

Undertaking given by Rockschool Limited (RSL) to the Office of Qualifications and Examinations Regulation (Ofqual) in accordance with Condition B8 of the General Conditions of Recognition, June 2016 (The Conditions).

Undertaking:

In the context of:

- i. An admission that there was a failure to fully comply with condition C2 in every respect by not having adequate centre agreements which incorporate a process for centre withdrawal and/or the incorporation of certain policies and procedures;
- ii. An admission that there were deficiencies in the moderation process indicating that RSL did not have clear and effective arrangements in place for moderation in full compliance with Condition H2.1;
- iii. An admission that RSL did not take all reasonable steps to prevent certain risks it had identified as required by Condition A6.2; and
- iv. An admission that these breaches led to RSL's failure to comply with its Conditions of Recognition, specifically Conditions A5.2(b) and (d), A8.2(a), C2.3(k), H2.3(b), H2.2(b), H5.2(a) and (b), H6.1(d) and G4.1.

Having already implemented changes to the moderation process, management of confidential material, confidentiality arrangements, quality assurance, risk management, staffing, staff training and administration with a view to securing compliance on an ongoing basis with the Conditions, RSL will:

With a view to securing compliance with Condition C2 and having by the 14th July 2017, issued to all approved centres, a revised centre agreement that fully complies with Conditions C1 and C2, RSL undertakes to:

1. Maintain a detailed list identifying the centres which have returned a signed copy of the revised centre agreement, those which have not and those that are withdrawing; and
2. On or before the 30 September 2017, supply Ofqual with a copy of the list of centres identifying which have returned a signed copy of the revised centre agreement, those which have not and those that are withdrawing.

With a view to securing compliance on an ongoing basis with Condition H2.1, RSL undertakes to:

3. Review before 30th September 2017, the performance of newly implemented processes and procedures for the moderation of assessments undertaken by centres and assessments marked by RSL during the 2017 examination period, and identify quality improvements for academic year 2017/18 in accordance with Condition H2.1.

With a view to securing compliance with Conditions H5.2, A8.2 and H6.1 on an ongoing basis, RSL undertakes to:

4. Ensure that, prior to results being issued for 2016/17 academic year, all assessment decisions are moderated and results accurately and completely reflect the marking and can be attributed to individual learners in accordance with Conditions H5.2, A8.2 and H6.1.

With a view to securing compliance with Condition G4.1 on an ongoing basis, RSL undertakes to:

5. Review the ongoing confidentiality of assessment materials and/or information about the assessment and take all such reasonable steps as may be identified in relation to global arrangements or individual cases before January 2018 (that being the next relevant assessment period for RSL), to ensure that confidentiality is maintained in accordance with Condition G4.1.

With a view to securing compliance with the Conditions generally, RSL undertakes to:

6. Conduct regular quality reviews as outlined at point (e) of the RSL letter dated 7 July 2017, namely:
 - i. Conduct monthly sittings of the Quality Committee to review compliance and quality of RSL's provision;
 - ii. Conduct quality reviews following each assessment window and moderation window; and
 - iii. Conduct a formal annual review of policy and procedure by 31 August 2018.
 - iv. Supply Ofqual with a copy of any of the quality reviews upon request.

AND

With a view to assuring Ofqual that it has complied with this Undertaking, RSL will:

7. On or around 30th September 2017, arrange for its responsible officer and appropriate colleagues to meet with and review, with members of Ofqual's Standards Team and/or Legal Moderation and Enforcement Team (to be determined by Ofqual), its progress in relation to the actions required by this Undertaking.

8. On or before 30th September 2017, provide to Ofqual a Statement of Assurance, signed by the Chairman of the RSL Board and the responsible officer, confirming that it has completed the actions set out at paragraphs 1, 2, 3 and 4 of this Undertaking;
9. On or before 30th June 2018, provide to Ofqual a Statement of Assurance, signed by the Chairman of the RSL Board and the responsible officer, confirming that it has completed the actions set out in paragraph 5 of this Undertaking.
10. On or before 14 September 2018, provide to Ofqual a Statement of Assurance, signed by the Chairman of the RSL Board and the responsible officer, confirming that it has completed the actions set out in paragraph 6 i, ii and iii of this Undertaking

In giving this Undertaking, I acknowledge on behalf of RSL that:

- 1) Ofqual will publish this Undertaking; and
- 2) Ofqual may take enforcement action in respect of any failure to comply with an Undertaking given to it which may include the issue of a Direction, the imposition of a fine, costs or other such action as it deems appropriate.

Signed:....Amanda Annis..... Date:.....1st August 2017.....

Responsible officer