

**LOCAL AUTHORITY SOCIAL SERVICES
LETTER**

LASSL(DH)(2013)2

To: The Chief Executive
County Councils
Metropolitan District Councils England
Shire Unitary Councils
London Borough Councils
Common Council of the City of London
Council of the Isles of Scilly

26 July 2013

The Director of Adults' Social Services

**IMPLEMENTATION OF THE ZERO-BASED REVIEW OF NATIONAL ADULT SOCIAL
CARE DATA COLLECTIONS: REVENUE GRANT NEW BURDEN FUNDING FOR
2013/14 and 2012/13**

SUMMARY

1. This letter is issued to local authorities to announce new burden funding via a non-ring fenced revenue grant for 2013/14 and 2012/13. This follows two announcements by the Health and Social Care Information Centre (28 September 2012 and 24 May 2013) of changes to adult social care data collections. Details of individual local authority allocations, the applied funding formula and the purpose of the grant funding appear in the relevant annexes.

ACTION

2. This letter is provided for information only, and provides details of new burden funding to be received by local authorities in 2013/14 for changes to adult social care data collections.

NEW BURDEN FUNDING

Changes resulting from the implementation of proposals of the zero-based review of adult social care data collections with respect to 2013/14 and 2014/15

In September 2012 and May 2013, the Health and Social Care Information Centre announced a series of changes to adult social care data collections by local authorities to take effect in 2013/14 and 2014/15 respectively. These changes have placed additional burdens on local authorities. In recognition of this, the Department of Health will be allocating funding to local authorities for 2013/14 and 2012/13.

The changes announced by the Health and Social Care Information Centre on 28 September 2012 were:

- a new Adult Safeguarding Return (to replace the Abuse of Vulnerable Adults return)
- a revised annual Deprivation of Liberty Safeguards (DoLS) return,
- the extension of the National Minimum Data Set for Social Care (NMDS-SC) return to include information on qualifications

These changes came into effect on 1 April 2013. This grant provides financial support for the costs incurred in 2012/13 in preparing to implement the changes in 2013/14.

The changes announced by the Health and Social Care Information Centre on 24 May 2013 were:

- the existing social care activity collections to be replaced by a new collection on Short and Long-Term Support (SALT)
- the existing Personal Social Services Expenditure Return to be replaced by a new finance collection, and;
- both the SALT and Finance collections to draw on a new Equalities and Classifications Framework (EQ-CL)

These changes will come into effect on 1 April 2014. This grant provides financial support for costs incurred in 2013/14 in preparing for implementation of the changes in 2014/15. Further detail of the announced changes can be found at **Annex A**.

In accordance with the New Burdens Doctrine¹, the Department is issuing funds to all local authorities for costs incurred during 2012/13 and 2013/14 in preparation for the implementation of the above changes in 2013/14 and 2014/15 respectively.

3. This revenue funding is to be issued via a non-ring fenced Section 31 Grant in 2013/14.
4. The purpose of the funding streams, and the allocation methodologies used, are explained in **Annex A**.
5. Individual local authority allocations are at **Annex C**.
6. The grant determination is attached at **Annex B**.

¹ <https://www.gov.uk/government/publications/new-burdens-doctrine-guidance-for-government-departments>

CANCELLATION OF CIRCULARS

7. This circular should be cancelled on 31 March 2014.

ENQUIRIES

8. For further information please contact:

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Current circulars are now listed on the Department of Health web site on the Internet at:

<http://www.dh.gov.uk/en/Publicationsandstatistics/Lettersandcirculars/index.htm>. Full text of recent circulars is also accessible at this site.

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Department of Health

ANNEX A

This annex provides a brief description of the purpose of the grant and gives details of the allocation basis.

SECTION 31 GRANT – IMPLEMENTATION OF THE ZERO-BASED REVIEW PROPOSALS FOR ADULT SOCIAL CARE DATA COLLECTIONS WITH RESPECT TO 2013/14 and 2014/15

Overview

In September 2012, the Health and Social Care Information Centre (HSCIC) announced the first phase of changes to be made to adult social care data collections by local authorities with respect to 2013/14. The changes included:

- a new Adult Safeguarding return (to replace the Abuse of Vulnerable Adults return);
- a revised annual Deprivation of Liberty Safeguards (DoLS) return; and,
- the extension of the National Minimum Data Set for Social Care (NMDS-SC) return to include information on qualifications.

The full detail of the changes announced by the HSCIC, including the letter issued to all local authorities, can be found here: <http://www.ic.nhs.uk/socialcarecollections2013>

In 2013/14, the Department will be making £2.28m available through a non ring-fenced revenue grant (using provisions under Section 31), as new burden funding for costs incurred by local authorities as they prepare for the implementation of the changes to adult social care data collections with respect to 2013/14.

Basis of Distribution

The HSCIC, working closely with local government and the Department of Health colleagues, oversaw an impact assessment of the proposed changes on local authorities. This impact assessment was agreed by the Department of Health, the Local Government Association and the Association of Directors of Adult Social Care, and informed the New Burdens assessment carried out by the Department and agreed with the Local Government Association and the Association of Directors of Adult Social Services.

An allocation of £12,500 will be issued to each local authority, with the remaining grant allocated on the basis of the adult population of each local authority. This approach, underpinned by the impact assessment for these changes, is in recognition that all local authorities will incur costs to implement these changes, with only a proportion of costs related to population size.

In May 2013, the HSCIC announced the second set of changes to be made to adult social care data collections by local authorities, with respect to 2014/15. These changes included:

- the existing social care activity collections to be replaced by a new collection on Short and Long-Term Support (SALT)
- the existing Personal Social Services Expenditure Return to be replaced by a new finance collection, and;
- both the SALT and Finance collections to draw on a new Equalities and Classifications Framework (EQ-CL)

Basis of Distribution

The HSCIC, working closely with local government and the Department of Health colleagues, oversaw an impact assessment of the proposed changes on local authorities. This impact assessment was agreed by the Department of Health, the Local Government Association and the Association of Directors of Adult Social Care, and informed the New Burdens assessment carried out by the Department and agreed with the Local Government Association and the Association of Directors of Adult Social Services.

An allocation of £46,558 will be allocated to each local authority, with the remaining grant allocated on the basis of the adult population of each local authority. This approach, underpinned by the impact assessment for these changes is in recognition that all local authorities will incur costs to implement these changes, with only a proportion of costs related to population size.

NATIONAL ADULT SOCIAL CARE DATA COLLECTIONS (IMPLEMENTATION OF THE ZERO-BASED REVIEW) GRANT DETERMINATION (2013/14): No 31/2201

The Minister of State for Care and Support (“the Minister of State”), in exercise of the powers conferred by section 31 of the Local Government Act 2003, makes the following determination:

Citation

1) This determination may be cited as the National Adult Social Care Data Collections (Implementation of the Zero-Based Review) Grant Determination (2013/14) [No. **31/2201**]

Purpose of the grant

2) The purpose of the grant is to provide financial support to local authorities in England towards expenditure lawfully incurred or to be incurred by them in preparation for the implementation of the following changes to adult social care data collections.

Changes announced by the Health and Social Care Information Centre on 28 September 2012:

- a new Adult Safeguarding Return (to replace the Abuse of Vulnerable Adults return)
- a revised annual Deprivation of Liberty Safeguards (DoLS) return,
- the extension of the National Minimum Data Set for Social Care (NMDS-SC) return to include information on qualifications

These changes came into effect on 1 April 2013. This grant provides financial support for the costs incurred in 2012/13 in preparing to implement the changes in 2013/14.

Changes announced by the Health and Social Care Information Centre on 24 May 2013:

- the existing social care activity collections to be replaced by a new collection on Short and Long-Term Support (SALT)
- the existing Personal Social Services Expenditure Return to be replaced by a new finance collection, and;
- both the SALT and Finance collections to draw on a new Equalities and Classifications Framework (EQ-CL)

These changes will come into effect on 1 April 2014. This grant provides financial support for costs incurred in 2013/14 in preparing for implementation of the changes in 2014/15.

Determination

3) The Minister of State determines as the authorities to which grant is to be paid and the amount of grant to be paid, the authorities and the amounts set out in Annex C.

Treasury consent

4) Before making this determination in relation to local authorities in England, the Minister of State obtained the consent of the Treasury.

A handwritten signature in black ink, appearing to read "G. Mason", is enclosed within a thin black rectangular border.

Signed by authority of the Minister of State for Care and Support

Glen Mason, Director of Social Care, Leadership and Performance, Department of Health

July 2013

ANNEX C

Department of Health officials, working with the Health and Social Care Information Centre and councils, assessed the new burdens incurred in 2012/13 of the changes announced in September 2012 for implementation in 2013/14, and estimated a total of £2.28m.

An allocation of £12,500 will be allocated to each local authority, with the remaining grant allocated on the basis of the adult population of each local authority. This approach, underpinned by the impact assessment for these changes, is in recognition that all local authorities will incur costs to implement these changes, with only a proportion of costs related to population of size.

Department of Health officials, working with the Health and Social Care Information Centre and councils, assessed the new burdens incurred in 2013/14 of the changes announced in May 2013 for implementation in 2014/15, and estimated a total of £8.85m.

An allocation of £46,558 will be allocated to each local authority, with the remaining grant allocated on the basis of the adult population of each local authority. This approach, underpinned by the impact assessment for these changes is in recognition that all local authorities will incur costs to implement these changes, with only a proportion of costs related to population of size.

The new burden funding for both years will be issued by the Department by early August 2013.

The following outlines the amount to be paid to each authority:

Authorities to which the grant is to be paid	Revenue allocation for new burdens incurred in 2012/13	Revenue allocation for new burdens incurred in 2013/14	Total
Barking and Dagenham	12500	46558	59058
Barnet	12500	46558	59058
Barnsley	12500	46558	59058
Bath & North East Somerset	12500	46558	59058
Bedford	12500	46558	59058
Bexley	12500	46558	59058
Birmingham	30929	130937	161866
Blackburn with Darwen	12500	46558	59058
Blackpool	12500	46558	59058
Bolton	12500	46558	59058
Bournemouth	12500	46558	59058
Bracknell Forest	12500	46558	59058
Bradford	15240	62877	78117
Brent	12500	46558	59058

Brighton & Hove	12500	46558	59058
Bristol	14645	56199	70844
Bromley	12500	46558	59058
Buckinghamshire	15078	63497	78575
Bury	12500	46558	59058
Calderdale	12500	46558	59058
Cambridgeshire	19397	80802	100199
Camden	12500	46558	59058
Central Bedfordshire	12500	46558	59058
Cheshire East	12500	47750	60250
Cheshire West and Chester	12500	46558	59058
City of London	12500	46558	59058
Cornwall	17112	70132	87244
Coventry	12500	46558	59058
Croydon	12500	46558	59058
Cumbria	15773	64976	80749
Darlington	12500	46558	59058
Derby	12500	46558	59058
Derbyshire	23968	99516	123484
Devon	24164	97907	122071
Doncaster	12500	46558	59058
Dorset	12870	54178	67048
Dudley	12500	46558	59058
Durham	16110	67003	83113
Ealing	12500	46558	59058

East Riding of Yorkshire	12500	46558	59058
East Sussex	16244	68121	84365
Enfield	12500	46558	59058
Essex	44488	180134	224622
Gateshead	12500	46558	59058
Gloucestershire	18590	77387	95977
Greenwich	12500	46558	59058
Hackney	12500	46558	59058
Halton	12500	46558	59058
Hammersmith and Fulham	12500	46558	59058
Hampshire	40424	169105	209529
Haringey	12500	46558	59058
Harrow	12500	46558	59058
Hartlepool	12500	46558	59058
Havering	12500	46558	59058
Herefordshire	12500	46558	59058
Hertfordshire	33865	141473	175338
Hillingdon	12500	46558	59058
Hounslow	12500	46558	59058
Isle of Wight Council	12500	46558	59058
Isles of Scilly	12500	46558	59058
Islington	12500	46558	59058
Kensington and Chelsea	12500	46558	59058
Kent	44133	186819	230952
Kingston upon Hull	12500	46558	59058

Kingston upon Thames	12500	46558	59058
Kirklees	12500	52842	65342
Knowsley	12500	46558	59058
Lambeth	12500	46558	59058
Lancashire	36367	149951	186318
Leeds	26067	99109	125176
Leicester	12500	46558	59058
Leicestershire	20523	84996	105519
Lewisham	12500	46558	59058
Lincolnshire	22426	94070	116496
Liverpool	14108	60334	74442
Luton	12500	46558	59058
Manchester	15710	64147	79857
Medway	12500	46558	59058
Merton	12500	46558	59058
Middlesbrough	12500	46558	59058
Milton Keynes	12500	46558	59058
Newcastle upon Tyne	12500	46558	59058
Newham	12500	46558	59058
Norfolk	27870	113144	141014
North East Lincolnshire	12500	46558	59058
North Lincolnshire	12500	46558	59058
North Somerset	12500	46558	59058
North Tyneside	12500	46558	59058
North Yorkshire	18859	77468	96327

Northamptonshire	21475	88146	109621
Northumberland	12500	46558	59058
Nottingham	12500	46558	59058
Nottinghamshire	24627	101544	126171
Oldham	12500	46558	59058
Oxfordshire	20095	83746	103841
Peterborough	12500	46558	59058
Plymouth	12500	46558	59058
Poole	12500	46558	59058
Portsmouth	12500	46558	59058
Reading	12500	46558	59058
Redbridge	12500	46558	59058
Redcar and Cleveland	12500	46558	59058
Richmond upon Thames	12500	46558	59058
Rochdale	12500	46558	59058
Rotherham	12500	46558	59058
Rutland	12500	46558	59058
Salford	12500	46558	59058
Sandwell	12500	46558	59058
Sefton	12500	46558	59058
Sheffield	17739	71618	89357
Shropshire	12500	46558	59058
Slough	12500	46558	59058
Solihull	12500	46558	59058
Somerset	16600	68445	85045

South Gloucestershire	12500	46558	59058
South Tyneside	12500	46558	59058
Southampton	12500	46558	59058
Southend-on-Sea	12500	46558	59058
Southwark	12500	46558	59058
St Helens	12500	46558	59058
Staffordshire	26089	109782	135871
Stockport	12500	46558	59058
Stockton-on-Tees	12500	46558	59058
Stoke-on-Trent	12500	46558	59058
Suffolk	22864	93893	116757
Sunderland	12500	46558	59058
Surrey	34482	144904	179386
Sutton	12500	46558	59058
Swindon	12500	46558	59058
Tameside	12500	46558	59058
Telford and the Wrekin	12500	46558	59058
Thurrock	12500	46558	59058
Torbay	12500	46558	59058
Tower Hamlets	12500	46558	59058
Trafford	12500	46558	59058
Wakefield	12500	46558	59058
Walsall	12500	46558	59058
Waltham Forest	12500	46558	59058
Wandsworth	12500	46558	59058

Warrington	12500	46558	59058
Warwickshire	16994	70601	87595
West Berkshire	12500	46558	59058
West Sussex	25042	104520	129562
Westminster	12500	46558	59058
Wigan	12500	46558	59058
Wiltshire	14148	60001	74149
Windsor and Maidenhead	12500	46558	59058
Wirral	12500	46558	59058
Wokingham	12500	46558	59058
Wolverhampton	12500	46558	59058
Worcestershire	17385	72872	90257
York	12500	46558	59058
TOTAL	£2,280,000	£8,846,000	£11,126,000