

To: The Board

For meeting on: 17 December 2014

Agenda item: 4

Report by: Miranda Carter, Executive Director of Provider Appraisal

Report on: Provider Appraisal Update

Introduction:

1. The Provider Appraisal directorate currently has six active assessments. There are five ongoing transaction reviews, one ongoing sustainability review, and one ongoing quality governance review of a trust still in the NHS Trust Development Authority (NHS TDA) phase.

A. Developments

2. The Provider Appraisal directorate is holding workshops at the NHS TDA on 11 December 2014 to discuss (i) lessons learned from the quality governance reviews carried out on trusts still with the NHS TDA, and (ii) the well led framework.

B. Update on assessments

3. The current status of active assessments is provided in Annex A.

Active assessments (exception reporting)

4. The assessment of Bradford District Care NHS Trust has kicked off this month.

Assessment Pipeline

5. An overview of the assessment pipeline is provided in Annex B. This reflects the NHS TDA's latest available published data (September 2014). The NHS TDA is currently undertaking sustainability reviews and is planning to update and publish

its analysis of its pipeline, including which NHS trusts may be considered not sustainable in their current form, in the New Year.

Miranda Carter
Executive Director of Provider Appraisal

Making a difference for patients:

Monitor's mission is to make the health sector work better for patients. This report provides an update on the Provider Appraisal team. The key focus of this team is to assess if providers are well led and can therefore deliver sustainable high quality services to patients.

Public Sector Equality Duty:

Monitor has a duty under the Equality Act 2010 to have due regard to the need to eliminate unlawful discrimination, advance equality of opportunity and foster good relations between people from different groups. In drafting this report consideration has been given to the impact that the issues dealt with might have on these requirements and on the nine protected groups identified by this Act. It is anticipated that the issues dealt with in this paper are not likely to have any particular impact upon the requirements of or the protected groups identified by the Act.

This report considers the activity of the Provider Appraisal team, whose key focus is to authorise NHS Trusts as Foundation Trusts. The assessment process considers how representative of the population that it serves the membership of an applicant NHS FT actually is, and therefore has had regard to the duties required by the Equality Act. It is not considered that there are any decisions within this paper which would impact on protected groups Identified by the Act.

Exempt information:

Some of the information in this report is exempt from publication as it falls under section 36 of the Freedom of Information Act 2000. The information is exempt because disclosure of that information would, or would be likely to, inhibit the free and frank provision of advice, or exchange of views for the purposes of deliberation. In applying these exemptions, we have considered the public interest test; disclosure of this information will not enhance the accountability or transparency of Monitor as its disclosure would inhibit the free frank disclosure of information.

Annex A: Current status of trusts with Monitor for assessment

Active assessments						
Trust name	Status detail	Start	Exec meeting	Target Board to Board	Decision	Indicative CQC inspection date
St George's Healthcare	Referral	May 2014 (ph)	n/a	25 Sept 14	Dec 14	Inspected
Nottinghamshire HealthCare	Phase 2	Oct 2014	n/a	23 Jan 15	Feb 15	Inspected
Kent Community	Phase 2	Oct 2014	n/a	27 Jan 15	Feb 15	Inspected
Birmingham Community	Reactivated (postponed)	Nov 2014	n/a	Mar 15	Apr 15	Inspected
Oxford University Hospitals	Referral	Nov 2014	12 Jan 15			Inspected
Bradford District Care	Referral	Dec 2014	n/a	Mar 15	Apr 15	Inspected

Annex B: Pipeline information

(i) Monitor pipeline

	At 12 Nov 2014	Pipeline progress	At 4 Dec 2014
Total Foundation Trusts (FTs)	149		149
Monitor pipeline			
Active Assessments - decisions expected this month	1		1
Active Assessments - in progress	4	1	5
Assessments paused post phase 1	4		4
Deferrals & postponements	5		5
Assessments awaiting start	1	(1)	-
Total Monitor pipeline	15	-	15
NHS TDA pipeline	78	-	78
TOTAL NUMBER OF TRUSTS	242	-	242

(ii) Pipeline analysis

	Acute	Mental Health	Community	Ambulance	Total
Foundation Trusts	101	41	2	5	149
Monitor pipeline	5	5	4	1	15
With TDA	51	10	13	4	78
Total trusts	157	56	19	10	242

Note: TDA is planning to update and publish its analysis of its pipeline by status/progress in January 2015, pending completion of its sustainability reviews

(iii) QG reviews in TDA phase

Ongoing	Timing
Worcestershire Health and Care NHS Trust	Oct - Dec 2014
Completed	
Oxford University Hospitals NHS Trust	Aug - Oct 2014
Wirral Community NHS Trust	July - Sept 2014
Liverpool Community Health (PILOT)	Jan - June 2014
Bradford District Care (PILOT)	Jan - April 2014