

## Recommendation(s) Status: Derailment of a passenger train at Ealing Broadway

This report is based on information provided to the RAIB by the relevant safety authority or public body.

The status of implementation of the recommendations, as reported to us, has been divided into eight categories:

### Key to Recommendation Status

<b>Implemented:</b>	All actions to deliver the recommendation have been completed.
<b>Implemented by alternative means:</b>	The intent of the recommendation has been satisfied in a way that was not identified by the RAIB during the investigation.
<b>Implementation ongoing:</b>	Work to deliver the intent of the recommendation has been agreed and is in the process of being delivered.
<b>Insufficient response:</b>	The end implementer has failed to provide a response; or has provided a response that does not adequately satisfy ORR that sufficient action is being taken to properly consider and address a recommendation.
<b>Progressing:</b>	The relevant safety authority has yet to be satisfied that an appropriate plan, with timescales, is in place to implement the recommendation; and work is in progress to provide this.
<b>Non-implementation:</b>	Regulation 12(2)(b)(iii) = recommendation considered and no implementation action to be taken.
<b>Closed - carry forward:</b>	ORR intends to take no further action as it has been superseded by another recommendation.
<b>Awaiting response:</b>	Awaiting initial report from the relevant safety authority or public body on the status of the recommendation.

RAIB concerns on actions taken by organisations in response to recommendations are reflected in this report and are indicated by one of the following:

**Red** – RAIB has concerns that no actions have been taken in response to a recommendation.

**Blue** – The blue triangle shows recommendations where the RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.

**White** – The white triangle shows recommendations where the RAIB notes substantive actions have been reported, but the RAIB still has concerns.

# Recommendation Status Report



<b>Report Title</b>	Derailment of a passenger train at Ealing Broadway
<b>Report Number</b>	24/2016
<b>Date of Incident</b>	01/03/2016

Rec No.	Status	RAIB Concern	Recommendation	RAIB Summary of current status
24/2016/01	Implemented by alternative means	None	<p>The intent of this recommendation is to improve the presentation of information to service control staff so that they can comply with the LUL rule book requirement to come to a complete agreement on the actions to allow a train past a signal at danger.</p> <p>London Underground Limited should provide signallers and, as appropriate, service control staff with adequate means of determining the position of points and a clear method of identifying the required points and their positions in order to be able to come to a complete understanding and agreement of the actions necessary to set a route in order to pass a signal at danger (paragraph 129c).</p>	<p>ORR has reported that LUL has reported that it has completed actions taken in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate. ORR has reported that (Dutyholder name) has reported that it has completed the actions taken (by alternative means) in response to this recommendation.</p>
24/2016/02	Implemented	None	<p>The intent of this recommendation is to ensure that all staff who may be called on to provide information for safety critical decisions are aware of the need, and are able, to pass complete messages.</p> <p>London Underground Limited should review its safety critical communications training and revise it to include the provision of training to staff members who may need to provide information to operational staff, in order to ensure adequate, accurate and complete information is conveyed and full understanding reached (paragraph 129 f).</p>	<p>ORR has reported that London Underground Ltd has reported that it has completed actions taken in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.</p>

# Recommendation Status Report



24/2016/03	Implemented	None	<p>The intent of this recommendation is to improve the quality of team working to avoid conflict and promote improved decision making during periods of degraded working.</p> <p>To promote and enhance team working, and to facilitate effective decision making in degraded working situations, London Underground should identify barriers to good decision making by service control staff, particularly where there are interfaces between lines and take action to develop the capability of these staff to:</p> <ul style="list-style-type: none"> <li>i. communicate effectively;</li> <li>ii. challenge decisions where there is doubt or uncertainty;</li> <li>iii. be aware of information gaps and the risk that assumptions may fill knowledge gaps; and</li> <li>iv. to be aware of how some behaviours may adversely influence the behaviours of others, and how to deal with this.</li> </ul> <p>(paragraphs 129b, 129g)</p>	<p>ORR has reported that LUL has reported that it has completed actions taken in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.</p>
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