



UNED DDATA LLYWODRAETH LEOL ~ CYMRU
LOCAL GOVERNMENT DATA UNIT ~ WALES

Project report

Embedding the National Enforcement Priorities

Revision 2 – December 2012

Background

The first set of National Enforcement Priorities for Public Protection services in Wales was published in July 2010. The four National Enforcement Priorities are:

- Protecting individuals from harm and promoting health improvement;
- Ensuring the safety and quality of the food chain to minimise risk to human and animal health;
- Promoting a fair and just trading environment for citizens and business; and
- Improving the local environment to positively influence quality of life and promote sustainability.

Since then, work has been undertaken by the Better Regulation Delivery Office to enable and facilitate the local delivery of these national priorities. Some of this work has looked at the data already collected from local authorities in order to reduce the administrative burden; to foster effective data sharing across the regulatory system; and to explore ways to make better use of the data to evidence contribution to the national priorities.

The Data unit was commissioned by the BRDO in November 2011 to identify a set of data, **drawn from existing sources**, which could be used to demonstrate progress towards the agreed National Enforcement Priorities.

The work would include:

- Developing a basket of measures under each Priority;
- Presenting the measures so as to “tell the story” around the delivery of each of the Priorities; and
- Identifying data gaps.

Our approach

Our approach focused on understanding the links between frontline activities and the delivery of the national priorities. We brought together representatives from the relevant stakeholder groups to consider these, and to identify appropriate data which evidenced their effective delivery.

Having undertaken the work, we continue to believe that this approach adds value by:

- highlighting links between frontline activities and the achievement of national priorities;
- placing ownership of the agreed dataset within services;
- Providing incentives for those services to ensure that data they provide and use remains robust and fit for purpose.

As the Priorities are very broad, our approach seeks to establish a common understanding of the key activities that influence progress towards their achievement. We would then identify data at this level which indicates progress towards effective delivery of the key activities, and act as “proxy” measures of the effective achievement of the national priorities.

We anticipated that the data would allow us to create dashboards for each of the national priorities which would include high-level ‘population’ indicators and associated service measures.

Our findings

It is worth noting that the work to develop a set of appropriate data to evidence progress towards the NEPs was enthusiastically received by those with whom we have engaged.

We initially convened a group of local authority representatives to establish the links between front-line activity and the NEPs. The outputs from a workshop held to consider these links is included at *Annex A*.

The workshop established that local authorities undertake a wide range of activities linked to the delivery of the NEPs, and that there are any number of potential sources of data which might be useful for the purpose of this project.

It was somewhat disappointing, therefore, to find that many of the data sets were not published at a suitable geography, or did not offer the level of detail anticipated by the local authorities. In some cases, the data was only available at an ‘England and Wales’ level, in others, the numbers involved were too small to make any meaningful use over time. It is likely that some of the organisations from whom we sought data could provide data at different geographies, or with different aggregations should we determine that this is a future requirement. This was not, however, within the scope of this work.

[Appendix B](#) provides a list of the data considered under each of the NEPs with notes to explain our thinking around its suitability for use in this project.

Despite the limitations of the proposed data, we have identified an initial set of data which begins to demonstrate progress towards the achievement of the NEPs. The data set makes significant use of public protection performance indicators, as these appear to be the most relevant, robust and appropriate data. The chosen data items are listed in [Appendix C](#).

We have presented these in an initial dashboard which attempts to offer both a national and a local view of progress. (The dashboard is presented in Microsoft Excel and is provided separately to this report).

Some specific recommendations...

The following recommendations are put forward here to address some of the perceived problems with the approach to date.

1. Further work with local authorities and regulatory bodies is undertaken to distil out the data that matters in the context of delivering key outcomes and improving services.
2. Further work is undertaken to explore accessibility, appropriateness and geography for those data items considered in [Appendix B](#) for inclusion but deemed 'unsuitable'.
3. The original recommendation in the 'Data Collections Report' is widened beyond 'existing data collections' to consider potential use of non-published data held by local authorities and the regulatory bodies, and to identify any data gaps.
4. Greater Local Authority engagement and ownership is encouraged through support and facilitation provided by BRDO working in partnership with the Data Unit Wales.
5. A further rationalisation of data demands by national regulatory bodies is considered once work to identify the 'data that matters' is complete.

A way forward...

Whilst the work to date may not meet our collective expectations, we believe that there is an opportunity to further develop this work. Our suggested approach is set out below for your consideration.

- The Data Unit already has a remit to work with local government services to establish data sets which can be used to evidence and support service improvement towards agreed service outcomes as part of support for the national Performance Improvement Framework.

This work places ownership of data clearly within local services and ensures their buy-in to the process. Regular facilitated meetings allow the service to consider and understand the variation in data across Wales, and to learn from each other.

We are already working with a range of local authority services to this end.

- The level of engagement we experienced from the Welsh local authorities during this exercise suggests that there is an appetite to take the work further.
- With buy-in and involvement from national regulators, there would be an opportunity to shape and influence the data that is collected and used.

With your agreement, we would establish a sub-group of the Welsh Regulators Forum consisting of local authorities; national regulators; the WLGA; the BRDO and the Data Unit. The remit of the group would be to establish and agree a set of relevant data to be used to evidence and support service improvement and delivery of the nationally agreed priorities.

This group, if established, would enable us collectively to address the recommendations presented above.

Once a data set is in place, we would collate/collect the agreed data on a regular basis and make this available to the relevant organisations to inform their improvement work. We would also facilitate the sharing and use of this data within and between the local authorities in Wales.

We would be happy to discuss this proposed approach further as necessary.

Richard Palmer

Head of Improvement

Local Government Data Unit ~ Wales

Appendix A

The table below shows the output from the local authority workshop which seeks to identify the links between front-line activity and the NEPs. It also identifies potential data items and sources.

National Enforcement Priority	Regulatory activity that will contribute to delivering the priority	What work do you do on the ground to demonstrate progress against the related priority?	How does this activity contribute to delivering the related priority?	Does a measure exist, locally or otherwise, that demonstrates this contribution?
Protecting individuals from harm and promoting health improvement	Alcohol licensing	<ul style="list-style-type: none"> • Implement the best bar none scheme • Carry out test purchases 	<ul style="list-style-type: none"> • Restrict underage sales • Limit the irresponsible sale of alcohol • Reduce the incidents of alcohol related violence • Protection of young people from the effects of alcohol and the dangers that they pose 	<ul style="list-style-type: none"> • Night time economy data • Number of rejected applications • Number of incidents of alcohol related violence • Number of Best Bar None Awards awarded
	Improving health, safety and wellbeing in the workplace	<ul style="list-style-type: none"> • Enforce compliance with the smoking ban • Workplace Health and safety inspection programme. • Investigation of complaints • Investigation of incidents/accidents • Accident investigations (RIDDOR - Reporting of Diseases and Dangerous Occurrence Regs) • Enforce compliance with gas safety and electrical safety 	<ul style="list-style-type: none"> • Improving safety and health in the workplace • Citizens are protected from the risks that arise from work activities. • Prevent future incidents from occurring by saving lives and keeping workers and the public safe within work environments. 	<ul style="list-style-type: none"> • Number of smoking fixed penalty notices issued • Incidence of smoking related illness • Number of H&S inspections (Cat A and national projects) • Number of complaints • Number of reportable incidents/accidents

		<ul style="list-style-type: none"> regs Enforce compliance with Pressure Vessels Regs (autoclaves, coffee machines); Enforce compliance with Radiological (Lasers, Radon) 		
	Restricting underage sales	<ul style="list-style-type: none"> Issue validate cards Carry out test purchases Gather intelligence from different sources Implement the best bar none scheme Promote challenge 21 and 25 schemes 	<ul style="list-style-type: none"> Through community safety alcohol partnerships Protection of young people from the effects of alcohol and the dangers that they pose Reduction of costs (NHS etc) related to alcohol harm in young people. 	<ul style="list-style-type: none"> Incidents of underage sales through test purchases Number of campaign related visits to schools Number of proof of age cards issued
	Ensuring nutritional standards	<ul style="list-style-type: none"> Partake in the National Healthy options awards scheme Monitor nutritional content of school meals Monitor nutritional content of food in care homes Ensure food labels are correct Provide good business advice Health challenge Wales sign up Produce a Food service plan (Requirement by FSA) 	<ul style="list-style-type: none"> Improving choice and availability of healthy options in catering establishments 	<ul style="list-style-type: none"> Number of checks for compliance against food labelling Number of Healthy Options Awards issued per county
	Improving housing	<ul style="list-style-type: none"> License HMOs Landlord accreditation 	<ul style="list-style-type: none"> Increases energy efficiency 	<ul style="list-style-type: none"> PSR/007 Number of LAW

	standards	<p>scheme (4 tier system)</p> <ul style="list-style-type: none"> • Improve private sector housing • Improve standards in renewal areas • Implement the Welsh housing quality standard • Private sector housing condition surveys (5 yr cycle?) • Empty Homes 	<ul style="list-style-type: none"> • Improves condition of private sector housing • Protects individuals from the health risks associated with poor housing conditions. 	<p>accredited landlords per county.</p> <ul style="list-style-type: none"> • Number of Houseproud Loans issued (Houseproud may be too specific, we have our own scheme in Powys) • Number of empty properties brought back into use • Number of properties improved in Renewal Areas • Number/% of public sector rented homes improved to meet Welsh Housing Quality Standard
Ensuring the safety and quality of the food chain to minimise risk to human and animal health	Approval of food manufacturing	<ul style="list-style-type: none"> • National list of approved premises for manufacturing - LAs do the inspections 	<ul style="list-style-type: none"> • Ensures that manufacturers have appropriate facilities and food safety management systems in place prior to commencement of trading ensuring food safety for citizens 	<ul style="list-style-type: none"> • Number of approved premises
	Hygiene of food and feeding stuff businesses	<ul style="list-style-type: none"> • Food and feeding stuff service plan – registered and approved premises – inspections and sampling • Food hygiene rating – scores on the doors now the 	<ul style="list-style-type: none"> • Enables improvements to food premises ensuring the safety of food for consumers 	<ul style="list-style-type: none"> • PPN/001 • PPN/009 • PPN/007 (feeding stuffs not food) • Number of non compliant issues found

		<ul style="list-style-type: none"> • Issue of written warnings, improvement notices, Prohibition Notices, cautions and prosecutions. • Infectious disease / communicable disease control 		<ul style="list-style-type: none"> • Number of non compliant actions rectified • Welsh heads of trading standards return • Number of re-visits • Number of written warnings issued • Number of improvement notices issued • Number of Prohibition notices • Number of cautions • Number of prosecutions
	Safety and wholesomeness of foodstuffs	<ul style="list-style-type: none"> • Food and feed sampling programs • Detention and seizure of unfit food • Highest risk premises subject to inspection • Reference to our role in investigating communicable diseases and the prevention of spread of disease through excluding food handlers, health care staff etc 	<ul style="list-style-type: none"> • Preventing people who are infectious from working and further spreading disease through food, therefore ensuring the protection of the citizen 	<ul style="list-style-type: none"> • Number of samples against non compliant samples • PPN/009
	Farmed animal health and welfare	<ul style="list-style-type: none"> • Documentation and transportation checks • Action plan agreed with WG - disease control and monitoring compliance • Bovine TB compliance – movements etc 	<ul style="list-style-type: none"> • Protecting the citizen from zoonosis 	<ul style="list-style-type: none"> • PPN/007 • Animal health and welfare Section 81 return • % visits high risk – PPN/001 • Instances of disease

		<ul style="list-style-type: none"> • Effective contingency plans 		<p>and welfare issues</p> <ul style="list-style-type: none"> • Central measure for non compliance • AMES animal management enforcement system (national database) • AMLS animal movement licensing system (national database)
<p>Promoting a fair and just trading environment for citizens and business</p>	<p>Regulating consumer credit and loan sharks</p>	<ul style="list-style-type: none"> • Inspections on behalf of Office Fair Trading for premises identified as High Risk by the OFT • Effective intelligence gathering • Ensuring documentation is accurate. • Exchange of information 	<ul style="list-style-type: none"> • Ensuring that citizens are protected from unscrupulous credit providers 	<ul style="list-style-type: none"> • Welsh illegal money lending unit • Scam busters • Competitions and market authority
	<p>Dealing with unfair trading practices, including doorstep crime, scams and rogue trading</p>	<ul style="list-style-type: none"> • Giving advice to vulnerable groups (elderly) and providing them with material (stickers) • Dealing complaint and resulting enforcements actions • Introducing cold call zones – (not being considered in Ceredigion) • Registered builders scheme (not being considered in Ceredigion) • Trust mark (not being considered in Ceredigion) • Work with Police to bring 	<ul style="list-style-type: none"> • Protects citizens and compliant businesses from the unscrupulous actions of rogue traders 	<ul style="list-style-type: none"> • Scam busters? • Number of enforcement actions against rogue traders. • Number of cold call zones established in Wales •

	Product safety surveillance and testing	<ul style="list-style-type: none"> • Sampling • Test purchasing • Complaints • Used car premises inspections • Electric blanket testing (no longer done in Ceredigion) • Market surveillance of the internet (e-bay) – test purchases, gathering intelligence 	<ul style="list-style-type: none"> • Ensuring that consumers can be confident in making their purchases from trusted traders 	<ul style="list-style-type: none"> • All Wales product safety panel – survey? • Sampling budget (consumer goods) against the LA population • Counterfeit goods – number of prosecutions • Non compliance – % goods not meeting safety standards
	Inspecting weights and measures equipment	<ul style="list-style-type: none"> • Inspect petrol pumps (test seals) • Inspect measures in pubs • Inspect scrap metal price per kg • Inspect costs of gold per gram • Inspect weigh bridges • Weight of lorries carrying goods (food stuffs, industrial, commercial goods) 	<ul style="list-style-type: none"> • Ensuring those who purchase goods by weight or volume are dealt with in a fair and just manner by traders using just equipment 	<ul style="list-style-type: none"> • Number of inspections broken down by risk rating and business type • National measurement office (NMO)
	Supporting business through advice	<ul style="list-style-type: none"> • Supporting new businesses – business that get visits are more likely to survive (correct labels, weight, prices, food and H&S compliance also relevant etc) 	<ul style="list-style-type: none"> • Ensures businesses are legally compliant from the outset saving business unnecessary costs and ensuring that consumers can make confident purchases. 	<ul style="list-style-type: none"> • Number of visits to new businesses • Number of request received for advice and guidance – what sort of advice was given • Number of complaints received?
	Empowering consumers	<ul style="list-style-type: none"> • Advice • Financial literacy campaign 	<ul style="list-style-type: none"> • Ensuring consumers are aware of their 	<ul style="list-style-type: none"> • Number of complaints dealt with?

	through education	(school visits)(Not in Ceredigion) <ul style="list-style-type: none"> • Future joint educational campaigns with citizen's advice 	consumer rights and of the pitfalls of dealing with unscrupulous traders	<ul style="list-style-type: none"> • Number of requests for advice from businesses
Improving the local environment to positively influence quality of life and promote sustainability	Regulating local environmental quality	<ul style="list-style-type: none"> • Sampling land • Private water sampling • Bathing water • Contaminated land • Monitoring air quality • Dealing with fly tipping • Hackney carriage licence (Taxis) 	<ul style="list-style-type: none"> • Remediation of polluted land • Indicator of water quality • Indicator of bathing water quality • Remediation of contaminated land • Contributes to strategy for limiting air pollution • Improves amenity and removes hazardous materials 	<ul style="list-style-type: none"> • Measuring compliance • Standards and % remediation • % compliance with emission standards • Number of fly tips recorded
	Controlling nuisance and anti social behaviour	<ul style="list-style-type: none"> • Noise pollution / nuisance • Other statutory nuisance • Pest Control • ASBOs • Community safety partnerships • Enforcement of tenancy conditions in the public sector • WG local ASB management standard • Fly tipping • Dog fouling • Stray dogs • Hackney carriage licence (Taxis) • License for security 	<ul style="list-style-type: none"> • Reduction in nuisance which is prejudicial to health of the community • Control of vermin and public health pests which would otherwise be prejudicial to the health of the community 	<ul style="list-style-type: none"> • Commercial and domestic – enforcement for non compliance – what action was taken • Measuring ASB prevention (is there a way to measure prevention) • Number of fly-tips recorded • Number of fixed penalties served / Number of enquiries received • Number of strays reported

	Alcohol licensing	<ul style="list-style-type: none"> Dealing with ASB and Noise nuisance 	<ul style="list-style-type: none"> Improving the amenity of the area for citizens and promoting their health and wellbeing 	
	Housing safety standards	<ul style="list-style-type: none"> License HMOs Landlord accreditation scheme (4 tier system) Improve private sector housing Improve standards in renewal areas Implement the Welsh housing quality standard Private sector housing condition surveys (5 yr cycle?) Empty Homes 	<ul style="list-style-type: none"> Through utilisation of the Housing Health and Safety Rating System, this leads to and improvement in housing safety standards 	<ul style="list-style-type: none"> PSR/007 PSR/008 Number of notices issued Number of complaint received Number of voids Number of complaints resolved
	Waste minimisation	<ul style="list-style-type: none"> Provide advice to businesses Promote waste reduction to reduce littering and inappropriate waste disposal. Introduce re-cycling banks Promote Battery recycling Encourage recycling Initiate weekly collections of food waste and dry recyclables Operation of Household waste sites 	<ul style="list-style-type: none"> Re-cycling and the reduction of refuse directed towards landfill provide protection for the environment. Reduces what is sent to landfill. Household waste re-cycled as best/much as possible to reduce landfill waste. 	<ul style="list-style-type: none"> Waste arisings Waste diverted from landfill WMT PI's Waste data flow Landfill allowance scheme ensures that landfill waste is kept to a minimum
Managing flood	<ul style="list-style-type: none"> Flood risk assessments to 	<ul style="list-style-type: none"> Protecting the 	<ul style="list-style-type: none"> The number of Flood 	

	risks and other emerging threats from climate change	<ul style="list-style-type: none"> • Maps and management plan 	<p>environment from the risk of flooding</p> <ul style="list-style-type: none"> • Ensuring that relevant plans are in place to minimise 	<p>risk areas.</p> <ul style="list-style-type: none"> • The reduction in the number of flood risk areas
	Air quality management	<ul style="list-style-type: none"> • Inspections and sampling 	<ul style="list-style-type: none"> • No Local AQM zones in Ceredigion but control of emissions of pollutants to air from industrial processes safeguards the health of the community 	<ul style="list-style-type: none"> • Number of samples
	Animal health movements and identification	<ul style="list-style-type: none"> • Recording animal movements on AMLS • Checking Identification of animals • Checking that Disease control measures including the statutory standstill periods are adhered to 	<ul style="list-style-type: none"> • Ensuring that Animal Health & Welfare standards are maintained protecting the citizen and the environment 	<ul style="list-style-type: none"> • Number of animal movements • The number significant breaches

Appendix B

The pages that follow contain a list of data items considered for inclusion under each of the NEPs, along with information about their use.

Protecting individuals from harm and promoting health improvement

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
Alcohol licensing	Number of licences applied for, granted and refused by type of licence	Local authority and Wales	Dept. for Culture, Sport and Leisure (DCMS)	No	Small numbers made the data invalid for our purpose.
	Number of licences surrendered, lapsed, revoked, forfeited, suspended or withdrawn	Local authority and Wales	Dept. for Culture, Sport and Leisure (DCMS)	No	Small numbers made the data invalid for our purpose.
	Number of people presenting to treatment services for alcohol misuse	Wales only	Welsh Government	No	Data was only available at a Wales level.
	Individuals referred for alcohol misuse - number	Wales only	Welsh Government	No	Data was only available at a Wales level.
	All referrals for alcohol misuse - number	Wales only	Welsh Government	No	Data was only available at a Wales level.
	Alcohol (Specific) - Admission Rates per 100,000 population	Local authority and Wales	Health maps Wales	No	Data on 'Attributable' admissions used (see below).

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	Alcohol (Attributable) - Admission Rates per 100,000 population	Local authority and Wales	Health maps Wales	Yes	
	Percentage of people who consume alcohol: above guidelines	Wales only	Welsh Government	Yes	
	Percentage of people who consume alcohol: binge	Wales only	Welsh Government	No	Data was only available at a Wales level.
	PPN/007i - Significant breaches rectified - Trading Standards (%)	Local authority and Wales	Local Government Data Unit	Yes	
	Sale of alcohol to a person under 18	Police authority and Wales	Ministry of Justice	Yes	We have used data for England and Wales. Data for Wales only may be available – we are continuing to follow this up with the MoJ.
	Purchasing alcohol for a person under 18	Police authority and Wales	Ministry of Justice	No	Small numbers made the data invalid for our purpose.
Improving health, safety and wellbeing in the workplace	Percentage of smokers ages 16+	Wales only	Welsh Government	Yes	Used as a proxy for workplace smoking, as the 'smoking ban compliance' data was of poor quality and only available for 1 year (see below)
	Smoking ban compliance data	Local authority and Wales	Welsh Government	No	Data available from Welsh Government on a monthly basis. This data is of questionable quality. We had to introduce new aggregations to generate meaningful data. We would need to review this data with authorities before using it.

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	Rate of non-fatal injury to employees by region	Wales	H&S executive	No	Data was only available at a Wales level.
	Incidence (annual) - all injuries and reportable injuries, for people working in the last 12 months	Wales	H&S executive	Yes	Data was only available at a Wales level.
	Reported injuries to workers - regional breakdowns[6]	Wales	H&S executive	No	Data was only available at a Wales level.
	PPN/001iv - High risk businesses inspected - Health & Safety (%)	Local authority and Wales	Local Government Data Unit	Yes	
	PPN/008iv - New businesses assessed - health & safety (%)	Local authority and Wales	Local Government Data Unit	Yes	
	Total Enforcement Notices issued by LAs	Wales	H&S executive	No	Data was only available at a Wales level.
	Number of offences resulting in a conviction	Wales	H&S executive	No	Data was only available at a Wales level. Small numbers made the data invalid for our purpose.
Restricting underage sales	PPN/007iD: The total number of significant breaches of Trading Standards legislation that required rectification during the year	Local authority and Wales	Local Government Data Unit	No	As a count, this data did not add value to our analysis.

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	PPN/007i - Significant breaches rectified - Trading Standards (%)	Local authority and Wales	Local Government Data Unit	Yes	
	PPN/008i - New businesses assessed - trading standards (%)	Local authority and Wales	Local Government Data Unit	No	
	[010797] Referrals by individual substance (age group, gender)	Wales only	Welsh Government	No	Data was only available at a Wales level.
	Number of validate cards issued	Local authority and Wales	Validate UK	No	Concerns about the reliability of this data. Funding has been cut dramatically over the last few years which has impacted on the robustness of this collection.
Ensuring nutritional standards	Annex C: Table 1: Interventions 2010/11 (number and percentage) – Food Hygiene	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA. Data as presented did not enable us to understand performance.
	Annex C: Table 3: Interventions 2010/11 (number and percentage) – Food Standards	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA. Data as presented did not enable us to understand performance.
	Annex E: Table 1: Enforcement actions 2010/11 – Food Hygiene	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA. Data as presented did not enable us to understand performance.

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	Annex E: Table 2: Enforcement actions 2010/11 – Food Standards	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA. Data as presented did not enable us to understand performance.
	Sampling 2010/111920	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA. Data as presented did not enable us to understand performance.
	Annex G: Consumer complaints dealt with by UK LAs from 2008/09	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA. Data as presented did not enable us to understand performance.
Improving housing standards	PSR/007a - HMOs with a full licence (%)	Local authority and Wales	Local Government Data Unit	Yes	
	PSR/007b - HMOs issued with a licence with conditions attached	Local authority and Wales	Local Government Data Unit	No	Data on HMOs with a 'Full License' used (see above)
	PSR/007c - HMOs subject to enforcement activity	Local authority and Wales	Local Government Data Unit	No	Data on HMOs with a 'Full License' used (see above)
	[032318] Category 1 and 2 hazards identified by the unitary authority by dwelling and hazard type (area, hazard, category, dwelling) NS	Local authority and Wales	Welsh Government	No	Data on HMOs with a 'Full License' used (see above)

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	[034795] Vacancies by availability, duration and unitary authority (availability, duration, area, provider, vacancy type)	Local authority and Wales	Welsh Government	No	As a count, this data did not add value to our analysis. The link between the number of vacancies and the 'housing standard' is tenuous.
	[036464] Private Sector Renewal assistance for housing renewal by activity, measure and unitary authority (activity, measure, area) NS	Local authority and Wales	Welsh Government	No	As a count, this data did not add value to our analysis. The link between the number of vacancies and the 'housing standard' is tenuous.
	[032472] Dwellings demolished by clearance area and unitary authority (tenure, clearance, area) NS	Local authority and Wales	Welsh Government	No	As a count, this data did not add value to our analysis. The link between the number of vacancies and the 'housing standard' is tenuous.

Ensuring the safety and quality of the food chain to minimise risk to human and animal health

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
Approval of food manufacturing	Number of approved manufacturing premises	Local authority and Wales	Food Standards Agency	No	The FSA are only required to keep an up to date list of approved premises, they do not have historical information. They also informed us that the list of approved manufacturers would not be indicative of local authority enforcement activity.
Hygiene of food and feeding stuff businesses	PPN/001iii - High risk businesses inspected - Animal Health	Local authority and Wales	Local Government Data Unit	Yes	
	PPN/009 - Broadly compliant food premises	Local authority and Wales	Local Government Data Unit	No	This data item was introduced for 2010-11, so we only have one year of data. This can be used in the future.
	PPN/007ii - Significant breaches rectified - Animal Health	Local authority and Wales	Local Government Data Unit	Yes	
	PPN/008iii - New businesses assessed - animal health	Local authority and Wales	Local Government Data Unit	Yes	
	Annex C: Table 1: Interventions 2010/11 (number and percentage) – Food Hygiene	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA.

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	Annex C: Table 3: Interventions 2010/11(number and percentage) – Food Standards	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA.
	Annex E: Table 1: Enforcement actions 2010/11 – Food Hygiene	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA.
	Annex E: Table 2: Enforcement actions 2010/11 – Food Standards	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA.
	Annex G: Consumer complaints dealt with by UK LAs from 2008/09	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA.
Safety and wholesomeness of foodstuffs	Annex F: Food sampling	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA.
	PPN/009 - Broadly compliant food premises	Local authority and Wales	Local Government Data Unit	No	This data item was introduced for 2010-11, so we only have one year of data. This can be used in the future.
	Annex C: Table 1: Interventions 2010/11 (number and percentage) – Food Hygiene	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA.
	Annex G: Consumer complaints dealt with by UK LAs from 2008/09	Local authority and Wales	Food Standards Agency	No	There is potential to use this data subject to further dialogue with the FSA.

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	Wholesomeness of food	N/A	N/A	N/A	The FSA commented that, 'Wholesomeness is a bit of a weird definition - even if it was interpreted as 'nutritious', there would not be an obvious data set. Nutritious is not generally applied to single foods as we usually give the message that it's the diet that is healthy as opposed to individual foods - no single food is nutritionally adequate, it is the variety and balance of foods we eat.'
Farmed animal health and welfare	PPN/007ii - Significant breaches rectified - Animal Health	Local authority and Wales	Local Government Data Unit	No	
NOTE: The lack of any data in addition to that already used for this NEP means that this activity was not included in the final dashboard.	Animal health and welfare Section 81 return	N/A	DEFRA	N/A	Data not immediately available or in unusable format.
	Incidence of TB in Cattle, Great Britain - Data to December 2011	N/A	DEFRA	N/A	This data was due to be published on 14 th March 2012 but has since been delayed. The new release date is currently unknown.
	Table 13.1 Bovine tuberculosis: summary statistics for the testing of animals and herds	Wales	DEFRA	No	This data is available for Wales only and the most recent data is 2008.
	PPN/001iii - High risk businesses inspected - Animal Health (%)	Local authority and Wales	Local Government Data Unit	No	Already used for this NEP – see note.
	PPN/008iii - New businesses assessed - animal health (%)	Local authority and Wales	Local Government Data Unit	No	Already used for this NEP – see note.

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	Livestock identification and movements	N/A	DEFRA	N/A	Data not immediately available or in unusable format.

Promoting a fair and just trading environment for citizens and business animal health

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
Regulating consumer credit and loan sharks	Consumer credit licences	N/A	OFT	N/A	Data not immediately available or in unusable format.
	Wales Illegal Money lending unit data	Wales	Cardiff Trading Standards	No	Data was only available at a Wales level and was not suitable for our purpose.
Dealing with unfair trading practices, including doorstep crime, scams and rogue trading	Scambusters	Wales	Newport Trading Standards	No	Data was only available at a Wales level and was not suitable for our purpose.
	PPN/001i - High risk businesses inspected - Trading Standards	Local authority and Wales	Local Government Data Unit	Yes	
	PPN/008i - New businesses assessed - trading standards	Local authority and Wales	Local Government Data Unit	No	Data on 'high Risk businesses' used (see above)
	PPN/007i - Significant breaches rectified - Trading Standards (%)	Local authority and Wales	Local Government Data Unit	Yes	

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
Product safety surveillance and testing	PPN/001iv - High risk businesses inspected - Health & Safety (%)	Local authority and Wales	Local Government Data Unit	No	Already used for this NEP – see above.
	PPN/008iv - New businesses assessed - health & safety (%)	Local authority and Wales	Local Government Data Unit	No	Already used for this NEP – see above.
Inspecting weights and measures equipment	Level of local enforcement work conducted over a twelve month	Local authority and Wales	National Measurement Office	No	Reporting this data is not compulsory so not all authorities have submitted data. The data that we have received is incomplete.
Supporting business through advice	PPN/008i - New businesses assessed - trading standards	Local authority and Wales	Local Government Data Unit	Yes	
	PPN/008ii - New businesses assessed - food hygiene	Local authority and Wales	Local Government Data Unit	Yes	
	PPN/008iii - New businesses assessed - animal health (%)	Local authority and Wales	Local Government Data Unit	Yes	
	PPN/008iv - New businesses assessed - health & safety (%)	Local authority and Wales	Local Government Data Unit	Yes	
Empowering consumers through education	Empowering consumers through education	N/A	N/A	N/A	Unable to find any data that related to this activity. It is clear from our discussions at the workshop that authorities do a lot of proactive work but none of this is reported or monitored nationally.

Improving the local environment to positively influence quality of life and promote sustainability

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
Regulating local environmental quality	Drinking water quality	N/A	DEFRA	N/A	Could not find this data. Both Dwr Cymru and DEFRA confirmed that they did not collect this type of data.
	Bathing water quality	By beach	DEFRA	No	Data was available but it was not suitable for our purpose. It is expressed as either Pass or Fail for each beach.
	Properties at risk of flooding	England and Wales	DEFRA	No	This data was only available for 2010 and could only be provided at an England and Wales level.
	STS/007 - Fly tipping incidents leading to enforcement activity (%)	Local authority and Wales	Local Government Data Unit	Yes	
	STS/006 - The percentage of reported fly tipping incidents cleared within 5 working days	Local authority and Wales	Local Government Data Unit	Yes	
	No data on taxi licensing	N/A	N/A	N/A	We could not find any national data that related to either taxi or hackney carriage licensing.
Controlling nuisance and anti social behaviour	[036022] Possession orders by main reason for action (possessions, action, procedure, tenancy, provider) NS	Local authority and Wales	Welsh Government	No	As a count, this data did not add value to our analysis.

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	[006805] Total recorded fly-tipping incidents, by local authority (measure, component, area)	Local authority and Wales	Welsh Government	Yes	
	[006811] Number of fly-tipping enforcement actions (measure, component, area)	Local authority and Wales	Welsh Government	No	As a count, this data did not add value to our analysis. Used STS/007 (%) data.
	[007350] Anti-social behaviour in Wales	Wales	Welsh Government	Yes	
	National rodent Survey	UK level only	National Pest technicians Association	No	The survey outputs were very limited and of little use.
	High level of perceived anti-social behaviour	Police authority and Wales	Home Office	No	Data was only available at Wales and police authority level.
Housing safety standards	PSR/007a - HMOs with a full licence (%)	Local authority and Wales	Local Government Data Unit	No	Used 'HOMs issued with a license with conditions attached' (see below)
	PSR/007b - HMOs issued with a licence with conditions attached	Local authority and Wales	Local Government Data Unit	Yes	
	PSR/007c - HMOs subject to enforcement activity	Local authority and Wales	Local Government Data Unit	Yes	
	[032318] Category 1 and 2 hazards identified by the unitary authority by dwelling and hazard type (area, hazard, category, dwelling) NS	Local authority and Wales	Welsh Government	No	As a count, this data did not add value to our analysis.

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	[036464] Private Sector Renewal assistance for housing renewal by activity, measure and unitary authority (activity, measure, area) NS	Local authority and Wales	Welsh Government	No	As a count, this data did not add value to our analysis.
	[032472] Dwellings demolished by clearance area and unitary authority (tenure, clearance, area) NS	Local authority and Wales	Welsh Government	No	As a count, this data did not add value to our analysis.
Waste minimisation	WMT/004 - Local Authority Collected Municipal Waste Sent to Landfill	Local authority and Wales	Local Government Data Unit	No	Used data on percentage diverted from landfill i.e. reused, recycled or composted.
	WMT/008 - The percentage of local authority collected municipal waste: i) Prepared for reuse ii) Recycled; and iii) Collected as source segregated biowastes and composted or treated biologically in another way	Local authority and Wales	Local Government Data Unit	Yes	

Regulatory activity	Proposed data	Aggregation	Data source	Data used?	Feedback
	WMT/007 - The percentage of local authority municipal waste received at all local authority household waste amenity sites that is prepared for reuse, recycled or of source segregated biowaste that is composted or treated biologically in another way	Local authority and Wales	Local Government Data Unit	No	Used WMT/008
	[011056] Local Authority Municipal Waste generated, tonnes, Wales, 2000-01 onwards (NS)	Wales	Welsh Government	No	Data was only available at a Wales level.
	[026800] Household Waste from refuse collection, tonnes, by local authority, 2003-04 onwards (NS)	Local authority and Wales	Welsh Government	No	Used WMT/008
Managing flood risks and other emerging threats from climate change	Properties at risk of flooding	England and Wales	DEFRA	No	This data was not available at a Wales or local authority level. It was only available at an England/Wales level.
Air quality management	Air quality data	N/A	DEFRA	No	Unable to source any meaningful data
Animal health movements and identification	Livestock identification and movements data	N/A	DEFRA	No	Again DEFRA have not been very helpful, we cannot find any data that relates to livestock identification and movements.

Appendix C

Below is a list of data items identified for use in the initial dashboard.

Protecting individuals from harm and promoting health improvement

- Alcohol (Attributable) - Admission Rates per 100,000 population
- Percentage of people who consume alcohol: above guidelines
- PPN/007i - Significant breaches rectified - Trading Standards (%)
- Sale of alcohol to a person under 18
- Percentage of smokers ages 16+
- Incidence (annual) - all injuries and reportable injuries, for people working in the last 12 months
- PPN/001iv - High risk businesses inspected - Health & Safety (%)
- PPN/008iv - New businesses assessed - Health & safety (%)
- PSR/007a - HMOs with a full licence (%)

Ensuring the safety and quality of the food chain to minimise risk to human and animal health

- PPN/001iii - High risk businesses inspected - Animal Health
- PPN/007ii - Significant breaches rectified - Animal Health
- PPN/008iii - New businesses assessed - Animal health

Promoting a fair and just trading environment for citizens and business animal health

- PPN/001i - High risk businesses inspected - Trading Standards

- PPN/007i - Significant breaches rectified - Trading Standards (%)
- PPN/008i - New businesses assessed - Trading standards
- PPN/008ii - New businesses assessed - Food hygiene
- PPN/008iii - New businesses assessed - Animal health (%)
- PPN/008iv - New businesses assessed - Health & safety (%)

Improving the local environment to positively influence quality of life and promote sustainability

- STS/007 - Fly tipping incidents leading to enforcement activity (%)
- STS/006 - The percentage of reported fly tipping incidents cleared within 5 working days
- [006805] Total recorded fly-tipping incidents, by local authority (measure, component, area)
- PSR/007b - HMOs issued with a licence with conditions attached
- PSR/007c - HMOs subject to enforcement activity
- WMT/008 - The percentage of local authority collected municipal waste prepared for reuse, recycled, collected as source segregated biowastes and composted or treated biologically in another way