

# Annual Report & Accounts

Arts & Humanities Research  
Council 2010-11





Arts & Humanities  
Research Council

# **The Arts and Humanities Research Council Annual Report and Accounts 2010-2011**

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of the Higher Education Act 2004**

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# Contents

<b>Chairman's Statement</b>	<b>2</b>	Statement of Cash Flows for the Year Ended 31 March 2011	54
<b>Chief Executive's Statement</b>	<b>3</b>	Statement of Changes in Taxpayers' Equity for the Year Ended 31 March 2011	55
<b>Management Commentary</b>	<b>4</b>	Notes to the Accounts	56
World-Class Research and its Impact	6		
Meeting Today's Challenges	12		
Sustaining People and Careers	18		
Building Partnerships	24		
Corporate Activities	32		
<b>AHRC Structure</b>	<b>35</b>	<b>Appendices</b>	
AHRC Organisational Plan and Structure	35	<b>Appendix 1</b>	<b>73</b>
AHRC Council and Committee Members	36	Research Programme: applications and awards 2010-11 England, Scotland, Wales and Northern Ireland	
AHRC's Committees	37	<b>Appendix 2</b>	<b>76</b>
Peer Review	37	Research Programme: location of applicants and award holders 2010-11 responsive mode and strategic mode	
<b>Remuneration Report</b>	<b>42</b>	<b>Appendix 3</b>	<b>84</b>
<b>Accounts</b>	<b>46</b>	Postgraduate Programme: applications and awards 2010-11 by subject area	
Accounts Direction & Statement of Council's and Chief Executive's Responsibilities	46	<b>Appendix 4</b>	<b>85</b>
Statement on Internal Control for 2010-11	46	Postgraduate Competition 2010: distribution of applicants and awards by institution	
The Certificate and Report of the Comptroller and Auditor General to the House of Commons	50	<b>Appendix 5</b>	<b>88</b>
Statement of Comprehensive Net Expenditure for the Year Ended 31 March 2011	52	Collaborative Postgraduate Schemes	
Statement of Financial Position as at 31 March 2011	53	<b>Appendix 6</b>	<b>89</b>
		Results of the 2010 Doctoral Submission Survey	
		<b>Appendix 7</b>	<b>92</b>
		Knowledge Transfer Schemes: applications and awards made in 2010-11	
		<b>Appendix 8</b>	<b>93</b>
		What our awards deliver	

The Arts and Humanities Research Council (AHRC) is incorporated by Royal Charter and came into existence on 1 April 2005 under the terms of the Higher Education Act 2004. It took over the responsibilities of the Arts and Humanities Research Board. On that date all of the AHRB's Activities, assets and liabilities transferred to the AHRC.

The AHRC is a non-departmental public body (NDPB) sponsored by the Department for Business, Innovation and Skills, along with the other six Research Councils. It is governed by its Council, which is responsible for the overall strategic direction of the organisation.

Arts and Humanities Research Council, Polaris House, North Star Avenue, Swindon SN2 1FL

# Chairman's Statement



An Annual Report is an opportunity to look forward as well as to review the past year. We present here a substantial record of achievement for the year but it was also a year that ended with the outcome of the new Government's Comprehensive Spending Review and the publication of our associated Delivery Plan for 2011-15.

The CSR outcome was good and we appreciate the support implied by this. It has given us the opportunity to chart our future. We have done this in the context of examining what we can fund relative to others. In particular, in an academic context, we have to recognise that QR funding is four times as large as AHRC funding and that we should expect QR to fund, for example, research leave and much individual research. We have to recognise the strengths of our 50 disciplines, but also that many are founded on particular traditions: history and 'evidence' for example, languages and literature. This analysis pushes us towards thinking that a distinctive role for AHRC is to fund larger and longer projects and also to recognise the increasing importance of interdisciplinarity. It is in this territory that we can be new and different.

How do we build the capabilities for such a programme? The primary question is about the content of our programmes. All of this derives from our 'community' – academic and beyond – in two ways: first through being responsive to proposals; and second through consultations on what should constitute major themes. These are continuous processes – most recently driven on themes by our *Future Directions* paper. All of this needs research leadership – intellectual leadership. We achieve this, for example, through the directors of our programmes and the teams they build. But we have also decided that we need to reshape our fellowships' programme to focus on support for a future generation of leaders that will respond to these new challenges. And looking further back down the pipeline, we will continue to commit a substantial part of our budget –

more than a third – to postgraduate research training. Again, we feel the challenges demand critical mass, and we will be reducing the number of awards we make under our Block Grant Partnership (BGP) programme. We very much hope in doing so that the BGP bids will come in future from consortia so that the formal part of research training is offered through a critical mass formed out of multi-institutional bids. We also recognise that the research enterprise is global and we need an international programme that connects us to this.

Much of the focus of this argument is on academia. The arts and humanities flourish in a variety of ways elsewhere – in the creative economy for example. We need to connect as fully as we can to what is quite a complex ecosystem – ranging from the major institutions – the museums, galleries and theatres, broadcasting and journalism – through SMEs to micro enterprises. We have focused in the past on *knowledge transfer* – a flow from research to the wider world. In this Delivery Plan we have shifted to a more even-handed perspective of *knowledge exchange* and we have moved away from our traditional KT fellowship programme to the development of knowledge exchange hubs.

The Plan offers a framework of projects, themes, fellowships, postgraduate training, an international programme and the facilitation of knowledge exchange. We still in all cases have to choose what we actually fund! The core of our choice mechanism will always be peer review. This will take a variety of forms – anchored in our Peer Review College, now with over 1,200 members – but also including working groups to support the development of themes.

As ever, I am grateful to the Council for its thoughtfulness and support and to the staff of AHRC who, while keeping the show very much on the road, have facilitated the physical move to Swindon from Bristol and the virtual shift of a number of operations to the Shared Services Centre.

A handwritten signature in dark ink that reads "Alan Wilson". The signature is fluid and cursive, with a horizontal line drawn underneath the name.

**Alan Wilson**  
July 2011.

# Chief Executive's Statement



It has been a year of achievement for the AHRC. The Comprehensive Spending Review (CSR) produced a settlement for the Council which, in the context of the overall reductions in public spending, demonstrated confidence in the research the Council supports and the benefits it brings.

The CSR process brought other gains. It brought the seven research councils

even closer together in recognition that the research base is inter-dependent especially in the ways it tackles the crucial problems of our time. The AHRC works with others on the 'grand challenge' programmes such as environmental change and the development of the digital economy. Environmental change involves issues of technology and culture; the digital economy lies, in part, at the intersection of design and engineering. Increasingly, exciting and productive research is produced across the boundaries of what were once considered detached disciplines.

A closer relationship between the AHRC and the other research councils has been enormously helped by our move from Bristol to Swindon and co-location with the other six. AHRC staff have become more engaged with the other research councils, and the AHRC has been able to play a more prominent role in Research Councils UK (RCUK). Meanwhile the development of the Shared Services Centre will bring savings and efficiencies in a time of austerity.

At the heart of the AHRC's work lie the fifty or so 'core' arts and humanities disciplines in whose research we invest. The research produced in these areas is of outstanding quality and is part of the UK's exceptional global research achievements.

We fund work of many kinds, including research that arises from the passions and expertise of individual researchers, team-based projects and collaborations and partnerships. We ensure that skills and expertise are carried into the future through support for

postgraduates and early career researchers. We invest in emergent themes and innovative developments. And we increasingly seek to extend our international engagements, fully recognising that the production of research-based knowledge is a global activity.

Arts and humanities research is of enormous value to our culture, our society and our international relationships. As with all research areas, we appreciate that these connections are not always well or clearly understood. The communication of the value of this research, including its direct and indirect connection with economic wellbeing, remains a permanent challenge. But is one to which individuals, institutions and agencies must rise.

2011 sees the beginning of a new spending period. Our Delivery Plan to 2015 sets out an ambitious programme with many new elements. We look forward to reporting on its progress in the future. If success is achieved it will be another tribute to a fine group of AHRC staff who have this year worked through a demanding period of change with great resilience, industry and dedication.

A handwritten signature in black ink, appearing to read 'Rick Rylance', with a long horizontal flourish extending to the right.

**Professor Rick Rylance**  
Chief Executive, July 2011

# Management Commentary

A look back at the year 2010-11 for the Arts  
and Humanities Research Council.









# World-Class Research and its Impact

The last year has seen progress across a range of areas as the AHRC continues to develop the ways in which it supports excellent research that breaks new ground and plays an important role in maintaining and improving our quality of life and the well-being of our economy.

## Excellence in research

Research delivered through Standard Research Grants continues to provide new and exciting insights which have impact both nationally and across the world.

Among many successful projects receiving worldwide attention was Jane Austen's Fiction Manuscripts: Digital Edition. The project, led by Professor Kathryn Sutherland of Oxford University in collaboration with the Bodleian Libraries, King's College London, and the British Library, has reunited in a free-to-access online archive of all of Jane Austen's handwritten fiction manuscripts for the very first time since 1845 when they were scattered by the terms of her sister Cassandra's will. Studying Jane Austen's unpublished manuscripts side-by-side for the first time gave Professor Sutherland a greater appreciation of Austen's talents and led to a series of findings by the team that received international media coverage. In particular, it was the team's finding that the polished prose of *Emma* and *Persuasion* was partly the product of an interventionist editor that received greatest attention. But it was also the new insights into Austen's ways of working and the development of her distinctive style that attracted the attention of both of the scholarly community and the wider public.

Led by the Open University, in collaboration with the University of Oxford, the British Library and King's College London, 'Making Britain' is an inter-disciplinary research project which examines the formative contributions South Asians made to Britain's literary, political and cultural life in the period 1870–1950. Funded by the AHRC, the project examines how South Asians positioned themselves within British society and culture, and explores the significance of their impact on British life. Through extensive new archival research, the project has uncovered the rich cultural output of this early diasporic community and heightened public

awareness of the depth of South Asian contribution to contemporary British life. As well as producing published outputs, and hosting seminars and a public exhibition, the project has created an interactive database with material relating to South Asians in Britain. An online timeline based on the project research was published by *The Guardian*.

Another publicly available resource made available through AHRC funding is the PASE Domesday, a database of the Domesday Book linked to mapping resources launched during the last year by a research team based at King's College London and the University of Cambridge. It is the first database of the Domesday Book linked to mapping resources to be made freely available online, and breaks new ground in humanities computing. This new resource makes it possible to list, map and quantify the estates of the landholders named in the survey of conquered England more efficiently than ever before. It also has the potential to transform the study of Domesday Book and our understanding of English society before and after the Norman Conquest.

## Peer review

The Peer Review College is key to ensuring the excellence of the research funded by the AHRC. We have continued to support the development of the Peer Review College through training to enable their engagement with the range of programmes funded by the AHRC. The College currently stands at around 1,350 members. Over the last year, we have held just under 30 panel meetings, involving around 230 panel members drawn from the College. The AHRC recently appointed a new group of around 120 'Strategic Reviewers' who will have an important role in implementing our new Delivery Plan in the assessment, for example, of longer and larger grants.

## Case Study:

# Supporting the cultural sector

- **Picasso: Peace and Freedom Major Exhibition**  
Tate Liverpool 2010. **Professor Lynda Morris,**  
**Norwich University College of the Arts**
- **The Idea of Babylon** **Dr Irving Finkel**
- **Staging the Henrician Court** **Professor**  
**Thomas Betteridge, Oxford Brookes University**  
**and Historic Royal Palaces**

AHRC-funded projects have resulted in exhibitions and plays that enrich our cultural lives and bring economic benefits to well-known institutions and the wider economy.

The Picasso: Peace and Freedom exhibition in 2010 at Tate Liverpool, the result of AHRC funding, revealed a new and distinctive perspective on Picasso the political activist and campaigner for peace. The co-curator Professor Lynda Morris visited archives in Paris and the USA to view political papers and letters sent to Picasso between 1944 and 1973 and identified more than 150 artworks by Picasso from across the world for the exhibition, including paintings and drawings related to war and peace, contextual materials and ephemera including two telegraphs from Fidel Castro. The exhibition attracted nearly 100,000 visitors, generating nearly £1m in ticket revenues before moving to the Albertina Gallery and Palace in Vienna, attracting a further 360,000 visitors, and then to the Louisiana Museum in Denmark.

The Idea of Babylon documented cultural representations of Babylon and analysed its history. It helped deliver an important exhibition at the British Museum as well as a book that makes a significant contribution to the research field. In doing so, it raised the Museum's profile as a research institution. The AHRC project supported the researcher's involvement in a public programme of lectures, performances, films, workshops and family events. These three strands of activity raised new questions for the project to address. Links were also made with the Iraqi community in London through groups such as the Iraqi Association.



**Picasso Dove Poster 1962**  
(collection Gerald Gosslin)

A project led by Professor Thomas Betteridge helped visitors to Hampton Court Palace engage with the court drama of the reign of Henry VIII. The Great Hall at Hampton Court Palace appears to the modern eye as a large, relatively empty space. This was not the case during the Henrician period when the space of the Great Hall would have been crisscrossed with different and important symbolic boundaries and passages. Staging the court drama, John Heywood's *The Play of the Weather* (1532/33), with its courtly ethos and elaborate social codes enabled a public audience to experience the Great Hall as it once was. It also allowed the project team, with the involvement of the general public, to test academic theories about the management of space in Henry's court.

"Support for the arts from the Research Councils enriches our daily lives. World leading UK research has uncovered the stories behind every brushstroke in this Picasso exhibition. I am sure this exhibition will help expand our knowledge of this most enigmatic and influential artist - and challenge long held perceptions of him."

**Minister for Universities and Science**  
**David Willetts**

### Fellowships

Two rounds of awards were announced during the last year. In July 2010, 174 proposals passed the initial assessment and were considered by the four subject panels. A total of 90 awards were made (61 standard and 29 early career). In January 2011, 108 proposals were considered by the panels and 50 awards were made (29 standard and 21 early career). Among the Fellowships funded in the latest batch are those exploring the links between linguistic diversity, biodiversity and poverty, Vanessa Bell's experimental works, new perspectives on the dispersal of *Homo Sapiens* out of Africa and Internet literature in China.

### End of speculative research route

Following a review of the speculative research route, and in view of the small numbers of applications received, it was decided to cease to operate this as a separate route and to subsume provision for speculative, experimental, exploratory and higher-risk research within our Standard Research Grants route. The AHRC is currently exploring ways of encouraging more speculative research that involves higher degrees of risk, for example through holding research development workshops bringing together researchers and potential partners from a wide range of backgrounds to generate innovative research ideas.

## Case Study: Investing in digital technologies

- Enhancing Engagement with 3D Heritage Data through Semantic Annotation  
**Mr Douglas Pritchard, Glasgow of Art**
- Visualising the Conflict: Immersion in the Landscape of Victims and Commemoration in Northern Ireland  
**Dr Martin Melaugh, University of Ulster**
- A Collaboration between Classics and Astrophysics: An Advanced Multispectral Imaging Laboratory Optimised through Crowd-Sourced Statistical Analysis  
**Dr Dirk Obbink, University of Oxford**

The AHRC Digital Equipment and Database Enhancement for Impact (DEDEFI) programme has invested in access to the latest digital technologies for arts and humanities researchers and opened up research outputs to the general public.

Incorporating over 100 innovative buildings, including the world-famous Tait's Tower, the 1938 British Empire Exhibition was a stunning display of architectural achievement and a reflection of the life and culture of Glasgow, the UK and the

Commonwealth. It attracted 12.5 million people during its six-month run. With previous AHRC funding a 3D digital model of the exhibition was created, along with an archive of cultural artefacts, including interviews with architecture scholars and exhibition visitors. Additional funding through DEDEFI has enabled the artefacts to be linked to the 3D model. Now, for instance, a photograph of a building can be linked to a virtual representation of that building, supplemented with comments by architecture experts. In addition, researchers and members of the public are able to annotate the date and attach those annotations within the model.

The Conflict Archive on the Internet (CAIN) Web Service, contains source materials on the Northern Ireland conflict and politics. Previous AHRC funding resulted in the inclusion on CAIN of information on victims, survivors and commemoration in Northern Ireland. Additional funding through DEDEFI has allowed information about the nature and location of physical memorials and associated deaths to be displayed on Google Maps for general users as well as students and academics. A virtual educational space has also been created which will provide interactive collaborative group experiences of information on victims and 3D models of a large selection of physical memorials as well as immersive experience of the memorials. The space will provide an opportunity for discussion of related sensitive issues that may otherwise not happen in Northern Ireland.

### Reaching new and diverse audiences

Research funded by the AHRC continues to feed through to the wider cultural sector, bringing new knowledge and understanding to diverse audiences. One example of this was the 'Threads of Feeling' exhibition which opened at the London's Foundling Museum in October. The exhibition showcased fabrics never shown before to illustrate the moment of parting as mothers left their babies at the original Foundling Hospital, which continues today as the children's charity Coram. In the cases of more than 4,000 babies left between 1741 and 1760, a small object or token, usually a piece of fabric, was kept as an identifying record. The exhibition received national press coverage, including articles in the *Guardian*, *The Lancet*, *London Evening Standard* and the *Independent*.

Another example - 'Art on Tyneside' - was developed as part of an AHRC-funded project that used the experiences and creativity of people from Newcastle and the surrounding area to set up a permanent display about art, place and identity at the Laing Art Gallery in Newcastle. 'Northern Spirit: 300 Years of Art in the North East' explores art representing the north east and the stories of the artists who were born or worked in the region. The research project drew on contemporary perspectives by exploring the north east through the Laing's collections and by asking the public 'How does the north east look to you today?'

New digital techniques for transcribing ancient texts have resulted in a huge number of previously indecipherable ancient Biblical texts and historical accounts becoming available for transcript by a small number of specialists. Media coverage of these techniques has compounded the challenge, resulting in a large number of interested members of the public becoming eager to handle, read and

decipher the texts themselves. DEDEFI funding has supported the development of a collaborative advanced multispectral imaging and multimedia laboratory for papyri and inscribed ancient artefacts. Images of the texts will be made publicly available to enable statistically weighted contributions from users to be employed to decipher ancient texts.



**CAIN screen shot. A view of the 'Memorial Street' displaying examples of 3D memorial models within Second Life.**  
Image courtesy Martin Melaugh



## Case Study:

# Social cohesion

- The Southall Story: a cultural history of Britain's 'Little India' since 1979 **Dr Jerri Daboo, University of Exeter**
- Linking the Chain: a network for digital heritage in Wales **Professor Michael Wilson, University of Glamorgan**
- Suburban Birmingham: spaces and places: 1880-1960 **Dr Richard Clay, University of Birmingham**

Researchers in the arts and humanities can help explore the history of communities and how events in the past have shaped our lives today. Their work can also help establish a common sense of identity and a shared sense of purpose.

In 1979 communities within Southall came together to resist the racism and violence that were threatening the area. The resultant uprisings led to the death of school-teacher Blaire Peach. In response to these events, social, political and cultural organisations and festivals were created to unite the communities together in resistance and celebration.



**The Glassy Junction pub in Southall.**  
Image courtesy Jerri Daboo



**On the Suburban Front.**  
Image courtesy Richard Clay



Bringing artists together from different communities and backgrounds resulted in new forms of performing arts being created. Dr Jerri Daboo is leading a project with artists and academics to examine how art forms in Southall influence each other - against the background of their emergence out of political and social events. The team will create an oral and cultural history of Southall, benefitting the local community and the study of diasporas and migration within academia.

Professor Michael Wilson's project is exploring the creation of a new community focused on the digital economy and heritage in Wales, identified by national and local government as major priorities. After ten years of devolution, Wales has developed as a confident nation with particular economic strengths in these areas. Professor Wilson is building a network of digital heritage experts in Wales to identify and undertake research to help inform the development of the digital economy and to help inform public policy.

Birmingham's suburbs 1880-1960 is the focus of a project led by Dr Richard Clay. Bringing together the University of Birmingham, Birmingham Museum and Art Galleries and Birmingham Libraries and Archives, the team is using the partners' extensive collections to explore how public, semi-public and private spaces were built, used, thought about, and represented during a period of great social, economic, political and cultural change. The project is enabling curators, archivists, and libraries to share and develop their knowledge, resulting in an interactive website including film, articles and images of historical documents, paintings, photographs, maps and artefacts. An exhibition at Birmingham Central Library will tour the city's community libraries.

### Impact

The AHRC has continued to contribute to RCUK's implementation of Pathways to Impact, taking part in a review in the summer of 2010 which looked at the effects of the introduction of Impact Summaries and Pathways to Impact. Following the review and also taking into account feedback from the community, guidance has been revised at both a cross-Council and individual Council level to better outline the information required and to clarify policies. The AHRC has continued to provide support and guidance through institutional visits, town meetings, Subject Association and other events, and through specific pages of the website giving information and case studies.

### The public value of the humanities

A book - *The Public Value of the Humanities* - was published by the AHRC in February of this year. Edited by Jonathan Bate, University of Warwick, and including essays from Mary Beard, Onora O'Neill, Mike Parker Pearson, Christopher Breward and many others, the book argues that the humanities play a vital role in our cultural, social and economic life, and details the contributions made by specific disciplines within the humanities. Speakers at the launch included Lord Robert Winston, Baroness Onora O'Neill, David Docherty, Chief Executive of the Council for Industry and Higher Education (CIHE) and Minister of State for Universities and Science David Willetts who said: "the humanities are an integral part of a civilised society, and we should value them in their own right. They also bring social and economic benefits through improvements to intellectual capital, community identity, learning and skills".

# Meeting Today's Challenges

During the last year the AHRC has continued to take a strategic view of the research domain and provide leadership in stimulating research that addresses current and emerging societal challenges. The AHRC's established research programmes have continued to go from strength to strength, demonstrating the value of mobilising traditional strengths from across the arts and humanities to work together to develop new research agendas and address issues of importance to society.

## Building on success

The Science and Heritage and Religion & Society programmes have continued to make a major contribution to building cross-disciplinary research capacity and the development of innovative methods in their emerging research fields. For example, the Religion and Society Programme is preparing a major book on 'Innovative Methods in Study of Religion' and funded a collaborative residential training event on Advanced Methods in the Study of Religion in Oxford in September 2010.

Both programmes are also extending their engagement in broader public policy debates. For example, the Religion and Society Programme funded a major conference on 'Faith and Policy' at the British Library in July 2010 which received in-depth coverage in *The Guardian*. More than 120 participants from academia, government and religious bodies and the voluntary sector attended the conference to discuss themes such as the participation of religious and faith-based bodies in community and social action, security issues surrounding the connection of religion with violent extremism, and religion's place in public life.

In early 2011 the programme also set up a new radicalisation website ([www.radicalisationresearch.org](http://www.radicalisationresearch.org)) to help policymakers, journalists and anyone exploring the issues of radicalisation, fundamentalism or extremism to have easy access to the best academic research on these issues. Meanwhile, the Science and Heritage Programme is leading the establishment of a National Heritage Science Forum in 2011.

## Beyond success

Commissioning under the Beyond Text Programme came to an end with 13 student-led initiatives announced in June 2010 and 10 small follow-on projects funded to explore three emerging themes under the programme. These are: archiving the ephemeral; learning across and between the generations; and heritage and values. Outcomes from the programme increased significantly this year as many projects from the first phase of the programme came to an end and began to demonstrate major impacts. For example, findings from research on children's playground games and songs in the new media age were launched in March 2011 at the British Library by former Children's Laureate Michael Rosen along with a documentary film and website ([www.bl.uk/playtimes](http://www.bl.uk/playtimes)) featuring recordings and footage of children's games and rhymes from 1900 to the present day. The research challenged the widely-held belief that new media are destroying the imaginative play of children. The project has also brought traditional play culture into the age of new media, developing a computer game called the Game Catcher, which combines traditional clapping games with the latest videogame technology from the Nintendo Wii and Microsoft Kinect.

Although nearing its end, the Landscape and the Environment programme held its third and final summer conference on Art and Environment, exploring engagements between visual art and the material world, at Tate Britain in June 2010.

## Case Study:

# Religion and Society

- Does Religious Education Work?: An Analysis of the Aims, Practices and Models of Effectiveness in Religious Education across the UK **Professor James Conroy, University of Glasgow**
- Religion and Belief, Discrimination and Equality in England and Wales: Theory, Policy and Practice, 2000-2010 **Professor Paul Weller, University of Derby with the Universities of Oxford and Manchester**
- Muslim Participation in Contemporary Governance **Dr Therese O'Toole, University of Bristol**



**Birmingham Central Mosque. Dr O'Toole's project investigates British Muslim participation in local and national governance, policy making and implementation in Birmingham, Leicester and Tower Hamlets in London.** © istockphoto.com/esp\_imaging

Research undertaken through the Religion and Society Programme is helping develop cultural understanding and religious tolerance.

Professor Conroy's project hopes to create the single most comprehensive study to date of the state of religious education across the combined jurisdictions of the UK. Using a combination of philosophical, theological and detailed ethnographic approaches, he conducted a study of the local school-focused practices that shape

the delivery of Religious Education. Central to the project is the engagement of selected RE teachers as partner 'research practitioners' in 24 secondary schools across the UK to get a real sense of the lived experience of religious education. The study has thrown up a wide range of questions about the provision of religious education across Britain and a conference organised by the project disseminated this to teachers, advisers, policy-makers and religious groups.

Professor Paul Weller's project aims to establish a contemporary benchmark in scholarly studies on discrimination and equality with regard to religion and belief. The project hopes to inform public debate in this contentious area and equip users of research to make a difference by developing understanding, policy and practice in a more evidence-based way. It combines expertise in religion, research methods and human rights law and builds on the results of the first government-commissioned study of Religious Discrimination in England and Wales (from 1999 to 2001) to access how far patterns in reported religious discrimination have changed over the past decade.

Dr O'Toole's project investigates to what extent UK governance includes British Muslims and how Muslims themselves become involved in consultations, partnerships and governance networks. Academic researchers have built a growing understanding of British Muslim protest and activism from the Salman Rushdie affair to the current day. However, the participation of British Muslims in local and national governance, policy-making and implementation remains largely an untold story. The project involves national-level research and analysis, including interviews with parliamentarians who have worked with Muslims as well as Muslim advisers, civil society leaders and civil servants, alongside local-level ethnographic and qualitative research in Birmingham, Leicester, and Tower Hamlets, London. The research is informing current debates on multiculturalism, cohesion, citizenship, the role of religion, and particularly Islam, in public life.

## Case Study:

# Connected Communities

- Participatory Arts and Well-Being Past and Present Practices **Jane Milling, University of Exeter**
- Community Web 2 **Dr Chris Speed, Edinburgh College of Art**
- Writing in the Home and in the Street **Richard Steadman Jones, University of Sheffield**

Projects funded through the Connected Communities programme further our understanding of communities, their changing nature in both historical and cultural contexts and the value of communities in sustaining and enhancing our quality of life.

Government cuts are hitting community arts groups hard, yet NICE (National Institute for Clinical Excellence) guidelines stress the importance of group activity in alleviating mental health difficulties, while



**Dr Chris Speed is exploring the parallels between virtual and actual society.**  
© istockphoto.com/enot-poloskun

Arts Council England has established that 'active participation in the arts can have a significant impact on the wider determinants of health such as improving living environment'. The contribution of participatory arts to community well-being is the focus of a project led by Jane Milling. Three network meetings are bringing together academics, community arts practitioners and organisations, policy makers, and health professionals to examine and define well-being and to explore the role of participatory arts.

The significance of the internet in transforming community relations has triggered debate as to whether the development of virtual communities has displaced face to face communities. A project led by Dr Chris Speed is exploring the parallels between virtual and actual society. It will look at how individuals within groups develop creative social solutions that transgress established protocols to improve their lives. These processes may not be illegal but have the characteristics of a community, virtual or actual, identifying an opportunity to empower themselves in a way that provides benefits beyond that which the State is currently willing or able to provide.

A project exploring everyday literacy practices in various communities in Rotherham is being led by Richard Steadman Jones. The team will examine how people within specific communities make use of literacy and text at home and in the street. Inviting local people and artists to take part will promote reflection on the reasons people have for engaging – or not engaging – with practices of writing, and the connections and conflicts that exist among the communities that share a particular urban space. As well as feeding into strategies on improving literacy there will be a site-specific intervention and a film to be shown in the school, library and children's centre in Rotherham.

Outputs have also continued to emerge from the Diasporas, Migration and Identities programme. These included publication of a major collection of essays, *Diasporas: Concepts, Intersections, Identities*, from 20 programme award holders and a further 30 international scholars across a wide range of disciplines, charting the various ways in which global population movements and associated social, political and cultural issues have been seen through the lens of diaspora. The Directors of both programmes, Professor Stephen Daniels and Professor Kim Knott, have been awarded AHRC Impact Fellowships, to further draw together the outcomes of the programmes' research and further enhance their transformative impact on the research field and outside academia.

### **Developing emerging themes and Connected Communities**

Development work under the Connected Communities Programme started in earnest this year. Several hundred researchers from across the remits of five Research Councils were funded as part of a series of initial scoping studies, networking and research review activities. Following a 'summit' in June 2010 bringing together over 50 researchers involved in Research Council-funded projects, centres and programmes, 19 cross-disciplinary scoping studies, in collaboration with organisations such as Voluntary Arts, Inspire Rotherham and Craftspace, were funded. Follow-up projects were also supported to build on AHRC's Museums and Galleries Programme and following a workshop on crime and communities jointly funded with the ESRC.

In December a pilot 'research development workshop' was held on the topic of culture, communities and the creative economy, bringing together over 50 selected researchers from a wide range of disciplines, as well as attendees from the worlds of policy and practice. The three-day workshop sought to develop innovative research ideas and to bring together new research consortia. Five teams have been given support to develop ideas identified at the workshop and will submit full proposals for peer review in 2011. In addition, seven other small follow-up projects from the workshop were funded in partnership with the ESRC and EPSRC, which involve collaboration with organisations in the creative economy such as BT and the Watershed Arts Trust and a range of community groups such as The Connection homeless charity in St Martin in the Fields.



## Case Study:

### Bringing the arts, humanities and sciences together

- Representing Re-Formation: Reconstructing Renaissance Monuments **Dr Philip Lindley, University of Leicester**
- PARNASSUS: Ensuring integrity, preserving significance: value-based flood resilience for protection of cultural heritage from climate change impact **Dr Dina D'Ayala, University of Bath**
- Lifetime of Colour Photographs in Mixed Archival Collections, **Centre for Sustainable Heritage, University College London, and The National Archives at Kew**

The Science and Heritage programme is bringing together arts and humanities researchers with scientists and, in doing so, is furthering our understanding and preservation of collections and artefacts of national and international importance.

The Representing Re-Formation project focuses on the excavations of the ruins of Thetford Priory where hundreds of late-medieval and Renaissance sculptural and architectural fragments have been found, many related to two of the Howard tombs in Framlingham parish church. Using cutting-edge 3-D scanning and analytical techniques developed for space science, the project team led by Dr Philip Lindley, 'disassembled' the tombs into their constituent parts and recombined them virtually. These techniques can be used to



**Bodiam Castle. Dr D'Ayala is investigating measures the effects of climate change on cultural heritage sites such as Bodaim Castle, and possible protection measures. © istockphoto.com/Veni**

recreate other lost monuments and sculptures and bring them back to (virtual) life. Combining research tools from space science, art history, archaeology, museology and computer science could bring about a small revolution in the understanding of the late middle ages and early Renaissance in England.

PARNASSUS is an interdisciplinary research project led by Dr Dina D'Ayala investigating the adverse environmental effects and adaptation measures needed for the protection of cultural heritage from the effects of climate change. The project is developing a system to quantify risk to historic buildings and archaeological sites from driving rain and flooding, evaluating structural vulnerability and proposing adaptation strategies. The project uses case studies of places such as Tewkesbury, Winchester Cathedral and Winchester College buildings and Bodiam Castle. The collaboration of structural and environmental modellers with specialists in cultural heritage, and supported by professional practitioners and heritage institutional bodies, means that the project is producing robust results directly applicable in practice.

The Science and Heritage programme has also funded several collaborative research studentships, such as the Lifetime of Colour Photographs in Mixed Archival Collections. Developing a tool for the management of colour photographs in collections, the project has identified the environmental parameters most relevant to photographic degradation, such as temperature, humidity and acetic acid concentration. A methodology was developed for the assessment of the effect of these environmental factors, which was fed into a computational modelling tool. This innovative tool enabled the project team to build a comprehensive model of possible effects, allowing those entrusted with collections of cultural heritage objects to develop suitable preservation strategies.

44 research reviews and scoping studies were funded from 138 applications addressing five core themes of the Programme, including: conceptualisations and meanings of community; changing nature of connectivity; ideas of community self-reliance, resilience, empowerment and participation; conflict within and between communities; and approaches to community engagement. Reviews explore these themes in a wide range of research fields and contexts ranging from urban communities in early modern Europe, community litigation and concepts of community and future in philosophy, to studies of community music, voluntary sports coaches and healthcare delivery in communities.

Working in partnership with the Royal Society for the encouragement of Arts, Manufactures and Commerce (RSA) we have funded a fellowship and research project to work in collaboration with the RSA's Citizen Power in Peterborough project to explore issues of belonging and attachment to place and the impact of community-based cultural and arts-based initiatives.

### **Contributing to RCUK research themes**

The AHRC has continued to work closely with RCUK themes. For example, we have worked closely in developing the Digital Economy programme's new strategy, particularly its new Communities and Culture priority area, which has close synergies with the Connected Communities programme. This has already led to several scoping projects under Connected Communities being jointly supported by the Digital Economy programme.

The AHRC has funded new research under the Living with Environmental Change programme and in collaboration with the Department of Energy and Climate Change to examine the use of storytelling and technology in reframing the public debate around climate change. Under the Global Uncertainties Programme, the joint AHRC/ESRC Fellows on Ideas and Beliefs have had increasing impact. For example, one Fellow has been advising the Government on operations in Afghanistan while the research of another Fellow, Dr Addelwahab El-Affendi, on narratives of insecurity, democratisation and the justification of violence in Middle East dictatorships assumed unanticipated relevance in early 2011.

The AHRC also participated in the third phase of commissioning under the Lifelong Health and Wellbeing programme, leading to a new cross-disciplinary pilot research project exploring the relationship of creative arts interventions to well-being in later life.

# Sustaining People and Careers

Crucial to the AHRC's mission is the investment made each year in developing the next generation of arts and humanities researchers and in equipping them for the demands of 21st-century research and the needs of the UK's knowledge economy. This last year has seen further developments in this area as the AHRC has continued to develop its support of researchers at every stage of their careers.

## Block Grant Partnerships

This year saw the second cohort of postgraduate students funded by the Block Grant Partnership (BGP) awards begin their studies. A total of 589 doctoral students and 529 Master's students (Research Preparation and Professional Preparation) were recruited by the 49 research organisations (ROs) that hold a BGP award.

The second annual meeting of the BGP co-ordinators for each Research Organisation (RO) was held in Birmingham in January. The day included presentations from three ROs, in which members of staff shared their experiences of managing the BGP funding, from the perspective of a small, specialist BGP (Birmingham City), a larger-scale BGP (Warwick) and a collaborative BGP (Northumbria and Sunderland). Those attending the meeting were encouraged to think not only about how best to develop the opportunities the BGP enables them to offer their postgraduate students up until 2013, but also to start thinking about how they might approach the second round of BGP awards, which will provide for the cohort beginning in 2014.

## Studentship Competition

The BGP awards continue alongside the final cohort of postgraduates recruited through the open Studentship Competition. A total of 121 awards were made in the 2010 competition from 392 applications.

## BGP Capacity-Building

In January applications were received for the new BGP Capacity-Building scheme, which was open to ROs who had previously bid through the annual Studentship Competition. Having seen the benefits afforded ROs by the BGP scheme – the ability to plan a longer-term approach to postgraduate support and often to secure additional funding from internal sources as a result – the AHRC decided to extend the same benefits to the ROs applying through the annual route.

A total of 55 applications were received in January, 11 of them consortium bids, requesting a total of 1229 individual studentships. This scheme offers funding for three cohorts (2011-13), bringing it in line with the timescale of the BGP scheme, and focuses on subject areas not adequately covered in the existing BGP awards (including Creative and Performing Arts subjects) and for smaller ROs that offer excellent provision in other subject areas. The outcomes will be announced in mid-April 2011.

## Collaborative Doctoral Awards

Our Collaborative Doctoral Awards (CDA) scheme continues to attract increasing levels of interest from HEIs proposing doctoral research projects that students will work on in collaboration with another organisation. Often these partner organisations are the national museums and galleries recognised as Independent Research Organisations by the AHRC, though in other cases the partners are small, regional arts organisations or private enterprises. The 2010 round attracted 212 applications (compared to 175 in 2009). It included provision for an additional ten awards available for CDA proposals relating to our Connected Communities programme.

## Case Study: Engaging with the public

- Write Around the Toon: creative practice and public engagement in Newcastle-Gateshead  
**Victoria Adams, Newcastle University**
- PEACE: Postgraduate Environmental Archaeology and Community Engagement  
**Matt Law, Cardiff University**
- London Cultural Connections: arts, academia and a wider audience  
**Professor Peter Heather, King's College London**

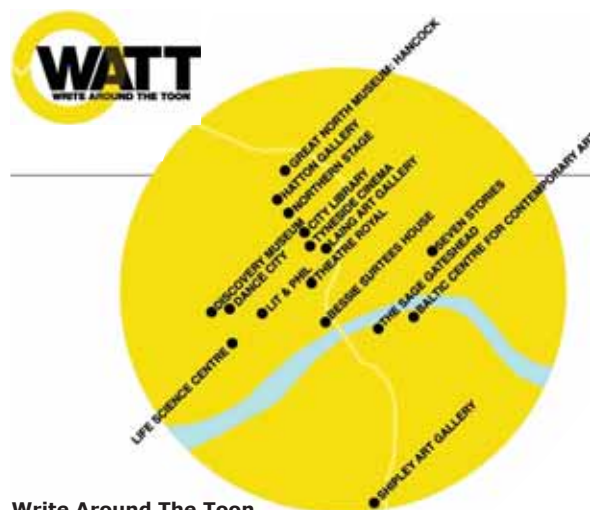
Public engagement is an important skill for researchers, giving them an opportunity to increase the relevance, impact and quality of their research. For students participating in public engagement activities it can also enable them to learn from others and develop skills relevant to their research and future career. The AHRC Collaborative Research Training – Public Engagement scheme is designed to help develop these important skills in the next generation of researchers.

Victoria Adams is one student who, recognising the value of public engagement skills, has taken advantage of AHRC funding to create an interactive, online creative writing guide to Newcastle-Gateshead, raising the profile of Creative Writing PhD students in the region amongst both their academic peers and the wider community. Following a series of training seminars students were matched to institutions for writing residencies. Blogging and posting work inspired by their residencies on an interactive map, they have created a self-guided creative writing tour of Newcastle-Gateshead for the general public which will be officially launched in June 2011.

Student Matt Law has designed public engagement training to enable postgraduates studying environmental archaeology to work confidently with audiences outside of academia. A public engagement workshop was followed by the

collaborative development of an online resource for public engagement including case studies, guidelines for planning public engagement work, ready-to-use activities and suggestions for public engagement methods appropriate to different levels of audience. With support from the School of History and Archaeology at Cardiff University, the National Museum of Wales and the STEM Ambassador scheme, students benefited from developing event management, administration and advertising skills.

Bringing together King's College London, Courtauld Institute of Art, the Globe Theatre Education, English National Opera, British Film Institute, The British Museum and the Victoria and Albert Museum, with arts and humanities students, London Cultural Connections is providing training and opportunities in public engagement activities. Presentations from the cultural partners designed to introduce students to a range of different outputs, will be followed with opportunities for training from both academic institutions and business providers, as well as specialist training from the cultural partners. The students will then give filmed presentations with a number of them selected to present at King's Humanities week to an entirely non-specialist audience.



**Write Around The Toon.**  
Image courtesy Victoria Adams



## Case Study:

# Visiting Fellowships

- Landscapes of Secrecy: the CIA and the Contested Record of US Foreign Policy 1947-2001 **Dr Christopher Moran, University of Warwick**
- Ensuring Human Rights and Pro-Development Investment in Conflict Settings: Afghanistan as a Case Study **Daria Davitti, University of Nottingham**
- HIV/AIDS in Japan – a health promotion perspective **Hannah Waterson, University of Manchester**

"My AHRC fellowship at the NIHU allowed me to access their extensive research facilities and I benefitted from the help and expertise of the staff to carry out this essential fieldwork. The opportunity to spend a period of time in Japan working with local groups involved in HIV prevention as well as interviewing those involved in policy making at a national level gave me a much better understanding of the situation in Japan and has shaped my PhD thesis." Hannah Waterson, NIHU Fellow

The AHRC international fellowships provide early career researchers with access to resources at world-class facilities in the United States and Japan – the Library of Congress and the Japanese National Institutes for the Humanities (NIHU) respectively.

The Library of Congress (co-funded by the ESRC) is an internationally renowned facility with more than 142 million items on 650 miles of bookshelves and 10,000 new items added daily. NIHU consists of six Inter-University Research Institutes supporting academic research on culture and the humanities.



**Christopher Moran and Daria Davitti are using their time at the Library of Congress to assist their research into the CIA and US Foreign Policy, and the US decision-making process in the drafting of key international legislation.**

© istockphoto.com/narvik



Dr Christopher Moran is a postdoctoral research assistant on a project considering how the history of the CIA has been received by the public and its portrayal in popular cultural mediums including film, novels, press and memoirs. The fellowship is enabling Christopher to examine archival collections including private papers of CIA memoirists Archibald Roosevelt, Cord Meyer, David Atlee Philips and Ray Cline and papers of Senator Daniel Patrick Moynihan, a known critic of the CIA, and to meet former CIA practitioners.

Daria Davitti's PhD explores the interplay between human rights law and investment law in conflict settings, particularly the impact of current US-Afghan Bilateral Investment Treatment (BIT) negotiations on human rights and development in Afghanistan. The fellowship will enable Daria to understand the perspective of the US decision-making process in the drafting of key international legislation. Access to the archives of relevant Congress and Senate discussions provides a more detailed US perspective on the way in which investor rights, as protected by the Convention on the Settlement of Investment Disputes (ICSID Convention), may be reconciled with human rights obligations and the recent tendency to introduce social and environmental clauses into BITs.

Hannah Waterson's Fellowship to NIHU in 2009 has benefited her PhD on HIV/AIDS in Japan. HIV infection levels in Japan have been relatively low, and therefore the topic has received little attention. But new infections rates are continuing to rise and Japanese youth are showing little awareness of HIV/AIDS, raising concerns that Japan may be facing an AIDS explosion. Hannah was able to access key resources unavailable in the UK including information about government reactions to HIV/AIDS, Ministry of Health and welfare documentation, as well as meeting experts in Japanese Studies and working with NGOs involved in HIV prevention. Through being in Japan for World Aids Day, Hannah was able to further understand Japanese culture and attitudes to HIV/AIDS.

### Collaborative Research Training

This year we focused our Collaborative Research Training scheme on two specific calls, one for specialist skills in Modern Languages, and one for Public Engagement training. The scheme encourages regional, collaborative approaches to provision of training for postgraduate students in the arts and humanities. Five awards to consortia delivering specialist research training in Modern Languages were made, with eight consortia delivering research training for Public Engagement. The latter will be developed with the support of the National Co-ordinating Centre for Public Engagement. Eleven smaller-scale awards for student-led initiatives in these areas were also made. The Beyond Text programme also made 13 awards for student-led initiatives relating to its themes, from 40 eligible applications.

### Supporting international opportunities

We were able to provide further opportunities for AHRC-funded doctoral students and post-doctoral research assistants through a number of routes this year. The Library of Congress scholarship scheme, which we operate in conjunction with the ESRC, received 56 applications this year (compared to 40 in 2009), the vast majority of which were from students working in the arts and humanities. Twenty-four awards were made to enable postgraduate and early postdoctoral researchers to spend a short period of time working in the Library of Congress's vast collections and make valuable connections with other researchers there. The parallel scheme operated with the National Institutes for the Humanities in Japan received four applications and three awards were made.

### New Generation Thinkers

This year the AHRC launched a new venture with BBC Radio 3 - the New Generation Thinkers programme - designed to find the public intellectuals of tomorrow. There were nearly 1,100 applications for just 60 places on BBC-led workshops at which the successful ten 'New Generation Thinkers' would be chosen. The AHRC is leading a series of workshops on broadcasting skills to build on the huge interest this call generated, to help early career researchers learn how to present their work on radio to a public audience and further develop links established with Radio 3.

## Case Study:

# Training the curators of the future

- Sport, Museums and Cultural Policy **Justine Reilly, supervisor Professor John Hughson, University of Central Lancashire and Sports Heritage Network (SHN)**
- Reconsidering Access to Material Culture at the Digital Boundary **Margaret Gascoigne, supervisor Dr Ian Anderson, University of Glasgow and Glasgow Museums**
- Beyond the Gaze: collecting and displaying modern and contemporary South Asian Art in the UK **Emilia Terracciano, supervisor Dr Deborah Swallow, The Courtauld Institute of Art and the Victoria & Albert Museum**

CDA awards give early career researchers an extraordinary opportunity to work outside academia and gain 'real-world' skills alongside more traditional research-based skills. They train the curators of the future and ensure that specialised knowledge is nurtured and passed on.

Student Justine Reilly's project is in collaboration with the Sports Heritage Network (SHN). This project is looking at how sports museums fit into the larger cultural map of contemporary Britain, particularly important with London 2012 looming. Justine will have the opportunity to spend time working at a number of museums within the network, using four or five museums as a case study for the project. Justine's experience in the museums will help her develop her knowledge of the museum sector, something of direct relevance to employment prospects, while giving her access to the work of all staff, including Kevin Moore, Director of the National Football Museum.

Another project is exploring the changing role of museums as they expand and develop from repositories of material culture to facilitators of digital access and participation. As part of the project, Margaret Gascoigne will undertake an

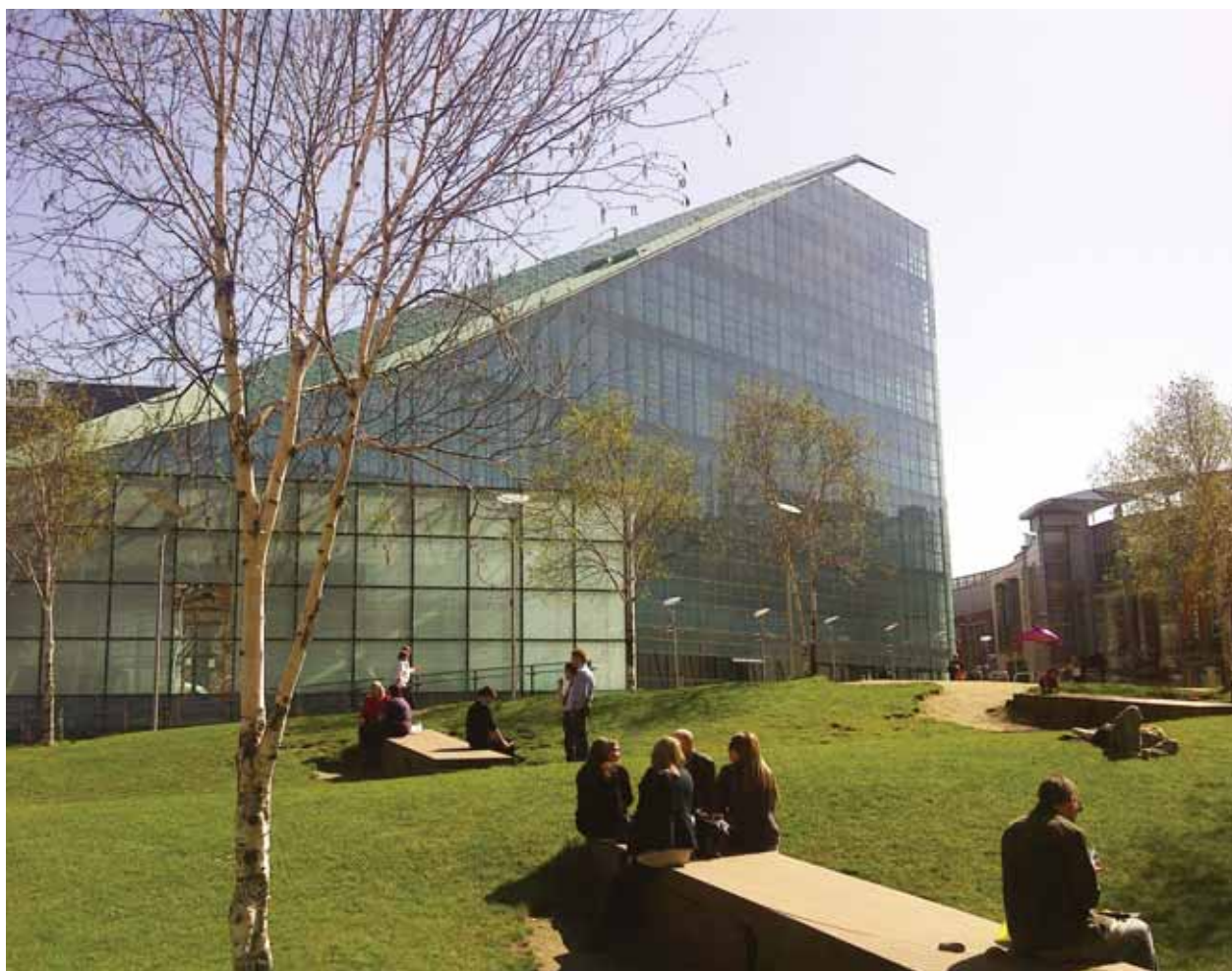
induction programme at Glasgow Museums that will include object handling, museum documentation and archival research. Through the partnership she will acquire a range of museum management skills by working directly with both the Research Section and the Learning and Access Unit. Margaret will also be able to draw on the expertise of the thirty or so expert curators across Glasgow Museums.



Image © National Football Museum

Beyond the Gaze is a project that looks at the ways in which modern and contemporary Indian and South Asian art has been collected and displayed, traded and received in the UK. Student Emilia Terracciano will spend time undertaking fieldwork and will have direct access to the physical collection and archive at the V&A, as well as fieldwork, in other public collections such as the British Museum, Bradford,

Leicester, and Birmingham museums. Supervision by both academics and practitioners ensures Emilia is immersed in the theoretical, practical and institutional collecting and display issues. The V&A also benefits through the rigorous theoretical approach provided by Emilia, an approach that will lead to the first ever survey of modern and contemporary South Asian art in the UK.



**AHRC funding is enabling early career researchers to gain experience outside of academia, at organisations such as the National Football Museum below.** Image © National Football Museum

# Building Partnerships

During the last year the AHRC has strengthened its ties with national and international partners, bringing real benefits to the UK research community and supporting collaboration with organisations well beyond UK research.

## International

Through the AHRC the UK is brokering large-scale collaborations focusing on areas of priority for the AHRC and the UK academic community.

## Strategic leadership – Europe

HERA (Humanities in the European Research Area) is a major collaboration between European funding agencies. The results of the 2009 call saw all but one of the 19 projects funded by the programme either led by or involving researchers from the UK.

These projects, funded under the first HERA Joint Research programmes ('Cultural Dynamics' and 'Creativity and Innovation'), were officially launched in June 2010. The HERA consortium, in which the AHRC plays a central role, is currently preparing to bid for further support from the European Commission to launch another Joint Research Programme. This programme focuses on the topic of "Cultural Encounters" which has the potential to support the AHRC's 'Translating Cultures' theme currently in development. Several UK academics were nominated to take part in the working group what will define the academic content of the proposal.

## Net-Heritage

The AHRC is leading the UK's activities in the Net-Heritage project, a partnership of 14 national funding bodies and agencies involved in heritage and conservation science. As part of this ambitious project the AHRC is building the Net-Heritage Observatory website, which will enable users to source information, share their knowledge and work collaboratively. Following the launch of the beta site of the Observatory in December 2009, the site was in a state of continual development and improvement throughout 2010. An all-partner event in September in London, organised and hosted by the AHRC in the UK, provided feedback for the Observatory, which will contribute to the final year of development of the site, in preparation for its launch in Rome in September 2011.

The Net-Heritage project is, at present, in its final six months and the Observatory is now fully developed and therefore attention is now focused on populating the site with content. A website editor has now been appointed and will be working on the project until its public launch.

## European Joint Programming Initiative on Cultural Heritage

The AHRC is playing a leading role in the development of the EC Joint Programming Initiative (JPI) on 'Cultural Heritage and Global Security' and has agreed to lead on the development of the European Strategic research agenda for cultural heritage. This is a major priority for the AHRC and support for this initiative supports its Care for the Future strategic priority.

## Strategic leadership - United States

### Digging into Data

The AHRC is playing an important role in the second round of the Digging into Data initiative. This multi-lateral initiative, involving partners from USA, Canada, UK and the Netherlands, will support collaborative arts and humanities research projects related to large-scale data analysis. The call for proposals was made in March.

## Health, well-being and communities

The AHRC organised a workshop with the US National Institutes of Health (NIH), hosted by the Wellcome Trust, on 'Culture, Health and Well-being', to explore opportunities for cross-disciplinary and international collaboration in the developing fields of medical and health humanities, as a part of AHRC's Science in Culture theme. A workshop was also held in Washington in partnership with the National Endowment for the Humanities (NEH) and BIS Science and Innovation Network in the USA, which brought together arts and humanities researchers funded under the Connected Communities Programme with leading US researchers to explore collaboration on the theme of 'the place of community in pluralistic societies'.



## Strategic leadership – India

An agreement between the AHRC and the British Library was established to support collaboration on work related to India. A series of 'Digital Knowledge Exchange' workshops, organised in collaboration with the Higher Education Cell in India and the British Library, has been held in India to explore opportunities for collaboration under several of AHRC's emerging themes. These include an inter-disciplinary workshop on South Asian Historical Records and Climate held at the Indian Institute of Science in Bangalore, and also involving the UK Met Office, which examined issues of relevance to AHRC's Care for the Future and the cross-council Living with Environmental Change Programmes. Another workshop on Early Bengali books online 1778-1914 explored issues relevant to the Digital Transformations theme.

## Promoting international excellence

The AHRC has secured international bilateral collaborations with partners in countries with which the UK has major strategic interests. These include:

- **Italy** Under the AHRC's agreement with Consiglio Nazionale delle Ricerche (CNR), the principal research body in Italy, a mission of Italian experts took place in June 2010. This was a return visit following a first visit made by UK experts to Italy last year.
- **Germany** The results of the second round of AHRC/DFG (Deutsche Forschungsgemeinschaft) scheme were released in the summer of 2010.
- **China** Following a targeted call for proposals, seven projects have been awarded funding by the RCUK China Office, three of which have AHRC award holders as Principal Investigator. These projects will explore business innovation and design, the imaging of wall paintings and 3D cinema.
- **USA** The AHRC and National Science Foundation have renewed their commitment to working together with the re-signing of a Memorandum of Understanding (MOU). The agencies will continue to develop opportunities for researchers in areas of mutually strategic importance.

## Delivering international opportunities for early career researchers

Schemes to allow young researchers to access the unique research collections of the Library of Congress in Washington DC and the world-class facilities of Japan's National Institute for the Humanities (NIHU) continue to provide career-shaping opportunities for early-career researchers. Scholarships can last between three and six months, depending on the time required to complete the proposed research. In 2010-11 four applications were made, with four awards made under the NIHU scheme, while the Library of Congress scheme saw 49 applications being made, with 24 awards being made. The success of these schemes is leading to the exploration of other possible scheme involving institutions in the USA and India.

## Knowledge Exchange

### Follow-on Funding launched

The AHRC's Follow-on Funding Scheme was launched in October 2010 offering flexible funding to encourage creativity and innovation in support of knowledge exchange, active dissemination, public engagement or commercialisation opportunities that arise either during the lifespan of or following an AHRC-funded project. The scheme offers awards of up to £120,000 (fEC) for a maximum of 12 months and will run initially as a pilot for 24 months. Smaller awards of up to £30,000 (fEC) can be used for shorter, higher risk activities, for example for testing the feasibility of an idea, exploring new partnerships for knowledge exchange, testing the market or investigating a new business model. By the end of 2010 the first award under this scheme had been made to Stephen Howkins at the University of the West of England. The original research, led by Stephen Howkins at UWE, investigated how 3D printing could be developed from making replica prototypes to creating ceramic objects and developed a patented ceramic material. The research team will now work in partnership with Denby Ltd on a process for printing directly in a 3D ceramic material that could be rapidly glazed and decorated.

### The Scottish Funding Council

AHRC and the Scottish Funding Council (SFC) launched a joint call for Knowledge Exchange projects addressing the theme of health, well-being and the environment and contributing to the Scottish Government's national objective for a healthier Scotland. Projects will directly address demand from their healthcare partners in the areas of infections, dementia therapy, hospital design, urban planning, visual impairment and translation. The AHRC will be working with the project teams to ensure that maximum impact is leveraged from these activities.



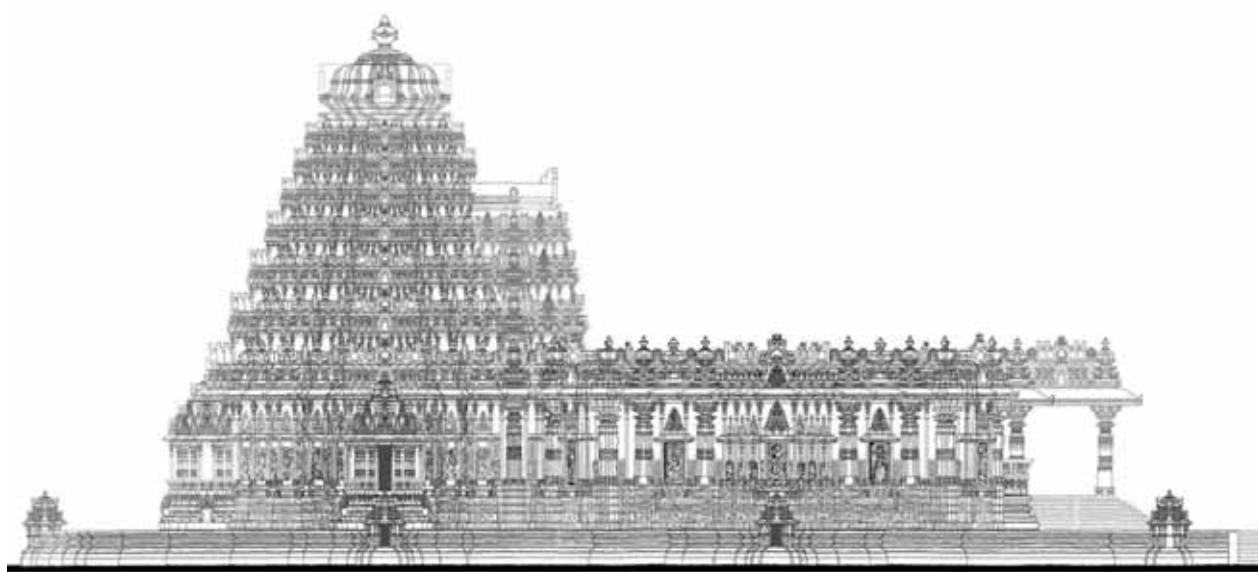
## Case Study:

# Building relations with India

- South Asian Historical Records and Climate Divecha Centre for Climate Change, Indian Institute of Science, Bangalore
- Early Bengali Books Online: 1778-1914 National Library Kolkata
- The Indian Temple: Production, Place and Patronage Professor Adam Hardy, Cardiff University

A shared history, elements of a shared culture and increasingly a shared population make India a natural partner for collaborative international research activities.

Climatic conditions in India were systematically recorded in the form of official meteorological observations in India from the 19th century, though records exist earlier than this in ships' logs or missionaries' daily weather records. The India Office Records contain information about the instruments, meteorological, tidal and astronomical observations, rainfall, ozone and extreme weather events. The AHRC funded ten researchers to participate in a workshop that brought together academics from a range of disciplines and curators from South Asia and the UK, to discuss the material available in both India and the British Library, and to develop interdisciplinary research collaborations.



**The new Hysala temple.** Image courtesy Adam Hardy

Similarly, the AHRC funded ten researchers to attend the Early Bengali Books Online workshop, focusing on the digitisation of the Early Bengali Book Collection at the British Library as a model to stimulate British-Indian collaborative research and digital knowledge exchange. Bengali is one of the most spoken languages in the world. In a survey of London schools carried out in 2000, Bengali was the second most common spoken mother tongue after English with 40,000 speakers. As well as Bengali speakers in South Asia, a new generation of British scholars is emerging with Bengali skills, interested in exploring their heritage and culture and in translating their culture for worldwide research consumption. With over 230 million Bengali speakers worldwide, the research potential of a complete corpus of early Bengali books is considerable.

Temples dominated the landscape of India between the 7th and 13th centuries and they formed the focus of a project led by Professor Adam Hardy. Protected by kings and widely supported by endowments, temples were centres of religious life, socio-economic power and artistic production. The project focused on how the temples were designed and built, the king's role as a patron of architecture and Sanskrit letters, and the social and political role of temples in medieval society. Adam has since been commissioned by the Shree Kalyana Venkateshwara Hoysala Art Foundation to design a temple in the Hoysala style, a style that has not been practised in over 700 years. Adam also created a multimedia display for 'India: the Art of the Temple', an exhibition by the British Museum and Victoria and Albert Museum, held in Shanghai in 2010.

### AHRC BT Research Networking

The five AHRC BT Research Networking projects have come to an end during the last year. All have delivered a range of valuable outcomes and impacts and each has plans for future projects developed during the course of their networking awards. Among the project outputs are: a web-based platform that will enable a range of information about culture and place to be accessed spatially and geographically; a digital tool to access cultural artefacts that would otherwise be lost or inaccessible; and interactive digital labelling technologies for museums and galleries. All projects shared and celebrated their success with an event to mark the end of the programme at the University of Leicester in early 2011. Moreover, the community that has emerged around the AHRC BT partnership has led to a broader set of engagements, which are currently being developed under AHRC's new emerging themes and priorities.

### Knowledge Exchange and Creative Economy hubs

The AHRC continues to sponsor Knowledge Transfer Partnerships and with nine new projects approved this year, the AHRC is now the second largest Research Council sponsor of these awards. Among the nine awards made were those exploring areas such as spatial imaging, integrated product design, broadcast media and online publishing and historical interpretation of heritage sites.

Three awards were made during the last year under the Knowledge Catalyst scheme covering the areas of design, theatre and creative writing. While no Knowledge Transfer Fellowships were awarded during the last year, a final round of awards in this scheme will be made in May 2011.

Following a review of Knowledge Exchange activities in 2010, it was decided that the AHRC would review and revise its knowledge exchange provision and adopt a new strategic approach to knowledge exchange. This new approach will result in the replacement of Knowledge Transfer Fellowships and Knowledge Catalysts with a small number of Knowledge Exchange (KE) 'hubs' for the creative economy. KE hubs will aim to develop new collaborative research between centres of arts and humanities research excellence and creative and cultural sectors including both commercial and public partners. Identified as a central priority of the AHRC's Delivery Plan 2011-15, a two-stage selection process will take place during the early part of 2011, which will result in the creation of a small number of KE hubs and provide the focus for the AHRC's knowledge exchange activities for the next Spending Review period.

### Public policy

Arts and humanities researchers have an important role to play in supporting policy-makers across a wide range of both subject disciplines and government activities. This year has seen the AHRC hold nine policy seminars - attended by more than 250 people - launch two commissioned research projects and four Public Policy Fellowships.

### Lessons learnt

Policy seminars were held on Lessons Learnt from the History of Intelligence and Security, in partnership with Foreign and Commonwealth Office (FCO), Cabinet Office and the Royal United Services Institute. The series of five seminars explored the importance of historical analysis to current issues within British Intelligence and covered: the intelligence process; the uses of intelligence; the role and value of intelligence in counter-insurgency; ethics, accountability and oversight; and intelligence challenges. They were organised in partnership with Dr Mike Goodman of Kings College London.

## Case Study:

### Providing Evidence for Difficult Decision-Making

- The Politics of Judicial Independence in Britain's changing Constitution **Professor Robert Hazell, University College London**
- Preventive Justice **Professor Andrew Ashworth, University of Oxford**
- Evaluating the effectiveness of the national institutions under the optional protocol to the UN Convention on Torture **Rachel Murray, University of Bristol**

The AHRC is providing opportunities for arts and humanities researchers to support public policy-making and providing the Government with evidence to help inform difficult decision-making.

The Constitutional Reform Act 2005 places a statutory duty on Ministers to uphold judicial independence. With courts holding more power than they used to, this can lead to tensions between politicians and judges and raise questions about judicial independence and accountability. Professor Robert Hazell's project aims to explain the rationale for judicial independence and accountability in the UK, its

limits and practical requirements. Together with Professor Kate Malleson (Queen Mary, London) and Dr Graham Gee (Birmingham), he is focusing on how judicial independence and accountability operate in day-to-day decisions on the management of the court service, judicial pay and pensions, complaints and discipline. They are seeking out the 'hidden guardians' in the executive, parliament and the judiciary who define how judicial independence and accountability are interpreted through their daily decisions.

The lawful uses of coercion for the purpose of preventing harm are the focus of the project led by professors Andrew Ashworth and Lucia Zedner. While some parts of the criminal law are ostensibly preventive, so is a wide range of other measures, such as civil preventive orders, pre-trial detention and quarantine. This project aims to scrutinise these preventive measures and to assess the justifications for thus restricting people's liberty. Involving criminal procedure scholars, legal theorists, philosophers and policy-makers, the project will set out the principles and values that should guide and limit the state's use of preventive techniques that involve coercion.

### Prevention of torture

A policy seminar was held in September 2010 in partnership with the University of Bristol and the FCO on Torture Prevention as part of their new strategy development for the UK's international efforts to prevent torture. Senior representatives from the Association for the Prevention of Torture, the Ministry of Justice, FCO, Amnesty International and the International Rehabilitation Council for Torture Victims and many other organisations were represented at the event.

### Dementia care

The Nuffield Council on Bioethics was the AHRC's partner for three seminars on Ethics and Policy in Dementia care, covering subjects such as promoting autonomy and improving decision-making for people with dementia, promoting respect and ethical care for people with dementia. Speakers at the event included representatives from the Equality and Human Rights Commission, Department of Health and the National Clinical Director for Dementia.

In September 2010 the AHRC organised a seminar with the Foreign and Commonwealth Office based on the findings of an AHRC-funded project looking at torture prevention. Both Professor Rachel Murray and co-investigator Professor Malcolm Evans are active in advising the UK Government and the UN on issues of torture prevention. The project has led to them working with the Ministry of Justice, the UN Sub Committee for the Prevention of Torture (of which Malcolm is currently Chair), Organisation for Security and Cooperation in Europe (OSCE), the FCO, as well as responding to consultations held by the Joint Committee of Human Rights, the African Commission on Human and Peoples' Rights, and the UN Office of the High Commissioner for Human Rights. Malcolm is also a member of the Foreign Secretary's Advisory Group on Human Rights.



**Judicial independence and accountability in the UK is the focus of Professor Hazell's project.**

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## Case Study:

# Contributing to the Creative Economy

- Smell The Colour of The Rainbow: Sensory Systems for Wearable Product Technologies to Reduce Stress And Sleep Disorders **Dr Jenny Tillotson, University of the Arts London**
- An exploration of the potential for new narrative experiences in first person perspective gaming **Mr Daniel Pinchbeck, University of Portsmouth**
- Locating Communications Heritage: engaging the mobile user **Dr Jon Agar, University College London**

Arts and humanities research undertaken in UK universities is supporting the creative economy during challenging economic times.

eScent developed under an earlier AHRC-funded project, is a patented sensory system able to deliver minute doses of fragrances on demand, in response to user-driven stimuli. It has therapeutic possibilities if combined with certain fragrances, such as lavender for relaxation and promoting sleep, or neroli which can offer an antidepressant effect. Dr Jenny Tillotson received further funding from the AHRC to work with consumer electronics company Philips to look at developing eScent with consumer lifestyle products. These include mother and childcare products or even responsive jewellery to promote calmness in a newborn baby, or reduce post-natal depression.



Dr Agar's new mobile phone app enables users to access location-specific information on communications heritage. As your train arrives at Paddington station, for example, you could find out about a historic crime solved by the rapid exchange of information.



Computer gaming is a thriving industry in the UK and globally. Dr Dan Pinchbeck's AHRC-funded project enabled him to explore a different aspect of first-person perspective gaming, such as shooter games. As part of this Dan was able to develop *Dear Esther*, an award winning computer game originally released in 2008. *Dear Esther* is a ghost story told using first-person gaming technologies. Rather than traditional game-play, the focus is on exploration, and each player's experience is determined by the choices they make. Since 2009, professional game artist Robert Briscoe has been overhauling the visuals and levels in collaboration with Dr Pinchbeck. Valve has granted *Dear Esther* a Source licence for a full independent release in summer of 2011.

Mobile phone apps are increasingly popular as more people own smart-phones. Dr Jon Agar's AHRC-funded project, in collaboration with the Science Museum, British Telecom and Illumina Digital, is using this technology to help us learn about the history of communications technology. As your train arrives in Paddington station the application displays an image of a telegraphic apparatus held in the Science Museum, telling of a crime solved by the rapid exchange of information. Walking down Oxford Road in Manchester reveals a room a hundred yards west, in which one of the first electronic stored-program computers operated. The public will also be able to contribute their experiences and memories of communications and information technology, helping bring the objects to life.

### DCLF Concordat

During the last year the AHRC coordinated a cross-council concordat on behalf of Research Councils UK with the Department for Communities and Local Government, signed by their Permanent Secretary Sir Bob Kerslake and Professor Alan Thorpe of RCUK. The concordat provides a vehicle for potentially enhancing the societal and economic impact of academic research, including research commissioned as part of the Connected Communities programme. It also provides a framework for current and future collaborative activities between DCLG and RCUK in order to produce the best cutting-edge evidence.

### Policy Fellowships

Public Policy Fellowships have been set up in the last year in the following areas: digital/cultural diplomacy (with BBC World Service), solidarity in contemporary bioethics (with Nuffield Council for Bioethics), and climate change issues for Department for Culture, Media and Sport sectors (with DCMS Science & Research Advisory Committee). Policy Fellowships currently in development include those on ethical and legal aspects of sales from museum collections (with Museums Association) and 'Belief' in cultural relations (with the British Council).

# Corporate Activities

The last year has been an especially important and eventful one for the AHRC both internally and externally.

## AHRC's relocation to Swindon

The AHRC relocated its offices from Bristol to Swindon in June 2010. The rationale for this move was twofold: to join the other six Research Councils in Polaris House and the RCUK Shared Services Centre Ltd (SSC) close by and to make efficiency savings on accommodation (Polaris House in Swindon is owned by Research Councils rather than a private landlord).

The relocation was also an opportunity to reorganise AHRC's structure to reflect the changes brought about by the transfer of transactional services in HR, Payroll, Finance and Procurement, IS and Grants processing services to the RCUK SSC Limited. As a result of the relocation and restructuring 26 AHRC staff were made redundant and overall the organisation reduced its headcount by 41.

Since being located in Swindon AHRC has benefited from increased and more regular contact with Research Council colleagues and also an increased role in relationship management with the RCUK SSC Ltd. For example, AHRC's Director of Resources now chairs the Sourcing Forum, which looks at more efficient, effective and collective procurement of goods and services across all Councils. AHRC's Director of Research is also Chair of the RCUK Research Directors Group. There has also been a significant reduction in AHRC's annual accommodation costs.

## The RCUK Shared Services Centre Ltd

During the course of the last year the AHRC had a number of successful transfers of services to the RCUK SSC Ltd. At the start of the financial year AHRC went live with Finance transactional services from the SSC. AHRC staff were busy both collating information for year-end reporting as well as adapting to a new system and new way of working with colleagues in the SSC. During the year confidence in working on the new system has increased and the split of duties and responsibilities between AHRC and SSC Finance staff has stabilised. As with all transferred services regular meetings are held with the SSC to monitor performance and look at future requirements.

On its relocation to Swindon the AHRC transferred its IT services to the SSC. This was a successful transfer and all staff had access to systems from the day of the

relocation. There were some issues with remote working capability but these have since been resolved. The service has now stabilised and regular monitoring is in place through the use of KPIs and regular service review meetings.

Towards the end of March 2011 the AHRC transferred its Grants Processing and IS services to the SSC. At the time of writing these services are still in their infancy with no issues to report. AHRC is, however, carefully monitoring the impact both internally and externally.

## Financial performance

The financial statements for the year ended 31 March 2011 record a Net Expenditure of £110,092k compared to £111,237k in 2009-10. Total reserves as at 31 March 2011 showed an accumulated surplus of £7,899k, compared to a surplus of £6,780k in 2009-10. Total Grant-in-Aid drawn down was £109,000k of which £3,185k was deployed on capital expenditure. Comparisons of outturn against budget for 2010-11 show an underspend of 0.16%. The AHRC continues to proactively manage the funds at its disposal, and has expended 95% of its in-year allocation from BIS on direct support for our research and postgraduate programmes. In line with the principles of the Value for Money (VFM) agenda, the AHRC continues to look for ways to further reduce administration/non-programme costs, thereby releasing funds to be reallocated to the support of research.

## Creditor payment policy

The AHRC observes HM Treasury guidance and makes every effort to pay creditors within five days of receipt of goods and services. Where this is not possible, the AHRC observes the CBI's Prompt Payers' Guide, and adheres to the Principles of the Prompt Payers Code, endeavouring to ensure compliance with the agreed terms of payment of creditors' invoices and to pay them within 30 days of receipt of goods and services. During 2010-11, an average of 59% of payments was made within five days.

The aggregate amount owed to trade creditors at 31 March 2011 compared with the aggregate amount invoiced by suppliers during the year, expressed as a number of days in the same proportion to the total number of days in the financial year is equal to 56 days.

## Auditors

The accounts have been audited by the Comptroller and Auditor General, who has been appointed under statute and is responsible to Parliament. The cost of the audit was £53,000. No remuneration was paid to the external auditors in respect of non-audit work in 2010-11.

Internal audit was provided independently by the Research Councils' Internal Audit Service (RCIAS). RCIAS reports annually to the Audit Committee. The cost of internal audits undertaken during 2010-11 was £39,379. No remuneration was paid to the internal auditors in respect of non-audit work during 2010-11.

The Accounting Officer has taken all reasonable steps to ensure that he is aware of any relevant audit information and to ensure that the Council's auditors are aware of that information. As far as the Accounting Officer is aware, there is no relevant audit information of which the Council's auditors are unaware.

## Employee involvement

The AHRC recognises the Public and Commercial Services Union (PCS) and consults and negotiates with PCS on matters associated with pay and terms and conditions of employment for those employed by AHRC. There have been regular informal and formal dialogue with PCS in 2010/11 during which management and trade union representatives have discussed approaches to the public service pay freeze, changes to public sector pensions and redundancy provisions, AHRC's relocation and restructuring, as well as providing updates on changes and other matters.

## Health and Safety

Since its relocation to Swindon, AHRC's Facilities and Office Services Manager has reviewed all AHRC's Health and Safety policies. Where appropriate these have been updated or AHRC has adopted Polaris House policies, such as for Fire Safety and Fire Risk Assessment, as this is now done centrally and on a cross-Council basis.

The AHRC Senior Management Team receives regular updates from the Facilities and Office Services Manager as required. The organisation continues to offer a range of good employment practices including time-limited travel support for staff who were based in the Bristol offices, homeworking, employee assistance programme, sickness absence monitoring with occupational health support where required and membership of the Benenden Healthcare Scheme for staff. These approaches have resulted in another year's operation without any reported accidents or 'near misses'. AHRC works closely with its staff, applicants and award holders to ensure reasonable adjustments are made to the services and support we offer ensuring they are accessible to all.

## Freedom of Information

Since its formation the AHRC has been subject to the Freedom of Information Act. During 2010-11 we provided information in response to 23 requests.

## The Environment

The AHRC recognises that it shares, with other organisations, a responsibility to protect and nurture the environment. On its relocation to Swindon AHRC joined other Swindon based Research Councils in signing the Polaris House Environmental Policy. This policy aims to reduce the environmental impact of staff based in Polaris House by providing mechanisms for recycling (currently over 80% of waste is recycled), encouraging green travel to work through the provision of bike storage, drying rooms and showers for staff who cycle or run into work and monitoring and taking steps to reduce energy usage through increasing the number of movement sensors for lights, amongst many others. A Polaris House environmental group, with representatives of all Research Councils, is currently considering ways in which more wildlife could be encouraged on the campus site.

## Sickness absence data

Total staff as at 31 March 2011	80
Total days lost to sickness	415
Average working days lost	5.19
Long Days lost to long-term sickness absence	110

Common causes of absence	Number of days lost	%
1 Cough/cold/flu	118	28%
2 Anxiety/stress	63	15%
3 Gastrointestinal	54	13%
4 Viral	43	10%
5 Pregnancy related	22	5%
<b>Totals</b>	<b>300</b>	<b>71%</b>

## Personal data related incidents

Incidents, the disclosure of which would in itself create an unacceptable risk of harm, may be excluded in accordance with the exemptions contained in the Freedom of Information Act 2000 or may be subject to the limitations of other UK information legislation.

Summary of protected personal data related incidents formally reported to the information commissioner's office in 2010-11				
Date of Incident	Nature of Incident	Nature of data involved	Number of people potentially affected	Notification steps
N/A	N/A	N/A	N/A	N/A
<b>Planned steps for the coming year include</b> <ul style="list-style-type: none"> <li>• risk assess our information assets;</li> <li>• input into the Annual Report to Cabinet Office on Information Risk;</li> <li>• implement new policies, and procedures for document marking</li> </ul>				

## Summary of other protected personal data related incidents in 2010-11

Incidents deemed by the Data Controller not to fall within the criteria for report to the Information Commissioner's Office but recorded centrally within the Department are set out in the table below. Small, localised incidents are not recorded centrally and are not cited in these figures.

Category	Nature of Incident	Total
<b>I</b>	Loss of inadequately protected electronic equipment, devices or paper documents from secured Government premises	0
<b>II</b>	Loss of inadequately protected electronic equipment, devices or paper documents	0
<b>III</b>	Insecure disposal of inadequately protected electronic equipment, devices or paper document from outside secured Government premises.	0
<b>IV</b>	Unauthorised disclosure	0
<b>V</b>	Other	0

the go-live went ahead successfully. This also caused a delay in replacing and decommissioning the AHRC's in-house grants processing system.

- The Director of the AHRC's Landscape and Environment programme was recently awarded an Impact Fellowship to draw together and maximise the ongoing impact of the programme. As a result, a networking event for arts and humanities researchers working on environmental change, planned for 2010, was postponed to be included under the work of the Impact Fellowship.
- The reorganisation of public sector bodies following the 2010 election led to uncertainty about the future for some of the AHRC's potential partners and resulted in a postponement of AHRC stakeholder engagement work planned for 2010-11. This is now in progress with priority stakeholders agreed and actions across the AHRC developed.
- A long-term vacancy in the AHRC International team, caused by the move to a new structure and the restrictions on public sector recruitment, meant that a workshop planned for 2010 to promote the AHRC's European activities, in collaboration with UK Research Office (UKRO), was postponed until the following year.

## 2010-11 AHRC Scorecard

All the targets and milestones set out in the 2010-11 AHRC Scorecard were met, including those described in Chapters 1-5, apart from the following which have 'amber status' indicating a slight variance from plan:

- The AHRC Chief Executive was due to chair a Department for Culture Media and Sport (DCMS) Science and Research Advisory Committee (SRAC) working group on health and wellbeing in an ageing population. However, following the resignation of the Chief Scientific Adviser this project has been delayed.
- A strategic change, developed over the past year, in the way the AHRC will support knowledge transfer in the future, and the development of new foci for the AHRC's international work, has led to the postponement of a formal joint International and Knowledge Transfer strategy, although the AHRC teams responsible continue to work closely together.
- Although the AHRC is receiving services from the Shared Service Centre (SSC) Ltd, issues remain that have prevented the Finance, HR, Payroll and IT services being signed-off as stable in the past year. The services provided are monitored through regular AHRC/SSC Service Delivery meetings and progress towards an agreed standard is being made.
- The SSC grants processing system was due to go-live in 2010 and grants processing services transferred to the SSC Ltd. However, a number of factors from across the Research Councils and the SSC Ltd caused this to be rescheduled to March 2011 at which point

## Value for Money savings

The AHRC has done well in identifying Value for Money savings in both 2010/11, where savings achieved were above the annual target, and across the CSR 07 period.

	2010 £m	2010 Target £m
Administration	0.76	0.60
Effective Reprioritisation	11.25	8.60
Growing the level of Co-Funding	1.12	1.04
Controlling the Costs of Research	0.41	0.41
<b>Totals</b>	<b>13.54</b>	<b>10.65</b>

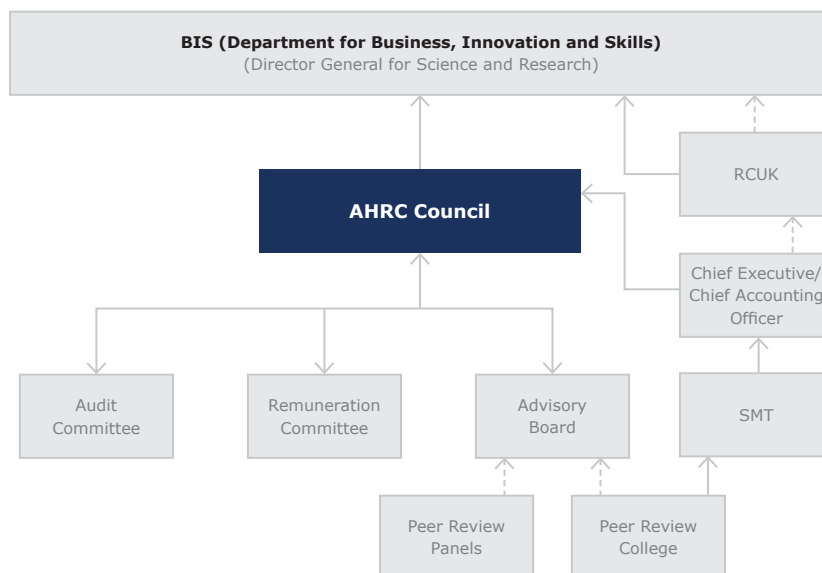
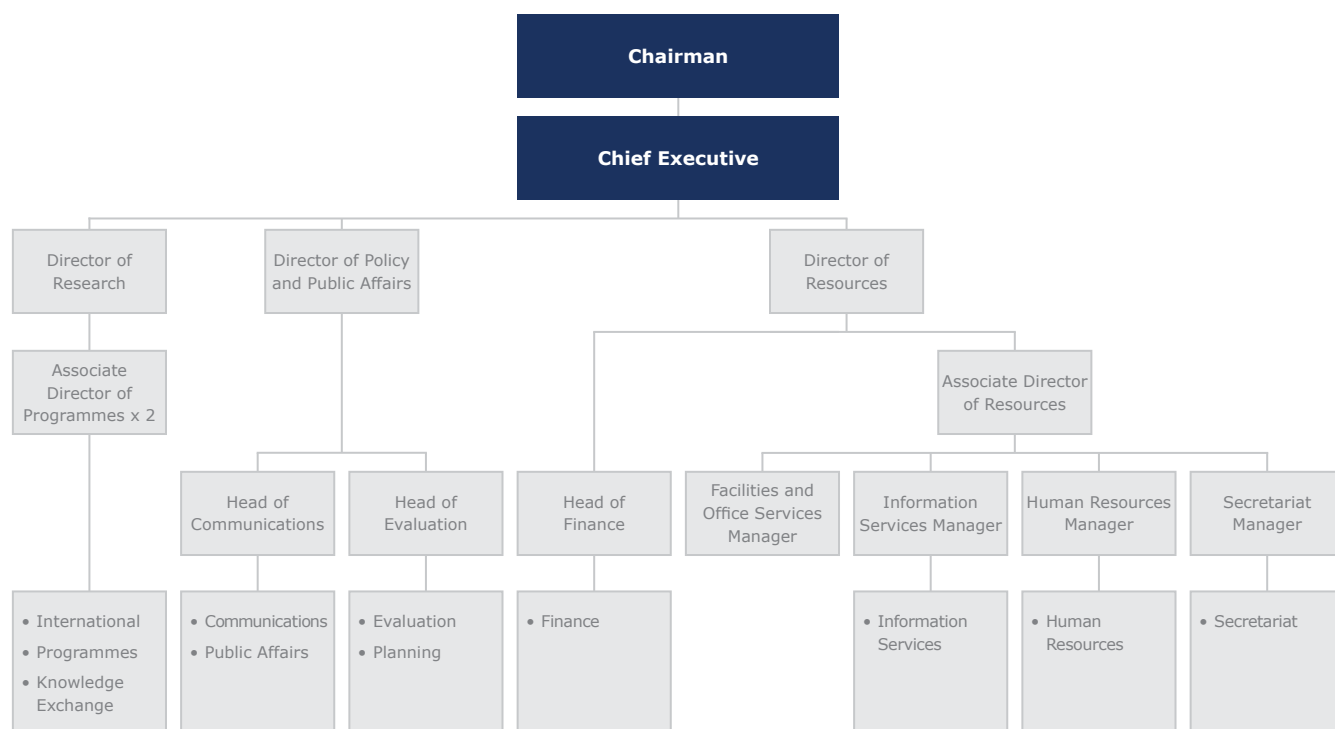
Annual Report signed by



Professor Rick Rylance  
Accounting Officer  
29th June 2011

# AHRC Structure: 2010-11

The Arts and Humanities Research Council's Organisational Plan and Structure.





# AHRC Council and Committee Members as at 31 March 2010-11



Professor Jonathan Bate  
University of Warwick



Professor John Butt  
University of Glasgow



Dame Lynne Brindley  
British Library



Ms Sally Doganis  
Media Expert



Professor Ellen Douglas Cowie  
Queens University Belfast



Richard Halkett  
Cisco



John Howkins  
Writer and Consultant



Professor Roger Kain  
School of Advanced Studies



Professor Ewan McKendrick  
University of Oxford



Professor Rick Rylance  
AHRC Chief Executive



Mr Trevor Spires  
Retired, ex Royal Navy



Professor Andrew Thompson  
University of Leeds



Professor Sir Richard Trainor  
Kings College London



Professor Sir Alan Wilson  
AHRC Chairman



Professor Sarah Worthington  
London School of Economics

The Council must ensure that the AHRC operates in accordance with the objects enshrined in its Royal Charter.

It is also responsible for ensuring that the AHRC complies with requirements contained in the Management Statement and Financial Memorandum, which set out the relationship between the Research Council and the Department for Business, Innovation & Skills (BIS). In relation to the Financial Memorandum, Council has responsibility for the overall financial management of the AHRC. The Chief Executive of the AHRC is the Accounting Officer and as such is accountable to the Permanent Secretary for BIS.

Members of Council – including the Chair and Chief Executive are appointed by BIS. Professor Sir Alan Wilson is Chair of Council and Professor Rick Rylance is the AHRC Chief Executive. Further information about them and other members of Council can be found in the Remuneration Report. Appointments are made in accordance with Code of Practice for Public Appointments. John Neilson, The Director, Research Base, BIS attends Council meetings as the representative of the Secretary of State for BIS.

Council is advised by its Committees, peer-review panels and working groups. Council has formerly delegated responsibility for decisions on granting awards to the relevant programme committees which operate through a system of rigorous peer review.

Members of Council and Senior Staff in AHRC are required to declare any potential conflicts of interest in the Register of Interests, which is available on request. Council Members' details can be reviewed on the AHRC website: [www.ahrc.ac.uk](http://www.ahrc.ac.uk)

## AHRC's Committees

### Audit Committee

**Mr Trevor Spires,**  
**ex Royal Navy (Chair)**  
Chair of Audit Committee  
**Professor Roger Kain**  
School of Advanced Studies, London  
**Professor Emeritus Eric Evans**  
University of Lancaster  
**Ms Jacqueline Burke**  
Financial Management Consultant  
**Mr Robert Williams**  
Oxford University

### Remuneration Committee

**Professor Sir Alan Wilson**  
AHRC Chairman  
**Professor Rachel Cooper**  
University of Lancaster  
**Professor Sir Richard Trainor**  
Kings College London  
**Professor Rick Rylance**  
AHRC Chief Executive

### Advisory Board

**Council member as Chair:**  
**Professor Ellen Douglas-Cowie**  
University of Belfast  
**Council member: Professor John Caughie**  
University of Glasgow  
**Professor Bruce Brown**  
University of Brighton  
**Professor Catherine Davies**  
University of Nottingham  
**Professor Nigel Llewellyn**  
Tate  
**Professor Tim Hitchcock**  
University of Hertfordshire  
**Professor Lyn Pykett**  
Aberystwyth University  
**Ms Nichola Johnson**  
University of East Anglia  
**Professor John Rink**  
University of Cambridge  
**Professor Chris Gosden**  
University of Oxford  
**Mr John Holden**  
City University

**Professor Richard Evans**  
University of Cambridge  
**Professor Helen Beebee**  
University of Birmingham  
**Professor David Ferguson**  
University of Edinburgh  
**Ms Clare Reddington**  
Director of iShed, Bristol

## Peer Review

AHRC operates a model of non-standing panels for most of its schemes and calls. We convene panels on a scheme by scheme basis with panellists drawn from the membership of the Peer Review College. The benefit of this is that we have greater flexibility when convening panels, and a far greater number of individuals are able to be involved with the peer-review process. There are a number of exceptions to this model, such as panels for strategic schemes but, wherever possible, we draw on expertise from the Peer Review College whose members have been trained in our peer-review processes.

### Research Grants - June 2010

#### Panel A

**Dr James Mills**  
University of Strathclyde  
**Professor Jenny Steele**  
University of York  
**Dr Julia Stapleton**  
University of Durham  
**Professor Nicholas De Lange**  
University of Cambridge  
**Professor Michael Luntley**  
University of Warwick  
**Professor Brad Hooker**  
University of Reading  
**Professor Peter Edbury**  
Cardiff University  
**Professor Indira Carr**  
University of Surrey  
**Professor Elaine Graham**  
University of Chester  
**Dr Anthony Wright**  
University of Leeds  
**Professor Thomas Schramme**  
University of Hamburg

## Panel B

### **Professor Richard Widdess**

School of Oriental and African Studies

### **Professor Emma Rose**

Lancaster University

### **Professor Lyn Pykett**

Aberystwyth University

### **Professor Jonathan Bignell**

University of Reading

### **Professor Roderick Watkins**

Canterbury Christ Church University

### **Ms Anne Boddington**

University of Brighton

### **Professor Philip Crang**

Royal Holloway

### **Professor Simon Biggs**

Edinburgh University

### **Professor Martin McQuillan**

Kingston University

### **Professor James Thompson**

University of Manchester

### **Professor Rachel Fensham**

University of Surrey

### **Dr Nicola Foster**

Open University

## Panel C

### **Ms Jackie Britton**

Victoria and Albert Museum

### **Professor Liam Kelly**

University of Ulster

### **Dr Lucy Blue**

University of Southampton

### **Professor David Ellis**

Aberystwyth University

### **Professor Helen King**

University of Reading

### **Professor Stephen Driscoll**

University of Glasgow

### **Professor Felix Driver**

Royal Holloway

### **Ms Nancy Bell**

The National Archives

### **Dr Gideon Nisbet**

University of Birmingham

## Panel D

### **Professor David Seed**

University of Liverpool

### **Dr J Stuart Smith**

University of Glasgow

### **Professor Jonathan Long**

University of Durham

### **Professor Joe Andrew**

Keele University

## Professor Mary Bryden

University of Reading

## Professor Catherine Davies

University of Nottingham

## Professor Raymond Boyle

University of Glasgow

## Professor Joyce Hill

University of Leeds

## Dr Anxo Cereijo Roibas

Vodafone

## Dr Annabel Gallop

The British Library

## Professor Helen Fulton

University of York

## Dr Bran Nicol

University of Portsmouth

## Research Grants - December 2010

## Panel A

### **Professor Nicholas De Lange**

University of Cambridge

### **Dr Anthony Wright**

University of Leeds

### **Professor Michael Braddick**

University of Sheffield

### **Professor Mary Chamberlain**

Oxford Brookes University

### **Professor Jonathan Morris**

University of Hertfordshire

### **Professor Indira Carr**

University of Surrey

### **Professor Gillian Douglas**

Cardiff University

### **Professor Lester Grabbe**

University of Hull

### **Professor Tim Chappell**

Open University

### **Professor Helen Beebee**

University of Birmingham

## Panel B

### **Professor Roberta Mock**

University of Plymouth

### **Dr Nicola Foster**

Open University

### **Dr Allan Walker**

University of East Anglia

### **Professor Jan Smaczny**

Queen's University, Belfast

### **Professor Leigh Landy**

De Montfort University

### **Professor Richard Cave**

Royal Holloway

### **Professor Paul McDonald**

University of Portsmouth

## Ms Julia Knight

University of Sunderland

## Professor Lyn Pykett

Aberystwyth University

## Professor Simon Biggs

Edinburgh University

## Professor Cheryl Buckley

Northumbria University

## Panel C

### **Ms Jackie Britton**

Victoria and Albert Museum

### **Dr Simon Esmonde-Cleary**

University of Birmingham

### **Dr John Robb**

University of Cambridge

### **Professor Stephen Driscoll**

University of Glasgow

### **Professor Douglas Cairns**

University of Edinburgh

### **Professor Michael Whitby**

University of Birmingham

### **Dr Julian Warner**

Queen's University, Belfast

### **Professor Stephen Brown**

De Montfort University

### **Ms L Henderson**

Cardiff University

### **Dr Rhys Jones**

Aberystwyth University

### **Dr Martin Myrone**

Tate Britain

## Panel D

### **Professor Anna Siewierska**

Lancaster University

### **Professor Morag Shiach**

Queen Mary

### **Professor Judith Simons**

De Montfort University

### **Dr Philip Hammond**

South Bank University

### **Professor Michael Syrotinski**

University of Aberdeen

### **Professor Stuart Taberner**

University of Leeds

### **Professor Ewa Dabrowska**

Northumbria University

### **Professor Andrew Ginger**

University of Stirling

### **Professor Roibeard O Maolalaigh**

University of Glasgow

### **Dr Annabel Gallop**

The British Library

### **Dr Bran Nicol**

University of Portsmouth

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## Research Grants Practice Led and Applied Panel - January 2011

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### **Professor John Caughie**

University of Glasgow

### **Professor Graeme Harper**

Bangor University

### **Dr Jonathan Impett**

University of East Anglia

### **Professor Janis Jefferies**

Goldsmiths College, University of London

### **Dr Sally Mackey**

Central School of Speech and Drama, University of London

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## Fellowships - July 2010

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### **Panel A**

#### **Dr Rhys Jones**

Aberystwyth University

#### **Professor Emma Borg**

University of Reading

#### **Professor Christopher Tuckett**

University of Oxford

#### **Professor Stephen Shute**

University of Sussex

#### **Professor Stephen Ingle**

University of Stirling

#### **Professor Robert Shoemaker**

University of Sheffield

#### **Professor Daniel Hutto**

University of Hertfordshire

#### **Professor Glyn Stone**

University of the West of England

#### **Professor John Webster**

University of Aberdeen

#### **Professor Stephen Wilkinson**

Keele University

#### **Professor David Fraser**

University of Nottingham

### **Panel B**

#### **Professor Rob Stone**

Swansea University

#### **Professor Liz Wells**

University of Plymouth

#### **Professor Adam Hardy**

Cardiff University

#### **Professor Maggie Gale**

University of Manchester

#### **Dr Jane Bacon**

University of Northampton

#### **Professor Stephen Partridge**

University of Dundee

### **Dr Sarah Hibberd**

University of Nottingham

### **Dr Mo Throp**

University of the Arts, London

### **Dr David Bell**

University of Leeds

### **Professor Peter Middleton**

University of Southampton

### **Dr Rupert Till**

University of Huddersfield

### **Dr Ken Neil**

Glasgow School of Art

### **Panel C**

#### **Professor Allan Walker**

Glasgow School of Art

#### **Professor Robert Maltby**

University of Leeds

#### **Professor Jake Kaner**

Buckinghamshire New University

#### **Professor Catherine Osborne**

University of East Anglia

#### **Professor Richard Hartley**

Manchester Metropolitan University

#### **Professor Michael Fulford**

University of Reading

#### **Professor Julian Richards**

University of York

#### **Professor AG Brown**

University of Southampton

#### **Ms Patricia Whatley**

University of Dundee

#### **Professor Peter Jackson**

University of Sheffield

#### **Mr David Anderson**

Victoria and Albert Museum

### **Panel D**

#### **Professor Robert Vilain**

University of Bristol

#### **Professor Mary Orr**

University of Southampton

#### **Dr Adam Ledgeway**

University of Cambridge

#### **Dr Karin Beate Lesnik-Oberstein**

University of Reading

#### **Professor Judith Jesch**

University of Nottingham

#### **Professor John Joseph**

University of Edinburgh

#### **Professor Carol Tully**

Bangor University

#### **Dr Faye Hammill**

University of Strathclyde

### **Professor Gary Rawnsley**

University of Leeds

### **Dr Jane Cartwright**

University of Wales, Lampeter

### **Professor Neil Renwick**

University of Coventry

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## Fellowships - January 2011

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### **Panel A**

#### **Professor Stephen Shute**

University of Sussex

#### **Professor Glyn Stone**

University of the West of England

#### **Professor Tim Hitchcock**

University of Hertfordshire

#### **Dr Julia Barrow**

University of Nottingham

#### **Professor Alison Firth**

University of Surrey

#### **Professor Elaine Graham**

University of Chester

#### **Professor Michael Luntley**

University of Warwick

#### **Professor Peter Osborne**

Kingston University

#### **Professor Thomas Schramme**

University of Hamburg

#### **Professor David Jasper**

University of Glasgow

### **Panel B**

#### **Dr Jane Bacon**

University of Northampton

#### **Mr David Mabb**

Goldsmiths College

#### **Professor Malcolm Miles**

University of Plymouth

#### **Dr Suzel Reily**

Queen's University, Belfast

#### **Professor John Bryan**

University of Huddersfield

#### **Professor Adrienne Scullion**

University of Glasgow

#### **Dr Frank Gray**

University of Brighton

#### **Professor Adam Hardy**

Cardiff University

#### **Professor Richard Sandell**

University of Leicester

#### **Professor Jon Cook**

University of East Anglia

#### **Dr Mo Throp**

University of the Arts, London

#### **Professor Judith Mottram**

Nottingham Trent University

## Panel C

**Professor Jules Lubbock**

University of Essex

**Professor William Cavanagh**

University of Nottingham

**Dr Simon Mays**

English Heritage

**Professor AG Brown**

University of Southampton

**Dr Roger Brock**

University of Leeds

**Professor Simon Swain**

University of Warwick

## Panel D

**Professor Philip Powrie**

University of Surrey

**Professor John Thompson**

Queen's University, Belfast

**Professor Ann Heilmann**

University of Hull

**Professor Christina Schaeffner**

Aston University

**Professor Robert Vilain**

University of Bristol

**Professor Roderick Beaton**

Kings College, London

**Professor Catherine Davies**

University of Nottingham

**Dr Wilson C Mcleod**

University of Edinburgh

**Dr James G St Andre**

University of Manchester

**Professor Scott Mccracken**

Keele University

**Professor Stuart Allan**

Bournemouth University

## Studentship Competition - July 2010

### Panel A

**Professor Andrew Louth**

University of Durham

**Dr Martin Chick**

University of Edinburgh

**Professor Bob Brecher**

University of Brighton

**Professor Rebecca Wallace**

Perth College

**Professor Rosemary Sweet**

University of Leicester

**Professor Phillipp Schofield**

Aberystwyth University

### Panel B

**Professor Jonathan Stock**

University of Sheffield

**Professor Graham Welch**

University of London

**Professor Jian Zhang**

Bournemouth University

**Professor Theresa Buckland**

De Montfort University

**Professor Jonathan Woodham**

University of Brighton

**Professor Helen Nicholson**

Royal Holloway

**Dr Ian Scott**

University of Manchester

**Dr Colin Cruise**

Aberystwyth University

**Professor Anne Douglas**

Robert Gordon University

**Professor Craig Richardson**

Northumbria University

**Dr Sue Malvern**

University of Reading

**Professor Michael Clarke**

University of Huddersfield

**Professor Catherine Brace**

University College London

**Dr Robert Proctor**

Glasgow School of Art

### Panel C

**Dr Elizabeth Croke**

University of Ulster

**Ms Jane Carmichael**

National Museums of Scotland

**Professor Stuart Allan**

Bournemouth University

**Dr Penelope Allison**

University of Leicester

**Professor Karla Pollmann**

University of St Andrews

### Panel D

**Professor Malcolm Cook**

University of Exeter

**Professor Sioned Davies**

Cardiff University

**Dr Nigel McLoughlin**

University of Gloucestershire

**Dr Kirsty Hooper**

University of Liverpool

**Professor Michael Parker**

University of Central Lancashire

**Professor Karen Leeder**

University of Oxford

**Professor Christina Schaeffner**

Aston University

Block Grant Partnership Capacity  
Building Panel - March 2011

**Professor Mark Llewellyn**

University of Strathclyde

**Professor Tim Hitchcock**

University of Hertfordshire

**Professor Harry Dickinson**

University of Edinburgh

**Professor Jonathan Woodham**

University of Brighton

**Professor Mark Everist**

University of Southampton

**Professor Theresa Buckland**

De Montfort University

**Professor Carole Gray**

No institution

**Professor John Feather**

Loughborough University

**Dr Penelope Allison**

University of Leicester

**Professor Malcolm Cook**

University of Exeter

**Professor Alison Findlay**

Lancaster University

**Professor Karen Leeder**

University of Oxford

Collaborative Doctoral Award -  
March 2011

### Panels B/C

**Mr Mike Tooby**

National Museum of Wales

**Professor Raimund Karl**

Bangor University

**Professor Robin Coningham**

University of Durham

**Dr Colin Cruise**

Aberystwyth University

**Dr Richard Clay**

University of Birmingham

**Dr Ross Parry**

University of Leicester

**Professor Martyn Evans**

University of Portsmouth

**Professor Shaun Richards**

Staffordshire University

**Professor Adrienne Scullion**

University of Glasgow

**Ms Caroline Brown**

University of Dundee

**Professor Dina Iordanova**

University of St Andrews

**Dr Rupert Till**

University of Huddersfield



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**Mr Christopher Dorsett**

Northumbria University

**Professor Craig Richardson**

Northumbria University

**Dr Phiroze Vasunia**

University of Reading

**Professor Poul Holm**

Trinity College, Dublin

**Professor Mike Hulme**

University of East Anglia

**Dr Vladimir Jankovic**

University of Manchester

**Panels D/A****Professor Suzanne Buchan**

University of Creative Arts

**Professor Cairns Craig**

University of Aberdeen

**Professor Mary Christine****Carpenter**

University of Cambridge

**Dr Magnus Brechtken**

University of Nottingham

**Mr Aaron Baker**

University of Durham

**Dr David Shankland**

University of Bristol

.....  
Library of Congress Panel -

May 2010  
.....

**Professor Greg Woolf**

University of St Andrews

**Professor Simon Bulmer**

University of Sheffield

.....  
National Institute for the

Humanities Panel - May 2010  
.....

**Professor Greg Woolf**

University of St Andrews

**Professor Simon Bulmer**

University of Sheffield

.....  
Researching Environmental

Change Panel - April 2010  
.....

**Dr Michael Bravo**

University of Cambridge

**Dr Joy Sleeman**

Slade School of Fine Art

**Dr Sally Mackey**

Central School of Speech and Drama

**Professor Paul Gough**

University of the West of England

**Dr Veronica Sekules**

University of East Anglia

**Dr Ben Cowell**

The National Trust

**Dr John Hughes**

University of the West of Scotland

# Remuneration Report

## Unaudited information

### Remuneration Policy

#### Council Chair and Council Members

Remuneration rates for Council Chair and Council Members are the same across Research Councils. The Department for Business, Innovation and Skills (BIS) advise Research Councils of the rates they are required to pay following an annual review.

#### Chief Executive

The Remuneration Committee established and chaired by the Director General of Science and Research reviews the performance of the Chief Executive and recommends any changes to his salary. These recommendations are subject to ratification by the Permanent Secretary of the Department for Business, Innovation and Skills (BIS). The Chief Executive is eligible to be considered for an annual performance pay award of up to 5% of basic pay. The actual level of bonus is assessed by the Remuneration Committee and approved by the Permanent Secretary of BIS and is based on the progress made by AHRC towards the achievement of its mission, the personal contribution of the Chief Executive towards this mission and achievement of any further objectives agreed with the Director General of Science and Research. The Chief Executive is also eligible for a 5% bonus linked to the achievement of RCUK objectives which will be assessed and approved in the same way. An appointment term bonus of up to 10% of basic salary earned in the appointment period is also available subject to the Chief Executive staying in post for the whole appointment period. Any appointment term bonus is agreed by the Remuneration Committee and the Permanent Secretary of BIS.

#### Directors

The AHRC Remuneration Committee is responsible for advising the Council on matters relating to the remuneration of Directors and other pay-related matters for senior staff. The Chair of AHRC is the Chair of the Remuneration Committee and the Chief Executive of AHRC and two members of the Council form the membership of the Committee. With effect from 1 April 2007 Professor Rachel Cooper and Professor Sir Richard Trainor were the two Council members, along with the Chair and Chief Executive of AHRC, who formed the Remuneration Committee.

The Committee's responsibilities are to:

- Consider and make recommendations to the Council on pay and other terms and conditions of employment of senior staff;
- Agree arrangement for individual performance management, and review performance against objectives for individual members of senior staff;
- Review annually the salaries (and any other payments) paid to senior staff, and recommend changes to the Council as an outcome of this review; and
- Consider and recommend to the Council other payments to senior staff, including severance payments, and any terms associated with such payments.

In making its recommendations the Committee considers that remuneration is sufficient to attract, retain and motivate the suitably qualified and able directors it needs to run the organisation successfully but that it should avoid paying more than is necessary for this purpose. The Committee considers the whole remuneration package and considers recommendations contained in the relevant Review Body on Senior Salaries report and other relevant information (such as market rate reports) when making its recommendation. Bonus payments for senior staff of between 0% and 7.5% are considered by the Remuneration Committee depending on individual performance.

## Contracts of Employment

### Council Chair and Council Members

Council Chair and Council Member appointments are Ministerial Appointments made by the Secretary of State for BIS. The process for new appointments to the Council Chair and Council Members is conducted under the Code of the Commissioner for Public Appointments. This is available at [www.ocpa.gov.uk](http://www.ocpa.gov.uk). In accordance with the Code vacancies are advertised nationally and a panel, including independent members, oversee the process. The panel reviews all applications, shortlists and interviews then makes a recommendation to the Secretary of State. Once the Secretary of State has made a final decision, an offer of appointment is issued by BIS on his behalf to the successful candidate.

Council Chair and Council Members are defined as Office Holders. They are neither employees nor civil servants. Appointments are made for four years initially with the possibility of reappointment for up to a further four years. Appointments are non-pensionable and there is no compensation for loss of office.

## Chief Executive

The appointment of the Chief Executive of AHRC is made by the Secretary of State for BIS on the recommendation of the Director General, Science and Research. The Chief Executive's contract of employment is determined by BIS. Professor Rick Rylance was appointed as Chief Executive for a four year contract commencing on 1 September 2009. The Chief Executive is required to give 3 months notice should he wish to leave AHRC.

## Directors

All other senior appointments are made in accordance with AHRC's Recruitment and Selection policy, the aim of which is to 'select the most suitable person available for the job on the basis of merit and ability to do the job'.

Unless otherwise stated below, the Directors covered by this report hold appointments, which are open-ended until they reach the AHRC's normal retirement age which is 65. Early termination, other than for misconduct, would result in the individual receiving compensation as set out in the Civil Service Compensation Scheme. Directors are required to give 3 months notice should they wish to leave AHRC.

## Audited information

### Salary and pension entitlements

The following sections provide details of the remuneration of the Council Chair, Council Members, Chief Executive and Directors of AHRC and the pension benefits of the Chief Executive and Directors of AHRC. No senior staff at AHRC is in receipt of benefits in kind. This information is subject to audit.

### Remuneration

Council Chair, Council Members, Chief Executive and Directors of AHRC

Council Chair, Council Members, Chief Executive and Directors of AHRC	AHRC 2010-11 Bonus <sup>1</sup> (£'000)	AHRC 2010-11 Salary (£'000)	AHRC 2009-10 Bonus (£'000)	AHRC 2009-10 Salary (£'000)
<b>Professor Philip Esler</b> <sup>2</sup> Chief Executive	0	0	30 – 35 <sup>3</sup>	45 – 50
<b>Professor Rick Rylance</b> <sup>4</sup> Chief Executive	0 – 5 <sup>5</sup>	100 – 105	0 – 5	50 – 55
<b>Mr Graham Raikes</b> <sup>6</sup> Director of Resources	0 – 5	85 – 90	0 – 5	75 – 80
<b>Mr Jonathan Breckon</b> Director of Policy and Public Affairs	0 – 5	70 – 75	0 – 5	70 – 75
<b>Professor Shearer West</b> Director of Research	0 – 5	75 – 80	0 – 5	75 – 80
<b>Sir Alan Wilson</b> Council Chair		15 – 20		15 – 20
Individual Council Members <sup>7</sup>		5 – 10		5 – 10
Individual Council Members <sup>8</sup>		0 – 5		5 – 10
Individual Council Members <sup>9</sup>		0 – 5		0 – 5
Individual Council Members <sup>10</sup>		5 – 10		0 – 5

<sup>1</sup> Bonus payments made in 2010/11 for 2009/10 performance were consistent with current government policy on bonus payments.

<sup>2</sup> Professor Philip Esler left AHRC on 31st August 2009 at the end of his 4 year term.

<sup>3</sup> Professor Philip Esler was paid a performance bonus in 2009/10 relating to 2008/9 and 2009/10 performance as well as an end of term bonus for completing his 4 year term in office, in accordance with the terms and conditions of his employment set by BIS.

<sup>4</sup> Professor Rick Rylance joined AHRC on 1st September 2009. 2009/10 figures reported are for salary paid from start date to 31st March 2010.

<sup>5</sup> In addition Professor Rick Rylance is eligible for an end of term bonus of upto 10% of pay annually if he completes his 4 year term. At the time of writing the Remuneration Committee and Permanent

Secretary have not agreed the % bonus for Professor Rylance's service from 1st September 2009 to 31st March 2010. This bonus would only be realised if Professor Rylance is still in post on 31st August 2013.

<sup>6</sup> Mr Graham Raikes joined AHRC on 27th April 2009. 2009/10 figures reported are for salary paid from start date to 31st March 2010.

<sup>7</sup> Professor Sir Richard Trainor, Ms Sally Doganis, Professor Jonathan Bate, Mr Richard Halkett, Mr John Howkins, Professor Ellen Douglas-Cowie, Professor Roger Kain and Dame Lynne Brindley.

<sup>8</sup> Dr Ivon Asquith, Professor April McMahon, Professor John Caughie and Professor Rachel Cooper left Council during 2010/11.

<sup>9</sup> Professor Sarah Worthington, Professor John Butt and Professor Ewan McKendrick joined Council during 2010/11.

<sup>10</sup> Mr Trevor Spires joined Council during 2010/11.

Due to public sector pay restraint no senior staff were awarded a salary increase during 2010/11.

## Pension Benefits

Chief Executive and Directors of AHRC	Accrued pension as at 31/3/11 <sup>11</sup> £'000	Real increase in pension £'000	CETV at 31/3/11 £'000	CETV at 31/3/10 £'000	Real increase in CETV £'000
<b>Professor Rick Rylance</b> <sup>12</sup> Chief Executive	30 – 35 plus lump sum of 100 – 105	5 – 7.5 plus lump sum of 15 – 17.5	784	622	Information unavailable
<b>Mr Jonathan Breckon</b> Director of Policy and Public Affairs	2.5 – 5	0 – 2.5	24	11	10
<b>Professor Shearer West</b> Director of Research	20 – 25 plus lump sum of 70 – 75	0 – 2.5 plus lump sum of 2.5 – 5.0	438	379	Information unavailable
<b>Mr Graham Raikes</b> <sup>13</sup> Director of Resources	2.5 – 5	0 – 2.5	47	21	21

<sup>11</sup> The accrued pension quoted is the pension the member is entitled to receive when they reach pension age, or immediately on ceasing to be an active member of the scheme if they are already at or over pension age. Pension age is 65 for Professor Rick Rylance, Mr Jonathan Breckon, Professor Shearer West and Mr Graham Raikes.

<sup>12</sup> Professor Rick Rylance joined AHRC on 1st September 2009. CETV figures reported as at 31/3/10 are from start date to 31st March 2010.

<sup>13</sup> Mr Graham Raikes joined AHRC on 27th April 2009. CETV figures reported as at 31/3/10 are from start date to 31st March 2010.

Note: No pension is provided for the Chair or members of Council

## Unaudited information

### The Cash Equivalent Transfer Value (CETV)

A Cash Equivalent Transfer Value (CETV) is the actuarially assessed capitalised value of the pension scheme benefits accrued by a member at a particular point in time. The benefits valued are the member's accrued benefits and any contingent spouse's pension payable from the scheme. A CETV is a payment made by a pension scheme or arrangement to secure pension benefits in another pension scheme or arrangement when the member leaves a scheme and chooses to transfer the benefits accrued in their former scheme. The pension figures shown relate to the benefits that the individual has accrued as a consequence of their total membership of the pension scheme, not just their service in a senior capacity to which disclosure applies. The figures include the value of any pension benefit in another scheme or arrangement which the individual has transferred to the Civil Service pension arrangements. They also include any additional pension benefit accrued to the member as a result of their buying additional pension benefits at their own cost. CETVs are calculated in accordance with The Occupational Pension Schemes (Transfer Values) (Amendment) Regulations and do not take account of any actual or potential reduction to benefits resulting from Lifetime Allowance Tax which may be due when pension benefits are taken.

### The real increase in the value of the CETV

This reflects the increase in CETV that is funded by the employer. It does not include the increase in accrued pension due to inflation, contributions paid by the employee (including the value of any benefits transferred from another pension scheme or arrangement) and uses common market valuation factors for the start and end of the period.

### Pensions

Pension benefits are provided through the Civil Service Pension Scheme for all new staff except those eligible for membership of the Universities Superannuation Scheme.

### Research Council Pension Scheme

AHRC employees may be in one of five Research Council Pension Schemes: classic, premium, classic plus, partnership or nuvos. The schemes are unfunded with the cost of benefits met by monies voted by Parliament each year and pensions are increased annually in line with changes in the Retail Prices Index. Full details about these pension schemes can be found at: <http://jsspensions.nerc.ac.uk/> however outlined below are the key features of the schemes:



## Classic

This scheme is a defined benefits scheme, with benefits based on 'final salary'. Members' contribution rates are 1.5% of pensionable earnings. Benefits accrue at the rate of 1/80th of pensionable salary for each year of service. In addition, a lump sum equivalent to three years' pension is payable on retirement. From 1 October 2002 classic became a closed scheme and no new members could join.

## Premium

Premium is a defined benefit scheme, with benefits based on 'final salary'. Employee contributions are set at the rate of 3.5% of pensionable earnings. Benefits accrue at the rate of 1/60th of final pensionable earnings for each year of service. Unlike classic, there is no automatic lump sum (but members may give up (commute) some of their pension to provide a lump sum). This scheme was closed to new entrants from 30 July 2007.

## Classic Plus

Classic Plus is a defined benefits scheme, with benefits based on 'final salary'. It is essentially a variation of Premium, but with benefits in respect of service before 1 October 2002 calculated broadly in the same way as in Classic. This scheme was closed to new entrants from 1 October 2002.

## Partnership

The Partnership pension account is a defined contribution (money purchase) arrangement. It is a stakeholder pension with employer contributions. The employer makes a basic contribution of between 3% and 12.5% (depending on the age of the member) into a stakeholder pension product chosen by the employee from a selection of approved products (Scottish Widows, Standard Life and TUC/Prudential). The employee does not have to contribute but where they do make contributions, the employer will match these up to a limit of 3% of pensionable salary (in addition to the employer's basic contribution). Employers also contribute a further 0.8% of pensionable salary to cover the cost of centrally-provided risk benefit cover (death in service and ill health retirement). When the member comes to retire they use the fund to buy a pension from a pension provider. They can choose to take up to 25% as a lump sum.

## Nuvos

Nuvos is a defined benefit scheme. Members' contribution rates are set at 3.5% of pensionable earnings. The pension is based on a proportion of pay earned in each and every year of service, which builds up at 2.3% of pensionable earnings each scheme year. This scheme was opened to new entrants on 30th July 2007.

## Universities Superannuation Scheme

From September 2005, staff who join AHRC and have an existing pension with Universities Superannuation Scheme (USS) are offered continued membership of this scheme. The USS is a defined benefit scheme which is externally funded and contracted out of the State Second Pension. Employee contributions are set at 6.35% of salary until age 65 or 40 years pensionable service is completed, whichever is earlier. Benefits accrue at the rate of 1/80th of pensionable salary for each year of service. In addition a lump sum of 3/80ths of pensionable salary for each year of pensionable service is paid tax-free on retirement. With effect from April 2011, the annual increase in pensions payable, prescribed by the government and usually effective each April, reflects the change in the Consumer Price Index over the 12 months up to the previous September.

Further details about the Universities Superannuation Scheme arrangements can be found at [www.usshq.co.uk](http://www.usshq.co.uk)

Annual Report signed by



Professor Rick Rylance  
Accounting Officer  
29th June 2011

# Accounts

## Accounts Direction

These accounts have been prepared in accordance with the Accounts Direction, issued by the Secretary of State for Business, Innovation and Skills, in accordance with Section 6.3 of the Higher Education Act 2004. The accounts follow best commercial practice having due regard to the Council's status.

## Statement of Council's and Chief Executive's Responsibilities

Under the Higher Education Act 2004, the Secretary of State for Business, Innovation and Skills, with the consent of the HM Treasury, has directed the Arts and Humanities Research Council to prepare for each financial year a statement of accounts in the form and on the basis set out in the Accounts Direction. The accounts are prepared on an accruals basis and must give a true and fair view of the state of affairs of the Arts and Humanities Research Council and of its income and expenditure, recognised gains and losses, and cash flows for the financial year.

In preparing the accounts, the Accounting Officer is required to comply with the requirements of the Government Financial Reporting Manual and in particular to:

- observe the Accounts Direction issued by the Secretary of State for Business, Innovation and Skills, including the relevant accounting and disclosure requirements, and apply suitable accounting policies on a consistent basis
- make judgements and estimates on a reasonable basis
- state whether applicable accounting standards as set out in the Government Financial Reporting Manual have been followed, and disclose and explain any material departures in the financial statements and
- prepare the financial statements on a going concern basis.

The Accounting Officer for the Department for Business, Innovation and Skills has designated the Chief Executive as Accounting Officer of the Arts and Humanities Research Council. The responsibilities of an Accounting Officer, including responsibility for the propriety and regularity of the public finances for which the Accounting Officer is answerable, for keeping proper records and for safeguarding the Arts and Humanities Research Council's assets, are set out in the Non-Departmental Public Bodies' Accounting Officer's Memorandum issued by the Treasury and published in "*Managing Public Money*" (HMSO).

## AHRC Statement of Internal Control 2010-11

### Scope of responsibility

As Accounting Officer, I have responsibility for maintaining a sound system of internal control that supports the achievement of AHRC's policies, aims and objectives, whilst safeguarding the public funds and departmental assets for which I am personally responsible, in accordance with the responsibilities assigned to me in 'Managing Public Money' and the requirements set out in the Management Statement and Financial Memorandum agreed between the AHRC and its sponsoring department, the Department for Business, Innovation and Skills (BIS).

### The purpose of the system of internal control

The system of internal control is designed to manage risk to a reasonable level rather than to eliminate all risk of failure to achieve policies, aims and objectives; it can therefore only provide reasonable and not absolute assurance of effectiveness. The system of internal control is based on an ongoing process designed to identify and prioritise the risks to the achievement of departmental policies, aims and objectives, to evaluate the likelihood of those risks being realised and the impact should they be realised, and to manage them efficiently, effectively and economically. The system of internal control has been in place in the AHRC for the year ended 31 March 2011 and up to the date of approval of the annual report and accounts, and accords with Treasury guidance.

### Capacity to handle risk

The Senior Management Team (SMT), currently comprising the Chief Executive, three Directors and three Associate Directors, is the executive body for the AHRC and is responsible for reviewing risks associated with AHRC activities and deciding appropriate response actions. SMT considers regular reports, compiled by the Risk Co-ordinator, of the discussions at the AHRC's Risk Management Committee, proposing new risks, changes to existing risks and relevant response actions.

The Risk Management Committee (RMC) comprises two representatives from Programmes, two from Policy & Public Affairs, and one from Resources, all of whom are Management Advisory Group members, plus the Director of Resources as chair and the Risk Co-ordinator. It first met in September 2010 and meets quarterly to review current risks, identify new risks, and propose changes to the risk register. The RMC also notes the quarterly directors' statements on internal control. Members raise awareness of risk management amongst other staff and encourage a risk management culture at the AHRC.

The Management Advisory Group (MAG) regularly reviewed and commented on individual risks from the AHRC's risk register in 2010-11, allowing wider management input on how risks should be handled and raising awareness of risk issues within the organisation. Individual training sessions on risk management are provided to new members by the Risk Co-ordinator.

Audit Committee, as well as providing oversight of the effectiveness of risk management processes, also offer advice on which areas of risk could be included for consideration within the risk management system.

In 2010-11, 95% of staff attended information security awareness sessions which were followed up with online training. The training sessions included an overview of the protective marking policy to be implemented in 2011-12.

The AHRC seeks to learn from good practice in risk management from across the Research Councils through feedback from the Research Councils Internal Audit Service (RCIAS) directly and through the Internal Audit Supervisory Board comprising representatives from all Councils and RCIAS. In 2010-11, as noted above, the AHRC followed best practice in information security by agreeing to adopt the RCUK policy on the protective marking of documents. The AHRC Risk Co-ordinator

attended the 2010-11 Risk Management Network meeting to exchange information and advice with other Research Councils on best practice in risk management.

### The risk and control framework

The AHRC Risk Management Framework, approved by Audit Committee in 2010 and implemented over the course of 2010-11, communicates how risk management is carried out at the AHRC. It describes the activities required to effectively manage risk. The framework is based on the guiding principles of risk management but takes account of the AHRC's structure, processes and culture.

RMC members consult with colleagues and meet quarterly in advance of SMT and Audit Committee meetings to identify new risks within the organisation; assess the potential impact and likelihood of new and existing risks using agreed scales; and suggest response actions. SMT review the findings of the RMC and provide further input where required. Audit Committee review the updated risk register and provide advice to Council on significant risks. Council review significant high-level risks and monitor the overall risk profile of the AHRC.

The AHRC operates in a low risk environment with a control framework subject to public sector oversight. Risk appetite is determined by a risk tolerance boundary whereby assessed risks considered to be at the highest level of risk are escalated to Council for consideration.

Risks to information are managed by information asset owners from across the organisation who identify changes to information risk in quarterly returns which are sent to the Department for Business, Innovation and Skills, the AHRC's sponsoring government department. The AHRC have also made progress over 2010-11 in compliance with the HMG Security Policy Framework (SPF). The AHRC's Security Risk Management Overview (SRMO) Annual Return provides evidence of compliance against the mandatory requirements of the HMG SPF. This identified only a few remaining areas of concern relating to the update and publication of the information security policy and the implementation of the protective marking policy.

Risk management is embedded at the AHRC through the requirement for SMT decision-making papers decisions to include risk identification and associated mitigating actions. Business critical projects are managed using a simplified PRINCE2 methodology, including the identification, assessment and management of risk.

AHRC directors also provide a quarterly statement on internal control which detail how the potential issues within each directorate have been identified and managed.

During 2010-11 financial management processes at the AHRC were updated and developed to ensure that they continued to work throughout the transfer of services to the Shared Services Centre (SSC) Ltd. A monthly forecasting process is in place which produces finance reports for SMT, Council, and Audit Committee including year-end forecasts and details of actions undertaken to mitigate any over-commitments. These financial control processes have contributed to the AHRC ending 2010-11, and the overall Spending Review period, on budget. The AHRC's recent Spending Review allocation may create future budgetary pressures, especially given the comparative lack of end-of-year flexibility. This transition will need to be managed and a strategic view of spending maintained in order to stay on budget. AHRC financial documents were regularly reviewed and updated in 2010-11, including Financial Delegations, Financial Regulations, and Authorisation Limits, to ensure that they were accurately replicated within the new finance service provided by the SSC Ltd and reflected AHRC user requirements.

The Research Councils' UK Assurance Unit is hosted by the Biotechnology and Biological Sciences Research Council (BBSRC) and acts on behalf of the Research Councils to review the regularity of expenditure on Research Council grants at Research Organisations. The unit's programme typically involves around 15-20 visits per year to the most research intensive organisations, supplemented by 15 desk based reviews for less research intensive bodies. Assurance activities focus on the control environment and its effectiveness in ensuring compliance with the Research Councils' terms and conditions for grant funding.

For 2010/11, due to unforeseen circumstances, the planned programme of visits could not wholly be undertaken, and only 11 visits were undertaken instead of the planned 19. This was due to the diversion of staff to other RCUK priority work and the loss of staff resource without short term replacement owing to Government constraints on recruitment.

Despite the shortfall in visits, and taking into account the generally positive nature of findings from the actual visits made, the programme has nevertheless provided me with a satisfactory level of assurance. Relevant considerations include the good level of inherited assurance available from work in previous years, the fact that the 5 year rolling plan of visits is derived from a risk and assurance map and also that, the

percentage coverage for 2010-11 in monetary terms, was little short of previous years. A further strand of work scrutinises the costing methodology used in Research Organisations which, for universities, is the Transparent Approach to Costing (TRAC). The programme is an important element of the assurance framework for the AHRC with an annual report produced for me, as the Accounting Officer, which details activities undertaken in the year as well as proposed activities for the following year. Taking this, together with our arrangements for the scrutiny of awards before payment, I am, therefore, confident that the necessary controls are in place to ensure the safeguarding of public money.

Governance of the RCUK Shared Services Centre (SSC) implementation project, ending on 31 March 2011, was provided by the RCUK SSC Project Board, with a Project Audit Committee providing oversight on risk management. These governance and leadership structures have now ended and remaining issues are to be resolved and managed by the Research Councils and the SSC Ltd. The required enhancements have been classified as Project Phase II, Business Improvement Requests (BIRs) or noted for further development. These additions need to be carefully implemented to ensure that the necessary Value for Money is achieved. Also, in line with government directives, there is a need to develop a wider client base for the SSC Ltd to realise the full benefits to be derived from bulk transactional processing. The concern I have is that the priorities for the SSC Ltd introduced by new clients may divert resources away from obtaining further benefits and greater quality for the Research Councils at a critical time. This is an area that I will address over the forthcoming year as I take on the role of Chair of RCUK Executive Group, comprising all the Chief Executives of the Research Councils.

SSC Human Resources, Payroll, Finance and Procurement and IT services all went live in 2009-10, with Grants services transferring in late 2010-11. During 2010-11 work has focussed on solving issues as they arise and putting monitoring and reporting mechanisms in place. There have been regular meetings between the SSC Ltd and the AHRC to review service delivery, address issues and gain a common understanding of the problems through constructive dialogue, especially in relation to steadying the grants migration. I am concerned, however, over the apparent inability to be able to extract automated management information and the AHRC has to rely heavily on manual processes with an additional staffing requirement. The true benefits of the project are not being realised, something I will be pursuing over the coming year.

The Research Councils' Internal Audit Service (RCIAS) carried out a number of System Control Audits of end-to-end processes shared by the SSC and the Research Councils, the majority of which received limited assurance. The audit opinions were given at particular points in time and much work has now been completed by both the SSC Ltd and the Research Councils to improve the processes and controls. A comprehensive internal audit strategy relating to the RCUK SSC project and operations for 2011-12 and beyond has been developed.

The Disaster Recovery and Business Continuity Plan, setting out the AHRC's plans for disaster recovery and response to potential threats to business continuity, was reviewed and updated in 2010-11 to take account of the AHRC's relocation to Swindon. This plan received substantial assurance from an internal audit carried out in 2010-11. Management of risk was embedded in the relocation of the AHRC offices from Bristol to Swindon and the concurrent restructuring of AHRC staff carried out in 2010-11. Planned resilience during this work allowed the AHRC to break cleanly from the lease for the previous premises, retain experienced staff and maintain service over the period.

### Review of effectiveness

As Accounting Officer, I have responsibility for reviewing the effectiveness of the system of internal control. My review of the effectiveness of the system of internal control is informed by the work of the internal auditors and the executive managers who have responsibility for the development and maintenance of the internal control framework, and comments made by the external auditors in their management letter and other reports. I have been advised on the implications of the result of my review of the effectiveness of the system of internal control by the Council, the Audit Committee and a plan to address weaknesses and ensure continuous improvement of the system is in place.

Audit Committee gain assurance on AHRC risk management processes and provide advice to Council where appropriate. The AHRC Risk Management Framework was approved by Audit Committee in March 2010 and implemented over the course of 2010-11. This work included the creation of the Risk Management Committee, reformatting of the risk register, fuller consideration of the potential impact and likelihood of risks, and better representation of the AHRC's overall risk profile. Audit Committee noted the improvement in risk management processes over 2010-11 and suggested where further progress could be made.

In 2010-11 the Research Councils' Internal Audit Service (RCIAS) conducted a number of internal audits for the

AHRC, both specific to the AHRC and cross-Council. From these audits, and from the annual report by the Head of RCIAS which provides positive reasonable assurance, I am able to gain the necessary confidence on the workings of the framework. In relation to the eleven internal audits of the AHRC, I was pleased with the substantial assurance provided for eight. There were two further advisory audits and one audit providing limited assurance on some of the processes within the Directorate for Policy and Public Affairs. I believe that this new approach, combined with the breadth and depth of the internal audits, provides me, as the Accounting Officer, with the necessary levels of confidence and assurance that the system of internal control within the AHRC is sound. Furthermore, SMT have addressed the issues raised and there is currently only one outstanding item past its due date which will be resolved shortly. For the internal audits conducted for the combined end-to-end processes involving both the Research Councils and the SSC, I am concerned by the number of internal audits that achieved limited assurance. However, given my understanding that both the SSC and Research Councils are progressing jointly and that these audits reflect a moment in time, I am confident that the issues will be addressed. In order to gain the necessary clarity and assurance in this area, I encouraged the AHRC Audit Committee to call an extraordinary meeting with the SSC Executive Team. This, although scheduled for 26 May 2011 and therefore outside the reporting period for this Statement on Internal Control, has provided the necessary level of assurance.

The overall coverage of audit work during the year was consistent with that planned and was sufficient for me to gain an opinion of positive reasonable assurance and Substantial Assurance from the Head of RCIAS. I am, therefore, satisfied that the design and operation of systems of risk management, control and governance are appropriate to the Arts and Humanities Research Council and that it supports the achievement of the Council's policies, aims and objectives.

Annual Report signed by



Professor Rick Rylance  
Accounting Officer  
29th June 2011



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### THE CERTIFICATE AND REPORT OF THE COMPTROLLER AND AUDITOR GENERAL TO THE HOUSES OF PARLIAMENT

I certify that I have audited the financial statements of the Arts & Humanities Research Council for the year ended 31 March 2011 under the Higher Education Act 2004. These comprise the Statement of Comprehensive Net Expenditure, the Statement of Financial Position, the Statement of Cash Flows, the Statement of Changes in Taxpayers' Equity and the related notes. These financial statements have been prepared under the accounting policies set out within them. I have also audited the information in the Remuneration Report that is described in that report as having been audited.

#### **Respective responsibilities of the Arts and Humanities Research Council, Accounting Officer and auditor**

As explained more fully in the Statement of Council's & Chief Executives Responsibilities, the Accounting Officer is responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view. My responsibility is to audit, certify and report on the financial statements in accordance with the Higher Education Act 2004. I conducted my audit in accordance with International Standards on Auditing (UK and Ireland). Those standards require me and my staff to comply with the Auditing Practices Board's Ethical Standards for Auditors.

#### **Scope of the Audit of the Financial Statements**

An audit involves obtaining evidence about the amounts and disclosures in the financial statements sufficient to give reasonable assurance that the financial statements are free from material misstatement, whether caused by fraud or error. This includes an assessment of: whether the accounting policies are appropriate to the Arts & Humanities Research Council's circumstances and have been consistently applied and adequately disclosed; the reasonableness of significant accounting estimates made by the Arts & Humanities Research Council and the overall presentation of the financial statements. In addition I read all the financial and non-financial information in the Annual report to identify material inconsistencies with the audited financial statements. If I become aware of any apparent material misstatements or inconsistencies I consider the implications for my certificate.

In addition, I am required to obtain evidence sufficient to give reasonable assurance that the expenditure and income reported in the financial statements have been applied to the purposes intended by Parliament and the financial transactions conform to the authorities which govern them.

#### **Opinion on Regularity**

In my opinion, in all material respects the expenditure and income have been applied to the purposes intended by Parliament and the financial transactions conform to the authorities which govern them.

#### **Opinion on financial statements**

In my opinion:

- the financial statements give a true and fair view of the state of the Arts & Humanities Research Council's affairs as at 31 March 2011 and of its comprehensive net expenditure for the year then ended; and
- the financial statements have been properly prepared in accordance with the Higher Education Act 2004 and Secretary of State directions issued thereunder.

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## Opinion on other matters

In my opinion:

- the part of the Remuneration Report to be audited has been properly prepared in accordance with Secretary of State's directions issued under the Higher Education Act 2004; and
- the information given in the Management Commentary part of the Annual Report for the financial year for which the financial statements are prepared is consistent with the financial statements.

## Matters on which I report by exception

I have nothing to report in respect of the following matters which I report to you if, in my opinion:

- adequate accounting records have not been kept; or
- the financial statements and the part of the Remuneration Report to be audited are not in agreement with the accounting records or returns; or
- I have not received all of the information and explanations I require for my audit; or
- the Statement on Internal Control does not reflect compliance with HM Treasury's guidance.

## Report

I have no observations to make on these financial statements.

### Amyas C E Morse

Comptroller and Auditor General  
National Audit Office  
157-197 Buckingham Palace Road  
Victoria, London  
SW1W 9SP

8th July 2011

## Statement of Comprehensive Net Expenditure for the Year Ended 31 March 2011

	Notes	2010-11 £ 000	2009-10 £ 000
<b>EXPENDITURE</b>			
Staff and Council Members' Costs	3	4,000	4,609
Research Awards	4	60,609	58,463
Postgraduate Awards	5	42,412	40,593
Museums & Galleries Awards	6	-	3,439
Depreciation	8,11	121	56
Impairment	8,13	51	295
Amortisation	8,12	47	78
Other Expenditure	8	3,236	4,072
		<b>110,476</b>	<b>111,605</b>
<b>INCOME</b>			
Operating Revenue	9	(256)	(368)
<b>NET EXPENDITURE</b>		<b>110,220</b>	<b>111,237</b>
<b>OTHER COMPREHENSIVE EXPENDITURE</b>			
Release of Revaluation Reserve	10	(128)	-
<b>TOTAL COMPREHENSIVE EXPENDITURE FOR THE YEAR ENDED 31 MARCH 2011</b>		<b>110,092</b>	<b>111,237</b>

All operations are continuing.

The notes on pages 56-72 form part of these accounts.

## Statement of Financial Position as at 31 March 2011

	Notes	At 31 March 2011		At 31 March 2010	
		£ 000	£ 000	£ 000	£ 000
<b>Non-Current Assets</b>					
Property, Plant and Equipment	11	602		1,015	
Intangible Assets	12	3		50	
Investment in Joint Venture	13	749		80	
			1,354		1,145
<b>Current Assets</b>					
Trade and Other Receivables	15	12,037		9,709	
Cash and Cash Equivalents	16	802		1,206	
			12,839		10,915
<b>Total Assets</b>			<b>14,193</b>		<b>12,060</b>
<b>Current Liabilities</b>					
Trade and Other Payables	17	(5,950)		(3,662)	
Provisions	18	(15)		(930)	
			(5,965)		(4,592)
<b>Non-Current Assets plus Net Current Assets</b>			<b>8,228</b>		<b>7,468</b>
<b>Non-Current Liabilities</b>					
Provisions	18	(163)		(433)	
Other Payables	17	(166)		(255)	
			(329)		(688)
<b>Assets less Liabilities</b>			<b>7,899</b>		<b>6,780</b>
<b>Equity</b>					
Income & Expenditure Reserve			7,899		6,652
Revaluation Reserve	10		-		128
			<b>7,899</b>		<b>6,780</b>

The financial statements on pages 52-55 were approved by Council and signed on its behalf by:



Professor Rick Rylance  
Accounting Officer  
29 June 2011

The notes on pages 56-72 form part of these accounts.

## Statement of Cash Flows for the Year Ended 31 March 2011

	Notes	2010-11 £ 000	2009-10 £ 000
<b>Cash Flows from Operating Activities</b>			
Net Deficit		(110,092)	(111,237)
Adjustment for Depreciation Charge	11	121	56
Adjustment for Amortisation Charge	12	47	78
Adjustment for Impairment of Non-Current Asset	13	51	295
Adjustment for Loss on Disposal	7	15	-
Adjustment for Revaluation Reserve	10	(128)	-
Interest paid over to BIS		-	(6)
Increase in Trade & Other Receivables	15	(2,328)	(279)
(Decrease)/Increase in Provisions	18	(1,187)	1,310
Increase in Trade & Other Payables	17	2,199	256
<b>Net Cash Flows from Operating Activities</b>		<b>(111,302)</b>	<b>(109,527)</b>
<b>Cash Flows from Investing Activities</b>			
Purchase of Property, Plant and Equipment	11	(441)	(524)
Purchase of Intangible Assets	12	-	(8)
Investment in Joint Venture	13	(720)	(28)
Proceeds from Sale of Asset	11	720	-
<b>Net Cash Flows from Investing Activities</b>		<b>(441)</b>	<b>(560)</b>
<b>Cash Flows from Financing Activities</b>			
Grant-in-Aid received from BIS		109,000	105,097
Financing received from HEFCE		-	3,457
Financing received from Other Funders		2,339	1,799
<b>Net Cash Flows from Financing Activities</b>		<b>111,339</b>	<b>110,353</b>
<b>Net (Decrease)/Increase in Cash and Cash Equivalents in the period</b>	16	<b>(404)</b>	<b>266</b>
<b>Cash and Cash Equivalents at the beginning of the period</b>	16	<b>1,206</b>	<b>940</b>
<b>Cash and Cash Equivalents at the end of the period</b>	16	<b>802</b>	<b>1,206</b>



## Statement of Changes in Taxpayers' Equity for the Year Ended 31 March 2011

	Notes	Revaluation Reserve £ 000	Income & Expenditure Reserve £ 000	Total Reserves £ 000
<b>Balance at 1 April 2009</b>		<b>128</b>	<b>7,536</b>	<b>7,664</b>
<b>Changes in Taxpayers' Equity 2009-10</b>				
Net Deficit		-	(111,237)	(111,237)
Grant-in-Aid received from BIS for revenue expenditure		-	102,251	102,251
Grant-in-Aid received from BIS for capital expenditure		-	2,846	2,846
Financing received from HEFCE		-	3,457	3,457
Financing received from Other Funders		-	1,799	1,799
<b>Balance at 31 March 2010</b>		<b>128</b>	<b>6,652</b>	<b>6,780</b>
<b>Balance at 1 April 2010</b>		<b>128</b>	<b>6,652</b>	<b>6,780</b>
<b>Changes in Taxpayers' Equity 2010-11</b>				
Net Deficit		-	(110,092)	(110,092)
Grant-in-Aid received from BIS for revenue expenditure		-	105,850	105,850
Grant-in-Aid received from BIS for capital expenditure		-	3,150	3,150
Financing received from HEFCE		-	-	-
Financing received from Other Funders		-	2,339	2,339
Release of Revaluation Reserve	10	(128)	-	(128)
<b>Balance at 31 March 2011</b>		<b>-</b>	<b>7,899</b>	<b>7,899</b>

On 1 April 2005 the AHRC's AHEAD database was revalued to include staff costs incurred during the development of the software. This accounts for the opening balance of the Revaluation Reserve.

During 2010-11 the Revaluation Reserve was removed as AHEAD was considered to be at the end of its useful economic life, following the implementation of the new cross council grants system. This was released to the Statement of Comprehensive Net Expenditure.

At the end of each reporting period any surpluses or deficits deriving from the AHRC's normal course of business are debited or credited to the Income & Expenditure Reserve.

The notes on pages 56-72 form part of these accounts.

## Notes to the Accounts

### 1. Statement Of Accounting Policies

#### a. Basis of Accounting and Accounting Convention

The financial statements have been prepared in accordance with International Financial Reporting Standards (IFRS) and meet the accounting and disclosure requirements of the Companies Act 1985 and the accounting and financial reporting standards issued or adopted by the International Accounting Standards Board as interpreted for Government use by the Financial Reporting Manual (FReM) and in so far as these requirements are appropriate. Where the FReM permits a choice of accounting policy, the accounting policy which is judged to be most appropriate to the particular circumstances of the AHRC for the purpose of giving a true and fair view has been selected. The particular policies adopted by the Council are described below. They have been applied consistently in dealing with items that are considered material to the accounts.

These accounts have been prepared under the historical cost convention, modified to account for the revaluation of property, plant and equipment and intangible assets, where material.

#### Going Concern

In the 2009-10 Report and Accounts it was stated that there were uncertainties which could affect funding in the future – additional cuts for the public sector as a whole were signalled by the Chancellor's emergency budget on 22 June 2010 and in the Spending Review on 20 October 2010. On 20 December 2010 David Willetts, Minister for Universities and Science, announced the AHRC's financial allocations for 2011-12 through to 2014-15. The AHRC considers that these allocations, while slightly reduced, will not materially affect the operations of the AHRC and therefore the accounts have been prepared on a going concern basis.

#### Adoption of Standards and Changes in Policy

These financial statements are presented in £ sterling and all values are rounded to the nearest thousand, except where indicated otherwise.

All International Financial Reporting Standards, Interpretations and Amendments to published standards, effective at 31 March 2011, have been adopted in these financial statements, taking into account the specific interpretations and adaptations included within the FReM.

IAS 7 Statement of Cash Flows (effective for periods beginning on or after 1 January 2010) – This requires that only expenditure which results in a recognised asset in the Statement of Financial Position can be classified within investing activities. AHRC is compliant with IAS 7 in that the only recognised investing activities are the purchase of property, plant and equipment and intangible assets within the Statement of Financial Position.

An additional amendment to the FReM, effective from 1 April 2010, has been made in respect of IAS 36 Impairment of Assets. This requires impairments of property, plant and equipment that arise from a clear consumption of economic benefits to be taken direct to the Statement of Comprehensive Net Expenditure.

A change in accounting policy has occurred regarding the cost of capital. This is explained in note 1(o).

#### Effective for Future Financial Years

The IASB and IFRIC issued certain standards and interpretations with an effective date after the date of these financial statements. Where these changes are relevant to AHRC's circumstances they are listed below and will be adopted at the effective date. They have not been adopted early and their adoption is not expected to have a material impact on AHRC's reported income or net assets in the period of adoption.

IAS 24 Related Party Transactions (effective for periods beginning on or after 1 January 2011) – The amendment provides exemption for full disclosure of transactions with state-controlled entities and does not impact the current exemption allowed within the FReM. IAS 24 also clarifies the definition of a related party.

IFRS 7 Financial Instruments: Disclosures (effective for periods beginning on or after 1 July 2011) – Detailed disclosures are required for financial assets transferred to another entity but not derecognised in their entirety and financial assets derecognised in their entirety but in which the reporting entity has an involvement. AHRC does not expect there to be any transactions requiring disclosure but will assess further as appropriate for the 2012-13 financial statements.

IFRS 9 Financial Instruments: Classification and Measurement (effective for periods beginning on or after 1 January 2013) – IFRS 9 is a replacement for IAS 39 and introduced new requirements for the classification and measurement of financial assets, together with the elimination of two categories. Further proposals were introduced in October 2010 in respect of the derecognition of financial assets and liabilities. IFRS 9 is due to be expanded further in June 2011 with regard to the impairment of financial assets measured at amortised cost. AHRC will undertake an assessment of the impact of IFRS 9 once the full requirements are known.

## b. Financing and Income

The FReM requires Non-Departmental Public Bodies to account for Grants and Grant-in-Aid as financing instead of income. In the AHRC's case this includes Grant-in-Aid from the Department for Business, Innovation and Skills and monies from other Research Councils for co-financing of various programmes. Exceptions are monies received in respect of exchange transactions (for example income from Humanities in the European Research Area (HERA), NET-Heritage, RCUK, and car parking income) and for funding of specific capital items.

In the first few months of 2009/10 (Prior Year) the Higher Education Funding Council for England also financed the Museums & Galleries programme. The administration of this scheme reverted back to the Higher Education Funding Council for England in August 2009 ending this source of income.

## c. Grants Payable

As a research funding organisation, the AHRC's research expenditure is charged to the Statement of Comprehensive Net Expenditure when it is incurred.

The AHRC's policy is to accrue for the costs of work undertaken at Higher Education Institutions which remain unpaid by the AHRC at the end of the reporting period. Future commitments in respect of costs of work yet to be undertaken within approved cash limits at the end of the reporting period are disclosed in Note 21. Prepayments are also recognised when they occur. The AHRC provides research funding in two main areas, these being Research Awards and Postgraduate Awards. Prior to August 2009, when administration reverted back to the Higher Education Funding Council for England, Museums & Galleries Awards formed a third area which has now ceased.

### i. Research Awards

The purpose of these awards is to assist both individual academics and groups of researchers in universities and colleges in improving the depth and breadth of our knowledge of human culture, both past and present. The awards also aim to assist the broad-based development of research by ensuring that funds are allocated with regard to a balance of academic subjects, kinds of activity and projected outcomes.

The Research Awards programme is split into four strands; responsive mode, themed/directed, knowledge transfer and international engagement.

Awards can last from one to five years.

### ii. Postgraduate Awards

The purpose of these awards is to provide support for students to enable them to pursue courses of postgraduate study in the arts and humanities and support programmes of doctoral research that will make significant contributions to the advancement of knowledge and understanding.

Postgraduate awards typically last for between one and three years.

## d. Property, Plant & Equipment (PPE)

Capital expenditure under PPE includes the purchase of IT and office equipment, and fixtures and fittings relating to the AHRC's offices to the value of £1,000 or more. Assets are held at cost less accumulated depreciation and any impairment.

Depreciation on property, plant and equipment is provided at rates calculated to write off the cost of each asset in equal instalments over its expected useful life, as follows:

Leasehold Fixtures and Fittings	Over the length of the lease
IT Equipment	3 – 5 years
Fixtures, Fittings and Office Equipment	5 years

A full month's depreciation is charged in the month of acquisition and none in the month of disposal.

Depreciation is not charged on assets under construction until the asset is brought fully into use and transferred to the appropriate asset category. They are then depreciated at the same rate as the AHRC's other assets in that asset category.

## e. Intangible Assets

Capital expenditure for intangible assets includes the purchase of software licences and the costs of software and website development to the value of £1,000 or more.

Amortisation of intangible assets is provided at rates calculated to write off the cost of each asset in equal instalments over its expected useful life, as follows:

Software Licences	Over the length of the licence
Internally developed software	3 – 5 years
Internally developed website	2 years

A full month's amortisation is charged in the month of acquisition and none in the month of disposal.

## **f. Impairment of Non-Current Assets**

The AHRC has a relatively small asset base and at any point in time, during the course of normal business, there is unlikely to be a material difference between the historic and current cost values of the Council's non-current assets. This position is however kept under review. The carrying amounts of the AHRC's assets are reviewed at each Statement of Financial Position date to determine whether there is any indication of impairment; an asset is considered to be impaired if objective evidence indicates that one or more events have had a negative effect on the estimated future cash flows of that asset. If any such indication exists, the asset's recoverable amount is estimated.

An impairment loss is recognised in the Statement of Comprehensive Net Expenditure when the carrying amount of an asset or its cash-generating unit exceeds its recoverable amount.

In June 2010, the AHRC vacated its office premises in Bristol, which was outside the normal course of business. An impairment loss was charged to the Statement of Comprehensive Net Expenditure to reflect the shorter remaining useful life of the AHRC's leasehold fixtures and fittings at 31 March 2010.

## **g. Financial Instruments**

Due to the non-trading nature of its activities and the way in which the AHRC is financed, the AHRC is not exposed to the degree of financial risk faced by non-public sector entities. Moreover, financial instruments play a much more limited role in creating or changing risk that would be typical of the listed companies to which IAS 32, 39 and IFRS 7 mainly apply. The AHRC has very limited powers to borrow or invest surplus funds. Financial assets and liabilities are generated by day to day operational activities and are not held to change the risks facing the AHRC in undertaking its activities.

Trade receivables are not interest bearing and are carried at original invoice amount. Provision for impairment is established when there is objective evidence that the AHRC will not be able to collect all amounts due according to the original terms of the receivable. The amount of provision is the difference between the carrying amount and recoverable amount and is recognised in the Statement of Comprehensive Net Expenditure.

Trade and other payables are recognised in the period in which related money, goods or services are received or when a legally enforceable claim against the AHRC is established or when the corresponding assets or expenses are recognised.

## **h. Key Judgements**

The preparation of financial statements requires management to make estimates and assumptions. These affect the reported amounts of assets and liabilities; the disclosure of contingent assets and liabilities at the date of the financial statements; and the reported amounts of revenues and expenses during the reporting period.

On an ongoing basis, management evaluates its estimates and judgements. These estimates and judgements are based on historical experience and on various other factors that are believed to be reasonable under the circumstances, the results of which form the basis for making judgements about the carrying value of assets and liabilities that are not readily available from other sources. Actual results may differ from these estimates under different assumptions and conditions.

Provisions are recognised when there is a present legal or constructive obligation as a result of past events, for which it is probable that an outflow of economic benefit will be required to settle the obligation, and where the amount of the obligation can be reliably estimated (see Note 18).

A contingent liability is disclosed where the existence of an obligation will only be confirmed by future events (see Note 22).

## **i. Operating Leases**

Rental costs under operating leases are charged to the Statement of Comprehensive Net Expenditure in equal instalments over the periods of the leases.

## **j. Foreign Currencies**

Transactions in foreign currencies are translated at the rate ruling at the time of the transaction. All gains and losses arising from exchange differences are taken to the Statement of Comprehensive Net Expenditure.

## **k. Taxation**

The AHRC is recognised by HM Revenue and Customs as a charity for tax purposes. Accordingly, the AHRC is exempt from taxation in respect of income or capital gains arising in the course of its charitable activities.

The AHRC receives no similar exemption in respect of Value Added Tax. As a result the major part of Value Added Tax paid by the AHRC is irrecoverable, since the provision of education is an 'exempt' activity for VAT purposes.

Expenditure and Non-Current Asset purchases are shown inclusive of irrecoverable VAT.

The AHRC is a member of a VAT Group along with the other Research Councils. By registering as a group there is a single VAT registration covering all of the members. All supplies made by or to group members are deemed to be made by or to the representative member. Supplies made between group members are disregarded for VAT. There is, therefore, no VAT payable on supplies made between group members, representing a saving in administration costs.

During 2010-11, the Science & Technology Facilities Council was the representative member. An application to HM Customs and Excise for bodies corporate to be treated as a group, must appoint one of them as the representative member (VAT Act 1994 s. 43B(3)). Although all supplies made by or to any group member are deemed to be made by or to the representative member, all members of the group registration are jointly and severally liable for any VAT due (VAT Act 1994 s. 43(1)).

## **l. Pension Costs**

Retirement benefits to employees of the Council are provided by the Research Councils' Pension Scheme (RCPS), and the Universities Superannuation Scheme (USS). All schemes are multi-employer defined benefit schemes which are externally funded and contracted out of the State Earnings Related Pension Scheme. The expected costs of providing pensions are charged to the Statement of Comprehensive Net Expenditure so as to spread the cost over the service lives of employees in the schemes operated, in such a way that the pension cost is a substantially level percentage of current and expected future pensionable payroll. More details on pensions can be found in Note 3.

## **m. Employee Benefits**

Employees are entitled to 28 days annual leave per year. The annual leave year for the AHRC runs from 1 November to 31 October. The cost of untaken employee leave at 31 March 2011 has been accrued for.

## **n. Early Departure Costs**

The costs of early retirement or severance are charged to the Statement of Comprehensive Net Expenditure when the early departures are agreed. These costs are net of the lump sums recoverable from the pension schemes when the individual reaches normal retirement age.

## **o. Notional Cost Of Capital Change in Policy**

As part of HM Treasury's Clear Line of Sight project the cost of capital charge will no longer need to be reported in an entity's annual report and accounts. In response to this AHRC will no longer be showing a notional costs note. It will also no longer be showing these costs on the face of the Statement of Comprehensive Net Expenditure. This is to make reporting simpler and easier to understand. For the financial years 2009-10 and 2010-11 this adjustment has no effect on the Statement of Comprehensive Net Expenditure as it was charged and reversed, and therefore the net expenditure for the year transferred to the Income and Expenditure reserve is unaffected.

## **p. Bank Interest**

From 1 April 2005 the AHRC was required to pay over to the Department for Business, Innovation and Skills any bank interest received on its commercial bank accounts. A creditor is recognised to match the cash receipt until it is paid over.

## **q. Insurance**

In line with Government policy, AHRC carries its own risks in respect of employment of staff, buildings, equipment etc, except where there exists a statutory requirement to insure or where commercial insurance represents better value for money. Insurance premiums are charged to the Statement of Comprehensive Net Expenditure.

## **r. Cash and Cash Equivalents**

Cash and cash equivalents comprise of cash at bank and in hand.



## 2. Analysis of Net Expenditure by Segment

The AHRC's primary operating segments are Research Awards and Postgraduate Awards. Information concerning these segments is disclosed in Note 1 and expenditure is detailed in the Statement of Comprehensive Net Expenditure and broken down further in Notes 4, 5, and 6. The expenditure of these operating segments is viewed regularly by the AHRC's Chief Operating Decision Makers (CODMs) to inform decision making processes.

Museums & Galleries Awards are zero in 2010-11 as the scheme has now finished.

It is also not possible to identify how the AHRC's assets and liabilities are shared across these operating segments in management information used by the Chief Executive and Senior Management and this information has not been disclosed.

	Research Awards £ 000	Postgraduate Awards £ 000	Museums & Galleries Awards £ 000	Total Other £ 000	2010-11 £ 000
Staff and Council Members' Costs	839	587	-	2,574	4,000
Programme Costs	60,609	42,412	-	-	103,021
Depreciation	-	-	-	121	121
Impairment	-	-	-	51	51
Amortisation	-	-	-	47	47
Release of Revaluation Reserve	-	-	-	(128)	(128)
Other Expenditure	126	88	-	3,022	3,236
Operating Revenue	-	-	-	(256)	(256)
	<b>61,574</b>	<b>43,087</b>	<b>-</b>	<b>5,431</b>	<b>110,092</b>

	Research Awards £ 000	Postgraduate Awards £ 000	Museums & Galleries Awards £ 000	Total Other £ 000	2009-10 £ 000
Staff and Council Members' Costs	1,009	661	10	2,929	4,609
Programme Costs	58,463	40,593	3,439	-	102,495
Depreciation	-	-	-	56	56
Impairment	-	-	-	295	295
Amortisation	-	-	-	78	78
Other Expenditure	170	80	30	3,792	4,072
Operating Revenue	-	-	-	(368)	(368)
	<b>59,642</b>	<b>41,334</b>	<b>3,479</b>	<b>6,782</b>	<b>111,237</b>

## 3. Staff Numbers and Related Costs

### a. Staff Costs comprise:

	Permanently Employed Staff £ 000	Temporary Staff £ 000	Council Members £ 000	Total 2010-11 £ 000	Total 2009-10 £ 000
Salaries and Wages	2,703	329	117	3,149	3,556
Social Security Costs	203	-	4	207	199
Superannuation - Defined Benefit	542	-	-	542	549
Seconded Staff Costs	-	102	-	102	315
<b>Sub Total</b>	<b>3,448</b>	<b>431</b>	<b>121</b>	<b>4,000</b>	<b>4,619</b>
Less recoveries in respect of Outward Secondments	-	-	-	-	(10)
	<b>3,448</b>	<b>431</b>	<b>121</b>	<b>4,000</b>	<b>4,609</b>

During 2010-11 a further £9k of staff costs were capitalised as part of the completion of the office move to Polaris House, Swindon.

Temporary Staff consists of payments made to Employment Agencies during 2010-11. Staff provided by Employment Agencies are not included in the temporary staff numbers overleaf.

Also during 2010-11 two Council members received additional payments for their roles as members of the AHRC Advisory Board. These costs are included in Other Expenditure under Peer Review Costs.

## **b. Pension Schemes**

Staff members of the AHRC belong to one of two multi-employer defined benefit pension schemes. The assets of all schemes are held separately from those of the AHRC in independently administered funds. It is not possible to identify the AHRC's share of the underlying assets and liabilities of any of the pension schemes and therefore contributions to the scheme are accounted for as if they were defined contribution schemes. The pension cost charge represents contributions payable by the AHRC to the funds. Amounts paid to the schemes during the year were as follows: RCPS - £509,423 (2009-10 £508,545 (to PCSPS)), Partnership Pension Providers - £8,461 (2009-10 £24,929), and USS - £30,540 (2009-10 £18,336).

### **i. The Research Councils' Pension Scheme (RCPS)**

Since 1 April 2009 AHRC has offered membership to the RCPS to all new staff. The RCPS is in all respects 'by-analogy' with the Principal Civil Service Pension Scheme, except that the employer's contribution is determined separately on the recommendation of the Government Actuary's Department (GAD).

It is a notionally funded, contributory, defined benefit scheme, and is administered by the Research Councils' Joint Superannuation Services. The scheme's accounts are prepared by the Biotechnology and Biological Sciences Research Council (BBSRC) on behalf of the Chief Executive of BBSRC as Accounting Officer for the RCPS, and contain the further disclosure information required under IAS 19 as interpreted by the Financial Reporting Manual for use in the public sector.

There are two open schemes run by RCPS and new staff have the option of joining either scheme:

- Nuvos Pension Scheme – a career average, contributory pension scheme. Employee contributions are currently 3.5%, employer contributions are 26%.
- Partnership Pension Account - a stakeholder pension with an employer contribution. Employers' contributions were paid to one or more of the panel of three appointed stakeholder pension providers.

Employer contributions are age-related and range from 3% to 12.5% of pensionable pay. Employers also match employee contributions up to a maximum of an additional 3% of pensionable pay.

A further employer contribution of 0.8% of pensionable pay is made to cover the cost of centrally-provided risk benefit cover (death in service and ill health retirement).

### **ii. The Universities Superannuation Scheme (USS)**

A triennial valuation of the Universities Superannuation Scheme was carried out as at 31 March 2008. This was the first valuation for USS under the new scheme-specific funding regime introduced by the Pensions Act 2004, which requires schemes to adopt a statutory funding objective, which is to have sufficient and appropriate assets to cover their technical provisions. The actuary's valuation was accepted by the USS board at its meeting on 22 January 2009. A brief summary of the main results of the valuation is as follows:

- the employers' contribution rate was increased to 16% of salary from 1 October 2009, while the employees' contribution rate remained at 6.35% of salary.
- under the scheme funding regulations, the assets of the scheme at the valuation date were 103% of the scheme's technical provisions based on projected pensionable salaries, with a past surplus of £707 million.
- the scheme is 107% funded in terms of the Pension Protection Fund regulations introduced by the Pension Act 2004.
- the valuation includes an extra reserve of £1.35 billion to take account of recent promotional salary increase experience. Further analysis of promotional salary increases will be carried out to determine whether the high rate of increase experienced between 2002 and 2008 is likely to continue into the future and whether the extra provision is necessary or sufficient.
- the contribution rate will be subject to review at the next actuarial valuation which is due to take place at 31 March 2011. Depending on the analysis of promotional salary increases and other factors, it may be necessary to consider the contribution rate in advance of the next actuarial valuation.
- although not referred to in the valuation report and not a requirement for USS, the actuary has estimated that the funding level at 31 March 2008 using an FRS17 valuation basis (suitable for reporting pension costs in company accounts) was approximately 104%.

**c. Staff Numbers** The average number of staff employed during the year was 71 full time equivalent.

	<b>Permanently Employed Staff No</b>	<b>Temporary Staff No</b>	<b>Total 2010-11 No</b>	<b>Total 2009-10 No</b>
Senior Management	6	-	6	8
Managerial	34	-	35	52
Administrative Support	29	-	29	42
Seconded Inward Staff (SSC)	-	2	2	10
	<b>69</b>	<b>2</b>	<b>71</b>	<b>112</b>

**d. Civil Service Compensation Scheme Analysis.**

<b>Value</b>	<b>Compulsory Redundancies</b>		<b>Other Departures</b>		<b>Total Departures</b>	
	<b>2010-11</b>	<b>2009-10</b>	<b>2010-11</b>	<b>2009-10</b>	<b>2010-11</b>	<b>2009-10</b>
<£10,000	8	-	-	-	8	-
£10,000 - £24,999	8	-	-	-	8	-
£25,000 - £49,999	5	-	-	-	5	-
£50,000 - £99,999	2	-	-	-	2	-
£100,000 - £149,999*	2	-	-	-	2	-
£150,000 - £200,000	-	-	-	-	-	-
Total number of exit packages	25	-	-	-	25	-
<b>Total resource cost of exit packages (£000)</b>	<b>722</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>722</b>	<b>-</b>

\*These payments include early retirement provisions for 2 staff members.

All payments were made in accordance with contractual terms - in all cases payments were made in accordance with the Research Council Pension Compensation Scheme arrangements which were analogous with the Civil Service Pension Compensation Scheme.

All departures above relate to the AHRC office move from Bristol to Polaris House, Swindon or the transfer of services to the Research Councils Shared Service Centre (SSC Ltd). A provision was made in the 2009-10 accounts for these costs with the exception of early retirement costs for two individuals which were provided for in 2010-11 (see Note 18). Redundancies related to the move to the SSC Ltd were jointly provided for by all the Research Councils under a funding allocation model which identified the proportion of SSC Ltd project costs that each Council would incur.

# AHRC Annual Report and Accounts 2010-11

## 4. Research Awards

	2010-11 £ 000	2009-10 £ 000
Research Grants	30,458	30,829
Themed/Directed Research	17,411	13,331
Research Leave	1,043	3,390
Knowledge Transfer	3,087	2,662
Fellowships in the Creative & Performing Arts	2,047	2,497
DEDEFI Capital Grants	2,685	2,246
Resource Enhancement	251	1,809
International Engagement	3,036	1,325
RCUK Operations	245	249
Cross-Council Responsive Mode Awards	200	97
Research Centres	146	28
	<b>60,609</b>	<b>58,463</b>

Payments were made to various bodies within the public sector; public corporations, higher education institutions and other government agencies.

## 5. Postgraduate Awards

	2010-11 £ 000	2009-10 £ 000
Maintenance, Tuition Fees, Research Training and Other	-	39,656
Block Grant Partnerships	22,403	-
Capacity Building & Studentship Competition	15,224	-
Collaborative Doctoral Awards	4,127	-
Other Funding Initiatives	337	552
Collaborative Research Training Schemes	153	247
Research, Careers & Diversity Unit	168	138
	<b>42,412</b>	<b>40,593</b>

As a result of the AHRC's move to Block Grant Partnerships the breakdown reported in 2009-10 can no longer be provided. Postgraduate awards are now recorded by scheme type as the Block payments made cover all fee categories.

Payments were made to various bodies within the public sector; public corporations, higher education institutions and other government agencies.

## 6. Museums & Galleries Awards

	2010-11 £ 000	2009-10 £ 000
Core Funding	-	3,439
	<b>-</b>	<b>3,439</b>

All payments made in 2009-10 were made to Higher Education Institutions. No payments were made during 2010-11.

Information concerning Museums & Galleries is disclosed in Note 1b.

## 7. Losses

Due to the AHRC relocating offices from Bristol to Polaris House, Swindon in June 2010, furniture and office equipment was disposed of during the year. This resulted in a loss on disposal of £15k, which is included in Other Expenditure (see Note 8).

# AHRC Annual Report and Accounts 2010-11

## 8. Other Expenditure

	2010-11 £ 000	2009-10 £ 000
Operating Expenses	2,368	1,540
Accommodation Operating Lease Rentals	75	393
Peer Review Costs	221	292
Staff Expenses	168	149
Professional & Consultancy Fees	157	152
IT Costs	179	101
Other Accommodation Costs	15	85
Auditors' Remuneration:		
- Financial Statements	53	44
- IFRS Transition	-	6
Non-Cash Items:		
- Depreciation	121	56
- Amortisation	47	78
- Impairment	51	295
- Increase in Provision	-	1,324
- Reduction in Provision	-	(14)
	<b>3,455</b>	<b>4,501</b>

Other Expenditure has reduced by £1,046k (23%) between 2009-10 and 2010-11. Prior year costs included one off costs, such as the provision, relating to the AHRC's move to Swindon. The office move is also the driver of the reduction in Accommodation charges. Depreciation charges have increased as fixtures and fittings are now depreciated over five years as opposed to the life of the Bristol office lease. Operating Expenses include SSC service charges of £1,606k, of which £326k relate to implementation and start up project costs. IT costs, include the provision of new computer hardware, £57k, for the AHRC on completion of the move to Swindon.

## 9. Revenue

	2010-11 £ 000	2009-10 £ 000
Backfill Revenue	-	(162)
Sundry Revenue	(197)	(109)
NET-Heritage	(71)	(59)
Humanities in the European Research Area	12	(38)
	<b>(256)</b>	<b>(368)</b>

Sundry Revenue includes income distributed by RCUK in respect of cross-council administrative programmes and car-parking income. The AHRC is currently participating in the NET-Heritage programme along with other partners within Europe. Funding is received from the lead partners, the Italian Ministry of Cultural Heritage and Activities. These monies are received in respect of exchange transactions and are therefore not recognised as financing.

In 2009-10 AHRC also participated in the Humanities in the European Research Area programme (HERA). The £12k is in relation to a correction from the prior year.

Backfill Revenue in 2009-10 relates to the recovery of resources from the Shared Services Centre, which AHRC provided to the SSC Project.

## 10. Release of Revaluation Reserve

	2010-11 £ 000	2009-10 £ 000
At 1 April 2010	128	128
Release to Statement of Comprehensive Net Expenditure	(128)	-
At 31 March 2011	<b>-</b>	<b>128</b>

During 2010-11 the Revaluation Reserve was removed as AHEAD was considered to be at the end of its useful economic life, following the implementation of the new cross council grants system.



## 11. Plant, Property & Equipment

	Leasehold Fixtures and Fittings £ 000	IT Equipment £ 000	Fixtures, Fittings and Office Equipment £ 000	Asset Under Construction (1) £ 000	Asset Under Construction (2) £ 000	Total £ 000
<b>Cost or Valuation</b>						
At 1 April 2010	242	295	228	633	352	1,750
Additions	-	-	354	87	-	441
Disposal	(242)	(180)	(228)	(720)	-	(1,370)
Transfer	-	-	352	-	(352)	-
<b>At 31 March 2011</b>	<b>-</b>	<b>115</b>	<b>706</b>	<b>-</b>	<b>-</b>	<b>821</b>
<b>Depreciation</b>						
At 1 April 2010	233	289	213	-	-	735
Charge for year	9	4	108	-	-	121
Disposal	(242)	(180)	(230)	-	-	(652)
Loss on Disposal	-	-	15	-	-	15
<b>At 31 March 2011</b>	<b>-</b>	<b>113</b>	<b>106</b>	<b>-</b>	<b>-</b>	<b>219</b>
<b>Net Book Value</b>						
<b>At 31 March 2011</b>	<b>-</b>	<b>2</b>	<b>600</b>	<b>-</b>	<b>-</b>	<b>602</b>
Net Book Value						
At 31 March 2010	9	6	15	633	352	1,015

	Leasehold Fixtures and Fittings £ 000	IT Equipment £ 000	Fixtures, Fittings and Office Equipment £ 000	Asset Under Construction (1) £ 000	Asset Under Construction (2) £ 000	Total £ 000
<b>Cost or Valuation</b>						
At 1 April 2009	513	294	233	500	-	1,540
Additions	-	1	-	133	352	486
Disposal	-	-	(5)	-	-	(5)
Impairment	(271)	-	-	-	-	(271)
<b>At 31 March 2010</b>	<b>242</b>	<b>295</b>	<b>228</b>	<b>633</b>	<b>352</b>	<b>1,750</b>
<b>Depreciation</b>						
At 1 April 2009	192	281	211	-	-	684
Charge for year	41	8	7	-	-	56
Disposal	-	-	(5)	-	-	(5)
<b>At 31 March 2010</b>	<b>233</b>	<b>289</b>	<b>213</b>	<b>-</b>	<b>-</b>	<b>735</b>
<b>Net Book Value</b>						
<b>At 31 March 2010</b>	<b>9</b>	<b>6</b>	<b>15</b>	<b>633</b>	<b>352</b>	<b>1,015</b>
Net Book Value						
At 31 March 2009	321	13	22	500	-	856

In the year the AHRC moved from its leasehold premises in Bristol to Polaris House in Swindon. As a result of this the leasehold fixtures and fittings category is no longer used and all office related fixtures and fittings are depreciated as fixtures, fittings and office equipment. Assets are not valued using indices as they are not considered material.

Asset Under Construction (1) represents the AHRC's agreed share (1.33%) of the capital costs to date of the Research Councils' UK Shared Services Centre. On completion of the Project, the asset was sold to the Research Councils UK Shared Services Centre. On the 29th of March 2011, each of the seven Research Councils who were joint investors in the Research Councils UK Shared Services Centre project sold their individual assets in the course of construction which totaled £54m to RCUK Shared Services Centre Limited (RCUK SSC Ltd) in exchange for 'B' shares to the same value in RCUK SSC Ltd. AHRC's agreed share (1.33%) of the capital costs of the project to the date of sale was £0.72m

Asset Under Construction (2) represents the capital expenditure on work to prepare the office accommodation in Polaris House, Swindon. On completion of the office relocation the asset was transferred from under construction to in use.

## 12. Intangible Assets

Intangible Assets comprise the AHRC's website and internally developed AHEAD Grants Database, and Software Licences.

	AHRC Website £ 000	AHEAD Database £ 000	Software Licences £ 000	Total £ 000
<b>Cost or Valuation</b>				
At 1 April 2010	29	320	9	358
Additions	-	-	-	-
Disposal	-	-	-	-
<b>At 31 March 2011</b>	<b>29</b>	<b>320</b>	<b>9</b>	<b>358</b>
<b>Amortisation</b>				
At 1 April 2010	14	288	6	308
Charge for year	14	32	1	47
Disposal	-	-	-	-
<b>At 31 March 2011</b>	<b>28</b>	<b>320</b>	<b>7</b>	<b>355</b>
<b>Net Book Value</b>				
<b>At 31 March 2011</b>	<b>1</b>	<b>-</b>	<b>2</b>	<b>3</b>
Net Book Value				
At 31 March 2010	15	32	3	50

	AHRC Website £ 000	AHEAD Database £ 000	Software Licences £ 000	Total £ 000
<b>Cost or Valuation</b>				
At 1 April 2009	21	320	9	350
Additions	8	-	-	8
Disposal	-	-	-	-
<b>At 31 March 2010</b>	<b>29</b>	<b>320</b>	<b>9</b>	<b>358</b>
<b>Amortisation</b>				
At 1 April 2009	1	224	5	230
Charge for year	13	64	1	78
Disposal	-	-	-	-
<b>At 31 March 2010</b>	<b>14</b>	<b>288</b>	<b>6</b>	<b>308</b>
<b>Net Book Value</b>				
<b>At 31 March 2010</b>	<b>15</b>	<b>32</b>	<b>3</b>	<b>50</b>
Net Book Value				
At 1 April 2009	20	96	4	120

## 13. Investment in Joint Venture

SSC Investment	'A' Shares £	'B' Shares £	Total £
At 1 April 2010	1	80,244	80,245
Additions	-	719,749	719,749
Disposals	-	-	-
<b>At 31 March 2011</b>	<b>1</b>	<b>799,993</b>	<b>799,994</b>
SSC Losses	-	(51,000)	(51,000)
<b>At 31 March 2011</b>	<b>1</b>	<b>748,993</b>	<b>748,994</b>

The AHRC's share ownership in RCUK Shared Services Centre Limited (SSC) is one (2010: one) "A" ordinary share of £1 and £799,993 (2010: £80,244) "B" shares of £1 each. The "A" shares carry a voting right per share. Each of the seven Research Councils are joint investors in the project and each Council's individual share is 14%. The "B" shares convey ownership rights to the holder, including any distributions or proceeds from sale of the SSC. Following the sale of the asset under construction (see note 11) to RCUK SSC Ltd AHRC's share ownership is 1.33% (2010: 1.33%).

The operating results, assets and liabilities of SSC Ltd are reflected in the Group's Financial Statements in accordance with IAS 31. The loss relates to depreciation incurred by SSC Ltd but not charged to its customers, who are the seven Research Councils. For the period ended 31 March 2011, the draft financial statements of the SSC shows revenue of £61.2m (2009-10 - £64.8m) and administration costs of £5.9m (2009-10 £8.9m) resulting in a loss for the year of £4.4m (2009-10 - profit £0.1m). The Statement of Financial Position totals are £7 "A" shares and £62,016,358 "B" Shares issued to the Research Councils and £3.9m cash (2009-10 - £5.2m).

## 14. Financial Instruments

The AHRC is committed to pay a total of €3,540,000 towards the Humanities in the European Research Area Joint Research Project from 2011-12 and so could potentially be exposed to currency exchange risk in the future. This is not a financial instrument as this commitment is not a financial liability on the AHRC's Statement of Financial Position at 31 March 2011. Policy Note 1g explains how AHRC is not exposed to financial risk to any significant degree.

As at the 31 March 2011 there is no material difference between the fair value and the book value of financial assets and liabilities.

## 15. Trade Receivables and Other Current Assets

### a. Current Receivables

#### i. Analysis by type

	31 March 2011 £ 000	31 March 2010 £ 000
Trade receivables	375	107
Other receivables	421	195
Prepayments and accrued income	11,241	9,407
	<b>12,037</b>	<b>9,709</b>

#### ii. Intra-Government Balances

	31 March 2011 £ 000	31 March 2010 £ 000
Balances with other central government bodies	671	866
Balances with public corporations and trading funds	-	-
Balances with local authorities	-	19
	<b>671</b>	<b>885</b>
Balances with bodies external to government	11,366	8,824
	<b>12,037</b>	<b>9,709</b>

## 16. Cash and Cash Equivalents

	31 March 2011 £ 000	31 March 2010 £ 000
Balance at 1 April	1,206	940
Net change in cash and cash equivalent balances	(404)	266
<b>Balance at 31 March</b>	<b>802</b>	<b>1,206</b>
The balances at 31 March were held at:		
Government Banking Service accounts	229	1,018
Commercial accounts and cash in hand	573	188
	<b>802</b>	<b>1,206</b>

## 17. Trade Payables and Other Current Liabilities

### a. Current Liabilities

#### i. Analysis by type

	31 March 2011 £ 000	31 March 2010 £ 000
VAT	-	-
Trade payables	(778)	(16)
Other payables	(165)	(650)
Accruals and deferred income	(5,007)	(2,996)
	(5,950)	(3,662)
BIS Creditor - Bank Interest	-	-
	<b>(5,950)</b>	<b>(3,662)</b>

#### ii. Intra-Government Balances

	31 March 2011 £ 000	31 March 2010 £ 000
Balances with other central government bodies	(1,827)	(945)
Balances with local authorities	-	-
	(1,827)	(945)
Balances with bodies external to government	(4,123)	(2,717)
	<b>(5,950)</b>	<b>(3,662)</b>

### b. Non-Current Liabilities

#### i. Analysis by type

	31 March 2011 £ 000	31 March 2010 £ 000
Accruals and deferred income	(166)	(255)
	<b>(166)</b>	<b>(255)</b>

The Research Councils have agreed to meet the costs of pay modernisation in universities insofar as it affects grants arising from applications submitted after 10 March 2005. Predicted costs at 31 March 2011 have been accrued for. All balances are with bodies external to government.

## 18. Provisions for Liabilities and Charges

### a. Provision for rationalisation costs in relation to the development of the Research Councils Shared Services Centre

The Research Councils and the RCUK Shared Services Centre Ltd have developed a Shared Services Centre to carry out the central functions of HR, Finance, Grants processing, Procurement and IT across the Councils. As a result some research councils incurred redundancy costs, particularly where existing staff live a distance away from Swindon where the Centre is situated.

The Research Councils collectively agreed that they would be jointly liable for all necessary redundancies. The Councils calculated their likely redundancy liabilities in order to make a 2008-09 provision and updated this as at 31 March 2010. A funding allocation model was developed and agreed by all the Research Councils and this identified the proportion of SSC project spend and liability that each individual Council would incur.

During 2010-11 these provisions were fully utilised as the transfer of services to SCC Ltd has now been completed.

The AHRC relocated its offices from Bristol to Polaris House, Swindon in June 2010. The provision held relating to the onerous lease on the Bristol office, business rates, dilapidations and redundancy was fully utilised in 2010-11.

	<b>Rationalisation Costs re SSC Ltd £000</b>	<b>Internal Restructuring &amp; Relocation £000</b>	<b>Early Retirement £000</b>	<b>Total Provision £000</b>
At 1 April 2010	38	1,325	-	<b>1,363</b>
Payment/utilisation of provision	(38)	(1,325)	(11)	<b>(1,374)</b>
Increase in provision	-	-	215	<b>215</b>
Reduction in provision	-	-	(26)	<b>(26)</b>
<b>At 31 March 2011</b>	<b>-</b>	<b>-</b>	<b>178</b>	<b>178</b>

### b. Early Retirement

The AHRC relocated its offices from Bristol to Polaris House, Swindon in June 2010. As a result of the relocation of offices and internal restructuring an early retirement provision has been recognised in these financial statements.

The provision has been raised for the following:

	<b>31 March 2011 £ 000</b>	<b>31 March 2010 £ 000</b>
Not later than one year	15	-
Later than one year and not later than five years	56	-
Later than five years	107	-
	<b>178</b>	<b>-</b>

## 19. Capital Commitments

The AHRC has no capital commitments at the end of the financial year 2010-11.

	<b>31 March 2011 £ 000</b>	<b>31 March 2010 £ 000</b>
Asset Under Construction	-	<b>86</b>



## 20. Commitments Under Leases

AHRC has no further obligation on the Whitefriars property in Bristol.

## 21. Other Financial Commitments

The AHRC has the following future commitments on approved awards and grants to Higher Education Institutions and other approved research bodies and individuals (which are not leases or PFI contracts). Payments are analysed by the period during which the commitment expires, as follows:

Research Awards	31 March 2011 £ 000	31 March 2010 £ 000
Not later than one year	42,690	43,305
Later than one year and not later than five years	37,793	38,715
Later than five years	-	95
	<b>80,483</b>	<b>82,115</b>
Postgraduate Awards	31 March 2011 £ 000	31 March 2010 £ 000
Not later than one year	42,960	41,539
Later than one year and not later than five years	100,474	115,371
Later than five years	-	28,648
	<b>143,434</b>	<b>185,558</b>

## 22. Contingent Liabilities Disclosed Under IAS 37

The AHRC has a contingent liability concerning the USS Pension Scheme. The AHRC offers staff the ability to continue contributing to this scheme, but it is currently underfunded and should the AHRC have no USS Pension Scheme members in its employment, the AHRC is liable to pay its share of the deficit.

Professor Rick Rylance, the AHRC's Chief Executive and Professor Shearer West, the AHRC's Director of Research, are currently members of the USS Scheme. At 31 March 2011 there is an estimated contingent liability of £57,407, which will crystallise if both leave the AHRC.

## 23. Related Party

The Arts & Humanities Research Council (AHRC) is a Non-Departmental Public Body (NDPB) sponsored by the Department for Business, Innovation and Skills (BIS).

BIS is regarded as a related party. During the year, the AHRC has had various material transactions with BIS and with other entities for which BIS is regarded as the parent department, as follows: Biotechnology & Biological Sciences Research Council; Economic & Social Research Council; Engineering & Physical Sciences Research Council; Natural Environment Research Council; Science & Technology Facilities Council; and the Technology Strategy Board. In addition, the AHRC has had various material transactions with the Research Councils' Shared Services Centre and with another Central Government body; the Higher Education Funding Council for England.

These Accounts provide disclosure of all material financial transactions with senior executive staff and all Council members. In addition disclosure is provided in respect of members of the AHRC's peer review panels, which are used to make recommendations on research and postgraduate awards.

During the year, the AHRC did not enter into any transactions with any senior executive staff. However it did enter into a number of material transactions with Institutions employing Council/Advisory Board/Panel members who had a direct interest in the award concerned (**Table A**). None of the Council/Advisory Board/Panel members were involved in the recommendation of awards to the Institution where they are a senior member of staff or member of the Governing body.

Information is disclosed on material financial transactions with any related party of these senior staff or Council members. Advisory board members have been included to recognise their influence on the AHRC in respect of strategy development and prioritisation (**Table B**).

# AHRC Annual Report and Accounts 2010-11

In addition, the AHRC made a number of payments in respect of AHRC funded awards to Institutions where Council members are also members of staff or members of Governing bodies. None of the disclosed Council members were involved in the recommendation of awards to the Institution where they are a member of staff or member of the Governing body (**Table C**).

The figures stated are for Research and Postgraduate awards.

**Table A**

<b>Council/Advisory Board/Panel Members</b>	<b>Institution</b>	<b>No of Awards</b>	<b>Amount £000</b>
Professor Jonathan Bate	University of Warwick	1	330
Professor Jonathan Bignell	University of Reading	1	827
Professor Stephen Bottoms	University of Leeds	1	24
Professor Raymond Boyle	University of Glasgow	1	158
Professor John Bryan	University of Huddersfield	1	287
Professor Mary Bryden	University of Reading	1	91
Professor Thomas Clancy	University of Glasgow	1	364
Professor Malcolm Cook	University of Exeter	1	564
Professor Gregory Currie	University of Nottingham	1	559
Professor G Douglas	Cardiff University	1	80
Professor Peter Edbury	Cardiff University	1	398
Professor Mark Everist	University of Southampton	2	637
Professor Rachel Fensham	University of Surrey	2	647
Professor David Fergusson	University of Edinburgh	1	251
Professor Michael Fulford	University of Reading	1	478
Dr Frank Gray	University of Brighton	1	54
Dr Faye Hammill	University of Strathclyde	1	42
Dr Louise Haywood	University of Cambridge	1	24
Dr John Hughes	University of the West of Scotland	1	24
Professor Margaret Iversen	University of Essex	1	486
Professor Helen King	University of Reading	1	75
Professor Kim Knott	University of Leeds	2	374
Professor Mark Llewellyn	University of Strathclyde	1	42
Dr Wilson McLeod	University of Edinburgh	1	76
Dr Jane Milling	University of Exeter	3	91
Dr Martin Myrone	Tate	1	18
Dr Ross Parry	University of Leicester	1	12
Professor Stephen Partridge	University of Dundee	1	217
Professor Julian Richards	University of York	2	1,148
Professor John Rink	University of Cambridge	1	1,833
Professor Ian Ruthven	University of Strathclyde	1	146
Professor Ricarda Schmidt	University of Exeter	1	416
Professor Thomas Schramme	Swansea University	1	42
Professor Adrienne Scullion	University of Glasgow	1	426
Professor Stephen Shute	University of Sussex	1	40
Professor Anna Siewierska	Lancaster University	1	178
Professor Thomas Sorell	University of Birmingham	1	48
Dr Julia Stapleton	University of Durham	1	69
Professor Simon Swain	University of Warwick	1	250
Professor John Thompson	The Queen's University of Belfast	1	605
Professor David Trotter	Aberystwyth University	2	940
Professor John Wolffe	The Open University	1	234

**Table B**

<b>Council/Advisory Board Members</b>	<b>Related Party</b>	<b>No of Awards</b>	<b>Amount £000</b>
Professor E Douglas-Cowie	Son	1	51
Professor Rick Rylance	Partner	1	85

**Table C**

<b>Council Members</b>	<b>Institution</b>	<b>Aggregate Amount £000</b>
Professor Jonathan Bate	University of Warwick	1,617
Professor John Butt	University of Glasgow	2,480
Dame Lynne Brindley	British Library	90
Professor Ellen Douglas-Cowie	Queens University Belfast	765
Professor Roger Kain	School of Advanced Studies	671
Professor Ewan McKendrick	University of Oxford	6,904
Professor Andrew Thompson	University of Leeds	2,706
Professor Sir Richard Trainor	Kings College London	2,468
Professor Sarah Worthington	London School of Economics	249

## **24. Events after the Reporting Period**

Events after the reporting period require the disclosure of the date on which the financial statements were authorised for issue and who gave that authorisation. The financial statements were authorised for issue on the 8th July 2011 by Professor Rick Rylance. There have been no events after the reporting period requiring adjustment to the financial statements.

## Appendix 1 Research Programme: applications and awards 2010-11 for England, Scotland, Wales and Northern Ireland

### UK

Scheme	No of Applications	Amount Requested*	No of Successful Applications	% Success Rate (Nos')	Amount Awarded	£ Success Rate (%)
<b>Responsive Mode</b>						
Research Grants (Standard)	235	80,082,152	52	22	21,740,759	27
Research Grants (Speculative)	7	938,159	1	14	160,162	17
Research Grants - Practice Led and Applied	19	472,765	9	47	253,989	54
Research Networking	50	1,538,996	24	48	759,768	49
Fellowships**	284	14,546,383	115	40	6,093,382	42
Fellowships Early Career**	130	6,392,282	65	50	3,286,220	51
<b>Strategic Mode</b>						
Follow on Funding (Connected Communities)	32	999,684	26	81	827,086	83
Follow on Funding (Beyond Text)	15	355,897	10	67	241,583	68
Follow on Funding (Museums and Galleries)	8	253,382	6	75	186,344	74
Programme Director Impact Fellowships	2	295,753	2	100	301,372	102
Project Development (Connected Communities)	5	59,208	5	100	60,014	101
Public Engagement on Climate Change	1	297,863	1	100	302,436	102
Researching Environmental Change Networks	31	726,906	13	42	312,886	43
Scoping Studies and Research Reviews (Connected Communities)	137	1,164,615	44	32	1,221,971	105
Connected Communities RSA Fellowship	1	205,796	1	100	212,404	103
<b>TOTAL</b>	<b>957</b>	<b>108,329,840</b>	<b>374</b>	<b>39</b>	<b>35,960,376</b>	<b>33</b>

### England

Scheme	No of Applications	Amount Requested*	No of Successful Applications	% Success Rate (Nos')	Amount Awarded	£ Success Rate (%)
<b>Responsive Mode</b>						
Research Grants (Standard)	186	63,105,265	41	22	16,488,948	26
Research Grants (Speculative)	6	778,850	1	17	160,162	21
Research Grants - Practice Led and Applied	18	460,509	9	50	253,989	55
Research Networking	45	1,387,027	21	47	665,314	48
Fellowships**	240	12,189,931	98	41	5,184,919	43
Fellowships Early Career**	110	5,419,671	52	47	2,598,756	48
<b>Strategic Mode</b>						
Follow on Funding (Connected Communities)	25	780,324	21	84	668,969	86
Follow on Funding (Beyond Text)	12	286,310	8	67	194,711	68
Follow on Funding (Museums and Galleries)	6	195,755	4	67	127,841	65
Programme Director Impact Fellowships	2	295,753	2	100	301,372	102
Project Development (Connected Communities)	3	35,346	3	100	35,813	101
Public Engagement on Climate Change	1	297,863	1	100	302,436	102
Researching Environmental Change Networks	27	632,681	12	44	288,472	46
Scoping Studies and Research Reviews (Connected Communities)	108	954,875	36	33	983,336	103
Connected Communities RSA Fellowship	1	205,796	1	100	212,404	103
<b>TOTAL</b>	<b>790</b>	<b>87,025,956</b>	<b>310</b>	<b>39</b>	<b>28,467,442</b>	<b>33</b>

## Appendix 1 Research Programme: applications and awards 2010-11 for England, Scotland, Wales and Northern Ireland

<b>Scotland</b>						
<b>Scheme</b>	<b>No of Applications</b>	<b>Amount Requested*</b>	<b>No of Successful Applications</b>	<b>% Success Rate (Nos')</b>	<b>Amount Awarded</b>	<b>£ Success Rate (%)</b>
<b>Responsive Mode</b>						
Research Grants (Standard)	30	10,358,833	8	27	4,096,797	40
Research Grants (Speculative)	1	159,309	0	0	0	0
Research Grants - Practice Led and Applied	0	0	0	0	0	0
Research Networking	3	92,139	2	67	67,890	74
Fellowships**	27	1,502,915	10	37	566,940	38
Fellowships Early Career**	17	837,339	11	65	576,385	69
<b>Strategic Mode</b>						
Follow on Funding (Connected Communities)	4	123,408	4	100	125,497	102
Follow on Funding (Beyond Text)	3	69,587	2	67	46,872	67
Follow on Funding (Museums and Galleries)	1	25,992	1	100	26,286	101
Programme Director Impact Fellowships	0	0	0	0	0	0
Project Development (Connected Communities)	0	0	0	0	0	0
Public Engagement on Climate Change	0	0	0	0	0	0
Researching Environmental Change Networks	3	70,606	1	33	24,414	35
Scoping Studies and Research Reviews (Connected Communities)	17	113,773	4	24	108,427	95
Connected Communities RSA Fellowship	0	0	0	0	0	0
<b>TOTAL</b>	<b>106</b>	<b>13,353,901</b>	<b>43</b>	<b>41</b>	<b>5,639,508</b>	<b>42</b>
<b>Wales</b>						
<b>Scheme</b>	<b>No of Applications</b>	<b>Amount Requested*</b>	<b>No of Successful Applications</b>	<b>% Success Rate (Nos')</b>	<b>Amount Awarded</b>	<b>£ Success Rate (%)</b>
<b>Responsive Mode</b>						
Research Grants (Standard)	12	3,855,812	2	17	988,421	26
Research Grants (Speculative)	0	0	0	0	0	0
Research Grants - Practice Led and Applied	1	12,256	0	0	0	0
Research Networking	2	59,830	1	50	26,564	44
Fellowships**	14	732,804	7	50	341,523	47
Fellowships Early Career**	3	135,272	2	67	111,079	82
<b>Strategic Mode</b>						
Follow on Funding (Connected Communities)	3	95,952	1	33	32,620	34
Follow on Funding (Beyond Text)	0	0	0	0	0	0
Follow on Funding (Museums and Galleries)	1	31,635	1	100	32,217	102
Programme Director Impact Fellowships	0	0	0	0	0	0
Project Development (Connected Communities)	2	23,862	2	100	24,201	101
Public Engagement on Climate Change	0	0	0	0	0	0
Researching Environmental Change Networks	0	0	0	0	0	0
Scoping Studies and Research Reviews (Connected Communities)	9	95,967	4	44	130,208	136
Connected Communities RSA Fellowship	0	0	0	0	0	0
<b>TOTAL</b>	<b>47</b>	<b>5,043,389</b>	<b>20</b>	<b>43</b>	<b>1,686,833</b>	<b>33</b>



## Appendix 1 Research Programme: applications and awards 2010-11 for England, Scotland, Wales and Northern Ireland

### Northern Ireland

#### Scheme

#### Responsive Mode

	No of Applications	Amount Requested*	No of Successful Applications	% Success Rate (Nos')	Amount Awarded	£ Success Rate (%)
Research Grants (Standard)	7	2,762,242	1	14	166,593	6
Research Grants (Speculative)	0	0	0	0	0	0
Research Grants - Practice Led and Applied	0	0	0	0	0	0
Research Networking	0	0	0	0	0	0
Fellowships	3	120,733	0	0	0	0
Fellowships Early Career	0	0	0	0	0	0
<b>Strategic Mode</b>						
Follow on Funding (Connected Communities)	0	0	0	0	0	0
Follow on Funding (Beyond Text)	0	0	0	0	0	0
Follow on Funding (Museums and Galleries)	0	0	0	0	0	0
Programme Director Impact Fellowships	0	0	0	0	0	0
Project Development (Connected Communities)	0	0	0	0	0	0
Public Engagement on Climate Change	0	0	0	0	0	0
Researching Environmental Change Networks	1	23,618	0	0	0	0
Scoping Studies and Research Reviews (Connected Communities)	3	0	0	0	0	0
Connected Communities RSA Fellowship	0	0	0	0	0	0
<b>TOTAL</b>	<b>14</b>	<b>2,906,593</b>	<b>1</b>	<b>7</b>	<b>166,593</b>	<b>6</b>

\* Please note all Amount Requested figures do not include indexation, and are therefore uninflated. However the Amount Awarded figures do include indexation.

\*\* Please note some Fellowship awards were also included in the 2009-10 Annual Report, resulting in inflated figures.

[illegible]



Appendix 2 Research Programme: location of applicants and award holders 2010-11 Strategic Mode

Institution	Key										Value of awards (£)										TOTAL										
		No of awards made		Follow on Funding (Connected Communities)		Follow on Funding (Beyond Text)		Follow on Funding (Museums and Galleries)		Programme Director Impact Fellowships		Project Development (Connected Communities)		Public Engagement on Climate Change		Researching Environmental Change Networks		Scoping Studies and Research Reviews (Connected Communities)		Connected Communities RSA Fellowship											
Queen Mary, University of London		0	0	0	2	2	48,684		0	0	0	0	0	0	0	0	0	0	1	1	29,114		0	0	0	3	3	77,798			
University of Reading		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
Roehampton University		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	1	21,779		0	0	0	2	1	21,779			
Royal College of Art		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
Royal College of Music		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
Royal Holloway, University of London		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
Royal Northern College of Music		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
University of Salford		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	1	26,773		0	0	0	4	1	26,773			
School of Oriental and African Studies, University of London		0	0	0	1	1	24,437		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	24,437				
Sheffield Hallam		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	1	26,294		0	0	0	3	1	26,294			
University of Sheffield		1	1	32,287	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	1	32,287				
University of Southampton		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2	44,247		0	0	0	3	2	44,247			
St George's, University of London		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
St Marys University College, Twickenham		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
STFC - Laboratories		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
University of Surrey		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
University of Sussex		1	1	32,033	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	1	212,404	3	2	244,437				
Tate		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
University of Teesside		1	1	32,613	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0	0	0	0	3	1	32,613				
Trinity and All Saints		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
Trinity Laban		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
University of the Arts, London		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
University for the Creative Arts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
University College Falmouth		2	1	32,098	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	2	334,534				
University College London		0	0	0	0	0	0	1	1	31,177	0	0	0	0	0	0	1	0	0	0	0	0	0	0	2	1	31,177				
University of the West of England, Bristol		1	1	31,065	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	1	32,382		0	0	0	4	2	63,447			
Victoria & Albert Museum		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
University of Warwick		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
University of Westminster		0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1	1	24,414	2	0	0	0	0	4	1	24,414				
University of Winchester		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
York St John University		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
University of York		1	1	32,129	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0	0	0	0	3	1	32,129				
Totals		25	21	668,969	12	8	194,711	6	4	127,841	2	2	301,372	3	3	35,813	1	1	302,436	27	12	288,472	108	36	983,336	1	1	212,404	185	88	3,115,354
Percentage of grand total		78%	81%	81%	80%	80%	81%	75%	67%	69%	100%	100%	100%	60%	60%	60%	100%	100%	92%	87%	92%	79%	82%	80%	100%	100%	80%	81%	85%		

## Appendix 2 Research Programme: location of applicants and award holders 2010-11 Strategic Mode

Key			No of awards made			Value of awards (£)																						
Institution		Follow on Funding (Connected Communities)		Follow on Funding (Beyond Text)		Follow on Funding (Museums and Galleries)		Programme Director Impact Fellowships		Project Development (Connected Communities)		Public Engagement on Climate Change		Researching Environmental Change Networks		Scoping Studies and Research Reviews (Connected Communities)		Connected Communities RSA Fellowship		TOTAL								
Scotland																												
University of Aberdeen		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0							
University of Abertay, Dundee		2	2	62,980	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	62,980							
University of Dundee		0	0	0	1	23,056	0	0	0	0	0	0	0	0	0	0	1	1	24,209	0	2							
Edinburgh College of Art		1	1	32,479	0	0	0	0	0	0	0	0	0	0	1	0	2	0	0	4	32,479							
Edinburgh Napier University		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0							
University of Edinburgh		0	0	0	0	0	0	1	26,286	0	0	0	0	0	0	0	1	0	0	2	26,286							
Glasgow Caledonian University		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0							
Glasgow School of Art		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0							
University of Glasgow		1	1	30,038	1	0	0	0	0	0	0	0	0	0	1	24,414	7	1	19,225	0	3							
Robert Gordon University		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0							
Royal Commission on the Ancient and Historical Monuments of Scotland		0	0	1	23,816	0	0	0	0	0	0	0	0	0	0	0	1	1	32,556	0	2							
University of St Andrews		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0							
University of Stirling		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0							
University of Strathclyde		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	32,437	0	1							
University of the West of Scotland		0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	0							
Totals		4	4	125,497	3	2	46,872	1	1	26,286	0	0	0	0	3	1	24,414	17	4	108,427	28	331,496						
Percentage of grand total		13%	16%	16%	20%	20%	19%	13%	17%	14%	0%	0%	0%	0%	10%	8%	8%	13%	9%	9%	12%	9%						
Wales																												
Aberystwyth University		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0							
Bangor University		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	32,581	0	1							
Cardiff University		2	1	32,620	0	0	0	0	0	0	1	12,098	0	0	0	0	2	64,639	0	9	109,357							
University of Glamorgan		1	0	0	0	0	0	0	0	0	1	12,103	0	0	0	0	0	0	0	2	12,103							
University of Wales, Lampeter		0	0	0	0	0	0	1	32,217	0	0	0	0	0	0	0	0	0	0	1	32,217							
University of Wales, Newport		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0							
Swansea Metropolitan University		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0							
Swansea University		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0							
University of Wales Institute, Cardiff		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	32,988	0	1							
Totals		3	1	32,620	0	0	0	1	32,217	0	0	2	24,201	0	0	0	0	9	4	130,208	15	219,246						
Percentage of grand total		10%	4%	4%	0%	0%	0%	13%	17%	17%	0%	40%	40%	0%	0%	0%	0%	7%	9%	11%	7%	6%						
Northern Ireland																												
Queen's University Belfast		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0	2	0							
University of Ulster		0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	2	0							
Totals		0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	3	0	4	0							
Percentage of grand total		0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	3%	0%	0%	2%	0%	2%	0%	0%						
GRAND TOTAL		32	26	827,086	15	10	241,583	8	6	186,344	2	2	301,372	5	5	60,014	1	1	302,436	31	13	312,886	1	1	212,404	232	108	3,666,096



Appendix 2 Research Programme: location of applicants and award holders 2010-11 Responsive Mode

Key	No of applications	No of awards made	Value of awards (£)																				
Institution	Research Grants (Standard)			Research Grants (Speculative)			Research Grants - Practice Led and Applied			Research Networking			Fellowships			Fellowships Early Career			TOTAL				
England																							
Anglia Ruskin University	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	2	0	0	0	0	0	5	0
Arts University College at Bournemouth	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
Aston University	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1	0	0
Bath Spa University	0	0	0	0	0	0	0	0	0	0	0	0	3	2	70,226	1	0	0	0	0	4	2	70,226
University of Bath	1	0	0	0	0	0	0	0	0	0	0	0	1	1	50,633	0	0	0	0	0	2	1	50,633
University of Bedfordshire	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
Birkbeck College	1	0	0	1	0	0	0	0	0	0	0	0	5	3	135,281	0	0	0	0	0	9	3	135,281
Birmingham City University	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
University of Birmingham	5	0	0	0	0	0	1	1	32,586	1	1	36,969	9	3	137,071	1	1	26,880	17	6	233,506	3	0
Bournemouth University	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0
University of Bradford	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
University of Brighton	3	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	0	0
University of Bristol	7	3	1,331,702	0	0	0	0	0	0	0	0	0	17	6	352,603	4	3	153,263	28	12	1,837,568	1	1
British Museum	0	0	0	0	0	0	0	0	0	0	0	0	1	1	71,340	0	0	0	1	1	71,340	0	0
Brunel University	2	0	0	0	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0	0	4	0	0
Buckinghamshire New University	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	1	0	0	0
University of Cambridge	4	1	600,765	0	0	0	1	0	0	2	1	32,206	4	1	22,833	1	0	0	12	3	655,804	0	0
Canterbury Christ Church University	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	1	0	0
University of Central Lancashire	0	0	0	0	0	0	0	0	0	0	0	0	2	2	126,891	0	0	0	2	2	126,891	0	0
Central School of Speech and Drama, University of London	1	1	136,882	0	0	0	2	2	58,277	1	0	0	0	0	0	0	0	0	4	3	195,159	0	0
University of Chester	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0
University of Chichester	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	0	0	0
City University	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Coventry University	2	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	0	4	0	0	0	0
De Montfort University	1	1	300,005	0	0	0	0	0	0	0	0	0	2	0	0	0	0	0	3	1	300,005	0	0
University of Derby	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0
University of Durham	2	0	0	0	0	0	0	0	0	0	0	0	6	3	150,197	2	1	48,980	10	4	199,177	0	0
University of East Anglia	5	1	644,933	0	0	0	0	0	0	0	0	0	4	2	64,511	3	1	53,387	12	4	762,831	0	0
University of East London	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0
Edge Hill University	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
University of Essex	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0
University of Exeter	15	6	1,485,652	0	0	0	1	1	30,568	2	1	32,233	12	5	256,107	5	4	217,430	35	17	2,021,990	0	0
Goldsmiths	1	0	0	1	0	0	2	0	0	0	0	0	4	2	108,874	1	0	0	9	2	108,874	0	0
University of Greenwich	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
University of Hertfordshire	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	3	0	0	0	0
University of Huddersfield	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0	2	0	0	5	0	0	0	0
University of Hull	2	1	198,600	0	0	0	0	0	0	1	0	0	4	0	0	1	0	0	8	1	198,600	0	0
Institute of Education, University of London	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0
Keele University	1	0	0	0	0	0	0	0	0	0	0	0	3	2	77,795	0	0	0	4	2	77,795	0	0
University of Kent	5	0	0	0	0	0	0	0	0	1	0	0	4	1	23,005	4	0	0	14	1	23,005	0	0

**Appendix 2 Research Programme:** location of applicants and award holders 2010-11 **Responsive Mode**

Key		No of applications		No of awards made	£ Value of awards (£)		
Institution	 Research Grants (Standard) £	 Research Grants (Speculative) £	 Research Grants - Practice Led and Applied £	 Research Networking £	 Fellowships £	 Fellowships Early Career £	 TOTAL £
King's College London	1 1 850,652	0 0 0	0 0 0	2 0 0	7 3 178,928	2 0 0	4 1,029,580
Kingston University	2 1 167,587	1 1 160,162	0 0 0	0 0 0	1 0 0	1 1 81,272	5 3 409,021
Lancaster University	1 0 0	0 0 0	0 0 0	1 0 0	3 1 38,319	1 0 0	6 1 38,319
Leeds Metropolitan University	1 0 0	0 0 0	0 0 0	0 0 0	0 0 0	1 1 39,517	2 1 39,517
University of Leeds	4 1 160,395	0 0 0	0 0 0	2 0 0	15 8 426,116	9 6 269,323	30 15 855,834
University of Leicester	3 0 0	0 0 0	0 0 0	1 0 0	6 1 50,695	4 2 88,791	14 3 139,486
University of Lincoln	3 0 0	0 0 0	0 0 0	1 1 29,181	0 0 0	0 0 0	4 1 29,181
Liverpool John Moores University	0 0 0	0 0 0	0 0 0	1 0 0	0 0 0	0 0 0	1 0 0
University of Liverpool	0 0 0	0 0 0	0 0 0	0 0 0	2 2 98,194	3 2 108,354	5 4 206,548
London Metropolitan University	1 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	1 0 0
London School of Economics and Political Science	0 0 0	0 0 0	0 0 0	0 0 0	1 1 82,842	0 0 0	1 1 82,842
London South Bank University	0 0 0	0 0 0	0 0 0	0 0 0	1 0 0	0 0 0	1 0 0
University of London	1 1 319,688	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	1 1 319,688
Loughborough University	3 0 0	0 0 0	0 0 0	0 0 0	2 0 0	0 0 0	5 0 0
Manchester Metropolitan University	0 0 0	0 0 0	0 0 0	0 0 0	4 0 0	1 1 57,894	5 1 57,894
University of Manchester	4 0 0	1 0 0	0 0 0	1 1 31,320	8 4 210,041	5 2 126,984	19 7 368,345
Middlesex University	0 0 0	0 0 0	0 0 0	0 0 0	1 0 0	0 0 0	1 0 0
National Museum of Science and Industry	0 0 0	0 0 0	0 0 0	1 1 33,730	0 0 0	0 0 0	1 1 33,730
National Portrait Gallery	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Newcastle University	0 0 0	0 0 0	0 0 0	1 1 25,344	6 3 216,126	2 1 20,838	9 5 262,308
Newman College of Higher Education	0 0 0	0 0 0	0 0 0	1 0 0	0 0 0	0 0 0	1 0 0
University of Northampton	0 0 0	0 0 0	0 0 0	0 0 0	2 1 63,347	0 0 0	2 1 63,347
Northumbria University	1 0 0	0 0 0	0 0 0	0 0 0	0 0 0	2 1 45,490	3 1 45,490
Nottingham Trent University	3 0 0	0 0 0	0 0 0	1 1 34,340	1 0 0	2 2 92,017	7 3 126,357
University of Nottingham	3 0 0	0 0 0	0 0 0	0 0 0	12 8 368,669	7 4 191,429	22 12 560,098
The Open University	2 0 0	0 0 0	0 0 0	0 0 0	3 1 40,883	1 1 55,232	6 2 96,115
Oxford Brookes University	1 0 0	0 0 0	0 0 0	0 0 0	0 0 0	4 1 60,780	5 1 60,780
University of Oxford	15 3 1,281,824	0 0 0	0 0 0	0 0 0	15 9 550,950	9 6 285,800	39 18 2,118,574
University of Plymouth	4 0 0	0 0 0	0 0 0	0 0 0	0 0 0	2 1 26,189	6 1 26,189
University of Portsmouth	0 0 0	0 0 0	0 0 0	0 0 0	3 0 0	2 1 70,555	5 1 70,555
Queen Mary, University of London	3 0 0	0 0 0	0 0 0	1 1 26,195	3 0 0	0 0 0	7 1 26,195
University of Reading	2 1 840,642	0 0 0	0 0 0	2 2 57,604	3 2 166,388	3 0 0	10 5 1,064,634
Roehampton University	1 0 0	0 0 0	1 0 0	1 0 0	4 1 75,544	0 0 0	7 1 75,544
Royal Academy of Music	1 1 242,458	0 0 0	2 1 32,221	0 0 0	0 0 0	0 0 0	3 2 274,679
Royal College of Music	0 0 0	0 0 0	0 0 0	0 0 0	1 0 0	0 0 0	1 0 0
Royal College of Art	1 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	1 0 0
Royal Holloway, University of London	3 1 165,101	0 0 0	0 0 0	0 0 0	4 4 298,973	1 0 0	8 5 464,074
University of Salford	0 0 0	0 0 0	1 0 0	0 0 0	0 0 0	0 0 0	1 0 0
School of Oriental and African Studies	2 0 0	0 0 0	0 0 0	0 0 0	5 3 163,156	1 1 42,762	8 4 205,918
Sheffield Hallam University	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
University of Sheffield	4 1 216,115	0 0 0	0 0 0	3 2 69,872	5 3 123,847	3 1 59,095	15 7 468,929

Appendix 2 Research Programme: location of applicants and award holders 2010-11 Responsive Mode

Key		No of applications		No of awards made	£	Value of awards (£)															
Institution	Research Grants (Standard)			Research Grants (Speculative)			Research Grants - Practice Led and Applied			Research Networking			Fellowships			Fellowships Early Career			TOTAL		
		£		£		£		£		£		£		£		£		£		£	
Southampton Solent University	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
University of Southampton	9	4	2,126,827	0	0	0	3	2	53,102	2	1	23,578	5	2	122,759	2	2	83,939	21	11	2,410,205
St Martin's College	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
University of Sunderland	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
University of Surrey	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
University of Sussex	3	1	307,506	0	0	0	1	0	0	0	0	0	4	2	124,704	3	1	69,472	11	4	501,682
Tate	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0
University of Teesside	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0	0
Trinity Laban	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
University College Falmouth	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
University College London	12	5	2,934,877	0	0	0	0	0	0	5	4	125,744	6	3	153,303	3	2	145,773	26	14	3,359,697
University of the Arts London	4	0	0	1	0	0	1	1	14,736	2	1	33,834	0	0	0	1	0	0	9	2	48,570
University of Warwick	5	2	741,252	0	0	0	0	0	0	2	2	73,164	3	1	53,146	0	0	0	10	5	867,562
University of the West of England, Bristol	2	0	0	0	0	0	1	1	32,499	1	0	0	3	0	0	0	0	0	7	1	32,499
University of Westminster	6	3	977,840	0	0	0	1	0	0	0	0	0	3	1	66,134	1	1	59,694	11	5	1,103,668
The University of Winchester	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	0	2	0	0
University of Wolverhampton	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0
University of York	3	1	457,645	0	0	0	0	0	0	0	0	0	2	0	0	2	1	17,616	7	2	475,261
Totals	186	41	16,488,948	6	1	160,162	18	9	253,989	45	21	665,314	240	98	5,320,431	110	52	2,598,756	605	222	25,487,600
Percentage of grand total	79%	79%	76%	86%	100%	100%	95%	100%	100%	90%	88%	88%	85%	85%	85%	85%	80%	79%	83%	83%	79%
Scotland																					
University of Aberdeen	2	0	0	0	0	0	0	0	0	0	0	0	3	2	144,907	2	0	0	7	2	144,907
University of Dundee	5	1	216,734	0	0	0	0	0	0	0	0	0	1	0	0	1	0	0	7	1	216,734
Edinburgh College of Art	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
University of Edinburgh	6	1	321,594	1	0	0	0	0	0	1	0	0	8	2	133,001	6	5	317,782	22	8	772,377
Glasgow Caledonian University	0	0	0	0	0	0	0	0	0	0	0	0	1	1	50,351	0	0	0	1	1	50,351
Glasgow School of Art	3	1	135,511	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	1	135,511
University of Glasgow	6	4	3,018,964	0	0	0	0	0	0	1	1	31,167	5	2	87,236	3	3	135,138	15	10	3,272,505
Heriot-Watt University	0	0	0	0	0	0	0	0	0	0	0	0	1	1	37,672	0	0	0	1	1	37,672
Edinburgh Napier University	1	0	0	0	0	0	0	0	0	1	1	36,723	0	0	0	1	1	41,551	3	2	78,274
Queen Margaret University Edinburgh	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
The Robert Gordon University	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
University of St Andrews	3	0	0	0	0	0	0	0	0	0	0	0	5	1	48,096	2	1	31,727	10	2	79,823
University of Stirling	3	1	403,994	0	0	0	0	0	0	0	0	0	3	1	65,677	1	1	50,187	7	3	519,858
University of Strathclyde	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	2	0	0
UHI Millennium Institute	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
University of the West of Scotland	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Totals	30	8	4,096,797	1	0	0	0	0	0	3	2	67,890	27	10	566,940	17	11	576,385	78	31	5,308,012
Percentage of grand total	13%	15%	19%	14%	0%	0%	0%	0%	0%	6%	8%	9%	10%	9%	9%	13%	17%	18%	11%	12%	16%

## Appendix 2 Research Programme: location of applicants and award holders 2010-11 Responsive Mode















**Key**  No of applications  No of awards made  Value of awards (£)

### Institution

#### Wales

Aberystwyth University		6	1	137,356		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0</
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#### Northern Ireland

Queen's University, Belfast		6	0	0		0	0	0	0	0	0	0	1	0
University of Ulster		1	1	166,593		0	0	0	0	0	0	0	2	166,593
<b>Totals</b>		7	1	166,593		0	0	0	0	0	0	0	3	166,593
Percentage of grand total		3%	2%	1%		0%	0%	0%	0%	0%	0%	0%	1%	0.6%
<b>GRAND TOTAL</b>		229	46	17,424,612		6	1	160,162	19	9	253,989	47	23	736,190
									281	112	6,093,382	130	65	3,286,220
													713	257,959,555

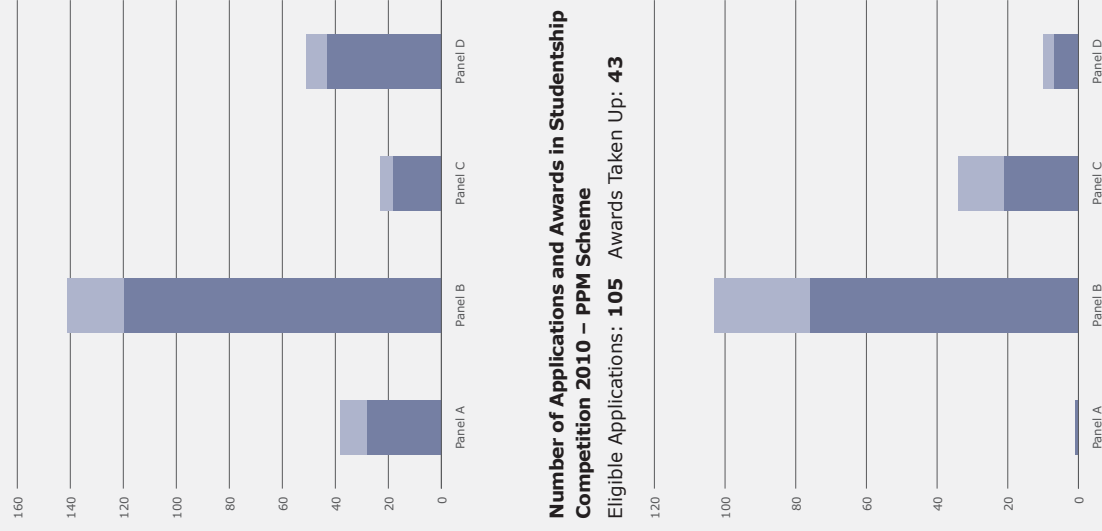
\* Please note that several Fellowship awards were also included in the 2009-10 Annual Report, resulting in inflated figures.

## Appendix 3 Postgraduate Programme: applications and awards 2010-11 by subject area

**Key** ■ Eligible applications ■ Awards taken up

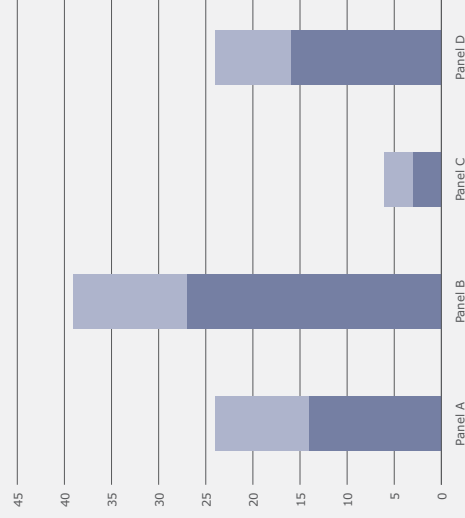
### Number of Applications and Awards in Studentship Competition 2010 – Doctoral Scheme

Eligible Applications: 209 Awards Taken Up: 44



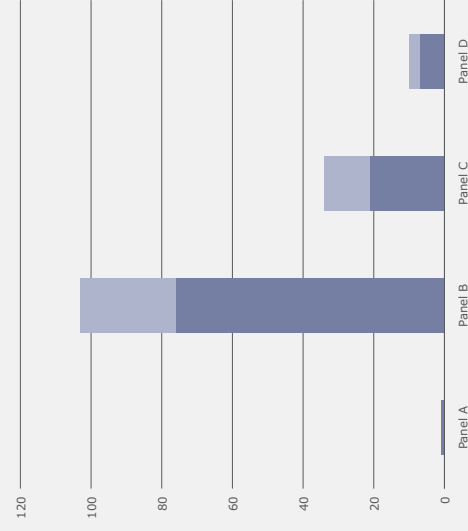
### Number of applications and awards in Studentship Competition 2010 – Research Preparation Master's Scheme

Eligible Applications: 60 Awards Taken Up: 31



### Number of Applications and Awards in Studentship Competition 2010 – PPM Scheme

Eligible Applications: 105 Awards Taken Up: 43























### Block Grant Partnership Nominations – 2010 Cohort

Subject	Doctoral Awarded	PPM Awarded	PPM Awarded
Applied Arts and Crafts	1	n/a	1
Archaeology	33	18	9
Asian Language and Culture	2	2	n/a
Celtic Studies	4	2	n/a
Classics and Ancient History	23	13	n/a
Communications, Graphics and Photography	2	2	6
Conservation	n/a	n/a	11
Creative Writing	5	n/a	2
Cultural Studies	11	14	n/a
Dance, Drama and Performing Arts	10	5	10
Design	2	1	11
English Language and Literature	105	57	n/a
European Language and Culture	3	5	n/a
Film Studies and Television Studies	17	12	n/a
Film, Digital & Media Production	2	2	14
Fine Art	7	6	28
French Language and Culture	18	9	n/a
German Language and Culture	11	6	n/a
History	109	55	n/a
History of Art, Architecture and Design	39	24	3
Iberian & Latin American Language and Culture	11	5	n/a
Interpreting and Translation	2	n/a	22
Italian Language and Culture	7	2	n/a
Journalism and Publishing studies	n/a	n/a	15
Law	20	5	7
Librarianship, Archives, Record Management and Information Science	3	n/a	40
Linguistics	17	9	n/a
Middle Eastern and African Language and Culture	5	3	n/a
Museum Studies	5	n/a	17
Music	32	15	17
Philosophy	51	32	n/a
Religious Studies	26	14	n/a
Russian, Slavonic and Eastern European Language and Culture	6	2	n/a
Grand Total	589	320	213

For 2010 AHRC were expecting to make 1122 studentship awards via the Block Grant Partnerships.

## Appendix 4 Postgraduate Competition 2010: distribution of applicants and awards by institution

**Key**  Applications  Offers  Awards Accepted  % Success Rate by Awards Accepted
















Organisation	Doctoral Competition				Research Preparation Masters Scheme				Professional Preparation Masters Scheme				Overall			
																
<b>England</b>																
Anglia Ruskin University	3	0	0	0%	1	1	1	100%	1	0	0	0%	5	1	1	20%
Aston University	0	0	0	0%	0	0	0	0%	1	1	1	100%	1	1	1	100%
Bath Spa University	3	1	1	33%	0	0	0	0%	3	2	2	67%	6	3	3	50%
University of Bedfordshire	1	0	0	0%	0	0	0	0%	0	0	0	0%	1	0	0	0%
Bournemouth University	2	0	0	0%	0	0	0	0%	1	1	1	100%	3	1	1	33%
University of Birmingham	1	1	1	100%	0	0	0	0%	0	0	0	0%	1	1	1	100%
University of Bradford	4	1	1	25%	1	1	1	100%	0	0	0	0%	5	2	2	40%
University of Brighton	5	3	3	60%	1	1	1	100%	0	0	0	0%	6	4	4	67%
Brunel University	3	0	0	0%	0	0	0	0%	0	0	0	0%	3	0	0	0%
University of Central Lancashire	3	0	0	0%	2	1	1	50%	0	0	0	0%	5	1	1	20%
Canterbury Christ Church University	1	0	0	0%	0	0	0	0%	0	0	0	0%	1	0	0	0%
Central School of Speech and Drama, University of London	4	0	0	0%	0	0	0	0%	8	5	5	63%	12	5	5	42%
University of Chichester	2	1	1	50%	0	0	0	0%	1	0	0	0%	3	1	1	33%
Conservatoire for Dance and Drama	0	0	0	0%	0	0	0	0%	4	1	1	25%	4	1	1	25%
Coventry University	4	0	0	0%	0	0	0	0%	0	0	0	0%	4	0	0	0%
De Montfort University	3	1	1	33%	1	1	1	100%	1	1	1	100%	5	3	3	60%
University of Derby	1	0	0	0%	0	0	0	0%	0	0	0	0%	1	0	0	0%
University of East London	5	3	0	0%	0	0	0	0%	0	0	0	0%	5	3	0	0%
University of Greenwich	5	0	0	0%	0	0	0	0%	0	0	0	0%	5	0	0	0%
Guildhall School of Music and Drama	2	0	0	0%	0	0	0	0%	10	1	1	10%	12	1	1	8%
University of Hertfordshire	5	0	0	0%	0	0	0	0%	0	0	0	0%	5	0	0	0%
Heythrop College	2	2	2	100%	1	1	1	100%	0	0	0	0%	3	3	3	100%
University of Huddersfield	2	2	2	100%	3	1	1	33%	0	0	0	0%	5	3	3	60%
University of Hull	3	0	0	0%	3	1	1	33%	0	0	0	0%	6	1	1	17%
Imperial College London	0	0	0	0%	0	0	0	0%	11	8	8	73%	11	8	8	73%
Keele University	6	2	2	33%	1	0	0	0%	1	0	0	0%	8	2	2	25%
Kingston University	5	2	2	40%	4	2	2	50%	2	2	2	100%	11	6	6	55%
Leeds Metropolitan University	4	0	0	0%	0	0	0	0%	0	0	0	0%	4	0	0	0%
Liverpool Hope University	1	0	0	0%	2	0	0	0%	2	1	1	50%	5	1	1	20%
Liverpool John Moores University	4	1	1	25%	1	1	1	100%	0	0	0	0%	5	2	2	40%
University of London Institute in Paris	0	0	0	0%	2	0	0	0%	0	0	0	0%	2	0	0	0%
London Metropolitan University	5	1	1	20%	1	1	1	100%	1	1	1	100%	7	3	3	43%
Middlesex University	3	0	0	0%	0	0	0	0%	1	1	1	100%	4	1	1	25%
National Film and Television School	0	0	0	0%	0	0	0	0%	6	5	5	83%	6	5	5	83%
University of Northampton	3	0	0	0%	0	0	0	0%	0	0	0	0%	3	0	0	0%
Norwich University College of the Arts	1	1	1	100%	0	0	0	0%	0	0	0	0%	1	1	1	100%
Nottingham Trent University	5	0	0	0%	0	0	0	0%	0	0	0	0%	5	0	0	0%
Oxford Brookes University	8	2	2	25%	2	2	2	100%	6	4	4	67%	16	8	8	50%
University of Plymouth	2	0	0	0%	3	3	3	100%	0	0	0	0%	5	3	3	60%
University of Portsmouth	4	0	0	0%	0	0	0	#DIV/0!	0	0	0	0%	4	0	0	0%



Appendix 4 Postgraduate Competition 2010: distribution of applicants and awards by institution

Key					Doctoral Competition				Research Preparation Masters Scheme				Professional Preparation Masters Scheme				Overall								
Organisation					%					%					%					%					%
Scotland	Roehampton University	4	2	1	25%	0	0	0	0%	0%	1	1	1	100%	5	3	2	40%							
	Royal College of Art	5	3	3	60%	0	0	0	0%	0%	0	0	0	0%	5	3	3	60%							
	Royal Northern College of Music	1	0	0	0%	0	0	0	0%	0%	5	0	0	0%	6	0	0	0%							
	University of Salford	6	0	0	0%	1	1	1	100%	0	0	0	0	0%	7	1	1	14%							
	Sheffield Hallam University	4	1	1	25%	2	1	1	50%	0%	3	3	3	100%	9	5	5	56%							
	Sotheby's Institute of Art	0	0	0	0%	0	0	0	0%	0%	2	0	0	0%	2	0	0	0%							
	University of Surrey	1	1	1	100%	2	0	0	0%	0%	2	0	0	0%	5	1	1	20%							
	The Open University	1	1	1	100%	0	0	0	0%	0%	0	0	0	0%	1	1	1	100%							
	University of Teesside	1	0	0	0%	0	0	0	0%	0%	0	0	0	0%	1	0	0	0%							
	Trinity and All Saints	1	0	0	0%	0	0	0	0%	0%	0	0	0	0%	1	0	0	0%							
	Trinity Laban	3	0	0	0%	0	0	0	0%	0%	2	0	0	0%	5	0	0	0%							
	University College Falmouth	0	0	0	0%	2	0	0	0%	0%	14	1	1	7%	16	1	1	6%							
	University of the West of England, Bristol	2	1	1	50%	0	0	0	0%	0%	2	0	0	0%	4	1	1	25%							
	University of Westminster	6	1	1	17%	0	0	0	0%	0%	0	0	0	0%	6	1	1	17%							
	University of Winchester	4	0	0	0%	0	0	0	0%	0%	0	0	0	0%	4	0	0	0%							
	University of Wolverhampton	2	0	0	0%	1	0	0	0%	0%	0	0	0	0%	3	0	0	0%							
University of Worcester	1	0	0	0%	0	0	0	0%	0%	0	0	0	0%	1	0	0	0%								
West Dean College	0	0	0	0%	0	0	0	0%	0%	2	2	2	100%	2	2	2	100%								
Totals	157	34	30	19%	37	19	19	51%	93	41	41	95%	44%	287	92	90	31%								
Percentage of grand total	75%	76%	73%		62%	59%	61%		89%	95%	95%			77%	78%	78%									
Scotland	University of Dundee	5	1	1	20%	3	1	1	33%	0%	0	0	0	0%	8	2	2	25%							
	Edinburgh College of Art	4	0	0	0%	1	0	0	0%	0%	0	0	0	0%	5	0	0	0%							
	Edinburgh Napier University	2	0	0	0%	1	1	0	0%	0%	0	0	0	0%	3	1	0	0%							
	Glasgow School of Art	4	1	1	25%	0	0	0	0%	0%	1	1	1	100%	5	2	2	40%							
	Heriot-Watt University	1	0	0	0%	0	0	0	0%	0%	0	0	0	0%	1	0	0	0%							
	Queen Margaret University Edinburgh	0	0	0	0%	0	0	0	0%	0%	2	0	0	0%	2	0	0	0%							
	Robert Gordon University	1	0	0	0%	2	1	1	50%	0%	1	0	0	0%	4	1	1	25%							
	UHI Millennium Institute	1	1	1	100%	0	0	0	0%	0%	0	0	0	0%	1	1	1	100%							
	University of Stirling	4	3	3	75%	2	2	2	100%	0%	1	1	1	100%	7	6	6	86%							
	University of Strathclyde	4	1	1	25%	1	0	0	0%	0%	0	0	0	0%	5	1	1	20%							
	University of the West of Scotland	1	0	0	0%	0	0	0	0%	0%	0	0	0	0%	1	0	0	0%							
	Totals	27	7	7	26%	10	5	4	40%	5	2	2	2	40%	42	14	13	31%							
	Percentage of grand total	13%	16%	17%		17%	16%	13%		5%	5%	5%			11%	12%	11%								

Appendix 4 Postgraduate Competition 2010: distribution of applicants and awards by institution

Key		 Applications	 Offers	 Awards Accepted	% Success Rate by Awards Accepted															
		Doctoral Competition				Research Preparation Masters Scheme				Professional Preparation Masters Scheme				Overall						
Organisation					%				%				%				%			
Wales																				
Bangor University		7	3	3	43%	5	4	4	80%	0	0	0	0%	12	7	7	58%			
University of Glamorgan		2	0	0	0%	1	0	0	0%	0	0	0	0%	3	0	0	0%			
University of Wales, Lampeter		1	0	0	0%	2	2	2	100%	0	0	0	0%	3	2	2	67%			
University of Wales, Newport		2	0	0	0%	0	0	0	0%	0	0	0	0%	2	0	0	0%			
Swansea Metropolitan University		1	0	0	0%	0	0	0	0%	4	0	0	0%	5	0	0	0%			
Swansea University		5	1	1	20%	2	1	1	50%	1	0	0	0%	8	2	2	25%			
University of Wales Institute Cardiff		4	0	0	0%	1	0	0	0%	0	0	0	0%	5	0	0	0%			
Totals		22	4	4	18%	11	7	7	64%	5	0	0	0%	38	11	11	29%			
Percentage of grand total		11%	9%	10%		18%	22%	23%		5%	0%	0%		10%	9%	10%				
Northern Ireland																				
University of Ulster		3	0	0	0%	2	1	1	50%	2	0	0	0%	7	1	1	14%			
Totals		3	0	0	0%	2	1	1	50%	2	0	0	0%	7	1	1	14%			
Percentage of grand total		1%	0%	0%		3%	3%	3%		2%	0%	0%		2%	1%	1%				
Grand Total		209	45	41	20%	60	32	31	52%	105	43	43	41%	374	118	115	31%			

## Appendix 5: Collaborative Postgraduate Schemes 1 April 2010 – 31 March 2011

### Student Led Initiatives

Lead Institution	No. 2010 Awards	Value
University of Aberdeen	1	£1,256
Cardiff University	1	£1,940
University of Cambridge	1	£870
Courtauld Institute of Art	1	£2,000
University of Edinburgh	2	£3,930
University of Exeter	3	£5,350
University of Glasgow	1	£2,000
Newcastle University	2	£3,487
Northumbria University	1	£1,865
University of Nottingham	1	£2,000
University of Oxford	4	£7,576
Queen Mary, University of London	1	£1,990
Royal Holloway, University of London	1	£1,055
University of Sussex	1	£2,000
Swansea University	1	£2,045
University of the West of England, Bristol	1	£1,903
University of York	1	£2,022
<b>Total</b>	<b>24</b>	<b>£43,289.00</b>









### Specialist

Lead Institution	No. 2010 Awards	Value
University of Central Lancashire	1	£10,440
King's College London	1	£10,838
The Queen's University of Belfast	1	£10,273
University College London	1	£7,993
University of Liverpool	1	£9,680
University of Nottingham	1	£11,142
University of Oxford	1	£10,230
University of Sheffield	2	£17,388
<b>Total</b>	<b>9</b>	<b>£87,984.00</b>

### Collaborative Doctoral Awards 2010

Institution	Awards	Collaborating Organisation
Bangor University	1	Cardiff Reform Synagogue
Birkbeck College, University of London	1	British Museum
University of Birmingham	3	Birmingham Women's Hospital, Shakespeare Birthplace Trust, Winchester College
University of Brighton	3	Victoria & Albert Museum, Chartered Society of Designers, Victoria & Albert Museum
University of Bristol	3	Bristol Zoo Gardens, Arnos Vale Cemetery Trust, Tate Britain
Cardiff University	1	British Museum
University of Central Lancashire	1	National Football Museum
University of Edinburgh	2	Dovecot Studios Ltd, National Museums of Scotland
University of Essex	1	Tate Britain
University of Exeter	2	Jurassic Coast World Heritage Site, Punchdrunk
University of Glasgow	5	Shetland Amenity Trust, Glasgow Museums, Glasgow Film Theatre, National Museums of Scotland, National Museums of Scotland
Goldsmiths, University of London	1	Horniman Museum
Heriot-Watt University	1	ASF Shetland
University of Hertfordshire	1	National Trust
University of Huddersfield	1	Thackray Museum
King's College London	2	Imperial War Museum London, British Library
University of Leeds	6	BT Archives, Leeds Museums and Galleries, Leeds Museums and Galleries, Phoenix Dance Theatre, National Institute of Agricultural Botany, Leeds Library
University of Leicester	1	British Museum
University of Liverpool	1	Tate Britain
London South Bank University	1	Tate Britain
Loughborough University	2	Society Of Dyers & Colourists, Parametric Technology UK Ltd
University of Manchester	1	Manchester Jewish Museum
University of Newcastle upon Tyne	1	Beamish Museum
University of Northampton	2	DANCE 4, English Heritage
Northumbria University	1	Newcastle City Council
University of Nottingham	4	Tate Britain, National Gallery, Aegis Trust, Science Museum
Queen Mary, University of London	3	V&A Museum of Childhood, Actors Touring Company Ltd ATC, Grupo Cultural AfroReggae
University of Reading	1	Musée de l'Imprimerie Lyon
Royal College of Art	1	Victoria & Albert Museum
Royal Holloway, University of London	3	Botanic Gardens Conservation International, Northern Broadbides Theatre Company, National Maritime Museum
University of Salford	1	Working Class Movement Library
University of Sheffield	2	OCLC Online Computer Library Center Inc, British Library
University of Southampton	2	National Trust, National Maritime Museum
University of Sunderland	1	Tyne and Wear Museums
University of Sussex	2	National Trust, Victoria & Albert Museum
University College London	2	Health Protection Agency, National Gallery
University of the West of England, Bristol	1	Royal Geographical Society with IBG
University of Winchester	1	Holocaust Educational Trust
University of York	5	York Archaeological Trust, Harewood House Trust, National Maritime Museum, York Museums Trust, Tate Britain
<b>Total</b>	<b>74</b>	




## Appendix 6: Results of the 2010 Doctoral Submission Survey

















Key			No. award holders		No. Submissions	%																
Institution		Overall Four Year 2008-20010				Four Year Submission Rates For Submissions Due In 2010				Four Year Submission Rates For Submissions Due In 2009				Four Year Submission Rates For Submissions Due In 2008								
						%						%						%				
University of Aberdeen		11		9		82%		4		4		100%		2		1		50%		4		80%
Aberystwyth University		7		5		71%		1		1		100%		2		1		50%		3		75%
University of the Arts, London		11		10		91%		5		5		100%		5		4		80%		1		100%
Bangor University		8		6		75%		4		2		50%		4		4		100%		0		
University of Bath		2		1		50%		0		0				1		0		0%		1		100%
Bangor University		8		6		75%		4		2		50%		4		4				0		
Bath Spa University		1		0		0%		0		0				0		0				1		0%
Birkbeck College, University of London		43		36		84%		19		16		84%		12		9		75%		12		92%
University of Birmingham		50		41		82%		18		14		78%		13		11		85%		19		84%
Bournemouth University		2		2		100%		1		1		100%		1		1		100%		0		
University of Bradford		5		4		80%		2		2		100%		2		1		50%		1		100%
University of Brighton		2		1		50%		0		0				1		1		100%		1		0%
University of Bristol		29		21		72%		12		9		75%		6		3		50%		11		82%
Buckinghamshire New University		1		0		0%		0		0				0		0				1		0%
University of Cambridge		249		215		86%		76		65		86%		91		83		91%		82		82%
Cardiff University		29		24		83%		12		11		92%		11		8		73%		6		83%
University of Central Lancashire		4		1		25%		2		0		0%		1		1		100%		1		0%
City University		3		2		67%		1		0		0%		0		0				2		100%
Courtauld Institute of Art, University of London		21		19		90%		9		8		89%		8		8		100%		4		75%
University for the Creative Arts		1		1		100%		0		0				0		0				1		100%
Dartington College of the Arts		1		0		0%		0		0				0		0				0		0%
De Montfort University		2		2		100%		1		1		100%		0		0				1		100%
University of Dundee		8		6		75%		2		2		100%		1		1		100%		5		60%
University of Durham		42		35		83%		14		11		79%		15		12		80%		13		92%
University of East Anglia		31		25		81%		8		7		88%		11		10		91%		12		67%
University of East London		3		1		33%		2		1		50%		1		0		0%		0		
University of Edinburgh		49		42		86%		20		16		80%		11		9		82%		18		94%
Edinburgh College of Art		3		0		0%		1		0		0%		1		0		0%		1		0%
University of Essex		13		11		85%		8		7		88%		2		1		50%		3		100%
University of Exeter		39		32		82%		11		10		91%		14		12		86%		14		71%
University of Glamorgan		1		1		100%		0		0				1		1		100%		0		
University of Glasgow		59		45		76%		20		13		65%		23		20		87%		16		75%
University of Gloucestershire		1		0		0%		1		0		0%		0		0				0		
Goldsmiths College, University of London		25		22		88%		7		7		100%		8		7		88%		10		80%
Heriot-Watt University		1		0		0%		1		0		0%		0		0				0		
Heythrop College, University of London		1		1		100%		1		1		100%		0		0				0		
University of Huddersfield		1		1		100%		1		1		100%		0		0				0		
University of Hull		6		5		83%		2		2		100%		2		2		100%		2		50%
Imperial College London, University of London		7		5		71%		1		0		0%		5		4		80%		1		100%
Institute of Education, University of London		3		0		0%		1		0		0%		2		0		0%		0		
University of Kent		17		17		100%		9		9		100%		3		3		100%		5		100%

Appendix 6: Results of the 2010 Doctoral Submission Survey

Key			No. award holders		No. Submissions	% %									
Institution		Overall Four Year 2008-20010				Four Year Submission Rates For Submissions Due In 2010		Four Year Submission Rates For Submissions Due In 2009		Four Year Submission Rates For Submissions Due In 2008					
						%				%				%	
Keele University															
	King's College London, University of London	8	4	67	29	50%	2	2	100%	3	0	0%	3	2	67%
Kingston University															
	Lancaster University	6	3	26	11	50%	5	2	40%	22	18	82%	23	20	87%
University of Leeds															
	Leeds Metropolitan University	35	29	13	0	83%	13	12	92%	10	10	100%	8	7	88%
Leeds Metropolitan University															
	University of Leicester	2	1	10	3	50%	3	3	100%	2	1	50%	0	0	0
University of Leicester															
	University of Lincoln	12	0	0	2	83%	2	0	0%	5	4	80%	4	3	75%
University of Lincoln															
	University of Liverpool	26	26	11	11	100%	11	11	100%	8	8	100%	7	7	100%
University of Liverpool															
	London School of Economics and Political Science, University of London	7	88%	0	0	1	0	0%	2	100%	5	5	100%	5	100%
London School of Economics and Political Science, University of London															
	London Metropolitan University	3	3	0	0	100%	0	0		1	1	100%	2	2	100%
London Metropolitan University															
	Loughborough University	4	3	2	2	75%	2	2	100%	0	0		2	1	50%
Loughborough University															
	University of Manchester	63	55	27	24	87%	27	24	89%	19	16	84%	17	15	88%
University of Manchester															
	Manchester Metropolitan University	6	5	1	1	83%	1	1	100%	5	4	80%	0	0	0
Manchester Metropolitan University															
	Middlesex University	3	2	1	0	67%	1	0	0%	1	1	100%	1	1	100%
Middlesex University															
	Newcastle University	32	24	13	11	75%	13	11	85%	10	7	70%	9	6	67%
Newcastle University															
	University of Northampton	1	1	1	1	100%	1	1	100%	0	0		0	0	0
University of Northampton															
	Northumbria University	7	7	4	4	100%	4	4	100%	2	2	100%	1	1	100%
Northumbria University															
	Norwich University College of the Arts	1	0	0	0	0%	1	0	0%	0	0		0	0	0
Norwich University College of the Arts															
	University of Nottingham	48	40	19	15	83%	19	15	79%	21	18	86%	8	7	88%
University of Nottingham															
	Nottingham Trent University	4	1	1	0	25%	1	0	0%	2	0	0%	1	1	100%
Nottingham Trent University															
	The Open University	1	1	0	0	100%	0	0		1	1	100%	0	0	0
The Open University															
	University of Oxford	265	222	94	79	84%	94	79	84%	94	78	83%	77	65	84%
University of Oxford															
	Oxford Brookes University	11	9	3	3	82%	3	3	100%	7	5	71%	1	1	100%
Oxford Brookes University															
	University of Plymouth	3	1	3	1	33%	3	1	33%	0	0		0	0	0
University of Plymouth															
	University of Portsmouth	3	3	2	2	100%	2	2	100%	1	1	100%	0	0	0
University of Portsmouth															
	Queen Mary, University of London	36	27	17	10	75%	17	10	59%	13	12	92%	6	5	83%
Queen Mary, University of London															
	The Queen's University of Belfast	28	25	12	10	89%	12	10	83%	10	10	100%	6	5	83%
The Queen's University of Belfast															
	University of Reading	24	20	9	8	83%	9	8	89%	12	9	75%	3	3	100%
University of Reading															
	Roehampton University	4	3	1	1	75%	1	1	100%	1	1	100%	2	1	50%
Roehampton University															
	Royal Academy of Music	5	3	3	2	60%	2	1	50%	2	1	50%	1	1	100%
Royal Academy of Music															
	Royal College of Art	8	8	3	3	100%	3	3	100%	3	3	100%	2	2	100%
Royal College of Art															
	Royal College of Music	3	3	1	1	100%	1	1	100%	1	1	100%	1	1	100%
Royal College of Music															
	Royal Holloway, University of London	48	43	19	16	90%	19	16	84%	13	12	92%	16	15	94%
Royal Holloway, University of London															
	Royal Scottish Academy of Music and Drama	1	1	1	1	100%	1	1	100%	0	0		0	0	0
Royal Scottish Academy of Music and Drama															
	School of Advanced Studies, University of London	11	7	5	3	64%	5	3	60%	4	3	75%	2	1	50%
School of Advanced Studies, University of London															
	School of Oriental and African Studies, University of London	35	31	10	8	89%	10	8	80%	13	12	92%	12	11	91%
School of Oriental and African Studies, University of London															
	University of Sheffield	46	35	19	14	76%	19	14	74%	13	11	85%	14	10	71%
University of Sheffield															
	University of Southampton	31	27	10	9	87%	10	9	90%	11	9	82%	10	9	90%
University of Southampton															

## Appendix 6: Results of the 2010 Doctoral Submission Survey

**Key**  No. award holders  No. Submissions  %

Institution	Overall Four Year 2008-20010				Four Year Submission Rates For Submissions Due In 2010				Four Year Submission Rates For Submissions Due In 2009				Four Year Submission Rates For Submissions Due In 2008			
																
University of St Andrews	24	18	75%		10	6	60%		9	8	89%		5	4	80%	
University of Stirling	6	6	100%		2	2	100%		1	1	100%		3	3	100%	
University of Sunderland	4	3	75%		2	2	100%		1	1	100%		1	0	0%	
University of Surrey	1	0	0%		0	0			1	0	0%		0	0		
University of Sussex	46	42	91%		14	12	86%		15	15	100%		17	15	88%	
University College London, University of London	106	91	86%		43	35	81%		30	24	77%		33	32	97%	
University of Ulster	3	3	100%		1	1	100%		1	1	100%		1	1	100%	
University of Wales, Lampeter	3	0	0%		0	0			3	0	0%		0	0		
University of Wales, Swansea	3	2	67%		0	0			1	0	0%		2	2	100%	
University of Warwick	35	35	100%		14	14	100%		12	12	100%		9	9	100%	
University of the West of England, Bristol	4	1	25%		1	0	0%		3	1	33%		0	0		
The University of Winchester	1	1	100%		1	1	100%		0	0			0	0		
University of Wolverhampton	2	2	100%		0	0			2	2	100%		0	0		
University of York	58	53	91%		21	18	86%		17	17	100%		20	18	90%	
<b>TOTAL</b>	<b>2033</b>	<b>1695</b>	<b>83%</b>		<b>748</b>	<b>615</b>	<b>82%</b>		<b>686</b>	<b>576</b>	<b>84%</b>		<b>599</b>	<b>504</b>	<b>84%</b>	



Appendix 7 AHRC Knowledge Transfer Schemes: applications and awards made in 2010/11

Key		No of applications		No of awards made		Value of awards (£)		AHRC Scottish Funding Council Knowledge Exchange						TOTAL					
Institution						Knowledge Catalyst													
England																			
Bath Spa University	1		1		26,220		0	0	0	0		1		1		26220		1	
De Montfort University	1		0		0		0	0	0	0		1		1		0		0	
University of East Anglia	1		1		21,130		0	0	0	0		1		1		21130		1	
University of Northampton	1		1		29,295		0	0	0	0		1		1		29295		1	
Totals	4		3		76,645		0	0	0	0		4		4		76,645		3	
Percentage of grand total	100%		100%		100%		0%	0%	0%	0%		11%		33%		13%		33%	
Scotland																			
University of Aberdeen	0		0		0		3	0	0	0		3		3		0		0	
University of Dundee	0		0		0		4	0	0	0		4		4		0		0	
Edinburgh College of Art	0		0		0		4	0	0	0		4		4		0		0	
Edinburgh Napier University	0		0		0		0	0	0	0		0		0		0		0	
University of Edinburgh	0		0		0		2	1	1	99,765		2		2		99,765		1	
Glasgow Caledonian	0		0		0		2	1	1	74,994		2		2		74,994		1	
Glasgow School of Art	0		0		0		1	1	1	41,632		1		1		41,632		1	
University of Glasgow	0		0		0		5	1	1	98,334		5		5		98,334		1	
Queen Margaret University	0		0		0		2	0	0	0		2		2		0		0	
The Robert Gordon University	0		0		0		3	1	1	99,391		3		3		99,391		1	
UHI Millenium Institute	0		0		0		1	1	1	90,140		1		1		90,140		1	
University of St Andrews	0		0		0		1	0	0	0		1		1		0		0	
University of Stirling	0		0		0		1	0	0	0		1		1		0		0	
University of Strathclyde	0		0		0		3	0	0	0		3		3		0		0	
Totals	0		0		0		32	6	6	504,256		32		32		504,256		6	
Percentage of grand total	0%		0%		0%		100%	100%	100%	100%		89%		67%		87%		67%	
GRAND TOTAL	4		3		76,645		32	6	6	504,256		36		9		580,901		9	

## Appendix 8: What our awards deliver

### Peer-review Grades for Research Award Final Reports

Grade	2008/09	2009/10	2010/11
Outstanding	34.8%	31.7%	50%
Good	33.4%	51.0%	36%
Satisfactory	29.3%	16.3%	8%
Unsatisfactory	2.4%	1.0%	0%

### Number of Outputs\* from Research Awards

Output type	2008/09	2009/10	2010/11
Paper	1374	458	354
Electronic	273	97	22
Performance & visual media	305	50	6
Conferences & seminars	862	243	339
All other	1167	270	274
Total	3,981	1,118	995

\*Figures based on final reports where peer-review assessment is complete. 2010/11 figures are therefore currently partial and will increase as reports are assessed.

### Percentage of Research Awards with Collaborations\*\*

Collaboration type	2008/09	2009/10	2010/11
Within own HEI	46.2%	41.3%	58.6%
With other UK HEI	54.1%	38.5%	63.8%
With UK non-HEI	29.3%	26.9%	19.0%
Outside UK	53.1%	63.5%	22.4%

\*\*A single award may have more than one type of collaboration

# Notes

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.



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