Appendix A Changes to Housing Benefit

A key aim of this wave of the survey was to explore the impact of changes to Housing Benefit (HB) in the private rented sector (PRS) and social rented sector (SRS). These changes were announced in the June 2010 Budget and the Comprehensive Spending Review of 2010 and included:

- changing the basis for setting Local Housing Allowance (LHA) rates in the PRS from the median (50th percentile) to the 30th percentile of local market rents;
- · capping LHA rates by property size;
- uprating HB rates annually from April 2013 at the 30th percentile of market rents, or, if lower, the September 2012 Consumer Price Index rate; and
- removing the spare room subsidy in the SRS.

Other relevant measures included increasing the Government's contribution to the Discretionary Housing Payment budget by £10m in 2011/12 and an additional £40 million per year in 2012/13, 2013/14 and 2014/15. A non-dependant deduction to HB and Council Tax Benefit was also implemented in stages from April 2011 onwards.

Two further changes were announced in October 2010: raising the age for the Shared Accommodation Rate in the PRS from 25 to 35 (introduced in January 2012) and capping total household benefits at £500 per week (£350 for single people), to be introduced in four London boroughs from April 2013, and more widely from autumn 2013.

Not all of the changes had come into affect at the time that the survey was conducted. It is possible, however, that anticipatory effects of these changes may have had a bearing on the survey. Indeed, several of the questions asked local authorities about their future plans in light of the changes.

Appendix B The survey

B.1 Methodology

Up to Wave 9 of the Local Authority Omnibus Survey we interviewed respondents on the telephone. However, at Wave 9 respondents were given a choice of completing the questionnaire on the telephone, as a self-completion questionnaire on paper or as a self-completion questionnaire on the Internet. This mixed-mode approach achieved a relatively good response rate of 71 per cent and, therefore, has been used from Wave 9 onwards. The response rate achieved at Wave 24 was 55 per cent (see below for more detail).

B.2 Sample

Using the updated contacts database from Wave 23, the local authority (LA) manager with responsibility for the most areas (out of Rent Rebate, Rent Allowance, Council Tax Benefit (CTB), Overpayment Recovery and Benefit Fraud) was identified. This manager then became our contact for Wave 24 and was sent a letter which set out the aims of the survey, explained the nature of the input required and advised the recipient that they had a choice of how to complete the questionnaire. The letter was signed by a signatory at the Department for Work and Pensions and included contact names at both GfK NOP and DWP for queries or if the respondent wanted to opt out of the survey.

The advance letter included details of each methodology – web-based questionnaire, paper questionnaire and telephone interview. Each respondent was assigned a user ID/password, which had to be entered at the start of the web survey. This enabled GfK NOP to keep track of interviews and ensure no one completed a survey more than once. Including an ID also allowed respondents to stop and restart an interview at any point and meant that different managers could easily access and complete the sections relevant to them.

Respondents were also sent a copy of the questionnaire so that they could prepare their answers in advance, or if they chose to, use it to fill-in their answers and return it to GfK NOP in the reply-paid envelope provided. It emphasised that, if necessary, they should consult other managers and staff for their input into the questionnaire. Telephone interviewers were instructed to check that the respondent had completed the questionnaire sent in advance and that it was readily available for reference during the interview.

B.3 Questionnaire design

Both Department officials and LA managers were consulted about the content of the questionnaire in order to gain as much useful information as possible from the research.

The first stage of questionnaire development involved a meeting between GfK NOP and relevant officials within the Department to discuss current issues and policy initiatives and establish the question areas that they would like to be included in the questionnaire.

The Wave 24 questionnaire was made up of seven sections and comprised questions about Discretionary Housing Payments (DHPs), Housing Benefit (HB) advice, Wider Issues (in particular whether there have been any early indications of the changes in Local Housing Allowance having an impact on homelessness and the movement of private rental sector tenants into, out of, and within the LA area), arrears and safeguards, risk-based verification, size criteria and benefit cap, and supported exempt accommodation.

Once the questionnaire had been through several drafts, eight LA managers were contacted in order to ask them about their understanding and comprehension of the questions. We discussed the questionnaire face to face with three LA managers and on the telephone with a further four. These discussions also gave managers an opportunity to raise any issues that were particularly important and relevant to them at the time. They were structured around the draft questionnaire but the structure of the session was kept fluid enough to allow managers to raise new issues and enlarge on existing subjects as they wished.

The comments of these managers were reviewed with the relevant officials at DWP and the questionnaire was, wherever possible, amended to take on board their views. The questionnaire was then piloted to test the wording and coverage of the draft document as well as the length of the questionnaire (11-26 September 2012). The questionnaire was tested on a total of seven LA managers on the telephone, using a paper version of the questionnaire.

Our specialist Web department within GfK NOP developed the web-based questionnaire. It was written in mrInterview, software supplied by SPSS and hosted on the GfK NOP facility. Every attempt has been made to make sure that the web questionnaire is as user-friendly and straightforward as possible, in order to encourage as many authorities as possible to use it. For example, respondents do not have to input their own and their colleagues' contact details – they are on the screen for them to check and amend; more than one person can be in the questionnaire at one time; respondents can fill in a section at a time, in any order.

As for the main stage of fieldwork, each pilot respondent was sent an advance letter and questionnaire. The GfK NOP executive team briefed a small team of interviewers. The briefing covered the purpose of the survey and explanations of any particular questionnaire points, as well as allowing time for practice on the questionnaire by means of dummy interviewing. A debrief was held at the end of the pilot interviewing which involved interviewers talking through their experiences in carrying out the pilot work and highlighting any areas of confusion or ambiguity they had observed.

B.4 Fieldwork

The same team of interviewers that worked on the pilot was briefed on the telephone for the main stage of the survey. Interviewers were also issued with full interviewer instructions, which comprised all survey materials including a hard copy of the questionnaire with the advance letter.

As in previous waves of the survey, interviewers' first task was to telephone LAs and check how they planned to complete the questionnaire. Respondents choosing to undertake the survey on the telephone were then either interviewed or an appointment for another more convenient time was set-up. Those selecting to complete the questionnaire on paper or on the web were asked to complete it as soon as possible before 30 November 2011. Interviewers were then instructed to 'telephone chase' those respondents who did not return their completed questionnaire within the following 10 days or so and ask them to complete it as soon as possible. This process continued throughout fieldwork. An invitation email plus two reminder emails were also sent to all non-respondents after four and six weeks of fieldwork.

Given the fact that this was a census of all LAs and that HB managers are difficult to get hold of due to workload and turnover of staff, interviewers were not given a maximum number of call backs. Instead, in order to maximise the response rate across the country as a whole, they were asked to adopt a flexible approach in terms of call-backs and to liaise closely with head office throughout the fieldwork period.

Interviewers were required to provide weekly progress figures that were used to identify response difficulties during fieldwork. Unobtainable numbers, no answers, wrong numbers etc were all investigated immediately.

Fieldwork started on 22 October 2012 and was supposed to finish on 30 November 2011, although it was actually held open until 14 December 2012 to try and increase the response rate. By the end of fieldwork a total of 211 LA managers had participated in the survey, representing a response rate of 55 per cent. Within this achieved sample of 211 there were seven LAs that did not complete every section of the questionnaire. The section filled-in by the highest number of LAs (211) was Section A on DHPs.

This total sample of 211 LAs breaks down as 169 web-based questionnaires, 35 paper questionnaires and seven telephone interviews (all of these were pilot interviews).

The overall percentage of authorities completing the questionnaire has decreased on the previous wave in 2011 when 62 per cent of LAs participated.

B.5 Interpretation of the data

Data used for the analysis is derived from three sources: the contacts database, DWP and the interview itself. The data was analysed by a number of different variables as shown below:

Table B.1 Data analysis variables

LA type	Welsh, Scottish, English Unitary, English Metropolitan, English District, London Borough
Contracting-out status	Contracted out, not contracted out
HB/CTB caseload	Low (up to 10,000 cases), Medium (10,001-20,000 cases), High (20,001+ cases)
Region	Scotland, North East, Yorkshire and Humberside, North West, East Midlands, West Midlands, East, South East, South West, London, Wales

Information on LA type, HB/CTB caseload and region was provided as part of the contacts database, while data from the previous wave of the survey was used for contracting-out status.

The following points should be noted when using this report:

- a sample, not the entire 'population', of LA HB managers has been interviewed. In consequence, all results are subject to sampling tolerances, which means that not all differences are statistically significant. Where bases are low, care should be taken when interpreting the data;
- where percentages do not sum to 100, this may be due to computer rounding, the exclusion of 'don't know' or 'other' categories, or multiple answers; and
- throughout the report, an asterisk indicates a value of less than 0.5 per cent but not zero, and '0' (zero) denotes no observation in that cell.

B.6 Statistical reliability

It should be remembered that a sample, not the entire population, of HB managers was interviewed. We cannot, therefore, be certain that the figures obtained are exactly those we would have if everybody had been interviewed (the 'true' values). We can however, predict the variation between the sample results and the 'true' values from knowledge of the size of the samples on which the results are based and the number of times that a particular answer is given. The confidence with which we can make this prediction is usually chosen to be 95 per cent – that is, the chances are 95 in 100 that the true value will fall within a specified range.

However, given that this sample comprises 55 per cent of the total population, the level of statistical reliability is slightly higher than if the sample had come from a larger population. On this basis, responses to the questionnaire provide data with a maximum sampling error of plus or minus 4.5 percentage points at the 95 per cent level. In practice this means that where 50 per cent give a particular answer, the chances are 19 in 20 that the 'true' value will fall between 45.5 per cent and 54.5 per cent . Table B.2 shows the sampling error for the whole sample and key sub-groups across a range of parameters. Note that the closer a finding is to 50 per cent the greater the variability of responses within the sample.

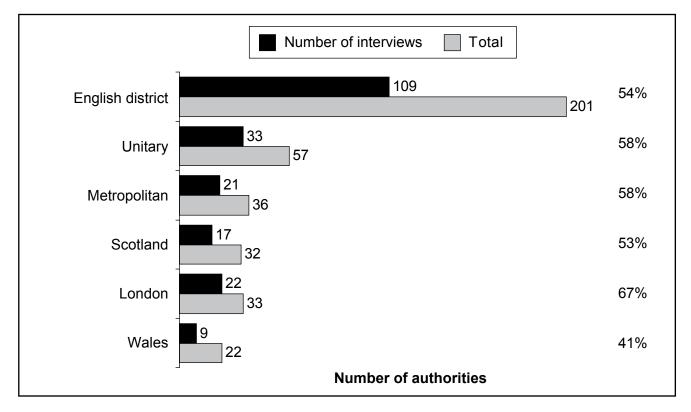
Table B.2	Wave 24	samp	ling er	ror
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	Sample size	Universe	10% or 90% <u>+</u>	30% or 70% ±	50% <u>±</u>
All local authorities	211	381	2.7	4.1	4.5
LA type					
Welsh	9	22	15.1	23.0	25.1
Scottish	17	32	9.8	14.9	16.3
English Unitary	33	57	6.6	10.1	11.1
English Metropolitan	21	36	8.3	12.7	13.8
English District	109	201	3.8	5.5	6.4
London Borough	22	33	7.2	11.1	12.1

B.7 Response rates

As mentioned earlier, a total of 211 LAs participated in Wave 24 of the survey, which represents a response rate of 55 per cent. As Figure B.1 shows, response rate varies by local authority type, from a high of 67 per cent of London Boroughs and 58 per cent of English Metropolitan Districts and Unitary authorities to just 41% of Welsh LAs.





Local Authority Insight Survey – Wave 24

Table B.3 provides further details of response rate by LA type.

Table B.3 Response rates by LA type

	Total	Wales	Scotland	English District	English Metropolitan District	English Unitary	London Borough
Telephone: mainstage completes							
Telephone: pilot completes	7		1	4			2
Web: completes	163	8	10	86	19	26	14
Web: partial completes	6		1	2	1	1	1
Paper: completes	34	1	5	17	1	6	4
Paper: partial completes	1						1
Total: completes + partial completes	211	9	17	109	21	33	22
Soft call back	42	2	3	20	3	8	6
Will complete paper questionnaire	6	1	2			3	
Will complete on web	32	4	2	22	1	3	
Refusal (insufficient time/ resources)	60	4	4	37	8	5	2
No answer/engaged/ voicemail	30	2	4	13	3	5	3
Total	381	22	32	201	36	57	33
Response rate	55%	41%	53%	54%	58%	58%	67%

B.8 Sample profile

Table B.4 Sample profile

	Number	%
Total	211	100
LA type		
Welsh	9	4
Scottish	17	7
English Unitary	33	16
English Metropolitan	21	10
English District	109	52
London Borough	22	10
Contracting Out Status (based on 174 as this question was not asked at W24. Data was used from the previous wave)		
Contracted out	23	13
Not contracted out	151	87
HB/CTB caseload		
Low	89	42
Medium	62	29
High	60	28
Region		
Scotland	17	8
North East	7	3
Yorkshire and Humberside	12	6
North West	19	9
East Midlands	25	12
West Midlands	18	9
East	27	13
South East	34	16
South West	21	10
London	22	10
Wales	9	4

Appendix C Questionnaire

ID Number: <<ID>> JN 14500182

Local Authority (LA) Insight Survey Wave 24

Dear Benefit Manager

I am writing to ask for your help with Wave 24 of the LA Insight Survey (previously known as LA Omnibus) where we are asking questions to feed into the monitoring of Local Housing Allowance (LHA) changes, as well as in preparation for the size criteria rules in the social rented sector and benefit cap which will be introduced in April 2013, and the review of the Risk Based Verification System.

You may be aware that the Department for Work and Pensions has commissioned an independent evaluation to monitor changes to the Local Housing Allowance system that were introduced in April 2011. These changes include the capping of LHA paid to new claimants, restrictions on the bedroom entitlement and an increase in the age for the single room rate of benefit. The Insight Survey that you may have completed last year has played a key role in the monitoring of a number of the early implementation issues and fed into the evaluation. We are interested in understanding how/whether there is a development in the effects these changes had on local authorities' work around administering Local Housing Allowance since you last completed the survey. This area covers the majority of this wave of the survey and includes DHPs, the work of HB advisers, tenants movement in and out of LAs (PLEASE NOTE THAT SOME OF THE QUESTIONS IN THIS SECTION C MAY BE BEST ANSWERED BY YOUR HOMELESSNESS DEPARTMENT), rent negotiations, and arrears and safeguards.

Other sections are related to understanding the effect of the size criteria rule in the social rented sector and benefit cap to be introduced in April 2013. This will support the wider evaluation strategies for these changes. In addition, we are also looking for your feedback on the effectiveness of the Risk Based Verification System.

We appreciate that we have distributed more surveys over the past few months than usual. All the information gathered is essential for delivering an effective business, and the surveys provide the best method for collating important information during these changing and challenging times. We appreciate and are grateful for your effort in completing this survey.

The deadline for completing the survey is 30 November and you can complete it online, on this paper version or on the telephone (please see overleaf for instructions). If you need any help completing the survey itself please contact Darren Yaxley at GfK NOP on 020 7890 9759 or darren.yaxley@gfk.com. Alternatively for general queries about the survey contact Preeti Tyagi at DWP on 020 7449 5378 or rachel.tsang@dwp.gsi.gov.uk. Thank you in advance for your co-operation.

Yours sincerely

DWP Project Manager - Rachel Tsang - Housing Policy and Working Age Benefit Research

Self-completion survey on the internet: If you choose to fill-in the survey on the internet, you may access it anytime from 22 October – 30 November 2012. You will find it at http://www.surveys.com/lao24

This method is quite simple and you will be automatically routed through the survey as you answer each question. It allows you to enter our site any number of times, saving your details whenever you exit, allowing you to complete the survey at your own pace and convenience and to complete the sections in the order that suits you. However, please note that once you have input answers into all of the sections of the survey, you will be unable to re-start again and your responses will be sent directly to GfK NOP Research.

To access the survey you will be asked for your User ID. Please copy this carefully from the top of the letter for this survey. You, or your colleagues, can access the survey more than once using this User ID until you have completed it. All information is password protected and no one other than the GfK NOP team will be able to access your site or see your personal entries.

Self-completion survey on paper: If you choose to fill-in the survey on paper and post it back in the pre-paid envelope enclosed, please follow the instructions below and return it as soon as possible – by **30 November 2012** at the latest.

- Most questions can be answered simply by putting one (or more) tick(s) in the box(es)
 next to the answer(s) that applies to your local authority.
- Sometimes you are invited to write in your answer in your own words.
- Sometimes you are asked to write in a number. Please use leading zeros where necessary.
- Normally, after answering each question, you go on to the next one, UNLESS a box you
 have ticked has an instruction to GO TO another question.
- Please ensure that you check and amend the contact information at the back of the questionnaire (Section H).
- When you have finished, please post the survey using the pre-paid envelope provided.

Telephone interview: If you choose to conduct a telephone interview, then please use the hardcopy of this survey to prepare your answers in advance of the interview. By doing this, you should find that the interview itself will take no longer than 10-15 minutes. You will receive a call from a GfK NOP interviewer sometime between **22 October and 30 November 2012** or please contact karina.o'neill@gfk.com if you would prefer to make an appointment.

Contents

Section A: DHPs

Section B: HB Advice

Section C: Wider Issues

Section D: Arrears and safeguards

Section E: Risk Based Verification

Section F: Size Criteria and Benefit Cap

Section G: Supported Exempt Accommodation

Section H: Contact details

Section A – Discretionary Housing Payments (DHPs)

From April 2011 until the end of the spending review period in March 2015 an extra £130 million of funding is being provided to local authorities for the award of Discretionary Housing Payments (DHPs). The following questions concern how your use of DHPs may have changed since April 2011.

All answer.

In what situations does your LA currently award a DHP? Please tick all that apply in the first column below and then indicate what approximate percentage each situation makes up of total DHPs. The total for all percentages should not exceed 100%. Please note your answer can be based on your general perception if you do not keep records of this information. If this is the case, write in your % answer/s and then tick box for 'estimate'.

	%
Rent can't be met in full because of LHA rate or rent officer determination	
Tenant in Rent Arrears	
Where there is a non-dependant deduction and non dependant can't pay	
Rent in advance/rent deposit	
Meet cost of an additional room for carer/other non resident (eg.visiting children)	
Meet additional cost because family member is ill/disabled	
Change in family circumstances mean they can't meet rent commitment	
Helping with mortgage payments in certain circumstances	
Emergencies, e.g. house fire, car accident etc	
Help with Council Tax	
Assistance to people under 35 on a temporary basis to give them time to move home	
Other (please specify)	
	100%
Don't know	
Tick this box if any answers here are estimated	

Answer if you ticked more than three situations at A1. Others go to A3.

A2	What are the <u>3 most common reasons</u> for currently awarding a DH LA? Please tick up to 3 only	P in your
F	Rent can't be met in full because of LHA rate or rent officer determination	
	Tenant in Rent Arrears	
1	Where there is a non-dependant deduction and non dependant can't pay	
	Rent in advance/rent deposit	
Meet co	st of an additional room for carer/other non resident (eg. visiting children)	
	Meet additional cost because family member is ill/disabled	
	Change in family circumstances mean they can't meet rent commitment	
	Helping with mortgage payments in certain circumstances	
	Emergencies, e.g. house fire, car accident etc	
	Help with Council Tax	
,	Assistance to people under 35 on a temporary basis to give them time to move home	
	Other (please specify)	
	Other (please specify)	Ш
	Don't know	
All ansv	ver.	
А3	Thinking now about how your LA's use of DHPs has changed, if at the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following claimants than previously? Tick all that apply.	s changed
A3	the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following	s changed
A3	the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following claimants than previously? Tick all that apply.	s changed groups of
A3	the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following claimants than previously? Tick all that apply. Black and minority ethnic (BME)	s changed groups of
A3	the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following claimants than previously? Tick all that apply. Black and minority ethnic (BME) Disabled claimants	s changed groups of
A3	the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following claimants than previously? Tick all that apply. Black and minority ethnic (BME) Disabled claimants Larger families (those with 3 or more children)	changed groups of
A3	the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following claimants than previously? Tick all that apply. Black and minority ethnic (BME) Disabled claimants Larger families (those with 3 or more children) Families with dependent children Lone parents Single people	changed groups of
A3	the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following claimants than previously? Tick all that apply. Black and minority ethnic (BME) Disabled claimants Larger families (those with 3 or more children) Families with dependent children Lone parents Single people People under 25	changed groups of
A3	the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following claimants than previously? Tick all that apply. Black and minority ethnic (BME) Disabled claimants Larger families (those with 3 or more children) Families with dependent children Lone parents Single people People under 25 Single people aged 25-34	changed groups of
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A3	the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following claimants than previously? Tick all that apply. Black and minority ethnic (BME) Disabled claimants Larger families (those with 3 or more children) Families with dependent children Lone parents Single people People under 25 Single people aged 25-34 Other (please specify) No, not more likely to use DHPs for any of these	s changed groups of
A3	the regulations changed in April 2011. Firstly, since the regulations has your LA been more likely to use DHPs for any of the following claimants than previously? Tick all that apply. Black and minority ethnic (BME) Disabled claimants Larger families (those with 3 or more children) Families with dependent children Lone parents Single people People under 25 Single people aged 25-34 Other (please specify)	s changed groups of

A4	Would you say that since the transitional protection (TP) began to number of DHPs awarded for periods of <u>3 months or less</u> has incredecreased or stayed the same? Tick one only.	•
	Increased	
Ansv	wer if 'Increased' at A4. Others go to A7.	
A5	What are the reasons for offering a <u>short-term</u> (short-term meaning <u>3 months or less)</u> DHP award? Tick all that apply.	9
	Support tenants until the end of tenancy Tenant in Rent Arrears Longer term awards are not affordable Rent in advance/rent deposit Helping with mortgage payments in certain circumstances Emergencies, e.g. house fire, car accident etc Short term payment to landlords as part of rent and/or tenancy negotiation Other (please specify)	
	Don't know	
Ansv	wer if you ticked more than three reasons at A5. Others go to A7.	
A6	What are the <u>3 most important</u> reasons for offering a <u>short-term</u> (simeaning <u>3 months or less</u>) DHP award? Please tick up to 3 only.	hort-term
	Support tenants until the end of tenancy Tenant in Rent Arrears Longer term awards are not affordable Rent in advance/rent deposit Helping with mortgage payments in certain circumstances Emergencies, e.g. house fire, car accident etc Short term payment to landlords as part of rent and/or tenancy negotiation Other (please specify)	
	Don't know	

All answe	er.	
A7	Would you say that since the transitional pronumber of DHPs awarded for periods of mor decreased or stayed the same? Tick one only	e than 3 months has increased,
	Decreased Stayed the same	☐ Answer A8 ☐ Go to A9 ☐ Go to A9 ☐ Go to A9
Answer if	'Increased' at A7. Others go to A9.	
A8	What is the maximum period that DHPs have	been awarded for?
	26 weeks 39 weeks 52 weeks Other time period (weeks)	
All answe	Don't know	
A9	Would you say that since the regulations chabeen more or less likely to use DHPs for exist been tenants prior to April 2011 and were no regulations) or has there been no change? T	sting tenants (ie, those who had t affected by the change in LHA
	There	More likely ☐ Less likely ☐ e has been no change ☐ Don't know ☐
A10	In January 2012 the LHA regulations for sharthat, unless they are in an exempt category, now only eligible for the shared accommoda Has your LA reviewed your DHP allocation c changes to LHA regulations from January 20	all single persons under 35 are tion rate. riteria in response to these
	Yes	☐ Answer A11
	No	
	Don't know	☐ Go to A12

Answer	rit 'Yes' at A10.	
A11	What changes, if any, has your LA made to your DHP allocation cresponse to these changes to LHA regulations from January 2012 that apply.	
	Extend/use criteria currently used for 16-24s Concentrate on those with learning difficulties Concentrate on those with medical needs Concentrate on those escaping domestic violence Concentrate on vulnerable people Concentrate on Hostel leavers Other (please specify)	
All ansv	Have not made any changes Don't know	
A12	Does your LA expect to spend/commit your full 'additional' DHP al in this financial year or not? Tick one only.	location
	Yes, expect to spend/commit full allocation No, do not expect to spend/commit full allocation Don't know	

Section B – Housing Benefit Advice

DWP is interested in whether the new regulations have had an effect on the work done by benefit advisers within your Local Authority.

В1	Would you say that since the regulations changed in April 2011 the level of need for advice by HB claimants has increased, decreased the same? Please note we are interested only in changes in the level need for advice here and not an actual change in the numbers claim Tick one only.	or stayed el of
	Level of need has increased a lot Level of need has increased a little Level of need has stayed the same Level of need has decreased a little Level of need has decreased a lot Don't know	
B2	Would you say that since the regulations changed in April 2011 the for your LA to support tenants in rent negotiation with landlords he increased, decreased or stayed the same? Tick one only.	
	Increased	
	Don't know	
Answer if	f 'Increased' at B2.	
B3	For what proportion of the total number of LHA tenants in your LA LA been involved in rent negotiations with landlords? Please note answer can be based on your general perception if you do not kee of this information. If this is the case, please tick the appropriate b then tick box for 'estimate'.	your p records
	Under 10%	
	10% – 24%	
	25% – 49%	
	50% – 74%	
	75% – 99%	
	100% Don't know	
	Tick this box if answer here is an estimate	

Answer if	'Increased' at B2.			
B4	What proportion of these rent negotiations that your LA has been involved in have been successful? Please note your answer can be based on your general perception if you do not keep records of this information. If this is the case, please tick the appropriate box and then tick box for 'estimate'.			
	100% Don't know			
All answe	Tick this box if answer here is an estimate r.			
B5	In general, would you say that as a result of the regulation changes 2011 your LA's HB Advisers have been able to offer the same level (i.e. claim times, processing etc) to tenants or have they had to cut of their services? Tick one only.	of service		
	Been able to offer the same level of service Have had to cut back some of the services Don't know			

Section C - Wider issues

Please note that this section (or questions within this section) may be best answered by colleagues in Housing and Homelessness teams so may need to be passed on to them

One of the changes introduced to new LHA claims from April 2011 is that LHA is now calculated on the basis of the 30% median (previously it was 50%). This has potential implications in terms of how much rent claimants are able to pay and how much income landlords can derive from letting to LHA tenants. The department would like to know of any indications of landlords leaving the LHA sub-market or increased homelessness. The following questions concern the operation of private rental sector with regard the Housing Benefit sub-markets.

All answ	er.			
C1	Have the April 2011 regulations caused landlords to leave the HB submarket in your area, i.e. have any stopped renting to HB claimants, or not, compared with 18 months ago? Please note your answer can be based on your general perception if you do not keep records of this information. Tick one only.			
	Yes ☐ Answer C2			
	No ☐ Go to C3			
	Don't know			
Answer i	f 'Yes' (have noticed landlords leaving HB sub-market) at C1.			
C2	What proportion of landlords would you estimate have withdrawn from the private rented sector in your area? Please note your answer can be based on your general perception if you do not keep records of this information. If this is the case, please tick the appropriate box and then tick box for 'estimate'.			
	Under 10%			
	10% – 24%			
	25% – 49%			
	50% – 74%			
	75% – 99%			
	100%			
	Don't know			
	Tick this box if answer here is an estimate			

All ansv	ver.	
C3	Would you say that since the regulations changed in April 2011 the of people who would normally be housed in the Private Rented Se presenting to your LA as homeless has increased, decreased or st same? Please note your answer can be based on your general per you do not keep records of this information. Tick one only.	ctor tayed the
	Increased \square Answer C4 Decreased \square Go to C5 Stayed the same \square Go to C5	
	Don't know Go to C5	
Answer	if 'Increased' at C3.	
C4	What are the <u>three</u> main reasons for homelessness in your LA amount those who would normally be housed in the Private Rented Sector Please tick the <u>three</u> main reasons below.	_
	Relationship breakdown Family dispute Domestic violence Neighbourhood harassment Loss of accommodation due to rent arrears Suitable accommodation not affordable A reduction in the number of properties available in the PRS Wider economic circumstances End of support for mortgage interest Drug and alcohol abuse Other (please specify)	
Movemo	Don't know ent of claimants. wer.	
C5	In your opinion, since the regulations changed in April 2011 has the number of claimants in the Private Rented Sector moving into you increased, decreased (a lot or a little) or stayed the same? Please answer can be based on your general perception if you do not kee of this information. Tick one only.	r LA area note you
	Increased a lot Answer C6 Increased a little Answer C6 Stayed the same Go to C8 Decreased a little Go to C8 Decreased a lot Go to C8	

	Don't know Go to C8	
Answer i	f 'Increased' at C5.	
C6	Thinking about the claimants that have moved into your LA area seregulations changed in April 2011, from where have these claimant Please tick all that apply. Please note your answer can be based of general perception if you do not keep records of this information.	its moved? on your
	Neighbouring LA area Same region (nearby LA area but not neighbouring) Greater London area Other part of UK Other (please specify)	
	Don't know	
C7	How would you describe the types of households that have move LA area since the regulations changed in April 2011? Please tick a apply in the first column and then indicate the approximate perceivach in the second column. The total for all percentages should in 100%. Please note your answer can be based on your general per you do not keep records of this information. If this is the case, ple in your % answer and then tick box for 'estimate'.	all that ntage of ot exceed ception if ease write
		%
	Black and minority ethnic (BME)	
	Disabled claimants	
	Larger families (those with 3 or more children)	
	Families with dependent children	
	Lone parents	
	Single people	
	People under 25	
	Single people aged 25-34	
	Other (please specify)	100%
		111117/6
		100 /0
	Dan't know	TOO 70
	Don't know Tick this box if any answers here are estimated	

All answ	er.	
C8	Would you say that since the regulations changed in April 2011 of claimants in the private rented sector moving <u>out of</u> your LA a <u>to find cheaper accommodation</u> has increased, decreased (a lot or stayed the same? Please note your answer can be based on y perception if you do not keep records of this information. Tick of	area <u>in order</u> or a little) our general
	Increased a lot	
Answer i	f 'Increased' at C8.	
C9	How would you describe the types of households that have move your LA area in order to find cheaper accommodation since the changed in April 2011? Please tick all that apply in the first columnicate the approximate percentage of each in the second columnicate the second colum	regulations nn and then mn. The our answer ords of this
		%
	Black and minority ethnic (BME) Disabled claimants Larger families (those with 3 or more children) Families with dependent children Lone parents Single people People under 25 Single people aged 25-34 Other (please specify)	
		100%

Don't know

Tick this box if any answers here are estimated

•

C10	Would you say that since the regulations changed in April 2011 the nur of claimants in the private sector moving within your LA area (ie. moving from one property to another but staying in your LA area) in order to fin cheaper accommodation has increased, decreased (a lot or a little) or stayed the same? Please note your answer can be based on your gene perception if you do not keep records of this information. Tick one only				
	Increased a lot Increased a little Answer C11 Stayed the same Go to C12 Decreased a little Go to C12 Decreased a lot Go to C12 Don't know Go to C12				
Answer	if 'Increased' at C10.				
C11	How would you describe the types of households that have moved your LA area in order to find cheaper accommodation? Please tick apply in the first column and then indicate the approximate perceivach in the second column. The total for all percentages should n 100%. Please note your answer can be based on your general perceyou do not keep records of this information. If this is the case, ple in your % answer and then tick box for 'estimate'.	k all that ntage of ot exceed ception if			
	Black and minority ethnic (BME)				
	Disabled claimants				
	Larger families (those with 3 or more children)				
	Families with dependent children				
	Lone parents				
	Single people				
	People under 25				
	Single people aged 25-34				
	Other (please specify)				
		100%			
	Don't know				
	Tick this how if any answers here are estimated	_			

All ansv	ver.	
C12	Would you say that since the regulations changed in April 2011 the of claimants moving to smaller properties/downsizing has increas decreased or stayed the same? Tick one only.	
	Increased	
	Decreased	
	Stayed the same	
	Don't know	
C13	Would you say that since the regulations changed in April 2011 the of landlords in your area who are letting properties as shared house that were previously let to families or as self-contained accommodincreased, decreased (a lot or a little) or stayed the same. Tick one	sing/HMO lation has
	Increased a lot ☐ Answer C14	
	Increased a little Answer C14	
	Stayed the same	
	Decreased a little	
	Decreased a lot Go to Section D	
	Don't know	
Answer	if 'Increased' at C13.	
C14	Have the changes in landlords letting patterns affected any of the Tick all that apply.	following?
	Increased demand on council services	
	Areas becoming more transient	
	Increasing neighbour problems/anti-social behaviour	
	Increases in complaints from home owners	
	Other (please specify)	
	Decition	
	Don't know	\Box

Section D – Arrears and safeguards

In April 2011 DWP introduced a new temporary safeguard provision which was included in the Housing Benefit (Amendment) Regulations 2010. Local authorities can make payments direct to the landlord where they consider that it will assist the claimant in securing or retaining a tenancy. The followings questions concern the experience of your local authority since this amendment.

All alls	wei.				
D1	Would you say that since the regulations changed in April 2011 request for direct payments by landlords on grounds of arrears has increased, decreased or stayed the same? Tick one only.				
	Increased ☐ Answer D2				
	Decreased ☐ Go to D3				
	Stayed the same ☐ Go to D3				
	Don't know				
Answe	if 'Increased' at D1.				
D2	And have the regulations changing in April 2011 led to your LA being more or less likely to approve these requests or has there been no change? Tick one only.				
	More likely to approve $\ \square$				
	Less likely to approve □				
	There has been no change $\ \square$				
	Don't know □				
All ans	wer.				
D3	Would you say that since the regulations changed in April 2011 requests by landlords for direct payments on grounds of claimants being unlikely to pay has increased, decreased or stayed the same? Tick one only.				
	Increased Answer D4				
	Decreased ☐ Go to D5				
	Stayed the same				
	Don't know				
Answe	if 'Increased' at D3.				
D4	And since the regulations changed in April 2011, has your LA been more or less likely to approve these requests or has there been no change? Tick one only.	е			
	More likely to approve □				
	Less likely to approve □				
	There has been no change □				
	Don't know □				

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All ansv	wer.	
D5	Since the regulations changed in April 2011 new safeguard that allows LHA to be paid di help claimants secure or maintain a tenancy the rent?	rect to the landlord in order to
	Yes	☐ Answer D6.
	No	☐ Go to Section E
	Don't know	☐ Go to Section E
Answer	if 'Yes' at D5.	
D6	In approximately what proportion of LHA tensafeguard?	ants has your LA used this
		%
	Don't know	

Section E - Risk-based verification

All Answer.

Risk Based Verification (RBV) assigns a risk rating to each HB/CTB claim which determines the level of verification required. It allows more intense verification activity to be targeted at those claims which are deemed to be at highest risk of involving fraud and/or error.

It is practiced on aspects of claims in Jobcentre Plus (JCP) and the Pension Disability and Carers Service (PDCS) and in April 2012 DWP extended it on a voluntary basis to all LAs. The following questions are about take-up of the scheme and LAs views on how efficient and effective it is.

E1	1 Is your LA currently applying RBV on HB/CTB claims? Tick one only.			
No	Yes, currently applying RBV No, but intend to start applying RBV and have no intention of starting to apply RBV Don't know	\square Go to Section F		
Answer if	'Yes' at E1.			
E2	Which of the following approaches has your HB/CTB claimants? Tick all that apply.	LA adopted to risk pro	ofile your	
	IT tools applie Clerically	tools set up internally ed by external supplier //manually by HB staff Other (please specify)		
		Don't know		
E3	How satisfied are you with the approach you profile HB/CTB claimants? Tick one only.	are currently using to	risk	
	Very satisfied Fairly satisfied Neither satisfied nor dissatisfied Fairly dissatisfied Very dissatisfied Don't know	☐ Go to E5 ☐ Go to E5 ☐ Answer E4 ☐ Answer E4 ☐ Answer E4 ☐ Answer E4		

Answer if 'Neither	', 'Fairly' or '	Very dissati	isfied at E3.			
		our LA not satisfied with the approach you are currently using to le HB/CTB claimants? Please write in below.				
All Answer.						
•	how effectiv owing. Tick o	_	•	RBV has bee	en in terms	of each of
	Very effective	Fairly effective	Not very effective	Not at all effective	Too early to say	Don't know
Reducing fraud and error						
Improving processing times						
	our LA identi out? Tick all	_	sk claims, w	/hat increas	ed verificat	ion is
				sed docume	nants home	
					Don't know	

Section F – Size criteria and Benefit Cap

On 1 April 2013 the Government is introducing size criteria rules into Housing Benefit for those renting from a Local Authority, a Housing Association or other registered social landlord. The criteria will restrict the size of accommodation a claimant can receive Housing Benefit for, based on their household size. If a claimant is assessed under these rules as having more bedrooms than is necessary for their household they will be considered to be under occupying that property and there will be a reduction in their housing benefit.

Also from April 2013 a cap will be introduced on the total amount of benefit that working age people can receive. This will mean that workless households should no longer receive more in benefits than the average earnings of working households. In the first instance, the cap will be administered jointly by DWP and local authorities through deductions from Housing Benefit payments. In the longer term it will form part of the new Universal Credit system.

ΑI	ΙAι	nsv	wei	r.
ΑI	ıAı	กรง	wei	Γ

All Allow	GI.	
F1	LAs are currently in the process of identifyir rules. How is your LA finding this process?	
	Very easy	☐ Go to F3
	Fairly easy	☐ Go to F3
	Neither easy nor difficult	☐ Answer F2
	Fairly difficult	☐ Answer F2
	Very difficult	☐ Answer F2
	Too early to say	☐ Answer F2
	Don't know	☐ Answer F2
Answer i F2	f 'Neither', 'Fairly difficult' or 'Very difficult' at Why do you say that the process of identifyi criteria rules is not easy?	•

ΑII	Answer

F3		For the social sector size criteria, under-occupation will bedroom entitlement based on household composition is held by local authorities and property size information to landlords. To what extent do you think your LA will be all identification of cases affected by size criteria rules elect than manually for individual cases? Tick one only.	information already o be received from ble to achieve the
		Complete	ly (100%) □ 90-99% □
			70%-89%
		!	50%-69%
			1%-49% □
		Too ea	Not at all □ Irly to say □
			on't know
F4		Do you currently hold information which allows you to e claimants in Supported 'Exempt' Housing?	easily identify HB
	(a)	Yes, we currently hold information which allows us to identify all HB claimants in Supported 'Exempt' Housing	☐ Go to F6
(b) Yes,	Yes, we currently hold information which allows us to identify some HB claimants in Supported 'Exempt' Housing	☐ Go to F5	
	(c) No, we don't hold information which allows us to identify any HB claimants in Supported 'Exempt' Housing Don't know		☐ Go to F5
			☐ Go to F6
Answ	er i	f (b) or (c) at F4 ('Yes, but can only identify some' or 'No,	can't identify any').
F5		How do you intend to identify those HB claimants in 'Ex Housing who will be excluded from the size criteria rules currently hold information on? Read all options below a	s but you don't
		We will use local knowledge/other resources available to us to identify these claimants, so we can exempt them	
		We will wait until these claimants notify us, so we can exempt them	
		Other (please specify)	
		Don't know	

All answer.

What work, if any, is your LA doing to alert current claimants of the upcoming size criteria rules and the benefit cap? Tick all that apply in both columns.

	Size Criteria	Benefit Cap
Printed leaflets – mailed out		
Printed leaflets – in office		
Notices within housing office		
Posters		
Letter sent direct to claimant		
Information sent with Decision Letters		
Phoning claimant personally		
Workshops with customers		
Visits		
Road shows		
Website		
Press release		
Local radio		
Article in Council newsletter		
Texts		
Automated telephone calls		
Set up a telephone advice line		
Liaison through stakeholders		
Other (please specify)		
Not doing any work to alert current claimants		
Don't know		

F7	Has your LA already reviewed, or does your LA intend to review, your DHP
	allocation criteria in anticipation of size criteria rules and the benefit cap?
	Tick one only in each column below.

	Size Criteria	Benefit Cap
Printed leaflets – mailed out		
Yes, already reviewed		
Yes, intend to review		
Too early to say		
No		
Don't know		

How useful were the Circulars sent to your LA regarding the size criteria rules and the benefit cap? Tick one in each column below.

	Size Criteria	Benefit Cap
Very helpful		
Fairly helpful		
Not very helpful		
Not at all helpful		
Don't know		

F9 Do you think that your LA needs further support to implement the size criteria rules and the benefit cap? Tick one in each column below.

	Size Criteria	Benefit Cap
Yes, we definitely need more support		
More support would be useful but not essential		
We do not need any more support		
Too early to say		
Don't know		

Answer if 'Yes, we definitely need more support' or 'More support would be useful but not essential'. Others go to F11.

F10 Which of these types of additional support would you like? Please tick the box for the type of additional support you would like for size criteria/benefit cap as appropriate and specify (on the dotted lines) what topics you would like it to cover.

	Size Criteria	Benefit Cap
Further circulars		
Information or tools online		
Forums		
Other		

All answer.

F11 Is your LA producing anything to help support the delivery of size criterial benefit cap changes that might be of value/use to other LAs? Tick one in each column below.

	Size Criteria	Benefit Cap
Yes		
No		
Too early to say		
Don't know		

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Answer if	'Yes' at F11 for size criteria.	
F12a	What is your LA producing to help support the delivery of size criteria changes that might be of value/use to other LAs? Please write in below as appropriate.	
	Size criteria:	
Answer if	'Yes' at F11 for benefit cap. Others go to Section G.	
F12b	What is your LA producing to help support the delivery of benefit cap changes that might be of value/use to other LAs? Please write in below as appropriate.	
	Benefit cap:	

Section G - Supported 'exempt' accommodation

The following questions are about Supported 'Exempt' Accommodation. This refers to supported housing but only as defined in legislation: either a resettlement place or accommodation provided by a county council, housing association (be it unregistered or a registered housing association/ not for profit private registered provider of social housing/ registered social landlord), registered charity or voluntary organisation where that body or person acting on their behalf provides the claimant with care. support or supervision.

Such accommodation includes group homes, hostels, refuges, sheltered housing, supported living complexes, extra care housing and adapted housing for the disabled provided by housing associations (see above), registered charities, voluntary organisations and county councils. Housing Benefit recognises the often higher costs of providing such accommodation.

Following our consultation last year on reforming this area of support for housing costs, we are now seeking to update our information on caseloads and expenditure in this area to inform further development of the reforms.

How many cases do you currently have in supported housing that meet

All Answer.

G1

	the above definition of 'Exempt Accommodation'. If reports indicathese amounts can be run easily then we would strongly encourage that, especially as the reports will also be of value in preparing for implementation of the social sector size criteria. PLEASE WRITE I FIGURE BELOW OR TICK DON'T KNOW AND MAKE AN ESTIMATE	ge · N ACTUAL
a) Where	the landlord is a county council or housing association (see above) Don't know	
b) Where	the landlord is a registered charity or voluntary organisation Don't know	
Answer i	f 'Don't Know' at G1 for either (a) or (b).	
G2	Please can you estimate in which range your caseload falls in term numbers your LA currently has in supported housing that meets t definition of 'Exempt Accommodation'. If reports indicating these cannot be obtained then estimates are acceptable. Please complet box for estimate where it applies.	he above amounts
	a) Where the landlord is a county council or housing association (see a	bove)
	100 or less	
	101-200	
	201-500	
	501-1500	
	1501-5000	

П

More than 5000

Don't know

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b) Where	the landlord is a registered charity or voluntary organisation		
All Answe	201- 501-1 1501-5 More than 5 Don't k	-200 -500 1500 5000	
G 3	For HB cases in Supported Exempt Accommodation where the istate a county council or housing association, what proportion referred to a rent officer? If reports indicating these amounts obtained then estimates are acceptable, but we would strong the running of reports where possible.	of cas	es are ot be
	Up to Up to More than 2% up to and including More than 5% up to and including More than 10% up to and including More than Don't k	5% 10% 50% 50%	
G4	Thinking of HB cases in Supported Exempt Accommodation landlord is a county council or housing association, that are a rent officer, what are the reasons for not referring? Tick all	not re	ferred to
	The LA judges the rent reasonable compared to social sector rents for similar accommodation		
	The LA does not consider the claimant to be over housed		
	Other (please specify)		
	Don't know		

All Answer.

Please write in what percentage of cases in Supported Exempt
Accommodation are living in each of the following types of
accommodation? The total for all percentages should not exceed 100%. If
reports indicating these amounts cannot be obtained then estimates are
acceptable, but we would strongly encourage the running of reports where
possible.

	Percentage
Purpose built	
Hostels	
Adapted from mainstream social sector housing stock	
Foyer or other specialist provision	
Refuges	
Sheltered Housing	
Extra Care Housing	
Group Homes	
Other shared houses	
	100%
Don't know	
Tick this box if any answers here are estimates	

Please write in what percentage of cases in Supported Exempt
Accommodation are living in each of the following? The total for all
percentages should not exceed 100%. If reports indicating these amounts
cannot be obtained then estimates are acceptable, but we would strongly
encourage the running of reports where possible.

	Percentage
Communal/shared units	
Self-contained units	
	100%
Don't know	
Tick this box if any answers here are estimates	

G7	What is the average weekly amount of eligible charges, used for your caseload in Supported If reports indicating these amounts can be ruencourage that, especially as reports identify value in preparing for implementation of the state of th	d Exempore the street of the s	ot Accommod ve would stro se cases will	lation? ongly also be of
	a) Where the landlord is a county council or house	sing asso	ociation Don't know	£ 🗆 🗆 🗆
	b) Where the landlord is a registered charity or v	oluntary	organisation Don't know	
Answer if	f 'Don't Know' at G7 for either (a) or (b).			
G8	Please can you estimate in which range the a eligible rent, including eligible service charge in Supported Exempt Accommodation falls. I amounts cannot be obtained then estimates a	es, used f reports	for your cases indicating the	eload
	a) Where the landlord is a county council or house	sing asso	ociation	
	£50 or less			
	£51-£100			
	£101-£150			
	£151-£200 £201-£250			
	More than £250			
	Don't know			
	b) Where the landlord is a registered charity or v	oluntary	organisation	
	£50 or less £51-£100 £101-£150 £151-£200 £201-£250 More than £250 Don't know			

Section H - Contact details

The information that you provide on the following questions will only be passed back to DWP Policy Group, where you have agreed for us to do so.

ΑI	ΙΑ	ns	wei	r.
ΑI	IΑ	เมรา	wei	ľ

H1	Would you be willing for DWP to get in touch with you in the future to pick up on any issues you may have raised and/or to offer advice or support where appropriate.	
	Yes □	
	No □	
H2	We are interested in receiving your opinions/views on this or future waves of the LA Omnibus Survey or any issues around HB. Please use the space below for your comments.	
Н3	And finally please can you check your own contact details (printed below) and tick the appropriate box below.	
	All my contact details are correct □	
	I have made some amendments $\ \square$	
	I have written in the missing contact details $\ \square$	
Full name	: < <rename>></rename>	
Job title: <	< <rejob>></rejob>	
LA Name:	< <la_name2>></la_name2>	
Telephone	e number, with extension: << <i>RETEL</i> >>	
Fax numb	er: << <i>REFAX</i> >>	
Email add	ress: < <emailaddress>></emailaddress>	
,	inc, postcode): < <readd1>>, <readd2>>, <<readd3>>, <<readd4>>, 05>>, <<repcd>></repcd></readd4></readd3></readd2></readd1>	

Thank you very much for taking the time to participate in Wave 24 of the Local Authority Insight Survey