

How to use Essence of Care 2010





Document Purpose	Best Practice Guidance
ROCR Ref:	Gateway Ref: 14641
Title	ESSENCE OF CARE 2010
Author	DEPARTMENT OF HEALTH
Publication Date	1ST OCTOBER 2010
Target Audience	PCT CEs, NHS Trust CEs, Care Trust CEs, Foundation Trust CEs, Directors of Nursing, Local Authority CEs, Directors of Adult SSs, PCT PEC Chairs, Special HA CEs, Allied Health Professionals, GPs, Communications Leads, Emergency Care Leads, Directors of Children's SSs, Universities UK, RCN, RCM, AHPF, SHA Lead Nurses, SHA AHP Leads, Patient Organisations
Circulation List	PCT CEs, NHS Trust CEs, Care Trust CEs, Foundation Trust CEs, Directors of Nursing, Local Authority CEs, Directors of Adult SSs, PCT PEC Chairs, Special HA CEs, Allied Health Professionals, GPs, Communications Leads, Emergency Care Leads, Directors of Children's SSs, Voluntary Organisations/NDPBs, Universities UK, RCN, RCM, AHPF, SHA Lead Nurses, SHA AHP Leads, Patient Organisations
Description	Essence of Care 2010 includes all the benchmarks developed since it was first launched in 2001, including the latest on the Prevention and Management of Pain. All the benchmarks have been reviewed to reflect the current views of people requiring care, carers and staff
Cross Ref	Essence of Care 2001, Communication, Promoting Health and Care Environment
Superseded Docs	Essence of Care 2001 Gateway No. 4656 and 8489
Action Required	N/A
Timing	N/A
Contact Details	Gerry Bolger CNO Directorarte - PLT 5E58, Quarry House Quarry Hill, Leeds LS2 7UE 01132546056 www.dh.gov.uk
For Recipient's Use	

How to use Essence of Care 2010

BENCHMARKS FOR THE FUNDAMENTAL ASPECTS OF CARE



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First published 2010

ISBN 9780 11 322872 0

Printed in the United Kingdom for The Stationery Office.

J002352920 cXX 09/10

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Quick Start

The following is a 'quick start' guide for using the *Essence of Care 2010* to improve practice and care.

Identify which aspect of practice and/or care needs improvement Ouestions to ask:

- What do *people* requiring care and/or their carers complain or raise issues about most?
- Why have incidents or accidents happened?
- What areas have national or local surveys highlighted as being of concern?
- For example, have there been any complaints about *people* requiring care not being helped to eat?

Look at the benchmarks, factors and indicators to see what people requiring care and carers say needs to be in place

Things to think about:

- Are there any benchmarks that link with the area of concern identified above? For example, Benchmarks for Food and Drink.
- Are there any factors that link with the specific area of concern? For example, 'People receive the care and assistance they require with eating and drinking' (Assistance Factor 9).
- Review the indicators for practical ideas of how to achieve the factors. For example, 'A system is in place to identify that *people* requiring assistance to eat and drink receive it' (indicator 9b).

Review and change practice and/or care

Ascertain whether current practice meets the indicators. For example, identify whether there is a system in place that identifies *people* requiring assistance to eat and drink. If current practice does not meet the indicators change practice so that it does. For example, introduce a system where food is delivered on red trays for *people* requiring assistance.

Evaluate practice and/or care from perspective of people requiring care, their carers and staff

Questions to ask:

Do people requiring care and/or their carers think that care has improved? Are they happy with the standard of care? For example, are people and/or carers satisfied with the assistance given to help people eat and drink? Is there evidence that people requiring care are well nourished?

Establish improved practice and care or revise further

Establish improved practice and care across the team, organisation or organisations or improve practice and care further where it does not meet the indicators.

How to use Essence of Care 2010

Essence of Care 2010 identifies best practice and highlights how this can be achieved. Essence of Care 2010 was developed in partnership with people¹ and carers² and as such reflects the views of their health and social care needs and preferences.

It is important to note at this point that *Essence of Care 2010* is a very versatile tool that can be used in a number of ways and at different levels. For example, it can be used as:

- a quality assurance or benchmarking tool (see below)
- a reference document or checklist *Essence of Care 2010* includes what *people*, carers and staff³ agree is best practice and care and this can, therefore, be referred to in order to understand *people's* and carers' perspectives and what might need to be improved to accommodate these
- an audit tool as a foundation and focus for audit data collection tools used to assess practice and care (linked to above)
- a dissemination tool to spread current good practice and care across organisations
- a root cause analysis tool when examining incidents and complaints or addressing risks
- an education tool to educate and train staff of all levels about people's and carers needs and preferences, and to highlight the areas where specific competencies are required to provide care
- to provide evidence of compliance with registration criteria for the Care Quality Commission
- 1 For simplicity, the term 'people requiring care' is shorted to people (in italics). People includes babies, children, young people under the age of 18 years and adults. This is consistent for all sets of benchmarks except those covering the Care Environment.
- 2 The term 'carers', refers to those who 'look after family, partners or friends in need of help because they are ill, frail or have a disability. The care they provide is unpaid' (adapted from Carers UK, 2008). Carers can include children and young *people* aged under 18 years.
- 3 The term 'staff' refers to any employee, or paid and unpaid worker (for example, a volunteer), who has an agreement to work in that setting and is involved in promoting well-being.

to provide evidence of achievement and best practice and care – for example, to the regulator or Health Service Ombudsman, for the National Cleaning Standards, when using the National Service Frameworks, or in commissioning assurance.

Essence of Care 2010 can be used by individuals, teams, directorates, and within and across organisations of all sizes. It can also be used locally or strategically, or ideally, both. It has universal application.

When using Essence of Care 2010 it is important to remember to:

- make it work for *people* and their carers
 - focus on areas of concern for people and carers
 - use Essence of Care 2010 flexibly to make improvements
 - ensure involvement from *people*, carers and all staff concerned with the delivery of care
- make it work for staff and/organisations
 - save time and effort and integrate Essence of Care 2010 work
 with other projects and initiatives, such as those required for the
 National Cleaning Standards, reports for regulators, infection
 control guidance, mixed sex accommodation guidance, Dignity
 Champions work, governance, Patient Environment Action Teams'
 guidance, National Institute for Health and Clinical Excellence (NICE)
 guidance, electronic handover, and Better Metrics Projects, etc
 - use within commissioning assurance
- do not reinvent the wheel be 'smart'
 - share and compare best practice and care (locally, nationally, other team's work etc)
 - where possible use evidence already in existence (for example, current audit data)
 - use valid tools that already exist and
 - use evidence gathered for one set of benchmarks, for instance those concerning, 'Respect and Dignity', to provide evidence for other sets of benchmarks such as 'Communication' and 'Food and Drink'. This applies both to goals that are more specific as well as goals that cover topics such as diversity, consent and confidentiality, people's involvement, leadership, education and training etc.

Much of *Essence of Care 2010* is centred on benchmarks and benchmarking for practice and care. The following text discusses this in more depth. In addition, there are more detailed ideas and tips on 'Using *Essence of Care 2010* Benchmarks' in Appendix One and 'Making Changes Possible and Sustainable' in Appendix Two.

Why is benchmarking practice and care necessary?

Many *people* have care that is very effective and appropriate to their needs and preferences. There are lots of examples of compliments being written or made to health and social services staff. However, practice and care is not correct all of the time and, therefore, needs improvement. Poor care is evidenced by, for instance, complaints, untoward incidents, and increased death and illness rates. Therefore, staff, teams and/organisations need to look at how they are working in order to improve practice and care.

Benchmarking is important because it is a systematic process that can be used to improve practice and care.

What is a benchmark and benchmarking?

In the context of this document a **benchmark** is:

'a standard of best practice and care by which current practice and care is assessed or measured.'

Following from this benchmarking is:

'a systematic process in which current practice and care are compared to, and amended to attain, best practice and care.'

Briefly the steps involved are:

- establish priorities for improving practice and care within the environment or organisation
- establish and agree best (evidence-based) practice and care for people within the organisation
- ascertain current practice and care
- **compare the differences**, and identify the gaps and barriers between, current and best practice and care and identify achievements
- **develop a plan** of what goals need to be met to achieve best practice and care, that is, working out what needs to be done and how
- **implement the plan** (that is, change things, for example, activity, perspective, approach, culture, education and training, environment, etc) to meet the goals
- evaluate practice and care by assessing and measuring whether goals have been met
- **establish improved practice and care** across a team, or organisation(s)
- **establish priorities and further goals** to continuously improve quality of practice and care, that is, go through the steps again.

(see also Appendices One and Two)

Focus of Essence of Care 2010 benchmarks

The benchmarks are focused on 12 topics. These were chosen because the evidence indicated that *people* were unhappy with these fundamental aspects of care. The 12 sets of benchmarks are:

- Bladder, Bowel and Continence Care
- Care Environment
- Communication
- Food and Drink
- Prevention and Management of Pain
- Personal Hygiene
- Prevention and Management of Pressure Ulcers
- Promoting Health and Well-being
- Record Keeping
- Respect and Dignity
- Safety
- Self Care

The overall person outcomes, specific factors and indicators (or goals) within the benchmarks have been developed, reviewed extensively and agreed by *people*, carers, association representatives and staff as vital to providing best practice and care. The result is sets of benchmarks which are truly person-focused. This is because the areas covered are important to *people* and carers, and the indicators are focused on what *people* and carers say they want and expect.

Where can the Essence of Care 2010 benchmarks be used?

These benchmarks are designed to be used wherever health and social care is planned, managed and/or delivered. For example, it can be used in the following settings and environments:

- people's homes
- hospitals
- day centres
- clinics
- care homes
- ambulances
- prisons
- GPs' surgeries
- schools

In the following areas:

- care for acutely ill people
- care for chronically ill people
- emergency care
- long term care
- short term care
- care of people with learning disabilities
- care of children and young *people*
- care of people with a range of conditions such as cancer, diabetes, medical and surgical problems
- care of people with wounds
- care in pregnancy
- care of people with mental health problems
- care of people who are terminally ill
- care of people who are in pain
- care of *people* who are vulnerable

and in the settings and areas in which you work.

Content of Essence of Care 2010 benchmarks

The Essence of Care 2010 benchmarks comprise:

- an **overall person-focused outcome** that expresses what *people* and carers want from care in a particular area of practice
- definitions of terms as appropriate
- **general** indicators, or goals, for best practice
- a number of **factors**, or topics, that need to be considered in order to achieve the overall person-focused outcome

Each factor consists of:

- a person-focused statement of **best practice and care** which is placed at the extreme right of the continuum
- a statement of **poor practice and care** which is placed at the extreme left of the continuum
- indicators, or goals, identified by people, carers, association representatives and staff that support the attainment of best practice and care

An example is shown on the next page.

Example of overall outcome, factor and indicator

Benchmarks for Prevention and Management of Pain

Agreed person-focused outcome

People and carers experience individualised, timely and supportive care that anticipates, recognises and manages pain and optimises function and quality of life

Factor 1 – Access

POOR PRACTICE

People and carers do not have access to timely and appropriate pain management

BEST PRACTICE

People experiencing pain, or who are likely to experience pain, and carers receive timely and appropriate access to services to manage pain

Indicators of best practice for factor 1

The following indicators support best practice for managing pain:

- a. general indicators (see page 4 in each benchmark) are considered in relation to this factor
- b. up-to-date information about pain management and services, and how to access them, is readily available in all care environments and (where applicable) given in advance of care. Information is provided in a suitable format and in plain language
- C.
- d. add your local indicators here

APPENDIX ONE Ideas and tips

Essence of Care 2010 benchmarking is a systematic process in which the current practice and care of health and social organisations, teams or individual staff are compared to, and amended to attain, best practice and care. Changes and improvements focus on the indicators, or goals, within the factors, since these are the items that *people*, carers and staff believe are important for achieving best practice and care.

This section includes ideas and tips that have proved useful in taking forward the Essence of Care. It is *not* an exhaustive list of activities that must be followed.

The steps involved are listed in the tables on the following pages:

Steps for Benchmarking:

Step	Activity	Ideas and Examples
Step One	Establish priorities for improving practice and care	Be strategic in choosing a set of benchmarks (or a factor or an indicator) on which to focus effort that is relevant and appropriate.
	within the environment or	Decisions on what to focus on should be based on evidence such as:
		 increased concerns about practice and care highlighted by people, carers and/or staff
		 lack of satisfaction of people or carers (for example, collected during surveys)
		 increased risk to safety of people, carers and/or staff (for example, collected as the result of incidents)
		increased or high number of complaints
		identification of recently published evidence of best practice and care;
		identification of an exemplar of good practice and care within the organisation
		 new guidance from organisations such as National Institute for Health and Clinical Excellence (NICE), the National Specifications of Cleanliness in the NHS in relation to Patient Environment Action Teams (PEAT) from the National Patient Safety Agency, or within commissioning assurance or from the Social Care Institute of Excellence.
		This step is very important for <i>gaining support for improvement throughout the organisation</i> . This is because a wise decision can tie together the expectations of <i>people</i> and carers to the goals of teams, organisations, commissioners or local authorities.

Step	Activity	Ideas and Examples
		For example, the following expectations and goals could be addressed in part via the Benchmarks for Prevention and Management of Pressure Ulcers (such as Factor 1, indicator b):
		 people do not expect to develop pressure ulcers in a care environment
		 the team wants to identify and manage more effectively the care of people at risk of developing pressure ulcers
		 the organisation wants to reduce the incidence of pressure ulcers and manage the use of pressure redistributing equipment more efficiently
		the commissioning organisations or local authority want to improve overall well-being of the population within an area
		 the government wants to deliver maximum improvement in health and well-being outcomes within resources.
		N.B. Be strategic – the links between expectations of <i>people</i> and carers, as well as the goals of staff, teams, organisations, commissioners and local authorities and government priorities may need to be highlighted to those concerned.

Step	Activity	Ideas and Examples
		It may be appropriate for the organisation to set up an Essence of Care 2010 Steering Group . This steering group can provide strategic focus and direction for the <i>Essence of Care 2010</i> initiative and support staff throughout the organisation(s) to improve the fundamental aspects of care. The steering group can also be used to monitor progress, facilitate evaluation and report on benchmarking activities to the board. In addition, the steering group could have a remit to ensure that the Essence of Care 2010 initiative is integrated with the organisation's other priorities and committees. Lead members can liaise with other organisations at regional and national levels to share good practice, ideas and to disseminate methods of improvement. the Steering Group could have a remit to ensure that the <i>Essence of Care 2010</i> initiative is integrated with the organisation's other priorities and committees.
		ESSENCE OF CARE 2010 STEERING GROUPS
		Essence of Care 2010 Steering Group membership can include:
		people and/or carers
		representatives from associations, such as Age UK
		 experts in changing practice (for instance, lead members of the practice development, service improvement, integrated governance and/or quality teams)
		commissioners of services
		staff who work directly with people and/or carers
		senior managers of services
		 support service staff
		 board members

Step	Activity	Ideas and Examples
		Remember: Consider resource implications – there is no bottomless pot of money!
Step Two	Establish and agree best (evidence-based) practice and care for <i>people</i> within the organisation	Guidance for best practice and care is provided by the specific and general indicators (goals) that <i>people</i> and carers have stated are important from their perspectives. Some of these goals are about putting processes in place and others are the outcomes that <i>people</i> and carers want and expect.
		Examples of goals are:
		 people are addressed as they wish and spoken to using their preferred name (Benchmarks for Respect and Dignity, factor 4, Indicator b)
		 resources to aid communication and understanding are available, for example, hearing loops, text phone, large print text, pictures, books, toys, Braille, multilingual literature and other electronic methods of communication (Benchmarks for Communication, factor 5, indicator b)
		 incidents, such as acts of violence, aggression and seclusion are reviewed and evaluated and the knowledge is used to improve care (Benchmarks for Safety, factor 6, indicator d)
		 people and carers know how to access services and resources, for example, by using the Citizen's Advice Bureau, NHS Direct etc (Benchmarks for Self Care, factor 6, indicator c)
		 people who are identified initially as having pressure ulcers or who are vulnerable to the development of pressure ulcers should receive a full assessment using an evidence-based tool (Benchmarks for `Prevention and Management of Pressure Ulcers, factor 1, indicator d).

Sten	Activity	Ideas and Examples
		N R Not all the goals are applicable to all health and social settings
		and environments and others may need to be adapted.
		It is often important to agree what constitutes best practice and care across a team, an organisation or organisations in order to ensure improvements are achieved and sustainable across health or social care settings. For instance:
		 in relation to pressure ulcer management and goals, agreement may have to be reached about what is meant by the term 'vulnerable to development of pressure ulcers' and what constitutes a 'full assessment' or an 'evidence-based tool'. This may involve setting up an 'Expert Working Group' (see below) to put forward standards and assessment tools which can then be agreed throughout the team, an organisation or organisations
		the goal of 'nature and quality of lighting and use of colour in furnishings and decorations support a therapeutic and/or healing environment' (Benchmarks for Care Environment, factor 3, indicator c) would require an 'Expert Working Group' of people and carers as well as a range of staff including those delivering care and those managing the physical environment, such as estate management staff etc. In addition, staff managing budgets would need to be involved to support or sanction any cost
		 the goal of 'barriers to accessing information, services and support have been identified and are being addressed' (Benchmarks for the Promoting Health and Well-being, factor 5, indicator c) may require an 'Expert Working Group' in order to ascertain the barriers and how best to address them.

Step	Activity	Ideas and Examples
		EXPERT WORKING GROUPS
		Expert Working Group membership can include:
		people and/or carers
		representatives from associations, such as Age UK
		 experts in the topic under review (for instance, if developing best practice and care for people who do not speak English, representatives from organisations that provide competent interpreters could be involved)
		staff who work directly with people and/or carers
		managers of services
		support service staff
		board members, trustees and governors
		Therefore, this step usually necessitates the work of a group with some expertise or knowledge of the topic under review (n.b. this invariably includes people and/or carers), in order to discuss and define best practice and care. In addition, some members of the group also need to have an overall view of the management of care in a particular area and other members will have the authority (or access to authority) to obtain agreement for the group's decisions from the relevant committees within their organisation(s).

Step	Activity	Ideas and Examples
Step Three	Ascertain current practice and care	Current practice and care can be ascertained in a number of ways. For example:
		 observation of care (such as whether people are spoken to using their preferred name, or whether they have the necessary communication aids)
		 reviewing documentation (such as to ascertain whether incidents are reviewed, evaluated and used to improve care, or whether those who are vulnerable to developing pressure ulcers receive a full assessment)
		monitoring access to services (such as local interpreting services or NHS Direct)
		 monitoring outcomes of care (such as prevalence wwwand incidence of pressure ulcers)
		 surveys of people's and carers' views and satisfaction (such as attitude and helpfulness of staff).
		It is important to decide how (criteria and method) current practice and care will be measured or assessed. There may be standard ways of achieving this (such as the National Patient Survey Programme or existing services user surveys) or local criteria and methods may need to be used. For example, what criteria will be used to assess whether people are spoken to using their preferred name? It could be the number of times that a person's referred name was used as a percentage of how they were referred to during an episode of care; or a person's perception of whether their preferred name was used 'not at all', 'sometimes', 'mostly' or 'all the time' etc. The method used could be observation and taking notes, or recording events by camera, or by asking people or staff. It is imperative that the criteria and method used reflect the topic under investigation that is, is what is being measured or assessed what is supposed to being measured or assessed?

Step	Activity	Ideas and Examples
		The Better Metrics Project (Health Care Commission 2007) and State of the Art Metrics for Nursing: A Rapid Appraisal (National Nursing Research Unit, King's College London 2008) may provide some useful ideas in terms of measurement.
		Remember to keep the evidence!
Step Four	Compare the differences, and identify the gaps and barriers, between current and best practice and care and identify achievements	Use the evidence collected on current practice and care to assess achievement towards best practice and care. Evidence can include that collected from, for example, the National Patient Survey, PEAT programmes, other national and local initiatives. Do not duplicate! Identify the gaps. For example, if only 50 percent of reception staff speak to people using their preferred name, there is evidently a gap between this and best practice and care where everyone is spoken to using their preferred name. Identify barriers to best practice and care. To continue to use the example above – in order to identify why reception staff are not using a person's preferred name a manager may choose to discuss this with the staff members. The barriers may turn out to be that the preferred name was not asked for or was not entered into the computer database by the staff assessing the person.

Step	Activity	Ideas and Examples
Step Five	Develop a plan of what	Produce an action plan detailing:
	goals need to be met to achieve best practice and care that is, working out	 the changes that need to be made to meet goals (for instance, the computer needs to indicate the need for a 'preferred name' to be entered onto a person's records)
	and how	any resource implications and how these will be met (for example, how much time the above would take to organise and to enter)
		who is responsible for leading the changes
		the time scale in which these should occur.
		Actions should be:
		realistic
		achievable (but do not let that limit your vision of what is possible)
		measurable or assessable.
		N.B. The 'Essence of Care 2010 Steering Group' and/or 'Expert Working Group' (see Steps One and Two) can be used to facilitate the above within a team, an organisation or organisations. Think differently! It is important to consider practice and care from people's and carers' points of view (see also Thinking Differently, NHS Institute for Innovation and Improvement, 2007).

Step	Activity	Ideas and Examples
Step Six	Implement the plan (that is, change things, for example, activity, perspective, approach, culture, education and training, environment etc) to meet the goals	 Carry out the plan. Keep the evidence (document, document): activities any improvements problems unexpected observations. N.B. The 'Essence of Care 2010 Steering Group' and/or 'Expert Working Group' (see Steps One and Two) can be used to facilitate the above within a team, an organisation or organisations.
Seven	Evaluate practice and care by assessing and measuring whether goals have been met	 Analyse data and evaluate actions: Did the experiences or outcomes of people and carers improve? Did service delivery benefit from changes made? If there is no improvement review activities in the action plan. N.B. The 'Essence of Care 2010 Steering Group' and/or 'Expert Working Group' (see Steps One and Two) can be used to facilitate the above within a team, an organisation or organisations

Step	Activity	Ideas and Examples
Step Eight	Establish improved practice and care across a team, or organisation(s)	If improvements are identified, disseminate good practice and care and implement the changes as widely as appropriate through other organisational systems.
		Include in the business planning cycle, integrated governance plan and quality initiatives and reports of teams, organisations or commissioning bodies via relevant leads. Can also be included in annual reporting to the regulator.
		N.B. The 'Essence of Care Steering Group' and/or 'Expert Working Group' (see Steps One and Two) can be used to facilitate the above within a team, an organisation or organisations

As above	
Step Nine/ Establish priorities One etc and further goals to	continuously improve quality of practice and care, that is, go through the steps again
Step Nine/ One etc	

Provost LP and Nolan TW (1996) The Improvement Guide: A Practical Approach to Enhancing Organizational Performance The process can be accomplished using the PDSA cycle (Plan, Do, Study, Act) see Langley GJ, Nolan KM, Norman CL, Jossey-Bass Publishers: USA cited in Modernisation Agency, 2002).

APPENDIX TWO

Making changes possible and sustainable: Ideas and tips

Things to put in place:

	Organisation	Team	Individual
Culture	The culture of the organisation actively supports benchmarking the fundamental aspects of practice and care. People's and carers' involvement and perspectives are ensured wherever the fundamental aspects of care are considered.	The culture of the team actively supports benchmarking the fundamental aspects of practice and care. People's and carers' involvement and perspectives are ensured wherever the fundamental aspects of care are considered.	Individual staff actively support benchmarking the fundamental aspects of practice and care. People's and carers' involvement and perspectives are ensured wherever the fundamental aspects of care are considered.
Structure	There is an organisation-wide structure that supports benchmarking the fundamental aspects of practice and care.	Teams and team leaders are an integral part of the organisation-wide structure.	Individuals liaise with their local teams and team leaders and, as appropriate, with organisation and commissioning teams.

	Organisation	Team	Individual
Mechanisms	Organisation-wide mechanisms are in place to manage the benchmarking process and to integrate this with other quality initiatives and priorities.	Team mechanisms are in place to manage the benchmarking process locally and to integrate this with other quality initiatives.	Individuals manage relevant parts of the benchmarking process. This may involve activities such as taking part in audits, surveys etc.
Responsibility	The organisation takes action to meet its responsibilities in terms of benchmarking the fundamental aspects of practice and care. The chief executive officer (CEO) (or registered person in a social care service) is ultimately responsible for ensuring that the fundamental aspects of practice and care are met.	The team takes action to meet its responsibilities in terms of benchmarking the fundamental aspects of practice and care. The team leader is ultimately responsible for ensuring that the fundamental aspects of practice and care are met within their team.	Every member of staff is responsible for supporting activity towards benchmarking and delivering the fundamental aspects of practice and care.

	Organisation	Team	Individual
Leadership	There is a designated post or role at senior management level to lead the Essence of Care Initiative. The post-holder is the link between commissioners, the board, managers, team leaders and staff working directly with people and carers.	There is a specifically designated role to lead the Essence of Care Initiative within teams.	Individuals have access to support and advice from the designated leader and also support the leader in their work.
Commissioning Assurance	Benchmarks of the fundamental aspects of practice and care are central and integral to how services are planned, commissioned and delivered.	Teams are able to demonstrate that fundamental aspects of care are part of how services are planned and delivered.	Individuals contribute to the planning and delivery of the fundamentals of care
Resources	Sufficient human and financial resources are provided to sustain the benchmarking process in the fundamental aspects of care and to maintain improvements in care.	Teams can provide evidence of probity in managing human and financial resources to support improvements in the fundamental aspects of care.	Individuals can provide evidence of probity in delivery of care to support improvements in the fundamental aspects of care.



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