In accordance with Section 833(1) of the Companies Act 2006.

IC01

Companies House

Notice of intention to carry on business as an investment company

✓ What this form is for You may use this form to give notice that a public company intends to carry on business as an investment company. What this form is NOT for
You cannot use this form to give
notice that a public company no
longer wishes to be an investment
company. To do this, please use form
IC02 'Notice that a company no
longer wishes to be an investment
company'.

For further information, please refer to our guidance at www.companieshouse.gov.uk

| 1 | Company details | |
|----------------------|---|---|
| Company number | | → Filling in this form Please complete in typescript or in |
| Company name in full | | bold black capitals. |
| | | All fields are mandatory unless specified or indicated by * |
| 2 | Notice of intent | |
| | The company intends to carry on business as an investment company within the meaning of Section 833(1) of the Companies Act 2006. | |
| 3 | Signature | ' |
| | I am signing this form on behalf of the company. | • Societas Europaea If the form is being filed on behalf of a Societas Europaea (SE), please delete 'director' and insert details of which organ of the SE the person signing has membership. |
| Signature | Signature X | |
| | This form may be signed by: Director •, Secretary, Person Authorised •, CIC manager. | 2 Person authorised Under either section 270 or 274 of the Companies Act 2006. |

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Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

| Contact name |
|---------------|
| Company name |
| |
| Address |
| |
| |
| Post town |
| County/Region |
| Postcode |
| Country |
| DX |
| Telephone |

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Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- \square You have signed the form.

Important information

Please note that all information on this form will appear on the public record.

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales: The Registrar of Companies, Companies House, Crown Way, Cardiff, Wales, CF14 3UZ. DX 33050 Cardiff.

For companies registered in Scotland:

The Registrar of Companies, Companies House, Fourth floor, Edinburgh Quay 2, 139 Fountainbridge, Edinburgh, Scotland, EH3 9FF. DX ED235 Edinburgh 1 or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:

The Registrar of Companies, Companies House, Second Floor, The Linenhall, 32-38 Linenhall Street,, Belfast, Northern Ireland, BT2 8BG. DX 481 N.R. Belfast 1.

i Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk