

**1. How many complaints have been made by members of the public about the conduct of officers in your police force?**

A total of **353** complaint cases were recorded between 01/01/2006 and 09/12/2015. A total of **849** complaint allegations were linked to these complaint cases – see breakdown per year below (Table 1).

The table below reflects the number of complaint allegations recorded in relation to cases recorded since 01/01/2006 only. Further complaint allegations may have been recorded during this period (e.g. a complaint case recorded in 2005 with an allegation added to the case in 2006).

It is noted that more than one officer may be linked to each allegation.

<b>Year Case Recorded</b>	<b>Number of Cases Recorded</b>	<b>Number of Allegations</b>
2006	34	138
2007	34	75
2008	40	108
2009	28	60
2010	51	91
2011	49	129
2012	38	75
2013	42	121
2014	24	34
2015	13	18
<b>Total</b>	<b>353</b>	<b>849</b>

**Table 1 – Complaint Allegations Recorded in Relation to Cases Recorded between 01/01/2006 and 09/12/2015**

*o I would also like to know what action was taken against the officers who had complaints made against them.*

*§ Contained within the information, I would like to know how many complaints were substantiated; how many were unsubstantiated; how many were discontinued; how many were resolved using a local resolution; as well as any other terms used about complaints*

Table 2 highlights the action taken in relation to the aforementioned complaint allegations.

Year	De-recorded	Disapplication by IPCC	Discontinued	Dispensation	Local Resolution	Substantiated	Unsubstantiated	Withdrawn	Live	Total
2006			1	1	10	25	100	1		138
2007			1	3	14	14	40	3		75
2008	4		1		23	18	57	5		108
2009			4	3	13	6	23	11		60
2010	4			2	35	6	39	5		91
2011				2	35	16	33	27	16	129
2012	1				20	9	41	4		75
2013	2				22	20	35	5	37	121
2014	1	1		1	6	6	11	1	7	34
2015	1				5	1	1		10	18
<b>Total</b>	<b>13</b>	<b>1</b>	<b>7</b>	<b>12</b>	<b>183</b>	<b>121</b>	<b>380</b>	<b>62</b>	<b>70</b>	<b>849</b>

Table 2 – Action Taken in Relation to Complaint Allegations with Case Recorded Dates between 01/01/2006 and 09/12/2015

§ I would also like to know what the consequences were for officers whose case were substantiated: how many were dismissed; how many saw formal action taken; how many resigned/retired; how many saw no further action taken etc.

The **121** substantiated complaint allegations recorded between 01/01/2006 and 09/12/2015 originated from **54** separate complaint cases.

It should be noted that;

- A number of complaint allegations had more than one subject officer linked
- There were instances in which the same subject officer(s) was linked to multiple allegations within the same case, or in different cases. *The tables below are therefore not reflective of the total number of subject officers linked to complaint cases.*
- In some cases, officers received different consequences for different allegations within the same case (e.g. 1 x allegation resulted as NFA and 1 x allegation resulted as Advice). In such instances, the most serious sanction only has been included in the table.

Year	Number of Substantiated Allegations in Case	Number Officers Linked to Allegation(s)	Outcome	Comments
2006	1	2	2 x NFA	Minor service complaint, no individual misconduct
	1	1	Advice	
	5	1	NFA	Referred to Misconduct Panel – officer pleaded guilty, no sanction imposed when finalising case
	7	1	Fined £1800	
	1	1	Written Warning	
	4	1	Advice	
	1	1	Advice	
	4	2	2 x Advice	
	1	1	Advice	
2007	3	1	Advice	
	3	2	2 x NFA	Service complaint, no individual misconduct
	4	3	1 x Advice, 1 x Caution, 1 x Reprimand	
	1	1	Advice	
	3	1	Advice	

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Year	Number of Substantiated Allegations in Case	Number Officers Linked to Allegation(s)	Outcome	Comments
2008	3	1	Written Warning	
	1	2	1 x Advice, 1 x NFA	Allegation not substantiated in relation to one of the officers involved
	1	1	Written Warning	
	4	1	Written Warning	
	2	5	4 x Advice, 1 x NFA	Allegations not substantiated in relation to one of the officers involved
	5	6	3 x Advice, 3 x NFA	Allegations not substantiated in relation to three of the officers involved
	1	2	2 x Advice	
	1	1	Advice	
2009	2	2	2 x Advice	
	1	1	Advice	
	1	1	Advice	
	2	2	1 x Advice, 1 x NFA	Allegations not substantiated in relation to one of the officers involved
2010	1	1	Management Action	
	1	1	Management Action	
	2	1	Management Action	
	2	2	2 x Management Action	
2011	1	4	3 x Final Written Warning, 1 x Resigned	
	12	2	1 x Management Action, 1 x No Action	Allegations linked to one of the officers were procedural only (no individual misconduct linked to this officer)
	1	1	Management Action	
	1	1	Management Action	
	1	1	Management Action	

Annex to FOI 2015/11172 – RFI 255/15 – Dated 8 January 2016

Year	Number of Substantiated Allegations in Case	Number Officers Linked to Allegation(s)	Outcome	Comments
2012	2	4	4 x No Action	Procedural only – no individual misconduct
	1	4	4 x Management Action	
	5	3	3 x No Action	Procedural only – no individual misconduct
	1	1	Management Action	
2013	1	1	Management Action	
	1	1	Resigned	
	1	2	1 x Management Action, 1 x No Action	Allegation not substantiated in relation to one of the officers involved
	1	1	Management Action	
	1	1	Management Action	
	1	1	Management Action	
	1	1	Management Action	
	6	1	Resigned	
	4	4	1 x Management Action, 3 x No Action	Allegations not substantiated in relation to three of the officers involved
	3	2	1 x Management Action, 1 x Resigned	
2014	1	4	4 x No Action	Allegation not substantiated in relation to two of the linked officers. No formal action taken against other two officers – both received advice
	1	1	No Action	No misconduct found
	3	0 (Organisational not individual)	Review Policy	
	1	1	No Action	Matters raised were subject to another formal process (Grievance) which was still ongoing – considered disproportionate to instigate another formal process in relation to the same matters
2015	1	1	Management Action	

Table 3 – Consequences for Officers Linked to Substantiated Complaint Allegations with Case Recorded Dates between 01/01/2006 and 09/12/2015

**2. How many officers in your force were accused of assault by members of the public?**

*o And what action was taken against the accused officers who had complaints made against them.*

*§ Contained within the information, I would like to know how many complaints were substantiated; how many were unsubstantiated; how many were discontinued; how many were resolved using a local resolution; as well as any other terms used about complaints.*

Note: This data includes complaint cases recorded between 01/01/2006 and 09/12/2015 with either;

- Allegation type of ‘Other Assault’
- Allegation type of ‘Sexual Assault’
- Allegation type of ‘Serious Non Sexual Assault’
- Use of the word ‘assault’ in allegation summary

There is the potential that further cases exist which have not been recorded under one of these categories or which do not use the specific word ‘assault’ in the allegation summary.

A total of **35** complaint allegations originating from **28** separate complaint cases were recorded between 01/01/2006 and 09/12/2015 – see Table 4 below for breakdown by year.

Year	Dispensation	Substantiated	Unsubstantiated	Withdrawn	Live	Total
2006			4			4
2007	2		3			5
2008			4			4
2009			2	1		3
2010			6			6
2011			1			1
2012			6			6
2013		1	1			2
2014			1		2	3
2015					1	1
<b>Total</b>	<b>2</b>	<b>1</b>	<b>28</b>	<b>1</b>	<b>3</b>	<b>35</b>

Table 4 - Action Taken in Relation to Assault Related Complaint Allegations with Case Recorded Dates between 01/01/2006 and 09/12/2015

§ I would also like to know what the consequences were for officers whose case were substantiated: how many were dismissed; how many saw formal action taken; how many resigned/retired; how many saw no further action taken etc”

**One** assault related allegation was substantiated (case recorded in 2013). This case involved one subject officer who resigned from the force prior to the completion of proceedings. The officer was found guilty of the charge of Common Assault. Received 18 months Conditional Discharge and fined £685 for costs plus £15 victim surcharge.

### 3. How many officers were accused of sexual assault by members of the public?

o Again, I would like to know what action was taken against the officers who were accused of sexual assault.

§ Contained within the information, I would like to know how many complaints were substantiated; how many were unsubstantiated; how many were discontinued; how many were resolved using a local resolution; as well as any other terms used about complaints.

§ I would also like to know what the consequences were for officers whose case were substantiated: how many were dismissed; how many saw formal action taken; how many resigned/retired; how many saw no further action taken etc.

Note: This data includes complaint cases recorded between 01/01/2006 and 09/12/2015 with an allegation type of ‘Sexual Assault’. There is the potential that further cases exist which have not been recorded under this category.

**One** allegation of sexual assault was recorded against **one** officer (case recorded in 2012). The allegation was unsubstantiated.