which is available from the IFC website at:

<http://www.ifc.org/enviro/enviro/childlabor/child.htm>.

ECGD uses this policy as the benchmark of good practice for projects involving child labour.

The IFC has also produced a Good Practice Note entitled *Addressing Child Labour in the Workplace and the Supply Chain*. This is a source of information for situations where child labour is considered essential.

Bonded or forced labour

Is any of the work extracted for no payment and/or under threat of force or penalty e.g. does the employer hold workers' identity documents? Is work extracted as payment for debt?

In common with most countries around the world, the UK has ratified the International Labour Organisation Conventions on the elimination of forced or compulsory labour. It is ECGD's policy not to provide support to projects that involve bonded or forced labour.

Large-scale influx of workers

Will the business (or sub-contractors) import a non-local work-force requiring accommodation and access to facilities for a period of more than 3 months e.g. during a construction phase? How many will be employed and for how long? Have opportunities been offered to local workers?

Damage to sites of cultural, historic or scientific interest

Does the project affect a religious or ancestral site, or natural resources ascribed by local people with cultural or sacred significance? Is the site near to a recognised World Heritage site? Is the site near to a recognised site of special scientific interest?

The World Bank Group has produced an Operational Policy Note relating to cultural property (OPN 11.03) which is available from the IFC website at:

<http://www.ifc.org/enviro/EnvSoc/Safeguard/Property/property.htm>.

ECGD uses OPN 11.03 as the benchmark of good practice for projects involving cultural property.

Impact on minority or vulnerable communities

Are any of the above impacts likely to have a disproportionate effect on national, ethnic or religious minority communities or other vulnerable groups in the country concerned?

The World Bank Group has produced an Operational Directive relating to indigenous peoples (OD 4.20) which is available from the IFC website at:

<http://www.ifc.org/enviro/EnvSoc/Safeguard/Indigenous/indigenous.htm>.

ECGD uses OD 4.20 as the benchmark of good practice for projects involving indigenous peoples.

Use of armed personnel

Please indicate, as far you are aware, the extent of armed security that the contract or project will use (e.g. number of armed personnel). If possible, include details of rules or procedures in place governing conduct of personnel and sub-contractors, including on the use of force.

Projects making use of extensive security arrangements may need to take account of the impact of those arrangements in a Social Impact Assessment (SIA).

Social benefits

Please provide details of any social benefits arising from the project.

QUESTION 9

Will the benefits of the goods/project (including employment opportunities for local people) be open to all, regardless of race, religion, gender, social grouping, etc.?

GUIDANCE NOTES

Please indicate whether you are aware of, and, if possible, give reasons for, any discriminatory practices relating to the opportunities to derive benefits from the exported goods or the various phases of the project (construction, operation, product use, and decommissioning).

In common with most countries around the world, the UK has ratified the United Nations conventions on the elimination of all forms of racial discrimination and the elimination of all forms of discrimination against women and the International Labour Organisation conventions on the elimination of discrimination in respect of employment and occupation.

QUESTION 10

Please give details of any other information that you think may be relevant to ECGD's assessment of the impact of this project, including any positive impacts not previously identified.

GUIDANCE NOTES

Please ensure that all positive environmental, health and safety, and social impacts are clearly identified and quantified where possible. Positive impacts could include reduction in pollution, improved efficiency of energy usage, generation of electricity from renewable resources, technology transfer, skills transfer and training, opportunities for local entrepreneurs such as micro-credit schemes and other associated community projects.