
Office of Rail Regulation

Introduction

1. This Estimate provides for expenditure for the Office of Rail Regulation (ORR).
2. ORR, as the combined economic and safety regulator, is responsible for railway health and safety matters and the regulation of access to railways and promotion of competition in the provision of rail services. It is also responsible for promoting efficiency and economy for those providing railway services and protecting the interests of railway service users.
3. Further details of expenditure contained in this Estimate can be found in ORR's Annual Report and Accounts 2013.

Part I

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	Voted	Non-Voted	Total
Departmental Expenditure Limit			
Resource	2,000	-	2,000
Capital	800,000	-	800,000
Annually Managed Expenditure			
Resource	-	-	-
Capital	-	-	-
Total Net Budget			
Resource	2,000	-	2,000
Capital	800,000	-	800,000
Non-Budget Expenditure	-		
Net cash requirement	-		

Amounts required in the year ending 31 March 2014 for expenditure by Office of Rail Regulation on:

Departmental Expenditure Limit:Expenditure arising from:

- 1) Administration of ORR, its support establishments, all associates of non-cash items and all activities as the combined economic and safety regulator including health and safety matters,
- 2) The regulation of access to railways,
- 3) The promotion of rail services, efficiencies and economy for those providing railway services,
- 4) Protecting the interests of railway services and railway users.

Income arising from:

- 1) Regulatory licences, concession agreements, levies,
- 2) Charges for courses and officers loaned to other organisations,
- 3) Income from publications and library services,
- 4) Travel costs from the European Community,
- 5) Income from High Speed 1 Limited; Channel Tunnel Intergovernmental Commission; Channel Tunnel Authority,
- 6) Income from recovery actions in connection with the successful legal cases. Charges for administrative services and other activities to other government departments.

The **Office of Rail Regulation** will account for this Estimate.

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	Voted Total	Allocated in Vote on Account	Balance to complete or surrender
Departmental Expenditure Limit			
Resource	2,000	1,000	1,000
Capital	800,000	360,000	440,000
Annually Managed Expenditure			
Resource	-	-	-
Capital	-	-	-
Non-Budget Expenditure	-	-	-
Net cash requirement	-	-	-

Part II: Subhead detail

£'000

2013-14 Plans									2012-13 Provisions	
Resources						Capital			Resources	Capital
Administration			Programme							
Gross	Income	Net	Gross	Income	Net	Gross	Income	Net	Net	Net
1	2	3	4	5	6	7	8	9	10	11
Spending in Departmental Expenditure Limits (DEL)										
Voted expenditure										
29,960	-29,958	2	-	-	-	800	-	800	2	800
<i>Of which:</i>										
A Economic regulation, admin, associated capital and other expenditure										
13,831	-13,830	1	-	-	-	800	-	800	1	800
B Safety Regulation, admin and other expenditure										
16,129	-16,128	1	-	-	-	-	-	-	1	-
Total Spending in DEL										
29,960	-29,958	2	-	-	-	800	-	800	2	800
Total for Estimate										
29,960	-29,958	2	-	-	-	800	-	800	2	800
<i>Of which:</i>										
Voted Expenditure										
29,960	-29,958	2	-	-	-	800	-	800	2	800
Non Voted Expenditure										
-	-	-	-	-	-	-	-	-	-	-

Part II: Resource to cash reconciliation

£'000

	2013-14 Plans	2012-13 Provisions	2011-12 Outturn
Net Resource Requirement	2	2	2
Net Capital Requirement	800	800	641
Accruals to cash adjustments	-802	1,198	898
<i>Of which:</i>			
<i>Adjustments to remove non-cash items:</i>			
Depreciation	-700	-640	-572
New provisions and adjustments to previous provisions	-60	-273	44
Departmental Unallocated Provision	-	-	-
Supported capital expenditure (revenue)	-	-	-
Prior Period Adjustments	-	-	-
Other non-cash items	-60	-48	-42
<i>Adjustment for NDPBs:</i>			
Remove voted resource and capital	-	-	-
Add cash grant-in-aid	-	-	-
<i>Adjustments to reflect movements in working balances:</i>			
Increase (+) / Decrease (-) in stock	-	-	-
Increase (+) / Decrease (-) in debtors	-	-	-
Increase (-) / Decrease (+) in creditors	18	1,931	1,269
Use of provisions	-	228	199
Removal of non-voted budget items	-	-	-
<i>Of which:</i>			
Consolidated Fund Standing Services	-	-	-
Other adjustments	-	-	-
Net Cash Requirement	-	2,000	1,541

Part III: Note A - Statement of Comprehensive Net Expenditure & Reconciliation Table

£'000

	2013-14 Plans	2012-13 Provisions	2011-12 Outturn
Gross Administration Costs	29,960	30,700	28,730
<i>Less:</i>			
Administration DEL Income	-29,958	-30,698	-28,728
Net Administration Costs	2	2	2
Gross Programme Costs	-	-	-
<i>Less:</i>			
Programme DEL Income	-	-	-
Programme AME Income	-	-	-
Non-budget income	-	-	-
Net Programme Costs	-	-	-
Total Net Operating Costs	2	2	2
<i>Of which:</i>			
Resource DEL	2	2	2
Capital DEL	-	-	-
Resource AME	-	-	-
Capital AME	-	-	-
Non-budget	-	-	-
<i>Adjustments to include:</i>			
Departmental Unallocated Provision (resource)	-	-	-
Consolidated Fund Extra Receipts in the budget but not in the SoCNE	-	-	-
<i>Adjustments to remove:</i>			
Capital in the SoCNE	-	-	-
Grants to devolved administrations	-	-	-
Non-Budget Consolidated Fund Extra Receipts in the SoCNE	-	-	-
Other adjustments	-	-	-
Total Resource Budget	2	2	2
<i>Of which:</i>			
Resource DEL	2	2	2
Resource AME	-	-	-
<i>Adjustments to include:</i>			
Grants to devolved administrations	-	-	-
Prior period adjustments	-	-	-
<i>Adjustments to remove:</i>			
Consolidated Fund Extra Receipts in the resource budget	-	-	-
Other adjustments	-	-	-
Total Resource (Estimate)	2	2	2

Part III: Note B - Analysis of Departmental Income

£'000

	2013-14 Plans	2012-13 Provision	2011-12 Outturn
Voted Resource DEL	-29,958	-30,698	-28,728
<i>Of which:</i>			
Administration			
Taxation	-29,958	-30,698	-28,728
<i>Of which:</i>			
A: Economic regulation, admin, associated capital and other expenditure	-13,830	-12,042	-10,944
B: Safety Regulation, admin and other expenditure	-16,128	-18,656	-17,784
Total Administration	-29,958	-30,698	-28,728
Total Voted Resource Income	-29,958	-30,698	-28,728

Part III: Note C - Analysis of Consolidated Fund Extra Receipts

No CFER income or receipts are expected in 2013-14, 2012-13 or 2011-12.

Part III: Note D - Explanation of Accounting Officer responsibilities

The Accounting Officer prepares resource accounts for each financial year.

The following individuals are responsible for the expenditure within this Estimate:

Accounting Officer:	Richard Price
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Richard Price has personal responsibility for the proper presentation of the department's resource accounts and their transmission to the Comptroller & Auditor General, and is also responsible for the use of public money and stewardship of assets.

In discharging these responsibilities, particular regard is given to:

- observing any accounting and disclosure requirements (including any Accounts Direction) and applying suitable accounting policies on a consistent basis;
- making judgements and estimates on a reasonable basis;
- stating whether applicable accounting standards, as set out in the Financial Reporting Manual (FRM), or an organisation's version of it, have been followed, and explain any material departures in the accounts; and
- preparing the accounts on a going concern basis.

The responsibilities of an Accounting Officer, including responsibility for regularity and propriety of the public finances for which an Accounting Officer is answerable, for keeping proper records and safeguarding assets, are also set out in Chapter 3 of Managing Public Money.

Part III: Note L - International Subscriptions

Section in Part II: Subhead Detail	Body	£'000
A, DEL	EUROPEAN POLICY FORUM	8
A, DEL	CERRE	15
A, DEL	LUTHERPENDRAGON	6