

Information Released under Freedom of Information Act

Subject: Request for Late Filing Penalty statistics

Date Released: 28 July 2015

Summary of request: The enquirer requested details of Companies House backup system for Late Filing Penalty statistics

Information Released

You asked the following questions:

1. What was the total revenue raised by Companies House in 2013/14 and 2014/15 through Late Filing Penalties?

This information can be found in the Trust Statement in the Companies House Annual Report & Accounts. These reports are published on the Companies House page on the Gov.uk website.

The following are direct links:

<https://www.gov.uk/government/publications/companies-house-annual-report-and-accounts-2013-to-2014>

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/446159/Annual_Report_201415.pdf

2. How many Late Filing Penalties were issued in total for each of these years?

3. How many appeals were received for each of these years?

Companies House publishes Late filing Penalty Statistics on the Gov.uk website.

The following are direct links to the late filing penalty statistics for 2013/2014 and 2014/2015

<http://webarchive.nationalarchives.gov.uk/20141205160822/http://www.companieshouse.gov.uk/about/corporateDocuments/lateFilingPenaltiesStatistics2013-2014.pdf>

<http://webarchive.nationalarchives.gov.uk/20141205160822/http://www.companieshouse.gov.uk/about/corporateDocuments/lateFilingPenaltiesStatistics2014-2015.pdf>

The number of penalties issued are shown as the 'Number of penalties imposed' on the above website pages.

4. How many court hearings were there for each of these years?

Companies House does not routinely record this information but I have made enquiries and it is estimated that the average number of court hearings each year, concerning late filing penalties, is approx 400. Please note that this figure has not been validated and therefore cannot be guaranteed.

5. How many appeals were successful for each of these years?

This information can also be found via the website links provided in 2. and 3. above. The number of successful appeals are shown as the 'Number of penalties where discretion was used not to collect'.

6. Who receives the funds raised through Late Filing Penalties?

The penalties collected are paid into HM Treasury's Consolidated Fund.

I have not provided you with the above information as it is already available to you via our website and under section 21 of the Freedom of Information Act 2000 any information that is reasonably accessible to the applicant, albeit on payment of a fee, is exempt information under the Act.