



DFID Nepal Portfolio Review 2011/12

DFID Nepal's Operational Plan 2011-2015

The UK Government's Department for International Development (DFID) prepared an Operational Plan for Nepal during 2010. This plan commits up to £331 million of UK official development assistance during the period 2011-2015. Our Operational Plan is set around four pillars that we believe are critical to secure long term peace and development in Nepal; (1) Governance & Security (2) Inclusive Wealth Creation, (3) Service Delivery (4) Disaster & Climate Change Resilience. Gender and Social Inclusion is a major cross-cutting theme throughout all of our work and is reflected in our results. By 2015 we will achieve the following results in partnership with the Government of Nepal:

- Create 230,000 jobs, 50% of them for women
- Build 532 km and maintain 3,700 km of road
- Reduce the climate and disaster vulnerability of four million poor people, of which 2.19 million will be women
- Lift 570,000 people out of poverty through the forestry programme, of which 313,500 will be women
- 2100 minors and late recruited former Maoist combatants given training and reintegration support
- 93 percentage of local government bodies conducting public audits for every project
- Avert 108,000 unintended pregnancies
- Ensure 110,000 people (55% women) benefit from safe latrines, partly through our support to the Gurkha Welfare Scheme

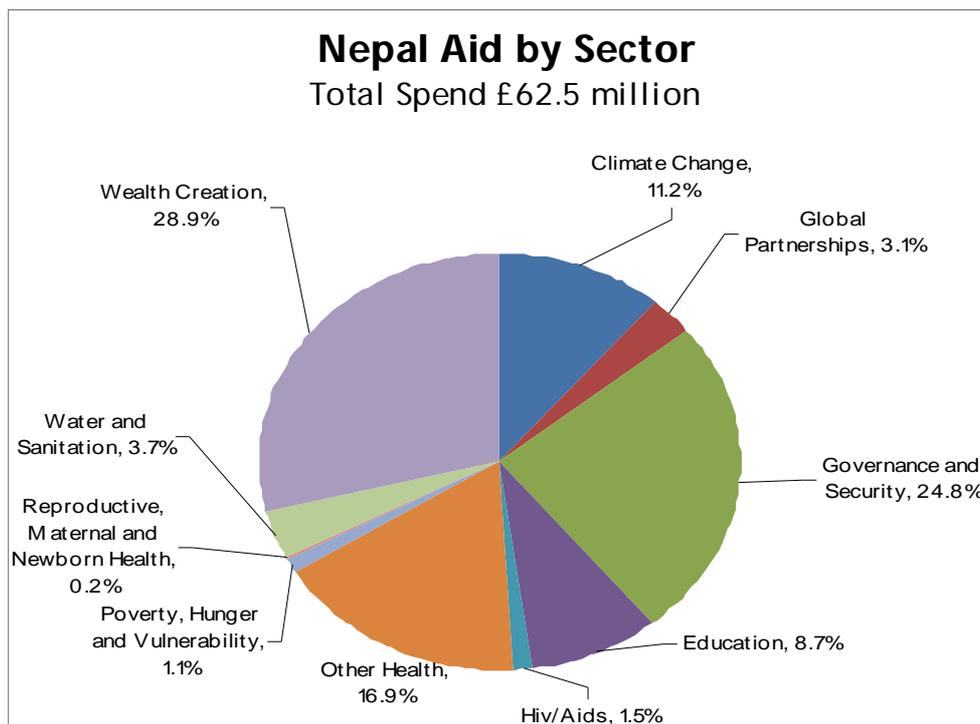
Full version of DFID Nepal Operational plan is available at <http://www.dfid.gov.uk/Where-we-work/Asia-South/Nepal>.

DFID Nepal achievements in 2011/12

Here are some of the results that DFID achieved through its development programme during the financial year 2011/12:

- 45,100 jobs created
- 52 km of new roads and 357 km maintained
- 76,000 people lifted out of poverty through DFID's forestry programme
- Training & reintegration support to 1875 minors & late recruited former combatants
- 85 percent of local government bodies conducted public audit for every project
- 3200 unintended pregnancies averted
- 30,053 people benefitted from safe latrines

DFID disbursed £62.2m of bilateral development assistance in Nepal. The UK also disbursed £3.47m as debt relief and £146.5k from the UK Government Conflict Prevention Pool. According to DFID Annual Report and Accounts, UK aid to Nepal for the FY 2011/12 was divided as follows:



All of the detailed spend; annual reviews and project completion reports for DFID's projects are available in full at <http://projects.dfid.gov.uk/Default.aspx?countrySelect=NP-Nepal>. DFID reports globally on its spend through the Statistics in International Development annual report which is available at www.dfid.gov.uk

DFID NEPAL BILATERAL PORTFOLIO INFORMATION for 2011/12¹

	Programmes / projects	Implementing partner	Total DFID Commitment	Total DFID expenditure FY11/12	Amount through GoN	Location	Latest Output Score	Risk	Results 2011/12	Comment
GOVERNANCE AND SECURITY										
1	Nepal Peace Support	Nepal Peace Trust Fund (GoN) & UNPFN	£20,000,000 (2012-15)	£1,082,861		Nationwide	N/A	Med	<ul style="list-style-type: none"> ▪ 2149 verified minor and late recruits (VMLRs) had enrolled in one of the four rehabilitation packages. ▪ 56,779 jobs were created through infrastructure development, skills enhancement training, youth led-enterprises and projects and cooperative reinforcement. ▪ More than 10 million people out of expected 11.1 million Nepali citizens have been registered in the digital voter list. 	Operational (started Jan 2012)
2	Peace and Development Strategy fund	UN & Consultants	£950,000 (2009-13)	£85,586		Nationwide	N/A	Med	<ul style="list-style-type: none"> ▪ Nepal Peace Trust Fund capacity on Public Financial Management strengthened. ▪ UN Peace building work supported ▪ Aid Management Platform developed 	Operational (will end Jan 2013)
3	Risk Management Office	RMO, co-funded with GIZ	£1,496,000 (2002-13)	£167,982		Nationwide	N/A	Med	<ul style="list-style-type: none"> ▪ Ability of DFID & partners to continue operating effectively 	Operational (will end Mar 2013)

¹ This represents aid channelled through the DFID Nepal office as bilateral aid for Financial Year 2011/12 (1st April 2011 to 31st March 2012)

	Programmes / projects	Implementing Partner	Total DFID Commitment	Total DFID expenditure FY11/12	Amount through GoN	Location	Latest Output Score	Risk	Results 2011/12	Comment
4	Enabling State Programme	DFID appointed contractor GRM International	£33,000,000 (2001-13)	£4,342,278		Nationwide	A+	Med	<ul style="list-style-type: none"> Over 78% of 7,356 cases registered at mediation groups in six terai districts were successfully mediated. 9,000 students from 41 secondary and higher secondary schools are being mobilised to promote peace in their communities. Contributed to purchase 1,000 voter registration kits and 75 servers for the Election Commission of Nepal, 9.8 million voters were registered on an updated voter registration list. 	Operational (will end Dec 2013)
5	Social Inclusion Action Programme	World Bank, UNDP and DFID contractors	£2,700,000 (2005-12)	£504,563		Nationwide	A+	Med	Legal framework for GBV reviewed, 55 laws redrafted to address gender & inclusion issues. Gender & social inclusion mainstreamed into Government monitoring system	Completed July 2012
6	Support to UNICEF Women's Para Legal Committee	UNICEF	£6,510,000 (2010-13)	£1,510,000		Nationwide	B	Low	<ul style="list-style-type: none"> 1,002 Para Legal Committees established, 95% of 19,500 PLC members are women 18,039 cases related to violence against women and children recorded out of 18,704 cases recorded by PLCs, 85% of cases solved at the local level and 15% referred to district level. 550 service providers (e.g. Public Prosecutors, Police Officers & Judges) are trained on child and gender sensitive procedures. 	Operational

	Programmes / projects	Implementing partner	Total DFID Commitment	Total DFID expenditure FY11/12	Amount through GoN	Location	Latest Output Score	Risk	Results 2011/12	Comment
7	Public Financial Management	World Bank	£3,600,000 (2010-14)	£1,300,000		Nationwide	B	Med	<ul style="list-style-type: none"> Treasury Single Account rolled out in 38 districts about 7,000 spending unit bank account closed. 	Operational
			£68,256,000	£8,993,270	£0					
SERVICE DELIVERY										
8	School Sector Reform Programme	GoN, EC	£12,520,000 (2009-14)	£2,874,136	2,700,000	Nationwide	B	High	<ul style="list-style-type: none"> Primary enrolled students 4,782,885 (decrease of 3.4% on previous year); NER is 95.1%; NER GPI is 0.99; survival rate 81.7% for boys and 84.3% for girls; 69.4% students received full set of textbooks by week 2 of academic year. Lower secondary enrolled students 1,812,680 (increase of 6.6% on previous year); NER is 70%; NER GPI is 0.99; survival rate 66.2% for boys and 69.5% for girls; 68.5% students received full set of textbooks by week 2 of academic year. 	Operational
			£12,520,000	£2,874,136	£2,700,000					
9	Support to National Health Sector Programme II	GoN & DFID appointed contractor - Options	£55,200,000 (2010-15)	£10,547,714	£7,000,000	Nationwide	A	High	Numbers of births attended by skilled personnel attributed to DFID = 14,994. Number of additional women using modern methods of family planning attributable to DFID = 38,557. Number of pregnant women and children reached with nutrition interventions attributable to DFID = preg women, 33,514 and kids 193,341	Operational

	Programmes / projects	Implementing partner	Total DFID Commitment	Total DFID expenditure FY11/12	Amount through GoN	Location	Latest Output Score	Risk	Results 2011/12	Comment
10	Nat. HIV/AIDS Prog. (DFID contribution)	UNDP	£16,600,000 (2004-12)	£940,000		Nationwide	A	Low	Number of intravenous drug users reached by HIV/AIDS prevention programme (harm reduction) = 20,881	Completed Mar 2012
			£71,800,000	£11,487,714	£7,000,000					
11	Local Governance and Community Development Programme (LGCDP)	GoN	£12,150,000 (2008-13)	£3,201,262	£3,000,000	Nationwide	B	High	<ul style="list-style-type: none"> ▪ Ward Citizen Forums (WCF) with 514,601 members established (45% women & 30% from disadvantaged groups) to strengthen community-based planning. ▪ 2,639 Citizen Awareness Centres (CAC) established with 67,641 members, (53% are women & 69% are from disadvantaged groups) to access earmarked local governance spending for the poor and disadvantaged communities. ▪ 45 % of the infrastructure projects were spent on projects proposed by women, children and disadvantaged communities. 	Operational
12	Community Support Programme - Phase 2 (CSP)	CARE Nepal & RRN	£18,130,000 (2010-14)	£5,555,029		Far and Mid western region, Gorkha from western region, Sarlahi, Mahottari and Dhanusha from Central Region, Eastern Region (44 districts)	A+	Med	<ul style="list-style-type: none"> ▪ 349 school buildings constructed benefitted 47,963 households. ▪ 88 health institution buildings benefitted 60,750 households. ▪ 64 market centres buildings have opened up livelihood alternatives for economic growth to more than 30,000 households. 	Operational

	Programmes / projects	Implementing partner	Total DFID Commitment	Total DFID expenditure FY11/12	Amount through GoN	Location	Latest Output Score	Risk	Results 2011/12	Comment
13	Rural Water and Sanitation Programme	Gurkha Welfare Scheme	£3,539,873 (2008-12)	£1,009,732		Myagdi, Parbat, Kaski, Lamjung, Gorkha, Dhading, Dolakha, Solukhumbu, Khotang, Sankhuwasabha, Taplejung, Terathum, Panchthar, Dhankuta, Ilam, Morang, Sunsari, Bhojpur, Okhaldhunga, Ramechhap, Chitwan, Nawalparasi, Tanahun, Palpa, Syangja, Arghkhanchi, Dang, Gulmi, Pyuthan, Rolpa & Baglung (31 districts)	A+	Low	<ul style="list-style-type: none"> Number of water system built- New- 65 and rehabilitation – 37 = total Number 102 42 Community Health Workers trained and 133 Community Maintenance Workers trained and working in the communities. Eight school toilet and 2600 households toilets supported with sanitation education 	Completed June 2012
			£3,539,873	£1,009,732	£0					
INCLUSIVE WEALTH CREATION										
14	Support to the Employment Fund	Helvetas	£9,000,000 (2010-14)	£2,385,117		Nationwide	A	Low	10,500 trained (50% are women)	Operational
15	Adolescent Girls Employment Initiative	World Bank	£2,000,000 (2009-13)	£0		Nationwide	A	Low	2,000 trained - all girls(already paid for in previous year)	Operational (will end Feb 2013)
16	Rural Access Programme Phase II	DFID appointed contractor: International Management Consulting	£36,700,000 (2008-13)	£9,589,859		Doti, Achham, Dailekh, Snakhuwasabha, Terahatum, Bhojpur & Khotang (7 districts)	A	Medium	1.8 million days of employment created for the poorest and women; 420 km road built and maintained; 138 numbers of small infrastructure built; assisted local government to maintain 1000 km road.	Operational (will end Sept 2013)

	Programmes / projects	Implementing partner	Total DFID Commitment	Total DFID expenditure FY11/12	Amount through GoN	Programmes / projects Location	Latest Output Score	Risk	Results 2011/12	Comment
17	Rural reconstruction & Rehabilitation Sector Dev Programme (RRRSDP)	GoN/ADB	£10,200,000 (2007-13)	£4,490,973	£4,490,973	Dhankuta, Ilam, Jhapa, Morang, Panchthar, Sunsari, Bhaktapur, Chitwan, Dolakha, Kavre, Kathmandu, Lalitpur, Sindhuli, Sindhupalchowk, Manang, Mustang, Parbat, Rolpa, Rukum & Dadeldhura (20 districts)	B	Med	2 million person days of employment created (DFID share), 130 km of roads built and rehabilitated, 1 motorable bridge built	Operational (will end June 2013)
18	Trail Bridge Sector Wide Approach Support Prog	GoN & DFID appointed contractors	£2,200,000 (2009-11)	£6,173		Nationwide	A	Low	Project Impact/Socio economic evaluation done	Completed June 2011
19	Centre for Inclusive Growth	DFID appointed contractor Adam Smith International	£12,800,000 (2009-14)	£1,593,174		Nationwide	A+	High	Approval by Government of a template Project Development Agreement (PDA), which sets out the principles for large Scale hydro development in Nepal.	Operational
20	Nepal Investment Climate Reform Programme	International Finance Corporative	£4,679,843 (2009-14)	£1,612,772		Nationwide	A	High	Regulatory Reform Unit within GoN established; SEZ Project Office established in the Mol; 17 reforms tabled and advanced through PPD forum.	Operational
21	Great Himalayan Trail Development Programme	SNV	£2,450,000 (2010-13)	£732,042		Taplejung, Solukhumbu, Gorkha, Dolpa & Humla (total 5 districts)	A+	Medium	GHT established as an iconic tourism product for Nepal; 800% increase in international media coverage; 663 SMEs & tourism entrepreneurs trained in business skills (47% women);	Operational (will end June 2013)

	Programmes / projects	Implementing partner	Total DFID Commitment	Total DFID expenditure FY11/12	Amount through GoN	Programmes / projects Location	Latest Output Score	Risk	Results 2011/12	Comment
22	Market Access for small scale Farmers	IDE & Practical Action	£2,500,000 (2010-12)	£1,693,131		Saptari, Siraha, Dhanusha, Bara, Rautahat, Rupandehi, Kapilbastu, Palpa, Syangja, Kaski, Tanahu, Nawalparasi, Gulmi, Chitwan, Dhading, Gorkha (16 districts)	A+	Medium	Increased in income of 22,700 vegetable farmers by Rs 16,600 & 12,400 dairy farmers' incomes increased by Rs 52,700 and 1,400 jobs created.	Completed June 2012
			£82,529,843	£22,103,241	£4,490,973					
RESILIENCE AND RESULTS										
23	Livelihoods and Forestry Programme (LFP)	DFID appointed contractor (CIDT)	£26,172,400 (2001-12)	£3,348,906		Dang, Baglung, Myagdi, Parbat, Kapilbastu, Rupandehi, Nawalparasi, Bhojpur, Sankhuwasabha, Dhankuta, Taplejung, Tehrathum, Pyuthan, Rolpa, Rukum	A+	Medium	76,000 people lifted out of poverty from DFID's forestry work (cumulative result); Deforestation and degradation avoided in 2700ha of land (cumulative result)	Completed Dec 2012
24	Nepal Climate Change Support Programme	GoN	£11,600,000 (2009-15)	£1,376,835	£1,000,000	Humla, Mugu, Dolpa, Bajura, Jumla, Jajarkot, Rukum, Achham, Dailekh, Rolpa, Kailali, Bardiya and Dang	B	Medium	28,000 people with improved access to clean energy (solar home systems)	Operational (Design Phase during 2011/2012)
25	Multi-Stakeholder Forestry Programme	SDC	£20,000,000 (2010-15)	£172,526		Bajhang, Achham, Kalikot, Dailekh, Jajarkot, Rukum, Salyan, Dang, Rolpa, Pyuthan, Baglung, Myagdi, Parbat, Kapilbastu, Rupandehi, Nawalparasi, Ramechhap, Okhaldhunga, Khotang, Bhojpur, Sankhuwasabha, Dahnkuta & Terahathum	C	High		Operational

	Programmes / projects	Implementing partner	Total DFID Commitment	Total DFID expenditure FY11/12	Amount through GoN	Programmes / projects Location	Latest Output Score	Risk	Results 2011/12	Comment
26	Support to Build Earthquake Resilience in Nepal	UNDP, INGO & DFID appointed contractors	£20,000,000 (2011-15)	£2,109,402		Kathmandu, Lalitpur, Bhaktapur, Kaski, Taplejung, Panchthar, Kailali, Kanchanpur, Accham, Bajhang, Bajura, Doti, Baitadi, Dadeldhura, Darchula, Kalikot, Jumla, Humla, Dolpa, Mugu, Dailekh, Jajarkot, Surkhet, Rukum, Pyuthan, Dhankuta, Terathum, Olkhaldhunga, Udayapur, Gorkha, Dang, Banke, Salyan, Rolpa, Bardia, Ilam, Jhapa, Morong, Sunsari, Sankhuwasabha, Bhojpur, Solukhumbu, Khotang, Siraha & Saptari		Med		Operational (started Apr 2011)
			£77,772,400	£7,007,669	£1,000,000					
UK Multilateral Debt Relief Initiative										
27	UK Multilateral Debt Relief	Government of Nepal	£19,418,081 (2007-15)	£3,477,161	£3,477,161					
			£19,418,081	£3,477,161	£3,477,161					
UK Conflict Prevention Pool										
28	Conflict Pool Funding	Nepal Institute of Peace Stds	£147,267 (2011-12)	£146,502				Med		Completed
			£147,267.00	£146,502	£0					

Bilateral

Framework

£62,232,053

£18,190,973

UK Multilateral Debt Relief Initiative

£3,477,161

UK Conflict Prevention Pool

£146,502

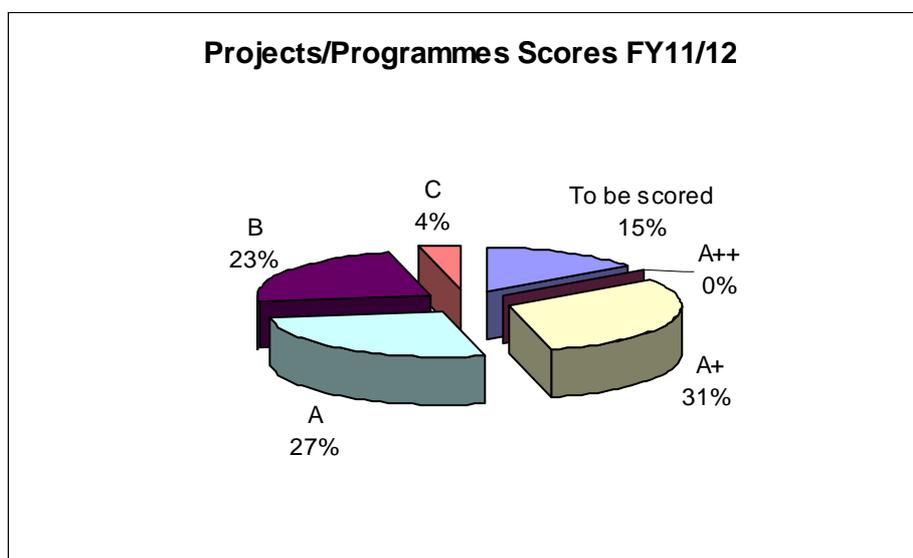
Total UK Aid 11/12

£65,855,716

DFID PORTFOLIO SUMMARY APRIL 2011 TO MARCH 2012

Disbursements	
DFID Bilateral Aid	£62,232,053
UK Multilateral Debt Relief Initiative	£3,477,161
UK Conflict Prevention Pool	£146,502
Total UK Aid to Nepal during 2011/12¹	£65,855,716
Funds disbursed directly through GoN	£18,190,973 <i>29% of Bilateral Aid</i>
<small>¹based on the UK Fiscal Year</small>	
DFID Bilateral Aid during FY11/12	
Number of projects and programmes operational	20
Projects and programmes completed during the year	6
New projects and programmes started during the year	2
Programmes implemented with GoN	7
Programmes implemented with Multilateral Agencies	9
Programme implemented by INGO's and NGO's	6
Programmes rated as 'High Risk'	6
Number of Annual Reviews conducted to assess performance	22

DFID projects and programmes are scored annually for their **likelihood of success** by examining progress against the outputs and outcomes.



Output Description	Scale	Outcome Description
Outputs substantially exceeded expectation	A++	Outcome substantially exceeded expectation
Outputs moderately exceeded expectation	A+	Outcome moderately exceeded expectation
Outputs met expectation	A	Outcome met expectation
Outputs moderately did not meet expectation	B	Outcome moderately did not meet expectation
Outputs substantially did not meet expectation	C	Outcome substantially did not meet expectation

DFID PORTFOLIO RISK RATING	
Low	Risks may lead to tolerable delay in the achievement of objectives or minor reduction in quality/quantity and/or an increase in cost.
Medium	Risks may lead to some delay and/or loss of quality/quantity and / or an increase in cost.
High	Risks may cause loss of some or all aspects of objectives in relation to time, quality/quantity.