

Service	Security Management	Version	P2.2
Document	Service Specification	Sign-off Complete	10/05/2011



National Offender
Management Service

Service Specification for

Security Management

Service Specification Document

This document defines the service, including the required outcome(s) and outputs. As part of an SLA or Contract, the national minimum outputs in this document are mandatory for all providers. The document can also contain optional outputs that are available for Commissioners to commission.

1. Service Specification Document	2. Operating Model	3. Direct Service Costs & Assumptions Document	4. Cost Spreadsheet
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Version Control Table		
Version No.	Reason for Issue / Changes	Date Issued
P1.0	Preview Publication	05-08-2011
P2.0	Go Live Publication	28-10-2011
P2.1	References updated in line with new Prison Service Instructions and the National Security Framework (NSF).	05-08-2013
P2.2	Supporting documents: reference to operating model, cost spreadsheet and direct service costs and assumptions removed, as these are now outdated.	09-01-2014

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Introduction to Security Management Specification

1.	Service Name	Security Management
2.	Key Outcome(s) for Service	<ul style="list-style-type: none"> • Escapes and absconds are prevented • Threats to the security, safety, order and control of the establishment are detected and deterred • Threats to public protection are detected, reported and deterred • Crime and suspected criminal activity is detected, reported and deterred • There is effective and lawful information exchange with establishment staff • There are effective and lawful information exchange and working arrangements with other agencies • Security measures are applied lawfully, safely, fairly, proportionately and decently
3.	Definition of Service	Dynamic, procedural and physical security measures are coordinated to ensure that security, safety and order & control risks are managed and coordinated.
4.	Service Elements In Scope	<ul style="list-style-type: none"> • Local Security Strategy (including local risk assessment, managing risk posed by potential Category A, Category A and E List prisoners and security keys) • Searching Strategy (including searching with dogs, arms and explosives searching, alcohol use by prisoners, preservation of evidence and actions against visitors to prisoners) • Prison Act Offences (including authorisation and the management of mobile telephones) • Developing and Managing Intelligence (including the reporting of information and development of intelligence, professional standards and corruption prevention, extremism, Covert Human Intelligence Sources, Surveillance, informing the establishment's security objectives, identification of prisoners who pose a particular threat and informing processes to manage these threats, identification of Escape List prisoners, interception and monitoring of prisoner mail and phone calls for security intelligence purposes and incident reporting) • External Partner Liaison (including the reporting of identified risk to the public or suspected crime) • Contribution to Risk Management (risk assessing, informing and equipping escorts and transfers, risk assessing internal activities and prisoner suitability, and contribution to decision making in the progress and resettlement of prisoners during their sentence and reporting regarding stability to regions and/or NOMS)

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5.	Out of Scope Service Elements	<ul style="list-style-type: none"> • Access to justice by prisoners • Locks and Works/Estates controlled keys (out of scope of SBC) • Security input into Management of Major Works (out of scope of SBC and covered by contract for the work) • Physical fabric checks are completed within the service which is being provided (for example: Accommodation Fabric Checks are completed within the Residential Services specification, the physical security of an Activity Area is checked within that activity), although this service will contribute to the risk assessment process and overall coordination of the searching strategy via the Local Security Strategy (LSS). • Vehicle Management (including Cat A transport, pool vehicles) • Risk assessment of outside work placements (Manage the Custodial Sentence - Manage the Sentence Pre & Post Release from Custody) • ViSOR (Manage the Custodial Sentence – Manage the Custodial Sentence Pre & Post Release from Custody) • Locking schedules and floor plans (out of scope of SBC) • Alcohol Testing of Staff (out of scope of SBC) • Security Vetting of staff (directly and non-directly employed) and contractors • Incident Management (out of scope of SBC and covered by the SLA/contract and policy) • Use of Force (out of scope of SBC and covered by the SLA/contract and policy) • Responses to Subject Access Requests and legal challenges to security driven decisions (out of scope of SBC)
6.	Dependent Service Elements	<ul style="list-style-type: none"> • Residential Services • Manage the Custodial Sentence - Manage the Sentence Pre & Post Release from Custody (particularly in relation to Public Protection and MAPPA) • Gate Services - including the storage, accounting for and issue of security keys from the Gate (those issued for use or in readiness for use) • Communication & Control Rooms • Nights • External Movement of Prisoners

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		<ul style="list-style-type: none"> • Internal Prisoner Movement • Allocation to Activity • Cell and Area Searching • Mandatory Drug Testing • Employment, Training and Skills • The identification of Potential Category A and Restricted Status Prisoners (Reception In specification) and the actioning and reporting of them (Categorisation & Allocation specification)Prisoner Correspondence (visits, mail and telephones)
7.	Strategic Context	<p>The scope of this service specification ensures it can be managed as a discrete service. It details the required outcomes and outputs attached to the delivery of security within prison establishments.</p> <p>In 2004 Security Group launched the replacement for the Security Manual (PSO 1000) in an electronic format called the National Security Framework (NSF). The most recent update to this specification reflects changes to the NSF which reflect operational changes, lessons learned and also changes in law and the roll-out of the Specification and Benchmarking Costing Programme (SBC).</p> <p>“Security is everyone’s responsibility,” therefore the provision of this discrete service should not be considered as the delivery of complete prison security, but to provide the lead and set the context for the establishment’s application of appropriate security measures. This service sets out to inform, assess and advise the establishment regarding risk, based on physical aspects of security (such as the provision of restraints and dogs) but also the information and intelligence services which are intended to support the establishment in establishing objectives and managing risk. While closely linked to Public Protection concerns, this service is specific to the security of the establishment, although it does include provision for informing both Public Protection services within the custodial setting and partner agencies in law enforcement.</p> <p>Reports of the Woodcock (1994) and Learmont (1995) Inquiries into escapes from high security prisons made 191 far-reaching recommendations to improve the security and management of establishments. Many of these recommendations were adopted as Prison Service policy (namely in PSO 1000 - the ‘Security Manual’).</p> <p>The single policy source which defines what is required in delivering prison security is the National Security Framework (NSF). This internet-only resource was introduced in 2004 to replace the Security Manual it was designed to provide prisons with the information and guidance needed to maintain appropriate levels of security and to meet the Prison Service’s aim of preventing escapes. The manual is the core security document for all public and contracted out prisons and applies equally to all parts of all categories of prison. Although common minimum standards of security across the</p>

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estate are still required, the NSF helped shift the emphasis from extensive central prescription towards allowing Governors/Directors and Deputy Directors Commissioning more autonomy in the running of their establishments. The NSF enables Governors/Directors to design local security procedures where discretion exists, based on a risk analysis of local physical security, resources and the type of prisoners held, resulting in an agreed Local Security Strategy (LSS) for each establishment. The service specification constitutes a consolidated inventory of all relevant legislation for the delivery of security within prison establishments. Most notably, these are: Parts I and II of the Regulation of Investigatory Powers Act 2000 (RIPA) which provides for the authorisation of the use or conduct of Covert Human Intelligence Sources (CHIS), as well as the authority for use of covert surveillance which is likely to result in the obtaining of private information about a person. Also included are specific measures to be taken under Sections 21 – 24 of the Offender Management Act (2007) which were introduced to ensure tighter control of items being brought into and taken out of prisons, particularly sensitive documents and mobile telecommunication technology, along with the introduction of options to pursue criminal charges against anyone in breach of the relevant clauses of the Act.

A report for the Director General of National Offender Management Service by David Blakey CBE QPM DL (May 2008) (the 'Blakey Report') was a review into disrupting the supply of illicit drugs into prisons and included ten recommendations, all of which were accepted by the Department. These led to actions intended to improve the effectiveness of prison measures for disrupting the supply of illicit drugs in prisons taking account of the legal, financial and practical limitations relating to prisons' operating environments.

The introduction of this specification brings separate policy areas into the core intelligence system within establishments (such as Radicalisation and Extremism, Corruption Prevention and RIPA). Development of this intelligence, related to these policy areas, is vital to protect the public and to enable prisons to maintain good order and control. Mandatory reporting requirements around this issue, as well as separately identified matters within this specification, assist NOMS and partner agencies in fully understanding the intelligence picture across issues. These reporting requirements also assist in developing operational and strategic approaches towards the management of individual, group, local and national threats to security, safety and order and control.

This specification also requires consistent management of staff corruption-related intelligence by establishments and for sharing corruption intelligence between NOMS and the police. Dealing robustly with corrupt staff is essential to delivering a safe and secure operating environment for prisoners, visitors and staff. It also provides outputs which assist in the development of intelligence around this issue to ultimately identify and remove corrupt individuals from the organisation through internal disciplinary proceedings and then for those staff to be successfully prosecuted and convicted via the courts.

In the context of this specification, the Security Management service is used to ensure prisons provide a safe and secure environment, where threats to security are assessed and mitigated and ongoing risk management is in place.

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8.	Flexibility	All the outputs in this specification are mandatory – referred to as the National Minimum
9.	Reference to Supporting Documents	None.
10.	Example Measurement/ Assurance Method for Commissioners	<p>The specification identifies examples of methods for Commissioners to measure/obtain assurance on the delivery of the outputs/output features. Where an output/output feature does not have Performance Indicator(s) or Management Information associated with it, then it is proposed that it should be covered by Assurance Statements and Contract/SLA Management and/or more specific audits of the service.</p> <p>Assurance Statements will be one of the means by which Commissioners can get assurance that providers are delivering outcomes and outputs of the Service Specification. Contract/SLA Management refers to the Commissioner, under the terms of the Contract/SLA, exercising appropriate oversight and monitoring of Contract/SLA compliance against the service as a whole. Audit may refer to individual reviews of compliance commissioned by Commissioners or to service wide reviews, by MOJ Internal Audit and Assurance, of a key process contributing to the delivery of an outcome in a Service Specification.</p> <p>Security Audit, Race Equality Prisoner Audit and Self Harm Audit all feature as separate elements within the Prison Rating System (PRS).</p> <p>The Interception of Communications Commissioner’s Office (IOCCO) Inspection Report is a written report which is produced and forwarded electronically to the Governor. A copy of the report is also forwarded to the NIU. If there are areas which need attention then there is a schedule of recommendations appended to the report. The report proposes a date for implementation of the recommendations.</p> <p>The Office of the Surveillance Commissioner (OSC) report referenced within this specification should not be considered as an assurance of outcome, but as a legal assessment of process compliance.</p>
11.	References for Detailed Mandatory Instructions	<p>The National Security Framework (NSF) is a series of PSIs grouped together under subject-related functions. It is the core security document for all public and contracted out prisons and applies equally to all parts of all categories of prison. Relevant PSIs for this specification are:</p> <p>NSF1.1: PSI 40/2011 Categorisation and Recategorisation of Adult Male Prisoners NSF1.2: PSI 39/2011 Categorisation and Recategorisation of Women Prisoners NSF1.3: PSI 41/2011 Categorisation and Recategorisation of Young Adult Male Prisoners</p> <p>NSF 2.1: Use of Force</p>

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	<p>NSF 2.1a: C&R Training Manual</p> <p>NSF 2.2: PSI xx/xxxx Segregation</p> <p>NSF 2.3: PSI xx/xxxx Stability Assessment (applicable to all establishment types)</p> <p>NSF 2.4: PSI xx/xxxx Category C – Management of Potential Disruptive Prisoners</p> <p>NSF 3.1: PSI 67/2011 Searching of the Person</p> <p>NSF 3.2: PSI 68/2011 Cell, Area and Vehicle Searching</p> <p>NSF 3.3: PSI 51/2010 Dealing with Evidence</p> <p>NSF 3.4: PSI 50/2010 Covert Testing</p> <p>NSF 4.1: PSI xx/xxxx Intelligence</p> <p>NSF 4.2: PSI xx/xxxx Sharing Intelligence</p> <p>NSF 4.3: PSI xx/xxxx The Mercury Intelligence System (MIS)</p> <p>NSF 4.4: PSI xx/xxxx Interception of Communications</p> <p>NSF 4.4a: PSI 24/2012 The Introduction of Mandatory Call Enabling on the PIN Phone System in all Prison Establishments</p> <p>NSF 4.5: PSI xx/xxxx Regulation of Investigatory Powers Act – Acquisition of Communications Data</p> <p>NSF 4.6: PSI 22/2012 Regulation of Investigatory Powers Act – Covert Surveillance</p> <p>NSF 4.7: PSI 23/2012 Regulation of Investigatory Powers Act – Covert Human Intelligence Sources</p> <p>NSF 5.1: PSI 14/2011 Management and Security of Gate Services</p> <p>NSF 5.2: PSI 13/2011 Management and Security of Communications & Control Rooms and Internal Prisoner Movement</p> <p>NSF 6.1: PSI xx/xxxx Reporting Crime in Prisons</p> <p>NSF 6.2: PSI 55/2011 Security and Management of Keys and Locks</p> <p>NSF 6.3: PSI 10/2012 Conveyance and Possession of Prohibited Items and Other Related Offences</p> <p>NSF 6.4: PSI xx/xxxx Operation of Police National Computer (PNC)</p> <p>NSF 6.5: PSI 11/2012 Management of Incident Reporting System</p> <p>NSF 6.6: PSI 56/2011 Management and Security of E List Prisoners</p> <p>NSF 6.7: PSI 63/2011 Management of the Local Security Strategy (LSS)</p> <p>NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures</p> <p>NSF 6.9: PSI 20/2011 Use of Prison Dogs</p> <p>NSF 6.10: PSI 20/2012 Improvised Explosive Devices</p> <p>NSF 7.1: PSI xx/xxxx External Prisoner Movement</p> <p>NSF 7.1a: Concordat between the National Offender Management Service & NHS Counter Fraud and Security Management Service</p>
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NSF 7.2: PSI 26/2012 Production of Prisoners at the Request of Warranted Law Enforcement Agencies
NSF 7.3: PSI xx/xxxx Person Escort Record

NSF 8.1: PSI 24/2011 Management and Security of Nights

NSF 9.1: PSI xx/xxxx Prisoner and Workshop Risk Assessments
NSF 9.2: PSI 57/2011 Management and Security of Tools and Equipment

NSF 10.1: PSI 15/2011 Management of Security at Visits

NSF 11.1: PSI xx/xxxx Prisoner Access to IT
NSF 11.2: PSI xx/xxxx Access to Justice

NSF 12.1: PSI 05/2013 The Identification, Initial Categorisation and Management of Potential and Provisional Category A / Restricted Status Prisoners
NSF 12.2: PSI 08/2013 The Review of Security Category – Category A / Restricted Status Prisoners
NSF 12.3: PSI 10/2013 Security and Management of Category A Prisoners - Internal
NSF 12.4: PSI 09/2013 Security and Management of Category A Prisoner External Movements
NSF 12.5: PSI xx/xxxx Security and Management of Restricted Status Prisoners

NSF 13.1: PSI 43/2011 Managing and Reporting on Extremist Behaviour in Custody

NSF 14.1: PSI xx/xxxx Corruption Prevention - How to Identify, Report and Manage Staff Corruption Prevention Information

NSF 15.1: PSI xx/xxxx Security Vetting
NSF 15.2: PSI xx/xxxx Exclusion of Not Directly Employed (NDE) Workers
NSF 15.3: PSI 31/2012 Security Vetting: Additional Risk Assessment Criteria Following Disclosed Criminal Convictions (Ex Offenders)

PSO 9025: Archiving, Retention & Disposal Policy identifies the requirements regarding document retention and disposal.

PSO 9020: Provides instruction and guidance regarding how to respond to requests for information under the Freedom of Information Act 2000 (FOIA) and Environmental Information Regulations 2004 (EIRs) and to Subject Access Requests under the Data Protection Act 1998 (DPA).

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PSO 9010: IT Security requires adequate protection of all IT assets, comprising of computer hardware and software, telecommunications and all data retained within HMPS IT Systems safeguarding the confidentiality, integrity and availability of official data.

PSO 0905: Operation of the Police National Computer (PNC) gives instruction on how to manage PNC in accordance with ACPO expectations. (Note that its permitted use within establishments is limited to the establishing of previous convictions and warning signals. As such, its use could inform the Risk Assessment process, although it is likely to be of more value within local prisons where Initial Categorisation & Allocation requires such information, generally from outside of the scope of this specification).

PSO 1025: Person Escort Record, PSO 4445: Civil Partnership Registration, PSO 4450: Marriage of Prisoners, PSO 4625: Productions in Civil Proceedings provide instruction and requirements around authority and risk assessing prisoner movement and activity outside of the establishment and include support and advice regarding alternatives.

PSI 47/2011: Prisoner Discipline Systems includes mandatory actions regarding the handling, storage and preservation of evidence for legal or disciplinary purposes.

PSI 64/2011: Management of prisoners at risk of harm to self, to others and from others (Safer Custody)

Section 16B (Alcohol Testing Act 1997) of the Prison Act 1952 sets out the legality and processes required around the testing of prisoners for the use of alcohol.

MOU: Managing Crime in Prisons and MOU between ACPO and NOMS in the Management of information and intelligence include specific instruction and agreement between the police services and NOMS will be underpinned by Information Sharing Agreements.

PSO 1400: Incident Management (R) sets out the requirements regarding the reporting of incidents in establishments. While the management of incidents is out of scope of this specification, the requirement to report 'reportable' incidents does fall in line with the scope herein.

PSO 4400 (chapter 3): Criminal Cases Review Commission

The **Memorandum of Understanding (MOU) between the National Offender Management Service, the National Co-ordinator for PREVENT on behalf of the Association of Chief Police Officers, the Security Service, the Joint Terrorism Analysis Centre and the Office for Security and Counter Terrorism (MOU: NOMS/PREVENT)** provides instruction on the management of intelligence regarding extremism and radicalisation within prisons and offers guidance on the management of CHIS in these cases.

MOUs on information exchange with High Security Hospitals and Borders Agency [to be referenced].

MOU with the Serious Organised Crime Agency [to be referenced].

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MOU with ACPO for OFFLOC [to be referenced].

PSO 4200 Prison Service Education Curriculum Framework outline the legal and security concerns regarding access to restricted items and include the necessary authority expectations and staff awareness responsibilities around the security implications of IT equipment and media.

PSI 45/2010: Integrated Drug Treatment System (4.31): Non HMPS Providers only do not hold Crown immunity so they must be licensed appropriately regarding the holding of controlled drugs. This will also apply to training aids used in the delivery of search dog outputs along with the control and management of any seized substances.

PSI 06/2010: Conduct & Discipline, PSI 09/2010: Reporting Wrongdoing outlines the mandatory actions for NOMS and the **Memorandum of Understanding: An agreement between the National Offender Management Service (NOMS) and the Police Service regarding the reporting and investigation of NOMS staff corruption (R)** outline the mandatory actions and responsibilities from both services in addressing the matter.

PSO 3601: MDT, PSO 3630: CARATs, PSI 58/2011: Physical Education for Prisoners, PSI 03/10 Category A and Restricted Status Prisoners: Reviews of Security Category, PSO 6300: Release on Temporary Licence, PSO 6000: Parole Manual and PSO 2300: Resettlement includes details where this service is expected to contribute to other separate services.

PSI 11/2011: Incentives and Earned Privileges and **PSI 53/2011: Prisoner Retail** instructs establishments to take account of security concerns in establishing facilities available under the IEP and Retail systems. By default, this requires this service to contribute to the decision regarding which products and facilities are available to prisoners within an establishment, effectively risk assessing access to property and facilities as well as communicating risks.

PSI 49/2011: Prisoner Communication Services: provide instruction which supports RIPA, Prison Rules, Public Protection and the safe and lawful use of telephones and correspondence by prisoners as well as security requirements in ensuring these standards are achieved.

PSI 51/2011: Faith & Pastoral Care for Prisoners and **PSI 33/2011: Equality of Treatment for Employees** specify particular security responsibilities and expectations where religion needs to be considered. These issues will generally be considered in the creation of local security instructions, and the orders as a whole, should be considered as a point of reference when planning the delivery of all outputs.

PSO 1810 Maintaining Order in Prisons. PSI 64/2011 Safer Custody contains mandatory requirements regarding addressing violence within prison establishments.

Part II of the Regulation of Investigatory Powers Act 2000 (RIPA) provides for the authorisation of the use or conduct of CHIS. The definitions of these terms are laid out in section 26 of the 2000 Act. Part II of RIPA also authorises covert surveillance is likely to result in the obtaining of private information about a person. The Act is further outlined in detail in

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		'Operational Procedures: Covert Human Intelligence Sources (CHIS) Policy Guidelines' and the 'Checklist for Authorising Officers for Directed Surveillance Applications'.
12.	References for Non-Mandatory Guidance	<p>Recommendation (2006)2 of the Committee of Ministers to member states on the European Prison Rules (Part IV)</p> <p>Health & Safety Guidance Note 01/2008: Cleaning Cells Following Dirty Protests And Cleaning Up Spillages Of Blood Or Body Fluids provides support and guidance in dealing with evidence and crime scenes.</p> <p>PSI 40/2008: Guidance on the Use of Closed Visits When Applied to Prisoners</p> <p>Drug Supply Reduction Good Practice Guide</p> <p>Mobile Phones Good Practice Guide</p> <p>Public Protection Manual</p> <p>National Intelligence Unit, NOMS Headquarters: Brent 2 User Guide (R) 9 March 2010 v1 provides guidance around the use and maintenance of the Brent Fax.</p> <p>NSF 7.1a Concordat between the National Offender Management Service & NHS Counter Fraud and Security Management Service: Prisoner Escort and Bedwatch Function and PSO 3050: Continuity of Healthcare for Prisoners includes requirements regarding security input in risk assessment and other actions in liaison with Health Care partners.</p> <p>Police Advisors Section (PAS) Operational Guidance Documents (OGD 1, 2, 3, 4, 6, 11) provide instruction and guidance to Police Intelligence Officers, along with the wider police service regarding joint working and practices within prison establishments. While these notes are provided primarily for police information, their content reflects the arrangements agreed in the 'Memorandum of Understanding' and effectively 'the police version' of the content of various PSIs and mandatory instructions referenced from within Prison Service policy. All of these documents are security marked 'Restricted' and are available to specific personnel (only) upon request from Police Advisors Section.</p> <p>PSO 2205: Offender Assessment and Sentence Management – OASys provides instruction regarding the subject matter, and assists in outlining the areas of concern which this service may be required to contribute to.</p> <p>PSO 2855 Prisoners with Disabilities outlines guidance regarding likely security input in the location and equipping of prisoners with particular physical needs.</p> <p>PSO 4800: Women Prisoners includes specific expectations regarding the security measures applied to women prisoners. Local security instructions within female establishments need to reflect these (limited) differences and</p>

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		<p>particular measures.</p> <p>IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection Information Pack: High Security Estate provide information regarding lawful interception of communications and are provided to prisons by IOCCO ahead of their inspections.</p> <p>Covert Surveillance and Property Interference: Revised Code of Practice and Covert Human Intelligence Sources: Code of Practice provide guidance on the authorisation of the use or conduct of Covert Human Intelligence Sources ('CHIS') and Covert Surveillance by public authorities under Part II of the Regulation of Investigatory Powers Act 2000.</p>
13.	Review Cycle	Review cycle to be determined

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Key for Specification below:

(R) – Restricted Document. These documents are available from Governing Governors/ Directors of Contracted establishments.

OPT - Operational Partnership Team. OPT currently operate under the national HMPS – ACPO MOU 2002, which will be replaced by the NOMS/ACPO/Law Enforcement Agency Strategic Partnership Agreement.

OGD – Operational Guidance Document. These documents reflect OPT instructions and agreements in service level delivery within Prison establishments. Documents owned by/issued from OPT and are invariably Restricted (R) Documents. Of the raft of OPT Operational Guidance documents, (OGD) 1 is the Statement of Common Principles for Intelligence sharing

MOU – Memorandum of Understanding. Reflect an understanding between the National Offender Management Service (NOMS) and a partner agency outlining the principles and practices to which both parties have committed themselves in order to address a specific issue.

Keys – refers to security keys that are held in the Governor’s safe prior to issue to the Gate for allocation to staff/actual use, and means all current and future methods of unlocking and locking doors and gates (e.g. manual keys, magnetic keys, cards, and biometric entry methods). This includes handcuff and all other restraint keys. This also includes Crown Court keys where local arrangements exist.

Mobile Phones – includes component parts (including but not limited to SIM cards, memory cards etc) as well as ‘complete’ mobile phones along with any similar personal electronic device designed for communication purposes, including voice only, email, internet, SMS (text), photography (photographs) or video (with or without sound).

Escort Staff - These include public, contracted and officers of warranted law enforcement agencies.

Personal Safety/Security Equipment – Refers to personal issue security and safety items such as batons, whistles, alarms, anti-ligature tools (‘fish knives’), key chains and general issue Control & Restraint (C&R) equipment. This list is not exhaustive and means all current and future issued items.

Crime - Crime detection is not a key outcome for NOMS as it is not a law enforcement agency. Where references to ‘crime’ or ‘criminal activity’ are made, the outcome and output refer to the notification to or facilitating of the appropriate law enforcement agency.

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National Minimum

Row	Service Element	Outputs/Output Features	Applicable Prisoner Types	Policy Theme	Example Measurement/ Assurance Method for Commissioners	References for Detailed Mandatory Instructions	References for Non-Mandatory Guidance
1.	Local Security Strategy (LSS)	The Local Security Strategy (including amendments) is agreed, documented and implemented and manages local risk. Amendments to the LSS which require agreement outside of the prison are agreed by Deputy Directors of Custody (public sector prisons) or the Deputy Director of Contracted Custodial Services (private sector prisons).	All Prisoner Types	Security	Self / Independent Assessment Security Audit PI Escapes PI Cat A Escapes	<p>Cat A Index</p> <p>NSF 12.1: PSI 05/2013 The Identification, Initial Categorisation and Management of Potential and Provisional Category A / Restricted Status Prisoners</p> <p>NSF 12.2: PSI 08/2013 The Review of Security Category – Category A / Restricted Status Prisoners</p> <p>NSF 12.3: PSI 10/2013 Security and Management of Category A Prisoners - Internal</p> <p>NSF 12.4: PSI 09/2013 Security and Management of Category A Prisoner External Movements</p> <p>NSF 12.5: PSI xx/xxxx Security and Management of Restricted Status Prisoners</p> <p>NSF 6.7: PSI 63/2011 Management of Establishment Local Security Strategy (LSS) (all sections, including annexes)</p> <p>NSF 13.1: PSI 43/2011 Managing and reporting of extremist behaviour in custody (Protect):</p> <p>NSF 3.1: PSI 67/2011 Searching the Person (1.5, 2.6)</p> <p>NSF 3.4: PSI 50/2010 Covert Testing (1.5 – HSE only)</p>	<p>NSF 3.4: PSI 50/2010 Covert Testing</p> <p>NSF 5.2: PSI 13/2011 Management And Security Of Communication/Control Rooms And Internal Prisoner Movement</p> <p>NSF 5.1: PSI 14/2011 Management And Security Of Gate Services</p> <p>NSF 10.1: PSI 15/2011 Management Of Security At Visits</p> <p>OGD11: Managing Crime In Prisons (R)</p> <p>OGD42: Duty To Care (R)</p> <p>PSO 4800 Women Prisoners (Issue: S)</p> <p>PSI 49/2011 Prisoner Communication Systems: Correspondence Section 2</p> <p>PSI 51/2011 Faith and Pastoral Care for Prisoners</p> <p>Blakey Report</p>

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						<p>NSF 5.2: PSI 13/2011 Management and Security of Communication/Control Rooms and Internal Prisoner Movement (1.10)</p> <p>NSF 5.1: PSI 14/2011 Management and Security of Gate Services (1.10, 2, 4, 6.2, 7)</p> <p>NSF 10.1: PSI 15/2011 Management of Security at Visits (1.8)</p> <p>NSF 8.1: PSI 24/2011 Management and Security of Nights (1.10, 2, 3.1-3.2, 4, 5, 6)</p> <p>PSI 64/2011 Safer Custody</p> <p>PSI 16/2011 Providing Visits and Services to Visitors</p> <p>PSO 9010 IT Security (2.3, 2.6, 2.10, 2.38, 2.40, 2.44, 2.56, 6.1-6.4, 6.8, 11.1, 12, 13)</p>	
2.	Local Security Strategy (LSS)	The adequacy of the LSS is assured by Deputy Directors of Custody (public sector prisons) or the Deputy Director of Contracted Custodial Services (private sector prisons) on an annual basis.	All Prisoner Types	Security	Self/ Independent Assessment Security Audit PI Escapes PI Cat A Escapes	NSF 6.7: PSI 63/2011 Management of Establishment Local Security Strategy (LSS) (all sections, including annexes)	NSF 3.4: PSI 50/2010 Covert Testing

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3.	Local Security Strategy (LSS)	Staff, prisoners and visitors are aware of information regarding security measures they are responsible for or subject to.	All Prisoner Types	Security Equality	Security Audit Self / Independent Assessment Staff Training Records PI Escapes PI Cat A Escapes	NSF 3.1: PSI 67/2011 Searching the Person (2.7) NSF 8.1: PSI 24/2011 Management and Security of Nights (2.5, 3.2, 5.2, 5.7-5.9, 5.12) NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures (2.1, 2.6, 2.9, 2.13, 2.34, 2.37) NSF 6.3: PSI 10/2012 Conveyance and possession of prohibited items and other related offences MOU: An agreement between the National Offender Management Service (NOMS) and the Police Service regarding the reporting and investigation of NOMS staff corruption (R) PSO 4200 Education and Training: The Prison Service Education Curriculum Framework (The Core Curriculum) (2.1.1) PSI 49/2011 Prisoner Communication Services (Section 2, 6.5 - 6.9, Annex A) PSI 53/2011: Prisoner Retail (Section 3) NSF 14.1: PSI xx/xxxx Corruption Prevention - How to Identify, Report and Manage Staff Corruption Prevention Information (was PSO 1215 - 1.8-1.13, 2.1, 2.2, 3.9, 4, 5)	NSF 5.2: PSI 13/2011 Management and Security of Communication/Control Rooms and Internal Prisoner Movement NSF 5.1: PSI 14/2011 Management and Security of Gate Services NSF 10.1: PSI 15/2011 Management of Security at Visits PSO 9010 IT Security OGD11: Managing Crime In Prisons (R)

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4.	Local Security Strategy (LSS)	Risk posed by Category A, Restricted Status and potential Category A prisoners is managed effectively.	Category A, Restricted Status & Potential Category A Prisoners	Security Public Protection Regimes Offender Management	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 8.1: PSI 24/2011 Management and Security of Nights (4, 5.1) NSF 12.1: PSI 05/2013 The Identification, Initial Categorisation and Management of Potential and Provisional Category A / Restricted Status Prisoners NSF 12.2: PSI 08/2013 The Review of Security Category – Category A / Restricted Status Prisoners NSF 12.3: PSI 10/2013 Security and Management of Category A Prisoners - Internal NSF 12.4: PSI 09/2013 Security and Management of Category A Prisoner External Movements NSF 12.5: PSI xx/xxxx Security and Management of Restricted Status Prisoners Prison Rules: Communications Generally 34 (1,2,3) PSI 16/2011 Providing Visits and Services to Visitors	NSF 12.1: PSI 05/2013 Category A And Restricted Status Prisoners: Reviews Of Security Category NSF 5.2: PSI 13/2011 Management and Security of Communication/Control Rooms and Internal Prisoner Movement OGD1: The Role Of Police Advisers Section To NOMS (PAS) (R)
5.	Local Security Strategy (LSS)	New, unissued and withdrawn security keys (including restraint keys) are securely stored, recorded, disposed and accounted for.	All Prisoner Types	Security	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 12.1 - 12.5 (Cat A PSIs) NSF 6.2: PSI 55/2011 Security & Management of Keys and Locks (2.1, 2.7, 2.9, 2.10, 2.13 - 2.15, 4.1, 4.2, 6.4, 7.1)	NSF 5.1: PSI 14/2011 Management and Security of Gate Services

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6.	Local Security Strategy (LSS)	Security keys and personal safety/security equipment are stored, carried and used correctly.	All Prisoner Types	Security Public Protection Health & Safety Safer Custody	Security Audit Self / Independent Assessment Staff Training Records PI Escapes PI Cat A Escapes	NSF 6.2: PSI 55/2011 Security & Management of Keys and Locks (2.2, 2.5, 2.7, 2.8, 2.10, 2.12 - 2.18, 3.1 - 3.7, 4.2, 6.7, 8.1 - 8.3) PSO 1205 Prison Service Security Passes (2.6.1) PSI 64/2011 Safer Custody	NSF 5.1: PSI 14/2011 Management and Security of Gate Services
7.	Local Security Strategy (LSS)	Allocated security keys are recorded and accounted for and key ledgers are maintained.	All Prisoners	Security	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 6.2: PSI 55/2011 Security & Management of Keys and Locks (2.3, 2.4, 2.12 - 2.15, 2.17, 2.18, 4.1, 4.2, 6.7)	NSF 5.1: PSI 14/2011 Management and Security of Gate Services
8.	Local Security Strategy (LSS)	Escort equipment (including handcuff keys and communication methods) is managed, maintained and accounted for.	All Prisoner Types	Security	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 6.2: PSI 55/2011 Security & Management of Keys and Locks (2.11) NSF 6.3: PSI 10/2012 Conveyance and Possession of Prohibited Items and Other Related Offences (Chapter 5)	Mobile Phones Good Practice Guide
9.	Searching Strategy	A local searching strategy which manages identified local risks in line with National requirements is agreed, documented in	All Prisoner Types	Security	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes PI MDT	NSF 6.7: PSI 63/2011 Management of LSS (all sections, including annexes) NSF 3.1: PSI 67/2011 Searching of the Person (1.5, 2.6) NSF 3.2: PSI 68/2011 Cell, Area and Vehicle Searching (1.6, 2) NSF 5.1: PSI 14/2011 Management and Security of Gate Services (4)	NSF 3.1: PSI 67/2011 Searching the Person NSF 3.4: PSI 50/2010 Covert Testing Blakey Report Mobile Phones Good Practice Guide Acquisition and Disclosure

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		the LSS, and implemented.				NSF 6.9: PSI 20/2011 Prison Dogs(Protect) (6.1-6.4) PSI 12/2011 Prisoners Property PSI 51/2011 Faith & Pastoral Care for Prisoners PSI 53/2011 Prisoner Retail (5.2-5.4, 5.7)	of Communications Data Code of Practice Prison drugs supply reduction: a good practice guide
10.	Searching Strategy	Testing for the presence of Arms and Explosives is undertaken.	Prisoners held in HSE establishments	Security Public Protection	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes PI MDT	NSF 12.1-12.5 (Cat A Function) NSF 3.2: PSI 68/2011 Cell, Area and Vehicle Searching (2.30, 2.42, 2.43) NSF 3.3: PSI 51/2010 Dealing with Evidence (2.2, 2.8, 2.16-2.18) NSF 6.9: PSI 20/2011 Prison Dogs(Protect) (4.1, 4.3, 5.1, 5.2, 5.5, 15.1-15.5) PSI 51/2011 Faith & Pastoral Care for Prisoners	Blakey Report
11.	Searching Strategy	The presence of illicit/unauthorised items is minimised, items are found or their supply or use is detected and/or disrupted.	All Prisoner Types	Security Public Protection	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes PI MDT PI Serious Assaults	NSF 6.3:PSI 10/2012 Conveyance and Possession of Prohibited items and other related offences (was PSO 1100) Section 16B (Alcohol Testing Act 1997) of the Prison Act 1952: Compulsory Testing for Alcohol Alcohol Testing of Prisoners: Manual of Policy and Procedures NSF 3.1: PSI 67/2011 Searching of the Person NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures (1.2, 2.6, 2.9, 2.14, 2.16, 2.18-2.20, 2.26, 2.30, 2.35, 2.40) Prison Rules: Search (41.2), Control of Persons and Vehicles (71.1)	NSF 3.1: PSI 67/2011 Searching the Person NSF 3.2: PSI 68/2011 Cell, Area and Vehicle Searching Blakey Report Prison Rules: Rights of Prisoners Charged (54, 54.1, 54.2, 54.3) PSI 45/2010 Integrated Drug Treatment System (4.31) Evaluation of Mobile Phone Detection Technology Deployed in Prisons (Alina Sellman, Security Group, August 2010) (3.4.2, 3.4.3,

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						NSF 6.9: PSI 20/2011 Prison Dogs (Protect) (4.2, 5.1 - 5.6, 13.4.1-13.8.2, 15.1-15.5, 16.1-16.22, 17.1-17.19, 18.1-18.6), PSI xx/xxxx MDT [draft PSI to be referenced]	3.4.4, 3.4.5, 3.4.6) Mobile Phones Good Practice Guide Acquisition and Disclosure of Communications Data Code of Practice Prison drugs supply reduction: a good practice guide PSO 4800 Women Prisoners (Issue: S)
12.	Searching Strategy	Evidence, or items found are preserved, accounted for, recorded, stored and disposed of correctly.	All Prisoner Types	Security Health & Safety	Security Audit Self / Independent Assessment	NSF 3.2: PSI 68/2011 Cell, Area and Vehicle Searching NSF 3.3: PSI 51/2010 Dealing with Evidence (2.2-2.8, 2.10-2.12, 2.14-2.19, 2.21-2.22, 2.24-2.26, 2.30-2.31) NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures (2.3, 2.12, 2.15-2.17, 2.39, 2.46) PSI 45/2010 Integrated Drug Treatment System (4.31) PSO 1400 Incident Management (R) (2.2.1, 2.2.5, 2.3.1-2.3.11, 2.4.1, 2.7.2-2.7.4) PSI 47/2011: Prisoner Discipline Procedures (2.31) PSI 6.1: NSF 6.1 Reporting Crime in Prisons [draft] NSF 6.9: PSI 20/2011 Prison Dogs (Protect) (5.2.17, 5.5.1.12, 5.6.1.12)	Health & Safety Guidance Note 01/2008: Cleaning Cells Following Dirty Protests And Cleaning Up Spillages Of Blood Or Body Fluids NSF 3.3: PSI 51/2010 Dealing with Evidence NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures (2.24-2.30, 2.39-2.45, 2.47) PSO 1100 replacement NSF 6.3 (PSI 10/2012) Conveyance and Possession of Prohibited Items and Other Related Offences Prison drugs supply reduction: a good practice

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							<p>guide</p> <p>Mobile Phones Good Practice Guide</p> <p>Tamper Evidence Products: MoJ Specification Document</p> <p>OGD1: The Role Of Police Advisers Section To NOMS (PAS) (R)</p> <p>OGD3: Guidance Notes (R)</p> <p>OGD4: Evidence & Disclosure (R)</p> <p>OGD11: Managing Crime In Prisons (R)</p>
13.	Searching Strategy	Prisoners and visitors who pose a threat to security, Good Order or Discipline or who may pass unauthorised articles are managed and actions are reported.	All Prisoner Types	Security Public Protection	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes PI MDT PI Serious Assaults	NSF 10.1: PSI 15/2011 Management of Security at Visits (3) (Chapter 3, Annex B Measures to tackle drug smuggling through visits)	<p>NSF 6.3:PSI 10/2012 Conveyance and Possession of Prohibited items and other related offences (was PSO 1100)</p> <p>Drug Supply Reduction Good Practice Guide</p> <p>Mobile Phones Good Practice Guide</p> <p>OGD11: Managing Crime In Prisons (R)</p> <p>OGD42: Duty To Care (R)</p> <p>Blakey Report</p> <p>PSI 40/2008 Guidance on the Use of Closed Visits when Applied to Prisoners</p>

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14.	Prison Act Offences	Conveying of, possession of, transmission of and/or using prohibited items or information is authorised where appropriate.	All Prisoner Types	Security Decency Public Protection Health & Safety	Security Audit Self / Independent Assessment Integrity Testing	NSF 6.3:PSI 10/2012 Conveyance and Possession of Prohibited items and other related offences (was PSO 1100) MOU: An agreement between the National Offender Management Service (NOMS) and the Police Service regarding the reporting and investigation of NOMS staff corruption.(R)	NSF 5.1: PSI 14/2011 Management and Security of Gate Services Evaluation of Mobile Phone Detection Technology Deployed in Prisons (Alina Sellman, Security Group, August 2010) (3.4.2, 3.4.3, 3.4.4, 3.4.5, 3.4.6) Mobile Phones Good Practice Guide OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R)
15.	Prison Act Offences	Mobile phones found within a prison are interrogated.	All Prisoner Types	Security Decency Public Protection Intelligence	NSTSG Security Audit Local Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	Prison Rules: Interception of Communications 35A (1,2,3,4) NSF 3.3: PSI 51/2010 Dealing with Evidence (2.25-2.26) NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures (1.2, 2.6, 2.9, 2.14, 2.16, 2.18-2.20, 2.26, 2.30, 2.35, 2.40)	NSF 3.3: PSI 51/2010 Dealing with Evidence (2.24-2.27) Health & Safety Guidance Note 01/2008: Cleaning Cells Following Dirty Protests And Cleaning Up Spillages Of Blood Or Body Fluids Evaluation of Mobile Phone Detection Technology Deployed in Prisons (3.4.2, 3.4.3, 3.4.4, 3.4.5, 3.4.6) Mobile Phones Good Practice Guide OGD3: Guidance Notes (R)

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							OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R) Acquisition and Disclosure of Communications Data Code of Practice
16.	Developing and Managing Intelligence	Staff are aware of the security, professional and personal standards expected of them.	All Prisoner Types	Security Decency Public Protection Health & Safety Intelligence	Staff Training Records Security Audit Self / Independent Assessment Integrity Testing Covert Testing [where commissioned] PI Escapes PI Cat A Escapes Regional Capacity Assessment Threat Assessment PI MDT	Draft NSF 4.1: PSI x/2011 Intelligence [to be referenced] Prison Rules Part 3 – Officers of Prisons PSO 1310 Anti-Fraud Strategy (6.1, 7.2) PSI 09/2010 Reporting Wrongdoing (1.12-1.14, 2.10, 2.11, 3.14) PSI 06/2010 Conduct and Discipline (1.4-1.8, 3) PSO 1005 (R) (3.1.1) [draft PSI to replace] NSF 6.3:PSI 10/2012 Conveyance and Possession of Prohibited items and other related offences (was PSO 1100) NSF 3.2: PSI 68/2011 Cell, Area and Vehicle Searching (2.14, 2.26-2.28, 2.30) NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures (2.1, 2.6, 2.9, 2.13, 2.34, 2.37) PSO 9010 IT Security (2.31, 2.44) MOU: An agreement between the National Offender Management Service (NOMS) and the Police Service regarding the reporting and investigation of NOMS staff corruption (R)	NSF 5.1: PSI 14/2011 Management and Security of Gate Services PSO 9010 IT Security OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD42: Duty To Care (R) Blakey Report

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						NSF 14.1: PSI x/xxxx Corruption Prevention - How to Identify, Report and Manage Staff Corruption Prevention Information MOU: NOMS/PREVENT	
17.	Developing and Managing Intelligence	Intelligence assessments identify local security priorities and objectives and inform the management of risk.	All Prisoner Types	Security Decency Public Protection Intelligence Offender Management	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence Draft PSI: OM [to be referenced]	Blakey Report
18.	Developing and Managing Intelligence	Security Intelligence contributes to corruption prevention.	All Prisoner Types	Security Decency Public Protection Intelligence	OSC Report IOCCO Inspection Report Security Audit Self / Independent Assessment	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence PSO 1310 Anti-Fraud Strategy (6.1, 7.2) MOU: An agreement between the National Offender Management Service (NOMS) and the Police Service regarding the reporting and investigation of NOMS staff corruption.(R) NSF 14.1: PSI x/xxxx Corruption Prevention - How to Identify, Report and Manage Staff Corruption Prevention Information PSI 06/2008 Introduction of Brent equipment to area offices, establishments and headquarters and amendments to the National Security Framework (NSF) Function 4 (5-10) NSF 13.1 PSI 43/2011 Managing and	NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures (2.31-2.34) OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD21: Corruption Prevention (R) OGD11: Managing Crime In Prisons (R)

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						reporting of extremist behaviour in custody (Protect): (4.7, 4.8)	Blakey Report National Intelligence Unit, NOMS Headquarters: Brent 2 User Guide (R) 9 March 2010 v1 IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection Information Pack: High Security Estate Prison Rules Part 3 – Officers of Prisons
19.	Developing and Managing Intelligence	Information locally obtained or received from other establishments and agencies, is recorded, stored, accessed and handled lawfully, and in a way that ensures fair treatment for all.	All Prisoner Types	Security Decency Public Protection Equality Intelligence	OSC Report IOCCO Inspection Report Memorandum of Understanding Security Audit Self / Independent Assessment	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence PSO 0905 Operation of the Police National Computer (1.1.4, 2.1.1, 2.2.1, 2.2.2, 2.5.3, 2.5.4, 2.6.3, 3.1.2, 4.1.1, 4.1.3, 4.1.4, 4.2.7, 5.1.1, 5.1.3) PSO 9010 IT Security (2.3, 2.6, 1.10, 2.31, 2.44) Prison Rules: IMB Members Visiting Prisons (79.3) MOU: An agreement between the National Offender Management Service (NOMS) and the Police Service regarding the reporting and investigation of NOMS staff corruption (R) NSF 14.1: PSI x/xxxx Corruption Prevention - How to Identify, Report and Manage Staff Corruption Prevention Information PSI 06/2008 Introduction of Brent equipment	PSI 20/2007 Introduction of the new Security Information Report (SIR) and amendments to the National Security Framework (NSF) Function 4 OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R) OGD42: Duty To Care (R) National Intelligence Unit,

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						to area offices, establishments and headquarters and amendments to the National Security Framework (NSF) Function 4 (5-10) NSF 13.1 PSI 43/2011 Managing and reporting of extremist behaviour in custody (Protect): (4.9)	NOMS Headquarters: Brent 2 User Guide (R) 9 th March 2010 v1 IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection Information Pack: High Security Estate PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004
20.	Developing and Managing Intelligence	Strategic and Operational intelligence is developed, evaluated and disseminated appropriately.	All Prisoner Types	Security Decency Public Protection Intelligence	IOCCO Inspection Report OSC Report Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes Regional Capacity Assessment Threat Assessment	NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures (2.21-2.22) NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence Prison Rules: Disclosure of Material (35C) NSF 13.1 PSI 43/2011 Managing and reporting of extremist behaviour in custody (Protect): MOU: An agreement between the National Offender Management Service (NOMS) and the Police Service regarding the reporting and investigation of NOMS staff corruption.(R) NSF 14.1: PSI x/xxxx Corruption Prevention - How to Identify, Report and Manage Staff Corruption Prevention Information	NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures (Annex) (R) Drug Supply Reduction Good Practice Guide Mobile Phones Good Practice Guide OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R)

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						MOU: NOMS/PREVENT	OGD11: Managing Crime In Prisons (R) OGD21: Corruption Prevention (R) OGD42 : Duty To Care (R) IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection Information Pack: High Security Estate PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004
21.	Developing and Managing Intelligence	Intelligence informs actions in the prevention and detection of risks to prison security and the wider community.	All Prisoner Types	Security Decency Public Protection Intelligence Offender Management	IOCCO Inspection Report Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence NSF 13.1 PSI 43/2011 Managing and reporting of extremist behaviour in custody (Protect): (4.1 - 4.6) NSF 14.1: PSI x/xxxx Corruption Prevention - How to Identify, Report and Manage Staff Corruption Prevention Information MOU: NOMS/PREVENT MOU with the Serious Organised Crime Agency [to be referenced] Draft PSI: OM [to be referenced]	IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection Information Pack: High Security Estate OGD21: Corruption Prevention (R)

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22.	Developing and Managing Intelligence	Prisoners who present a threat to the safety and security of others, the public or the establishment are identified and reported and their ongoing risk is managed.	All Prisoner Types	Security Decency Public Protection Health & Safety Intelligence Offender Management	IOCCO Inspection Report Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes PI Serious Assaults	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence PSI 53/2011: Prisoner Retail (5.2, 5.3) PSO 1150 (R) (4.1, 4.2) NSF 13.1 PSI 43/2011 Managing and reporting of extremist behaviour in custody (Protect): (4.1 - 4.6) PSI 06/2008 Introduction of Brent equipment to area offices, establishments and headquarters and amendments to the National Security Framework (NSF) Function 4 (5-10) PSI 64/2011 Safer Custody MOU: NOMS/PREVENT MOU with the Serious Organised Crime Agency [to be referenced] Draft PSI: OM [to be referenced]	OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD11: Managing Crime In Prisons (R) OGD42: Duty To Care (R) Blakey Report National Intelligence Unit, NOMS Headquarters: Brent 2 User Guide (R) 9 March 2010 v1 IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection Information Pack: High Security Estate PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004
23.	Developing and Managing Intelligence	Prisoners who pose an escape risk are identified and their ongoing risk is managed.	Escape List Prisoners	Security Intelligence Offender Management	IOCCO Inspection Report Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence NSF 12.1-12.5: (Cat A Function) Prison Rules: Communications Generally 34 (1,2,3) NSF 3.1: PSI 67/2011 Searching the Person (2.48 - 2.50)	OGD3: Guidance Notes (R) IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection Information Pack: High Security Estate

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						<p>NSF 3.2: PSI 68/2011 Cell, Area and Vehicle Searching (2.20)</p> <p>NSF 5.2: PSI 13/2011 Management and Security of Communication/Control Rooms and Internal Prisoner Movement (7)</p> <p>PSI 49/2011 Prisoner Communication Systems section 12</p> <p>PSO 4445 Civil Partnership Registration (4.2)</p> <p>PSO 4450 Marriage of Prisoners (4.2)</p> <p>Draft PSI: OM [to be referenced]</p>	
24.	Developing and Managing Intelligence	Directed and intrusive covert surveillance is authorised where appropriate, managed and recorded.	All Prisoner Types	Security Decency Public Protection Intelligence	OSC Report Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes Integrity Testing	<p>NSF 4.1: PSI x/xxxx Intelligence [to be referenced]</p> <p>NSF 4.2: PSI x/xxxx Sharing Intelligence</p> <p>NSF 4.4 - 4.7</p> <p>Section 26(2) of the 2000 RIPA Act</p> <p>Checklist for Authorising Officers for Directed Surveillance Applications (R)</p> <p>NSF 14.1: PSI x/xxxx Corruption Prevention - How to Identify, Report and Manage Staff Corruption Prevention Information</p> <p>PSI 06/2008 Introduction of Brent equipment to area offices, establishments and headquarters and amendments to the National Security Framework (NSF) Function 4 (5-10)</p>	<p>OGD1 Statement of Common Principles for Prison Intelligence Sharing</p> <p>OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R)</p> <p>OGD3: Guidance Notes (R)</p> <p>OGD4: Evidence & Disclosure (R)</p> <p>OGD11: Managing Crime In Prisons (R)</p> <p>OGD21: Corruption Prevention (R)</p> <p>OGD42: Duty To Care (R)</p> <p>Covert Surveillance and Property Interference: Revised Code of Practice</p>

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25.	Developing and Managing Intelligence	Use of Covert Human Intelligence Sources (CHIS) is authorised, managed and recorded.	All Prisoner Types	Security Decency Public Protection Health & Safety Intelligence	OSC Report Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes PI MDT	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2: PSI x/xxxx Sharing Intelligence NSF 4.4 - 4.7 Section 26(8) of the 2000 RIPA Act Operational Procedures: Covert Human Intelligence Sources (CHIS) Policy Guidelines (R) PSI 06/2008 Introduction of Brent equipment to area offices, establishments and headquarters and amendments to the National Security Framework (NSF) Function 4 (5-10) MOU: NOMS/PREVENT	O OGD1 Statement of Common Principles for Prison Intelligence Sharing GD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R) OGD42: Duty To Care (R) Covert Human Intelligence Sources: Code of Practice
26.	Developing and Managing Intelligence	Information obtained by CHIS and surveillance is shared securely with identified stakeholders.	All Prisoner Types	Security Decency Public Protection Health & Safety Intelligence	OSC Report Security Audit Self / Independent Assessment Regional Capacity Assessment Threat Assessment	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2: PSI x/xxxx Sharing Intelligence NSF 4.4 - 4.7 PSO 9010 IT Security (2.31, 2.44) NSF 13.1: PSI 43/2011 Managing and reporting Extremist Behaviour in Prisons Operational Procedures: Covert Human Intelligence Sources (CHIS) Policy Guidelines (R) PSI 06/2008 Introduction of Brent equipment to area offices, establishments and headquarters and amendments to the National Security Framework (NSF) Function	Prison Rules: IMB Members Visiting Prisons (79.3) OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R)

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						4 (5-10) MOU: NOMS/PREVENT MOU with the Serious Organised Crime Agency [to be referenced]	OGD21: Corruption Prevention (R) OGD42: Duty To Care (R) PSO 9010 IT Security Covert Human Intelligence Sources: Code of Practice Covert Surveillance and Property Interference: Revised Code of Practice PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004
27.	Developing and Managing Intelligence	The interception of communications and the retention and dissemination of material obtained is lawful.	All Prisoner Types	Security Decency Public Protection Health & Safety Intelligence	IOCCO Inspection Report Security Audit Self / Independent Assessment	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence NSF 4.4 - 4.7 Prison Rules :Interception of Communications (35A), Retention of Material (35D), Disclosure of Information (35C) PSO 9010 IT Security (2.31, 2.44) MOU: An agreement between the National Offender Management Service (NOMS) and the Police Service regarding the reporting and investigation of NOMS staff corruption (R) MOU with the Serious Organised Crime	OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R) IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection

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						Agency [to be referenced]	Information Pack: High Security Estate PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004
28.	Developing and Managing Intelligence	Targeted interception of prisoner mail for security intelligence purposes is authorised and proportionate to the threat posed.	All Prisoner Types	Security Health & Safety Decency Public Protection Equality Intelligence	IOCCO Inspection Report Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes Regional Capacity Assessment Threat Assessment	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence NSF 4.4 - 4.7 Prison Rules: Interception of Communications 35A (1,2,3,4) NSF 13.1: PSI 43/2011 Managing and Reporting Extremist Behaviour in Prisons MOU with the Serious Organised Crime Agency [to be referenced]	OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R) OGD42: Duty To Care (R) PSI 49/2011: Prisoner Communication Systems: Section 2 IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection Information Pack: High Security Estate
29.	Developing and Managing Intelligence	Monitoring of prisoner telephone calls for security intelligence purposes is authorised and proportionate to	All Prisoner Types	Security Decency Public Protection Equality	IOCCO Inspection Report Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence NSF 4.4 - 4.7 Prison Rules: Interception of Communications 35A (1,2,3,4)	OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R) OGD42: Duty To Care (R)

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		the threat posed.		Intelligence	Regional Capacity Assessment Threat Assessment	PSI 49/2011 Prisoner Communication systems 14.19, 14.20, 15.1 -15.3 MOU with the Serious Organised Crime Agency [to be referenced]	IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection Information Pack: High Security Estate
30.	Developing and Managing Intelligence	Intelligence developed as a result of communication interception is shared legally and appropriately.	All Prisoner Types	Security Decency Public Protection Equality Intelligence Offender Management	IOCCO Inspection Report Security Audit Self / Independent Assessment Regional Capacity Assessment Threat Assessment	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence NSF 4.4 - 4.7 Prison Rules: Disclosure of Material (35C) NSF 13.1: PSI 43/2011 Managing and Reporting Extremist Behaviour in Prisons PSI 49/2011 Prisoner Communication Systems Section 12 MOU: NOMS/PREVENT MOU with the Serious Organised Crime Agency [to be referenced] Draft PSI: OM [to be referenced]	OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R) OGD21: Corruption Prevention (R) IOCCO Prison Inspection Information Pack / IOCCO Prison Inspection Information Pack: High Security Estate PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004

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31.	Developing and Managing Intelligence	Intelligence and information relating to Public Protection orders or concerns is acted upon and shared lawfully.	All Prisoner Types	Security Public Protection Intelligence Offender Management	Security Audit Self / Independent Assessment Regional Capacity Assessment Threat Assessment	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence NSF 4.4 - 4.7 NSF 13.1: PSI 43/2011 Managing and Reporting Extremist Behaviour in Prisons PSI 49/2011 Prisoner Communication Systems Section 12 MOU with the Serious Organised Crime Agency [to be referenced] Draft PSI: OM [to be referenced] PSO 9010 IT Security (2.52, 3.26, 3.44-3.45)	Public Protection Manual [to be referenced] OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R) OGD42: Duty To Care (R) PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004
32.	Developing and Managing Intelligence	Incident reports are submitted, amended and updated securely and on time.	All Prisoner Types	Security Public Protection Health & Safety Intelligence	Regional and Headquarters Security Audit Local Security Audit Self / Independent Assessment Regional Capacity Assessment Threat Assessment	NSF 4.1: PSI x/2011 Intelligence [to be referenced] NSF 4.2:PSI x/2011 Sharing Intelligence PSO 1400 Incident Management (R) (Chapter 2 and Annex 2) PSI 64/2011: Safer Custody PSO 9010 IT Security (2.3, 2.10, 2.31, 2.44, 7) NSF 6.5:PSI 11/2012 Management of Incident Reporting System	National Intelligence Unit, NOMS Headquarters: Brent 2 User Guide (R) 9 th March 2010 v1 OGD42: Duty To Care (R)

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33.	External Partner Liaison	Arrangements are in place to share and disseminate information with criminal justice stakeholders lawfully.	All Prisoner Types	Security Public Protection Intelligence Offender Management	Security Audit Self / Independent Assessment	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence Prison Rules: Disclosure of Material (35C) MOU: An agreement between the National Offender Management Service (NOMS) and the Police Service regarding the reporting and investigation of NOMS staff corruption.(R) PSI 64/2011: Safer Custody PSO 9010 IT Security (2.52, 3.26, 3.44-3.45) PSO 4455 Requests from Prisoners to Change their Name (2.1.4) NSF 14.1: PSI x/xxxx Corruption Prevention - How to Identify, Report and Manage Staff Corruption Prevention Information MOU between ACPO and NOMS in the Management of information and intelligence [under development] MOU: NOMS/PREVENT MOU with the Serious Organised Crime Agency [to be referenced] Draft PSI: OM [to be referenced]	Public Protection Manual [to be referenced] OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD2: The Role Of The Prison Intelligence Officer (PIO) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD6: Prisoner Production Orders By Law Enforcement Agencies (R) OGD11: Managing Crime In Prisons (R) OGD21: Corruption Prevention (R) OGD42: Duty To Care (R) Covert Surveillance and Property Interference: Revised Code of Practice Covert Human Intelligence Sources: Code of Practice Blakey Report PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004

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34.	External Partner Liaison	Arrangements are in place to share and disseminate information with non criminal justice stakeholders lawfully.	All Prisoner Types	Security Public Protection Intelligence Offender Management	Security Audit Self / Independent Assessment	NSF 4.1: PSI x/xxxx Intelligence [to be referenced] NSF 4.2:PSI x/xxxx Sharing Intelligence Prison Rules: Disclosure of Material (35C) PSO 9010 IT Security (2.53) MOU between ACPO and NOMS in the Management of information and intelligence [under development] MOUs on information exchange with High Security Hospitals and Borders Agency [to be referenced] Draft PSI: OM [to be referenced]	Public Protection Manual [to be referenced] OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD42: Duty To Care (R) PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004
35.	External Partner Liaison	Crime is reported and subsequent investigations are supported.	All Prisoner Types	Security Public Protection Decency Equality	Self/Independent Assessment	NSF 6.1: PSI x/xxxx Reporting Crime in Prison NSF 6.8: PSI 30/2011 Instructions on Handling Mobile Phones and SIM Card Seizures (2.4, 2.12) MOU: An agreement between the National Offender Management Service (NOMS) and the Police Service regarding the reporting and investigation of NOMS staff corruption.(R) PSI 49/2011 Prisoner Communication Systems Section 12 NSF 14.1: PSI x/xxxx Corruption Prevention - How to Identify, Report and Manage Staff Corruption Prevention Information MOU with the Serious Organised Crime Agency [to be referenced]	PSI 64/2011 Safer Custody PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004 OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R) OGD42: Duty To Care (R)

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36.	External Partner Liaison	Public Protection concerns are reported and subsequent investigations are supported.	All Prisoner Types	Security Public Protection Decency Equality Intelligence	Self/Independent Assessment	PSI 49/2011 Prisoner Communication Systems Section 12 NSF 13.1: PSI 43/2011 Managing and reporting Extremist Behaviour in Prisons NSF 6.1: PSI x/xxxx Reporting Crimes in Prison [Raoul Moat investigation: likely recommendation] MOU with the Serious Organised Crime Agency [to be referenced]	PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004 OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD11: Managing Crime In Prisons (R) OGD42: Duty To Care (R) Public Protection Manual [to be referenced]
37.	Contribution to Risk Management	Security risk assessment informs prisoner participation in employment, education, accredited/non-accredited intervention and activity allocation.	All Prisoner Types	Security Health & Safety Regimes Re-settlement Offender Mgt	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	PSO 9010 IT Security (3.8-3.10) PSI 64/2011 Safer Custody PSO 3630 Counselling, Assessment, Referral, Advice and Throughcare Services (3.1) PSO 4250 Physical Education (4.10) PSO 1150 (R) (4.2) PSI 53/2011: Prisoner Retail (5.6) Draft PSI: OM [to be referenced]	NSF 3.2: PSI 68/2011 Cell, Area and Vehicle Searching (2.33-2.51) PSO 2855 Prisoners with Disabilities (6.8)

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38.	Contribution to Risk Management	All tools and equipment are risk assessed, stored, controlled, issued, recorded and disposed of securely.	All Prisoner Types	Security Health & Safety Regimes	Security Audit Self / Independent Assessment Covert Testing [where commissioned] PI Escapes PI Cat A Escapes	PSO 1150 IED (R) (4.4) NSF 6.10:PSI 20/2012 IED NSF 9.2: PSI 57/2011 Management and Security of Tools and Equipment (2.1 - 2.12)	PSI 45/2010 Integrated Drug Treatment System (4.27) PSO 2855 Prisoners with Disabilities (6.8)
39.	Contribution to Risk Management	Security risk assessments contribute to safe and secure internal prisoner movement.	All Prisoner Types	Security Health & Safety Regimes	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 5.2: PSI 13/2011 Management and Security of Communication/Control Rooms and Internal Prisoner Movement (6) NSF 8.1: PSI 24/2011 Management and Security of Nights (5.24-5.25) PSI 51/2011 Faith & Pastoral Care for Prisoners PSI 64/2011 Safer Custody PSO 1150 (R) (2.4, 2.5, 2.7, 2.8) NSF 6.10:PSI 20/2012 IED	NSF 3.2: PSI 68/2011 Cell, Area and Vehicle Searching (2.33-2.51) NSF 5.1: PSI 14/2011 Management and Security of Gate Services PSO 2855 Prisoners with Disabilities (7.5)
40.	Contribution to Risk Management	Security risk assessments contribute to safe and secure activity areas.	All Prisoner Types	Security Health & Safety Regimes	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 3.2: PSI 68/2011 Cell, Area and Vehicle Searching (2.33-2.51) PSI 51/2011 Faith & Pastoral Care for Prisoners PSI 53/2011: Prisoner Retail (5.6, 5.8) PSI 64/2011 Safer Custody PSO 1150 (R) (2.4, 2.5, 2.7, 2.8)	PSO 2855 Prisoners with Disabilities (7.5)

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41.	Contribution to Risk Management	Security contributions for Multi Agency Public Protection Arrangements (MAPPA), Parole, Lifer Reports, Categorisation, Recategorisation, Transfer, Release on Temporary Licence (ROTL) and Home Detention Curfew (HDC) are based on risk and intelligence.	All Prisoner Types	Security Public Protection Health & Safety Regimes Re-settlement Offender Mgt	Security Audit Self / Independent Assessment	NSF1.1-1.3: Categorisation and Recategorisation PSO 2300 Resettlement (7.5.2) PSO 4445 Civil Partnership Registration (4.2, 4.11-4.13) PSO 4450 Marriage of Prisoners (4.2, 4.14-4.16) PSO 6000 Parole Release and Recall (Chapter 5, Appendix M) PSO 6300 Release on Temporary Licence (2.3.3, 6.1) Draft PSI: OM [to be referenced] NSF 13.1: PSI 43/2011 Managing Extremist Behaviour in Prisons	PSI 08/2009: Multi-Agency Public Protection Arrangements PSO 3610 Measures to Deal with Prisoners and Visitors who Smuggle Drugs through Visits (47, 48) NSF 12.1: PSI 05/2013 Category A And Restricted Status Prisoners: Reviews Of Security Category PSO 2300 Resettlement (80) PSO 4450 Marriage of Prisoners (4.6, 4.8, 4.13) Public Protection Manual OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD6: Prisoner Production Orders By Law Enforcement Agencies (R) OGD42: Duty To Care (R) PSO 2205 OASys PSO 9020 The Data Protection Act 1998, The Freedom of Information Act 2000, Environmental Information Regulations 2004

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42.	Contribution to Risk Management	External prisoner movement (including the destination) is risk assessed and authorised.	All Prisoner Types	Security Public Protection Health & Safety Decency	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 12.2: The Review of Security Category – Category A / Restricted Status Prisoners NSF 7.1: PSI x/xxxx External Prisoner Movement NSF 7.2: PSI 26/2012 Production of Prisoners at the Request of Warranted Law Enforcement Agencies NSF 7.3:PSI x/xxxx Person Escort Record (PER) NSF 13.1: PSI 43/2011 Managing Extremist Behaviour in Prisons PSO 4445 Civil Partnership Registration (4.2, 4.11-4.13) PSO 4450 Marriage of Prisoners (4.2, 4.14 - 4.16)	Concordat between the National Offender Management Service & NHS Counter Fraud and Security Management Service: Prisoner Escort and Bedwatch Function OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD2: The Role Of The Prison Intelligence Officer (PIO) (R) OGD6: Prisoner Production Orders By Law Enforcement Agencies (R) PSO 3050 Continuity of Healthcare for Prisoners (4) PSO 4800 Women Prisoners (Issue: S)

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43.	Contribution to Risk Management	Escort staff have access to all relevant information regarding the prisoner, the escort risks and what to do in the event of escape/attempted escape.	All Prisoner Types	Security Public Protection Health & Safety	Contractors Security Audit Local Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes	NSF 6.6: PSI 56/2011 Management and Security of Escape List (E List) Prisoners (2.1 - 2.3, 3.2 - 3.4, 3.11) NSF 7.1: PSI x/xxxx External Prisoner Movement NSF 7.2: PSI 26/2012 Production of Prisoners at the Request of Warranted Law Enforcement Agencies NSF 7.3: PSI x/xxxx Person Escort Record (PER) NSF 13.1: PSI 43/2011 Managing Extremist Behaviour in Prisons	PSI 45/2010 Integrated Drug Treatment System (6.9) OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD6: Prisoner Production Orders By Law Enforcement Agencies (R) OGD42: Duty To Care (R)
44.	Contribution to Risk Management	Incidents are prevented through the timely and effective use of intelligence and stability assessments.	All Prisoner Types	Security Intelligence	Self/Independent Assessment	NSF 2.3: PSI x/xxxx Stability Assessment NSF 2.4: PSI x/xxxx Category C - Management of Disruptive Prisoners	

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45.	Contribution to Risk Management	Security Information and Intelligence is transferred securely, legally and in a timely manner to receiving establishments and this is recorded.	All Prisoner Types	Security Decency Equality	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes Regional Capacity Assessment Threat Assessment	PSO 9010 IT Security (2.52, 3.26, 3.44-3.45) NSF 10.1: PSI 15/2011 Management of Security at Visits (Chapter 3- Annex B) NSF 13.1: PSI 43/2011 Managing Extremist Behaviour in Prisons MOUs on information exchange (in draft) with High Security Hospitals and Borders Agency [to be referenced]	OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD6: Prisoner Production Orders By Law Enforcement Agencies (R) OGD42: Duty To Care (R)
46.	Contribution to Risk Management	Security records are created and updated as required by the receiving/holding establishment.	All Prisoner Types	Security Decency Equality	Security Audit Self / Independent Assessment PI Escapes PI Cat A Escapes Regional Capacity Assessment Threat Assessment	MOUs on information exchange (in draft) with High Security Hospitals and Borders Agency [to be referenced] NSF 10.1: PSI 15/2011 Management of Security at Visits (Chapter 3- Annex B) NSF 13.1: PSI 43/2011 Managing Extremist Behaviour in Prisons	OGD1 Statement of Common Principles for Prison Intelligence Sharing OGD2: The Role Of Police Advisers Section To NOMS (PAS) (R) OGD3: Guidance Notes (R) OGD4: Evidence & Disclosure (R) OGD6: Prisoner Production Orders By Law Enforcement Agencies (R) OGD42: Duty To Care (R)