

Personal Independence Payment: Official Statistics, GB

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Introduction

From 8th April 2013 DWP started to replace Disability Living Allowance (DLA) for people aged 16-64, with Personal Independence Payment (PIP).

On 8th April 2013, PIP was introduced as a controlled start, for new claims from people living in a limited geographic area in the North West and part of the North East of England.

On 10th June 2013, PIP was introduced for new claims for the remaining parts of Great Britain.

From 28th October 2013, DWP started to invite DLA claimants living in certain areas to claim PIP – for additional information on roll out see the notes section.

These are the third set of official statistics to be released on PIP. The statistics have been developed using guidelines set out by the UK Statistics Authority, and as new official statistics undergoing evaluation they have accordingly been badged as being experimental statistics. Users are invited to comment on the development and relevancy of these statistics at this stage.

As the data available for PIP evolves over time, the methodology used and definitions may develop also. Users of these statistics are asked to note the status as experimental official statistics and that subsequent releases may include revisions to the time series already released

Included in this release for the first time is data on disability subgroup – a more detailed breakdown than has been previously published.

Key Findings

Caseload in Payment:

On the 31st October 2014, 208,000 people had a PIP claim in payment, an increase of 101,000 (95%) on the previous reported quarterly figure (July 2014).

Of these claims in payment at 31st October 2014:

- 25,000 (12%) were assessed under Special Rules for Terminally Ill (SRTI) people.
- 23,000 (11%) were reassessment claims from DLA.
- Of those assessed under Special Rules for Terminally Ill people 96% were in the 'Malignant Disease' category. Of those assessed under Normal Rules, 32% were in the 'Psychiatric Disorder' category.
- 29% received Daily Living Award only, 9% received Mobility Award only, and 62% received both Daily Living and Mobility Award.
- Overall, 65% received an award at the enhanced rate, and 35% at the standard rate. For those assessed under Special Rules for Terminally Ill (SRTI), 100% received an award at the enhanced rate. For normal rules, 60% received an award at the enhanced rate.

Registrations, Clearances and Awards:

Between 8th April 2013 and 31st October 2014:

- 592,900 PIP new claims had been registered, of which 24,500 were claims made under Special Rules for Terminally Ill people.
- 76,300 DLA reassessments had been registered for PIP, of which 900 were claims made under Special Rules for Terminally Ill people.
- 352,100 new claims had been cleared, of which 28,700 were for claims made under Special Rules for Terminally Ill people.
- 29,900 reassessments had been cleared, of which 1,100 were for claims made under Special Rules for Terminally Ill people.
- Of all new-claim clearances (where either a decision to award or disallow the claim had been made, or the claim had been withdrawn by the claimant), 52% lead to PIP being awarded:
 - For claims made under Special Rules for Terminally Ill people, the proportion leading to an award was 96%.
 - For claims not made under Special Rules for Terminally Ill people, the proportion leading to an award was 48%.
- Of all new-claim decisions (as clearances, but excluding withdrawn claims), 54% lead to PIP being awarded:
 - For claims made under Special Rules for Terminally Ill people, the proportion leading to an award was 99%.
 - For claims not made under Special Rules for Terminally Ill people, the proportion leading to an award was 50%.
- For reassessment clearances, 77% resulted in a PIP award:
 - For claims made under Special Rules for Terminally Ill people, the proportion leading to an award was 96%.
 - For claims not made under Special Rules for Terminally Ill people, the proportion leading to an award was 76%.
- For reassessment decisions, 79% resulted in a PIP award:
 - For claims made under Special Rules for Terminally Ill people, the proportion leading to an award was 100%.
 - For claims not made under Special Rules for Terminally Ill people, the proportion leading to an award was 78%.

In this Summary

This Summary contains Official Statistics on PIP caseload, registrations, clearances and awards for both new claims and claims made by those with an existing claim for Disability Living Allowance (known as Reassessments).

Monthly caseload refers to the number of PIP claims in payment at the end of the reporting month. The monthly caseload will not include claims that are backdated for an earlier period and so will not be subject to retrospection. These claims will be included from the month they are paid.

Caseload is further broken down by:

- Geography- Region, Local Authority and Parliamentary Constituency
- Assessment status- Special Rules for Terminally Ill people, Mobility Award Level, Daily Living Award Level
- Primary disability category / sub category
- Age
- Gender
- Reassessment indicator

Caseload statistics are available as both ready-made tables and as bespoke tables within Stat-Xplore. More information on Stat-Xplore can be accessed here:

<https://stat-xplore.dwp.gov.uk/>

Supplementary data on registrations, clearances and awards, including information by Parliamentary Constituency, Local Authority and Government Office Region are available in excel format ([link](#)).

Further PIP Statistics

A full suite of PIP statistics plus further detailed information on PIP can be accessed here:

<https://www.gov.uk/government/collections/personal-independence-payment-statistics>

Departmental statisticians are continuing to develop measures around clearance times and waiting times to ensure they provide a rounded and representative picture of Personal Independence Payment system performance, improvement activity and the claimants' experience. The Department intends to release PIP clearance times and waiting/outstanding times statistics for the first time in March 2015, with the release pre-announced in line with UK Statistics Authority release protocols.

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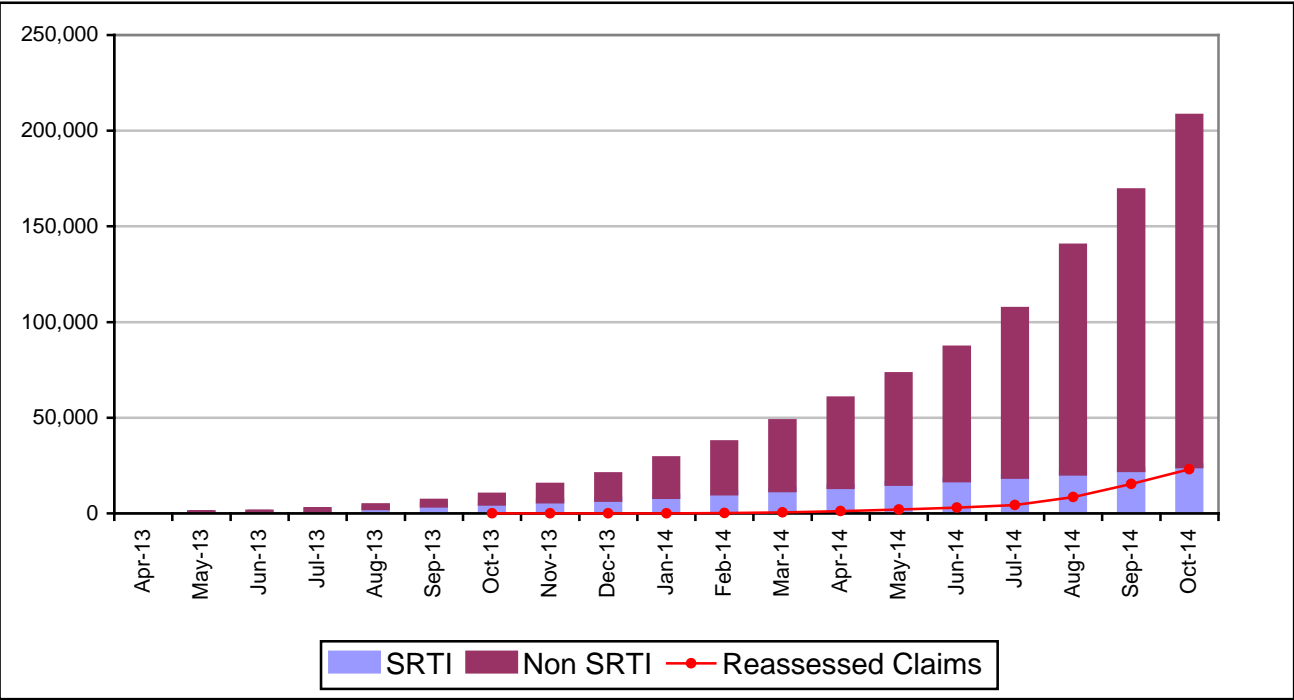
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Section 1: PIP Caseload Statistics and commentary

The statistics covered in this section cover the period 8th April 2013 to 31st October 2014. The data has been sourced from PIP Computer System claimant records. **The data should be seen as provisional data subject to revision.**

**** Further breakdowns are available for some of the charts below in Stat-Xplore – where available, click on link to explore further, or go directly to the Stat-Xplore visualisation page at: <https://sv.stat-xplore.dwp.gov.uk/views/>****

1.1: Time series: Caseload by Terminally Ill Rules Indicator



Source: PIP Computer System claimant records

Key messages

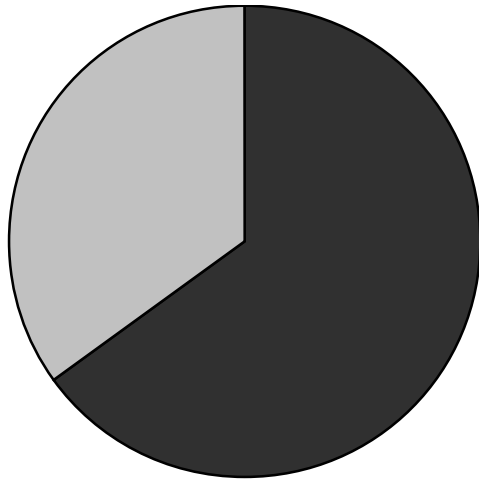
Chart 1.1 shows a time series of the number of PIP claims in payment that were assessed under Special Rules for Terminally Ill people (SRTI). SRTI are covered by Special Rules, a fast tracked claiming process which, among other provisions, exempt them from completing the additional information form or attending a face-to-face assessment. Whether a claim is made under SRTI has been determined at the point of registration.

At 31st October 2014, there were 207,500 PIP claims in payment.

- Of these, 24,900 (12%) were assessed under SRTI. 182,700 claims (88%) were in payment that were not assessed under SRTI.
- 23,000 (11%) were reassessment claims from DLA.

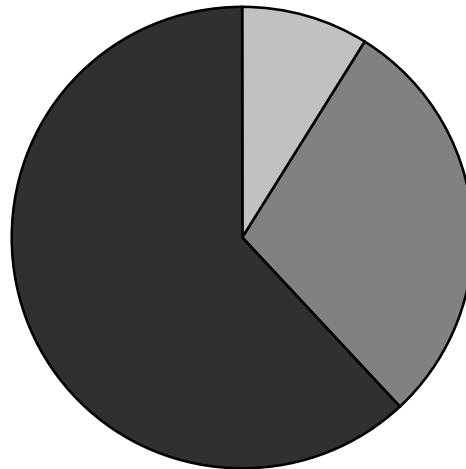
1.2: October 2014 Caseload by award level, claim type and disability category

Chart 1.2a - by award level



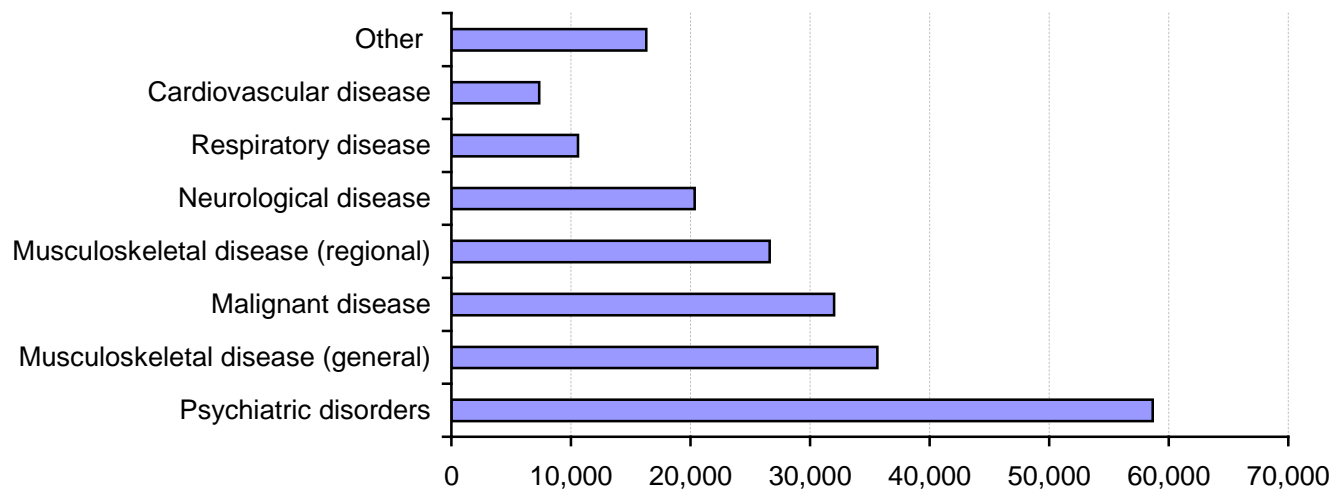
■ Enhanced Rate ■ Standard Rate

Chart 1.2b - by claim type



■ Mobility Only ■ Daily Living Only ■ Both

Chart 1.2c – by disability category



Source: PIP Computer System claimant records

Key messages

At 31st October 2014 there were 207,500 PIP claims in payment.

- 134,100 (65%) received an award at the enhanced rate, including 65,700 (32%) who received the highest level of award, i.e. both enhanced daily living and enhanced mobility
- 35% were receiving the standard rate.
- 127,700 (62%) were receiving both the mobility and daily living components. 29% were receiving daily living only and 9% receiving mobility only.

The primary disability condition of the claimant is reported at disability category and subcategory level. Further breakdowns are available via - [Stat Xplore](#)

- 58,700 (28%) of all PIP claimants were recorded with 'Psychiatric disorders', including 'Mixed anxiety and depressive disorders' and 'Mood disorders'.
- 35,600 (17%) were recorded with 'Musculoskeletal disease (general)' including 'Osteoarthritis'.

1.3: October 2014 caseload - Special Rules for Terminally Ill People

Chart 1.3a – by Special Rules indicator

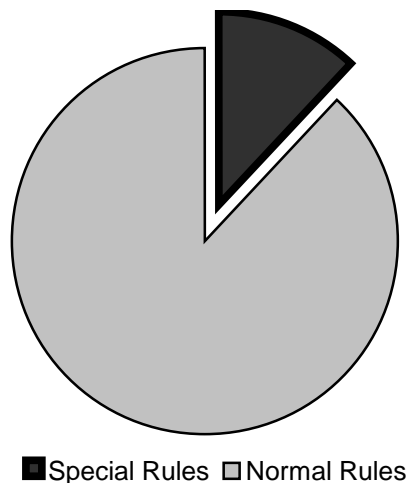


Chart 1.3b - by award level

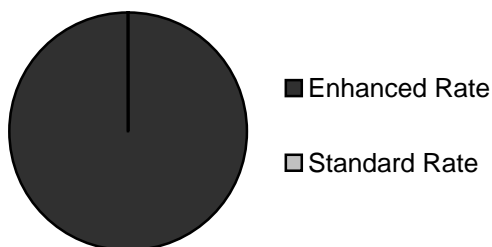


Chart 1.3c - by claim type

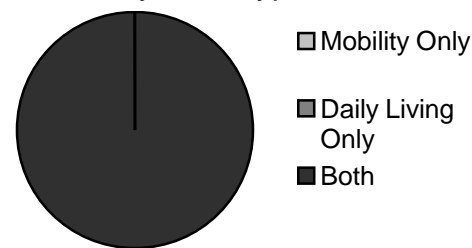
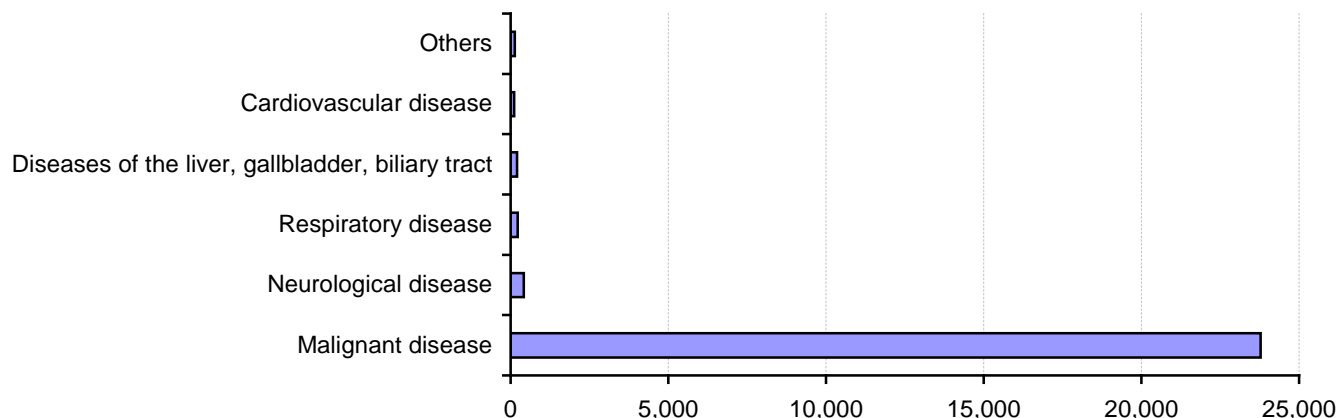


Chart 1.3d – by disability category



Source: PIP Computer System claimant records

Key messages

At 31st October 2014, 24,900 claims (12% of the total caseload) were assessed under Special Rules for Terminally Ill (STRI).

- 100% received an award at the enhanced rate, all at the highest level of award, i.e. both enhanced daily living and enhanced mobility.
- 100% were receiving both the mobility and daily living components.
- 23,800 (96%) were recorded in “Malignant disease’ disability category, including ‘Cancers of the gastrointestinal tract’ and ‘Cancers of the respiratory tract’.

1.4: October 2014 caseload - Normal Rules only (not Special Rules for Terminally Ill people)

Chart 1.4a – by Special Rules indicator

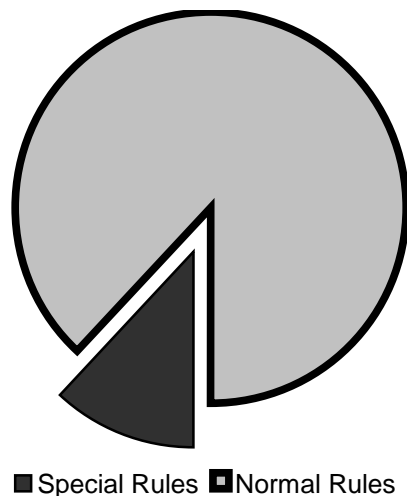


Chart 1.4b - by award level

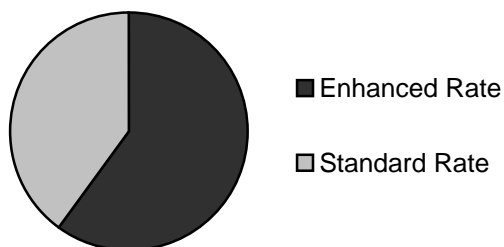


Chart 1.4c - by claim type

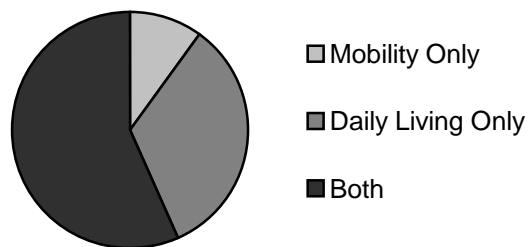
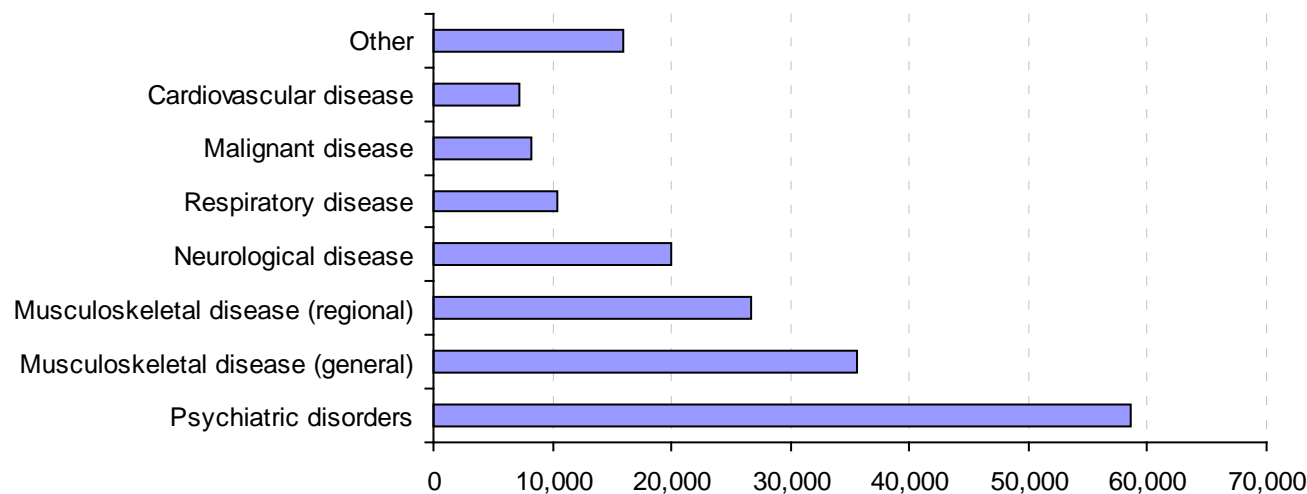


Chart 1.4d – by disability category



Source: PIP Computer System claimant records

Key messages

At 31st October 2014, 182,700 claims (88% of the total caseload) were assessed under Normal Rules – Not Special Rules for Terminally Ill (STRI).

- 109,300 (60%) received an award at the enhanced rate.
- 40,900 (22%) were receiving the highest level of award, i.e. both enhanced daily living and enhanced mobility.
- 56% were receiving both the mobility and daily living allowance components. 10% received mobility only, 33% received daily living only.

- 58,700 (32%) were recorded in “Psychiatric disorders’ disability category, including ‘Mixed anxiety and depressive disorders’ and ‘Mood disorders’.
- 35,600 (20%) were recorded in “Musculoskeletal disease (general)’ disability category, including ‘Osteoarthritis’.

Section 2: PIP Registrations, Clearances and Awards: Statistics and commentary

The statistics covered in this section cover the period 8th April 2013 to 31st October 2014, for PIP new claims and claims made by those with an existing claim for Disability Living Allowance, known as Reassessments.

The time series presented in these tables reflect volumes and proportions during the early stages of implementation of PIP and may not represent longer-term trends. **The data should be seen as provisional data subject to revision.**

The data is subject to some minor retrospection. When a claim is first registered, it is assumed to be a new claim unless there is evidence to suggest that it is a reassessment. If evidence is presented between registration and clearance, the claim will then show as a reassessment clearance and will move from being a new claim registration to a reassessment registration.

Also, it should be noted that some claims may not be marked as claims under Special Rules for Terminally Ill people (SRTI) at the point of registration but become a SRTI claim prior to the point of clearance, and vice versa. This may lead to the figures showing fewer SRTI registrations than clearances.

Supplementary data on registrations, clearances and awards, including information by Parliamentary Constituency, Local Authority and Government Office Region are available in excel format ([link](#)).

2A: PIP Registrations, by month of registration

	New Claims			Reassessments		
	Normal Rules	SRTI	All	Normal Rules	SRTI	All
Apr-13	3,000	200	3,100	0	0	0
May-13	4,800	200	5,000	0	0	0
Jun-13	23,000	900	23,900	0	0	0
Jul-13	34,200	1,500	35,700	0	0	0
Aug-13	30,600	1,300	31,900	0	0	0
Sep-13	33,700	1,300	35,100	0	0	0
Oct-13	35,500	1,500	37,100	100	-	100
Nov-13	32,600	1,400	33,900	3,600	-	3,600
Dec-13	21,600	1,200	22,800	4,900	-	5,000
Jan-14	37,800	1,500	39,400	7,300	100	7,400
Feb-14	36,100	1,300	37,500	5,300	100	5,300
Mar-14	36,900	1,600	38,500	7,100	100	7,200
Apr-14	32,500	1,400	34,000	7,100	100	7,200
May-14	32,300	1,400	33,700	7,800	100	7,900
Jun-14	32,800	1,500	34,300	7,300	100	7,400
Jul-14	35,000	1,600	36,600	7,000	100	7,100
Aug-14	32,000	1,400	33,500	4,800	100	4,900
Sep-14	37,600	1,700	39,300	6,500	100	6,600
Oct-14	36,300	1,700	38,000	6,500	100	6,600
Total	568,500	24,500	592,900	75,400	900	76,300

Source: PIP Computer System claimant records

Notes:

- Figures have been rounded to the nearest 100. Components may not sum to totals due to rounding.
- The status of claims as 'normal rules' or 'SRTI' is shown as at the point of registration.
- Definition of Registration: The claimant has completed the initial PIP claim process, either by phone or, in exceptional circumstances, via a paper form.
- '-' Less than 50 claims in this category.
- Revisions have been made to the totals that were previously published on 17th September 2014 due to a change in how we assign claims as new and reassessment. In our previous publication this was done at the point of registration, where as now we are assigning this based on the latest information we have for the claim.
- Great Britain only.

Key messages

By the end of October 2014, 592,900 new claims to PIP had been registered.

Of these, 24,500 had been registered under SRTI, and 568,500 under normal-rules (i.e. non-SRTI claims).

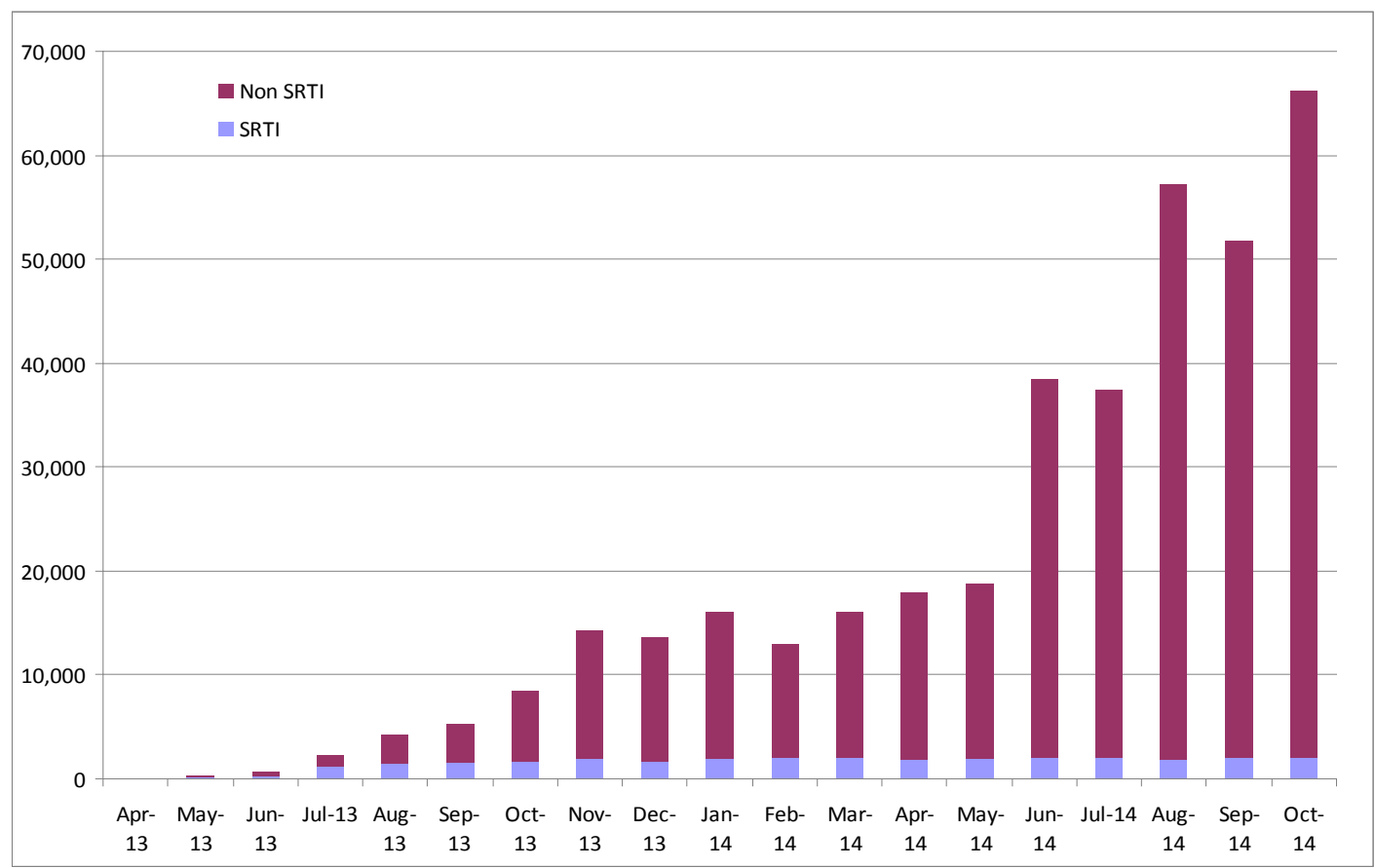
Looking at new claims only, since July 2013, the volume of claims under SRTI had been relatively stable at around 1,600 per month.

In most months between 30,000 and 40,000 new claims were registered though there was a noticeable dip in December 2013, falling to 22,800. The months with the most new claims were January and September 2014 (39,400 and 39,300 respectively).

Between October 2013 - October 2014, there were 76,300 PIP claims registered from claimants with an existing DLA claim. The number of reassessment claims each month peaked at 7,900 in May 2014.

Information on registrations at Parliamentary Constituency, Local Authority and Government Regional Office level are available in excel format ([link](#)).

2B: PIP Clearances, by month of clearance



Source: PIP Computer System claimant records

- Notes:
- 1. The status of claims as 'Normal rules' or 'SRTI' is shown as at the point of clearance.
 - 2. Clearances include Decisions (comprising Awards and Disallowances) and Withdrawals.
 - 3. Definition of Award: The Department has made the decision to award PIP.
 - 4. Definition of Disallowance: The Department has made the decision not to award PIP.
 - 5. Definition of Withdrawal: The claimant has withdrawn the claim prior to a decision being made.
 - 6. Figures reflect outcomes prior to any Mandatory Reconsideration or Appeal action.
 - 7. Great Britain only.

Key messages

In total, to the end of October 2014, there had been 382,000 clearances, of which around 30,000 were SRTI claims and 352,000 normal-rules claims.

On average 16,000 claims were being cleared each month between November 2013 and May 2014. In the month between May 2014 and June 2014 nearly 20,000 more claims were cleared. This increased dramatically again, by more than 19,000 claims, between July 2014 and August 2014. Between August 2014 and October 2014, on average 58,000 claims were being cleared per month.

Since September 2013, clearances of SRTI claims have remained stable at around 1,900 claims a month.

Information on clearances at Parliamentary Constituency, Local Authority and Government Regional Office level are available in excel format [\(link\)](#).

- Note:
- There are several stages between registration and clearance, including:
- the issuing and return of the PIP additional information form, or DS1500 for SRTI claims;
 - an assessment by a Health Care Professional, usually face-to-face (not applicable for SRTI claims);
 - a decision on the claim by DWP.

2Ci: PIP Award Rates, by month of clearance – New Claims

	Including withdrawn claims			Excluding withdrawn claims		
	Normal Rules	SRTI	All	Normal Rules	SRTI	All
Apr-13	7%	93%	52%	#	100%	91%
May-13	48%	97%	80%	76%	100%	94%
Jun-13	26%	96%	61%	52%	100%	83%
Jul-13	26%	96%	62%	53%	100%	85%
Aug-13	21%	96%	47%	27%	100%	56%
Sep-13	26%	95%	47%	32%	99%	55%
Oct-13	35%	96%	47%	40%	99%	53%
Nov-13	32%	95%	41%	35%	98%	44%
Dec-13	38%	94%	44%	40%	98%	47%
Jan-14	48%	95%	54%	53%	99%	58%
Feb-14	59%	96%	65%	65%	100%	71%
Mar-14	62%	96%	66%	67%	100%	71%
Apr-14	61%	97%	65%	65%	100%	69%
May-14	61%	96%	65%	66%	99%	69%
Jun-14	30%	95%	33%	31%	98%	35%
Jul-14	49%	95%	51%	51%	98%	53%
Aug-14	53%	96%	54%	54%	98%	56%
Sep-14	47%	96%	49%	49%	98%	51%
Oct-14	51%	97%	53%	53%	100%	55%
Total	48%	96%	52%	50%	99%	54%

Source: PIP Computer System claimant records

Notes:

1. The status of claims as 'normal rules' or 'SRTI' is shown as at the point of clearance.
2. Clearances include Decisions (comprising Awards and Disallowances) and Withdrawals.
3. Definition of Award: The Department has made the decision to award PIP.
4. Definition of Disallowance: The Department has made the decision not to award PIP.
5. Definition of Withdrawal: The claimant has withdrawn the claim prior to a decision being made.
6. '~' No claims made in this category.
7. '#' Less than 10 claims made in this category.
8. Figures reflect outcomes prior to any Mandatory Reconsideration or Appeal action.
9. Great Britain only.

Key Messages

Of all new claims cleared by the end of October 2014, 52% led to an award. For SRTI claims only, 96% resulted in an award, while for normal-rules new claims, 48% of those cleared had led to an award.

When looking only at decisions made on new claims (i.e. excluding claims that had been withdrawn by the claimant), 54% were awarded PIP. Likewise, 99% of SRTI decisions, and half of normal-rules decisions resulted in an award.

Information on award rates for new claim by Parliamentary Constituency, Local Authority and Government Regional Office level are available in excel format ([link](#)).

Note:

Between January 2014 – May 2014, an IT issue within the PIP computer system meant that the award rate artificially increased. Likewise, the resolution of the issue in June 2014 caused a reduction in the award rate. The total award rates, however, are an accurate reflection of outcomes up to October 2014.

2Cii: PIP Award Rates, by month of clearance – Reassessments

	Including withdrawn claims			Excluding withdrawn claims		
	Normal Rules	SRTI	All	Normal Rules	SRTI	All
Oct-13	#	~	#	~	~	~
Nov-13	0%	#	14%	#	#	#
Dec-13	0%	91%	38%	#	100%	80%
Jan-14	6%	96%	25%	16%	99%	49%
Feb-14	29%	98%	53%	60%	100%	80%
Mar-14	80%	99%	85%	88%	100%	92%
Apr-14	74%	98%	77%	80%	100%	83%
May-14	75%	93%	77%	78%	100%	80%
Jun-14	71%	87%	72%	76%	99%	78%
Jul-14	74%	97%	75%	78%	98%	79%
Aug-14	78%	98%	78%	79%	100%	79%
Sep-14	78%	99%	79%	79%	100%	80%
Oct-14	77%	98%	77%	78%	99%	79%
Total	76%	96%	77%	78%	100%	79%

Key Messages

Overall, 77% of reassessments cleared resulted in an award.

Looking at normal-rules claims, by the end of October 2014, 76% of clearances had lead to an award. Likewise, 96% of all SRTI clearances resulted in an award.

When looking only at decisions made on reassessments (i.e. excluding claims that had been withdrawn by the claimant), 100% of decisions for SRTI claims, and over three quarters of decisions for normal-rules claims lead to PIP being awarded.

Source: PIP Computer System claimant records

Notes:

1. The status of claims as 'normal rules' or 'SRTI' is shown as at the point of clearance.
2. Clearances include Decisions (comprising Awards and Disallowances) and Withdrawals.
3. Definition of Award: The Department has made the decision to award PIP.
4. Definition of Disallowance: The Department has made the decision not to award PIP.
5. Definition of Withdrawal: The claimant has withdrawn the claim prior to a decision being made.
6. '~' No claims made in this category.
7. '#' Less than 10 claims made in this category.
8. Figures reflect outcomes prior to any Mandatory Reconsideration or Appeal action.
9. Great Britain only.

Section 3: Notes

Disability Living Allowance reassessment roll out

From 28th October 2013, DWP started to invite DLA claimants living in Wales, East Midlands, West Midlands and East Anglia to claim PIP if:

- DWP received information about a change in care or mobility needs and the claimant's fixed term award was due to expire
- children turned 16 years old (unless they have been awarded DLA under the Special Rules for Terminally Ill people) or;
- the claimant could choose to claim PIP instead of their DLA.

Structured roll out to other postcodes areas has since followed - Most DLA recipients will start to be invited to claim PIP from October 2015

Code of Practice for Official Statistics

In developing PIP Statistics, DWP has acted in accordance with the Code of Practice and supporting Principles.

DWP policy statements

DWP would like to hear your views on our statistical publications. If you use any of our statistics publications, we would be interested in hearing what you use them for and how well they meet your requirements. Please email DWP at stats-consultation@dwpgsi.gov.uk. If you would like to receive occasional e-mails from DWP to directly inform you of documents seeking the views of users, please email general.statistics@dwpgsi.gov.uk giving details of the DWP publications you use.

Other National and Official Statistics issued by the Department for Work and Pensions

Details of other National and Official Statistics produced by the Department for Work and Pensions can be found on the DWP website at the following links:

- A list of Tabulation Tools: <https://www.gov.uk/government/organisations/department-for-work-pensions/series/dwp-statistics-tabulation-tool>;
- A schedule of statistical releases over the next 12 months and a list of the most recent releases: <https://www.gov.uk/government/organisations/department-for-work-pensions/about/statistics> ;
- In accordance with the Code of Practice for Official Statistics, all DWP National Statistics are also announced via the UK Statistics Authority publication hub at: http://www.statistics.gov.uk/hub/statistics-producers/publications/index.html?newquery=*&source-agency=Work+and+Pensions&pagetype=release-landing-page

In addition, users can find links to DWP additional statistical analyses that have not been included in our standard publications at <https://www.gov.uk/government/organisations/department-for-work-pensions/series/ad-hoc-statistical-publications-list>

Revisions

The Department's policy statement describes more generally how DWP will handle revisions <https://www.gov.uk/government/publications/policy-statement-on-the-revision-of-dwp-statistics>