## EMPLOYMENT APPEAL TRIBUNAL

FLEETBANK HOUSE, 2-6 SALISBURY SQUARE, LONDON EC4Y 8AE

At the Tribunal On 28 June 2016

## **Before**

## THE HONOURABLE MRS JUSTICE SIMLER DBE (PRESIDENT) (SITTING ALONE)

MISS C LIDDINGTON APPELLANT

2GETHER NHS FOUNDATION TRUST RESPONDENT

Transcript of Proceedings

**JUDGMENT** 

## **APPEARANCES**

For the Appellant MR JEREMY GEERE

(Lay Representative)

For the Respondent Written Submissions

**SUMMARY** 

**PRACTICE AND PROCEDURE - Costs** 

The appeal challenges a decision to award costs based on a finding of unreasonable conduct by

the Claimant and a subsequent refusal to reconsider that Order.

Having dealt with a number of earlier Preliminary Hearings, the Employment Judge was

familiar with the pleadings and the issues to be addressed and was in the best position to

consider and determine whether the Claimant's conduct was unreasonable when looked at in

the round and in the knowledge of the issues that would have to be dealt with at a Full Hearing

if it came to it. The Employment Judge expressly recognised that the standard of pleading

expected of a lawyer did not apply to the Claimant and that she could not be expected to

provide a detailed legal pleading. However, the Employment Judge concluded that the

Claimant should have been able to articulate in layman's terms what it is that was said or done,

by whom and on what dates that formed the basis of her complaints. The Employment Judge

found that the Claimant was not able to do this on 12 May 2015. She gave a few examples of

this inability. She found that the Claimant could not identify the dates of four of the six

protected acts referred to, nor the detriments relied upon, nor the names and characteristics of

actual or hypothetical comparators for the direct and harassment discrimination claims. The

Employment Judge held that the significance of the Claimant's inability to relay the dates of the

acts was highlighted in the hearing when she concluded that certain alleged detrimental acts

pre-dated the protected acts relied on and thus could not be pursued. The Employment Judge

concluded that, notwithstanding that the Claimant is a litigant in person and not to be held to the

standards of a lawyer, given the number of earlier hearings at which detailed particulars were

UKEAT/0002/16/DA UKEAT/0064/16/DA sought to be elicited from her, her inability to provide the particulars required at the hearing on

12 May 2015 amounted to unreasonable conduct.

The grounds disclosed no arguable error of law relating to either decision. The finding of

unreasonable conduct was not based on inability alone. The decision is adequately reasoned

and causation adequately identified. Nor was the high threshold for a perversity appeal even

arguably established.

UKEAT/0002/16/DA UKEAT/0064/16/DA UKEAT/0065/16/DA THE HONOURABLE MRS JUSTICE SIMLER DBE (PRESIDENT)

1. I refer to the parties on this appeal as they were before the Employment Tribunal. The

Claimant is represented by Mr Jeremy Geere, who qualified and practised as a solicitor but has

not worked as a solicitor for some time. He appears as a lay representative to assist the

Claimant as best he can. He referred me to health issues he has experienced, and, although at

the outset he said that he did not need any adjustments, as the hearing progressed there were a

number of occasions on which he needed five minutes in order to regain his composure, and I

accommodated those breaks. On each occasion when we reconvened, Mr Geere assured me

that he felt able to proceed.

2. The Respondent does not appear on this appeal but has lodged a skeleton argument

setting out its position in relation to the decisions that are the subject of the appeals and to the

extent that the Respondent resists the appeals, that position is set out.

3. There are three appeals in total. First, appeal number 0002 which concerns a challenge

to an Order of Employment Judge Perry following a hearing in August 2015 with Reasons sent

to the parties on 22 September 2015. The Judge struck out a number of claims for failure to

comply with earlier deposit orders that had not been appealed. In fact the Claimant had

withdrawn the claims relating to those deposit orders rather than pay the deposits. Her appeal

sought to challenge the strike-out decision on the basis that she had withdrawn the claims so

there was nothing to strike out. Despite its technical nature and the fact that it might have been

thought academic, the appeal was permitted to proceed to a Full Hearing, though the sift Judge

floated the prospect of agreement being reached as a more proportionate means of addressing

UKEAT/0002/16/DA UKEAT/0064/16/DA

UKEAT/0065/16/DA

- 1 -

the technical issue. The appeal was not resisted by the Respondent, and in the event this strike-

out decision was reconsidered by Employment Judge Perry, who ruled that the claims should be

considered as dismissed upon withdrawal rather than as struck out. Notwithstanding this

resolution, the appeal was not withdrawn, and it was not until the beginning of the appeal

hearing that Mr Geere realistically accepted that the appeal is academic and indicated that he

would not pursue it. He was right to do that. The appeal is entirely academic, and the only

appropriate order is to dismiss it. I deal with it no further.

4. Secondly, appeals numbered 0064 and 0065 seek to challenge decisions of Employment

Judge Perry in relation to costs, dealt with in a Judgment sent to the parties on 26 August 2015,

and in relation to a refusal to reconsider the costs Judgment dealt with in a decision dated 21

September 2015. Those appeals were rejected on the sift but permitted to proceed to a Full

Hearing following a Rule 3(10) application. Those appeals are therefore for consideration

today.

5. The factual background can be summarised as follows. The Claimant was a community

practitioner working for the Respondent. She complained that having made a safeguarding

referral in relation to a patient care issue at a private care home, a responsible person at the

home subsequently complained about the care she administered at the home, suggesting this

was the second such instance of poor conduct. The Claimant asserted that the safeguarding

referral made on 10 December 2012 was a protected disclosure. She complained that she

suffered detriments thereafter and was ultimately dismissed in consequence of it. Her dismissal

followed a disciplinary process, and by a claim form dated 11 October 2014 she made

complaints of constructive unfair dismissal, religious discrimination, whistle blowing, unpaid

UKEAT/0002/16/DA UKEAT/0064/16/DA

UKEAT/0065/16/DA

- 2 -

holiday pay and travel expenses, claims for notice pay, and in respect of a failure to provide her

with a statement of changes to her terms and conditions. Her claims were not regarded as

adequately particularised, and over the course of a number of hearings, and in correspondence

thereafter, attempts were made to ensure that those claims were properly particularised.

Attempts were made by a number of Judges to assist the Claimant in achieving that result.

6. On 10 April 2015 the Respondent applied to strike out the claims or in the alternative

for deposit orders. The applications were initially heard at a Preliminary Hearing on 12 May

2015 by Employment Judge Perry. The Judge concluded that the claims remained inadequately

particularised. Rather than make a strike-out order, the Judge expressly gave the Claimant a

final opportunity to provide the relevant particulars, including details of the specific detriments

that the Claimant had suffered and identifying at whose hand she suffered them and when.

7. There was a further one-day Preliminary Hearing on 3 June 2015 before Employment

Judge Perry. Once again, the intention was that this hearing would address the Respondent's

strike-out and deposit order applications. Mr Geere was not present at that hearing and was

unable to assist me as to precisely what happened. However, the Judgment of Employment

Judge Perry following the 3 June hearing shows that the Claimant's direct discrimination and

harassment claims were dismissed on withdrawal. Those were claims in respect of which the

Claimant had been ordered to provide further particulars, especially in relation to comparators.

The Judgment also indicates that the issues that remained to be dealt with were further clarified

but there was insufficient time to deal with the applications pursued by the Respondent. The

Employment Judge expressed himself unable to deal with one aspect of the deposit order

application relating to notice pay and constructive dismissal because unredacted copies of the

UKEAT/0002/16/DA UKEAT/0064/16/DA

- 3 -

Claimant's diaries were not available. It appears that the Claimant blamed the Respondent for

the failure to provide the necessary documents whilst the Respondent asserted that the Claimant

held the original diary herself and was therefore responsible for the failure to provide the

necessary documents. The Employment Judge did not attribute blame to either party for that

hearing being ineffective.

8. Because there was insufficient time on 3 June, a further hearing was convened, this time

listed for two days commencing on 17 August 2015, to consider the Respondent's applications.

On that occasion, the Judge refused to make orders striking out the claims. However, so far as

nine specific complaints pursued by the Claimant were concerned (including unfair constructive

dismissal, whistle blowing and discrimination) the Judge concluded that those claims had little

reasonable prospects of success and made £50 deposit orders in relation to each complaint as a

condition of continuing to advance those claims. As already indicated, the Claimant did not

pay the deposit orders in relation to eight claims and following the appeal I have referred to,

those complaints were treated as having been dismissed on withdrawal. Separately, the Judge

concluded that the Claimant behaved unreasonably in the way that the proceedings had been

conducted. The Judge ordered the Claimant to pay the Respondent its costs of the hearing of 12

May 2015 in consequence and summarily assessed those costs in the sum of £1,481. This is the

decision that is the subject of these appeals.

9. The Employment Judge dealt with costs at paragraphs 64 to 80 of the Judgment. He

identified his approach at paragraph 66 and that a two-stage test had to be applied. He dealt

with unreasonable conduct at paragraphs 67 to 73 and with the exercise of discretion at

paragraphs 74 to 78 inclusive. The Employment Judge considered the Claimant's means

UKEAT/0002/16/DA UKEAT/0064/16/DA

UKEAT/0065/16/DA

- 4 -

expressly and concluded that the Claimant still had substantial net assets against which any

costs order could be enforced. He was satisfied that the sums claimed in relation to counsel's

fees for attending on 12 May, together with counsel's rail fare of £91, were proportionate

having regard to the complexity of the issues involved and that it was appropriate to have a

junior counsel with experience instructed to conduct the hearing in the particular circumstances.

The Judge concluded that an Order should be made in all the circumstances of the case.

The Appeal

10. Mr Geere identified eight grounds of appeal in the Notice of Appeal. I deal with each

ground in turn.

11. Grounds one and seven (to the extent that the latter is pursued) can be taken together.

Ground one challenges as perverse the Judge's finding that the Claimant was guilty of

unreasonable conduct in circumstances where Mr Geere contends that the pleadings and the

written material before the Employment Judge articulated her claims adequately. Ground seven

is not pursued beyond Mr Geere's contention that the Judge's reasoning in the Reconsideration

Judgment (at paragraph 5.4) is illustrative of a lack of clarity and confusion exhibited at the

August hearing.

12. Employment Judge Perry dealt with a number of Preliminary Hearings leading up to the

August hearing. He was as a consequence very familiar with the issues in the case, the

pleadings and the particulars that had been provided. He was in a very good position to

consider and determine whether the Claimant's conduct was unreasonable when looked at in

the round, in the knowledge of the issues that would have to be dealt with at a Full Hearing. He

UKEAT/0002/16/DA

- 5 -

was in a better position to do that than is this Appeal Tribunal on appeal. The Judge expressly

recognised that the standard of pleading expected of a lawyer did not apply to the Claimant as a

lay person and said that she could not be expected to provide a detailed legal pleading.

However, the Judge concluded that the Claimant should have been able to articulate in

layman's terms what it is that was said or done, by whom and on what dates that formed the

basis of her complaints. The Judge concluded that the Claimant had not been able to do this on

12 May 2015 and summarised a few examples reflecting that inability:

"69. At this point it may be helpful if I relay a few examples of that; in my order of the hearing on 12 May 2015 I recorded [the Claimant] could not identify the dates of four of the six protected acts she referred to (see paragraph 5 of the order), the detriments she relied upon or the names and characteristics of actual or hypothetical comparators for the direct [sic] and harassment claims. The significance of her inability to relay the dates of the acts was

highlighted in that during that hearing she conceded as to the victimisation claim that some of the acts of detriment predated the protected acts and thus could not be pursued (that point having been explained by me - although I note there is a typographical error in my order in

that regard)."

13. Accordingly, the Judge concluded that the Claimant had been unable to identify the

dates of four of the six protected acts referred to; she had not been able to identify the specific

detriments relied on; and had not been able to provide the characteristics of the hypothetical

comparators she relied on. The Judge concluded that notwithstanding the fact that the Claimant

is a litigant in person not to be held to the standards of a lawyer, given the number of earlier

hearings at which detailed particulars were sought to be elicited from her, that her inability to

provide the particulars required at the hearing on 12 May amounted to unreasonable conduct.

14. There is a high threshold for establishing perversity on appeal. Mr Geere submits that

the Judge was demonstrably wrong when he held (at paragraph 69) that the Claimant could not

identify the dates of four of the six protected acts she relied on. He conducted a somewhat

forensic exercise of taking me through a series of different documents in which he said it was

clear that the dates had been provided. However, it seems to me to be clear from the case

UKEAT/0002/16/DA

- 6 -

management summary of the hearing on 12 May 2015, and in particular paragraph 5, that the

information provided by the Claimant at that hearing was the information reflected by the Judge

at paragraph 5 of his Order. It is clear from that paragraph that the Judge regarded as

inadequate the dates given in respect of four of the six disclosures relied on. In relation to those

four disclosures, although the months and years were provided, the date was, as the Judge

recorded it, "TBC". The Judge explains that there were implications for determining whether

the old law, the new law or both applied. Moreover, the Judge said at paragraph 6 that the

Claimant had been unable to identify the specific detriments she had suffered, but had merely

pointed to general assertions, such as the failure to provide documents that were relevant in the

disciplinary investigation, but without being able to say what the actual failings were, by whom

and when. At paragraph 2 the Judge made clear that he spent a considerable time trying to

clarify the claims with the Claimant at that hearing and ultimately decided that the Claimant

should be given another opportunity (described as a final opportunity) to provide the particulars

he regarded as inadequately provided thus far.

15. So far as unlawful discrimination is concerned, paragraph 10 shows that once dates were

provided for protected acts relied on, certain dates pre-dated the acts of detriment and meant

those complaints could not proceed as the Claimant conceded. That underlines the importance

of the particulars the Judge was concerned had not been provided. The Judge regarded as

inadequate the details provided in respect of comparators and in particular the particular

characteristics of those comparators, and here what underlines the inadequacy is the fact that

subsequently the Claimant withdrew those complaints.

UKEAT/0002/16/DA UKEAT/0064/16/DA

UKEAT/0065/16/DA

- 7 -

16. It is also significant, in my judgment, that the Judge's conclusions on 12 May were not

isolated conclusions going against the grain, but were conclusions that had earlier been reached

by two other Employment Judges - Employment Judge Cocks and Employment Judge Hughes -

both of whom had read the documents available to them at the particular time and the

particulars provided by the Claimant and Mr Geere, and concluded that they did not adequately

particularise the claims in a way that enabled the Respondent to answer those claims and that

would ultimately enable the Employment Tribunal to adjudicate upon them.

17. In all the circumstances, I am quite unable to conclude that the Claimant has

demonstrated that the decision of this Employment Judge is irrational or that an overwhelming

case has been made out that the Tribunal reached a decision that no reasonable Tribunal, having

a proper appreciation of the information available, the pleadings and the evidence and having

properly directed itself on the law could have reached. The Employment Judge was in the best

position, to make the assessment he did. He dealt with the earlier hearings, and in particular

and critically, the hearing on 12 May 2015, when he witnessed at first hand the Claimant's

repeated inability to articulate in layman's terms, what it was that was said or done, by whom

and on what dates that formed the basis of her complaints. He was required to judge the

reasonableness of the Claimant's conduct in context, and did so in a way that was permissible.

I do not consider that the Employment Judge was demonstrably wrong in concluding that it was

inadequate simply to identify a month and year in respect of certain protected acts or that there

were other failings in relation to particularisation as I have already identified. The Tribunal's

finding in relation to the costs application was supported by the evidence of what had happened

at earlier hearings and the Orders directing the provision of particulars, together with the failed

attempts to supply such particulars. There is no suggestion that the Tribunal misdirected itself

UKEAT/0002/16/DA UKEAT/0064/16/DA in law, and, although a different Tribunal might have reached a different conclusion in relation

to these matters, I am entirely satisfied that this Tribunal's conclusion was a permissible option

in the circumstances of this case and one with which this Appeal Tribunal is not entitled to

interfere.

18. Ground two seeks to challenge the Judgment as inadequately reasoned (see Meek v

City of Birmingham District Council [1987] IRLR 250 CA) because it fails to identify what

material and what evidence was placed before the Tribunal. The Claimant argues that the

Judgment makes no reference to the pleadings or the witness statements, and the Tribunal Judge

did not identify which bundles were available and did not deal with the complaints that had

been made about the difficulties in obtaining agreed bundles.

19. I do not accept this argument. Mr Geere has submitted that it is difficult to make sense

of what happened at this hearing, but I disagree. The Claimant was present at the hearing, and

there is no dispute that there were two bundles available: a Full Hearing bundle, and a

Preliminary Hearing bundle, both delivered to the Claimant shortly before the hearing. The

Claimant did not seek an adjournment, nor did she ask for time to study the bundles, although

she had made complaints in email correspondence about the difficulties, as Mr Geere explained.

Not only was the Claimant present with the bundles used at the hearing, Mr Geere was himself

present at the hearing centre, although not in the hearing room, and he too was aware that the

two bundles were available and were used. In those circumstances, I cannot see any difficulty

in making sense of what happened at the hearing arises, and certainly the failure to refer to the

bundles does not reflect on the adequacy of the Reasons. Decisions of Tribunals are not

required to be elaborate, formalistic products, nor are they required to contain a complete

UKEAT/0002/16/DA UKEAT/0064/16/DA

- 9 -

account of the chronology of events leading to the hearing or to summarise the correspondence

leading to the hearing. It is sufficient for a Tribunal to summarise its basic factual findings and

conclusions leading to the decision on the issues at stake and to set out the reasons for those

conclusions. That is what this Tribunal has done, and, in my judgment, the Reasons are Meek-

compliant.

20. Ground three argues that the Tribunal wrongly treated the alleged inability of the

Claimant as unreasonable conduct by itself. Mr Geere submits that inability on its own cannot

amount to unreasonable conduct. He accepts that if there were a finding that the Claimant had

failed to comply with a particular Order or had been obstructive he could make no complaint

about that, but submits that the only thing relied on by this Employment Judge was an alleged

inability and that simply cannot form the basis of a finding of unreasonable conduct. Moreover,

the Claimant, a layperson suffering from stress, had in fact gone to considerable efforts to

articulate her claim in writing and to assist the Tribunal and this was not acknowledged and was

instead ignored and then erroneously identified as unreasonable conduct.

21. I do not accept Mr Geere's reading of the Judge's findings and conclusions. While it is

correct that the Judge found the Claimant's inability to articulate her claim was unreasonable

conduct here, the Judge did not find that this inability resulted from stress, anxiety or the severe

abdominal pain that the Claimant complained about. The Judge accepted that the Claimant was

not trying to be obstructive but found that she was not prepared in the way that she should have

been, by reference to the lower standards to be expected of a litigant in person pursuing these

claims. It was that lack of preparation that caused her inability to provide particulars. There

was no medical evidence to suggest that the Claimant was not fit to represent herself, nor had

UKEAT/0002/16/DA UKEAT/0064/16/DA

- 10 -

the Claimant applied to postpone hearings on this ground. The Claimant told the Judge that it

was principally the stress of the claim and the treatment she had received from the Respondent

that gave rise to issues for her. But the Judge was entitled to conclude that those were factors

that would not go away in the course of these proceedings until the claim had been concluded

and that those issues did not excuse the Claimant from particularising her claim properly or

amount to a justification for her inability to explain the essence of her claim. The Claimant had

been required on a number of earlier occasions, following a number of hearings with different

Judges, to particularise her claim. The Judge was satisfied that she knew that her claim needed

to be clarified and that she had been given four opportunities to do so.

22. In those circumstances, and in circumstances where the Judge expressly applied a lower

standard to the Claimant in relation to the pleading of her claim, he was entitled to conclude

that her inability to articulate her claim in this case was unreasonable conduct. That was not a

conclusion that inability by itself amounted to unreasonable conduct as Mr Geere has

submitted, but that inability in circumstances of having been given four opportunities and been

directed to what was required was unreasonable for the reasons he gave. Again, that was a

conclusion open to the Judge and not arguably in error of law as has been submitted.

23. Ground four addresses causation and challenges the Judgment as failing to consider

whether the costs awarded were incurred or wasted because of the alleged unreasonable

conduct found. In particular, it is said that the Judge was not willing to consider the strike-out

application on 12 May and nor did the Judge feel able to deal with the strike-out application on

3 June. That means that the costs of those hearings would have been incurred in any event.

UKEAT/0002/16/DA UKEAT/0064/16/DA

- 11 -

24. I do not accept that argument. The hearing on 12 May was, as the Judge made clear,

adjourned to allow the Claimant a last opportunity to clarify her claims before applications for

strike-out and/or deposit orders were entertained. Had the Claimant acted reasonably in the

Judge's view in preparing for the hearing on 12 May, that adjournment would have been

unnecessary, and the costs of that hearing would not have been wasted. That the Judge

understood the basis of the application in this way appears from paragraph 65. It is implicit in

the Judge's findings that the Claimant was not adequately prepared on that occasion and that

her lack of preparation meant she was unable to articulate her claims to such an extent that her

conduct was characterised as unreasonable. This was the basis on which the Judge accepted

causation had been established. The question of causation was plainly considered and, if not

expressly, was implicitly addressed in those circumstances.

25. To the extent that Mr Geere relies on the fact that the 3 June hearing was ineffective to

address the Respondent's applications so as to render the 12 May hearing a wasted hearing in

any event, I do not accept his argument. I accept that the 3 June hearing did not deal with the

strike-out and deposit order applications. I do not accept that the record of that hearing

attributes the inability to deal with those applications to any failure on the part of the

Respondent. It is clear that the Respondent was maintaining that the unredacted diaries were in

the hands of the Claimant not the Respondent. Those were diaries the Claimant wished to rely

on and needed to rely on in order to assert her claims. Mr Geere has confirmed that the

Claimant had those unredacted diaries and indeed provided them subsequently. The

Respondent maintained throughout as the correspondence shows, that the unredacted diaries

had not been disclosed to it. In those circumstances, it is at least possible that the reason the

hearing did not proceed was because of the Claimant's failure to provide copies of the

UKEAT/0002/16/DA UKEAT/0064/16/DA

UKEA1/0004/10/DA

UKEAT/0065/16/DA

- 12 -

unredacted diaries. I do not need to resolve that question. Whether the 3 June hearing was

wasted or not, and if so, by whom, cannot be determinative of the question whether the 12 May

hearing was itself a wasted hearing. I do not accept that the ineffectiveness of the 3 June

hearing undermines the Judge's conclusion that the Claimant's conduct caused a wasted hearing

on 12 May in the circumstances of this case, and the contention that the Judge erred in law in

his conclusion is accordingly rejected.

26. Ground five contends that the Judge ignored submissions made by the Claimant that the

Respondent's conduct was unreasonable. Mr Geere particularly focuses on the Respondent's

conduct in relation to pleadings, medical evidence, the failure to send the bundle to the

Claimant in accordance with Tribunal Orders and the continued failure or refusal to include

documents in the bundle requested by the Claimant. I accept, as Mr Geere has submitted, that

in her written submissions available to the Tribunal and made in response to the Respondent's

application for strike-out or deposit orders and for costs, the Claimant denied any unreasonable

conduct, asserting that the costs application was itself wholly unreasonable and abusive, and at

paragraph 8.5 of that document, having said that she had fully engaged with the Tribunal

process and complied conscientiously with Tribunal directions and Orders, that:

"8.5. It has been the Respondent who has constantly been causing confusion, misrepresenting and distorting the Claimant's case and statements the Claimant has made and making wholly unfounded assertions and claims. The Claimant has been subjected to this treatment constantly since autumn 2012 and it has seriously damaged her health and made it very hard

for her to function."

27. Although there was a complaint about the Respondent's conduct dating from autumn

2012 onwards, the Employment Judge made a clear finding that the cause of the wasted costs

and the wasted hearing on 12 May was the Claimant's inability to articulate her claim in the

respects already described. That having been determined, it is difficult to see how the

UKEAT/0002/16/DA UKEAT/0064/16/DA

- 13 -

Respondent's conduct, as criticised in those broad terms by the Claimant, was relevant to the

Tribunal's finding here. If the Claimant was contending that her inability to articulate

particular matters - the dates of protected disclosures, the nature of the detriments or who

subjected her to particular detriments - was the fault of the Respondent for some reason, it was

incumbent on her to say so. It is clear that she did not do this. Rather, as appears from her

submission, she contended that she had fully engaged with the Tribunal process and that she

had complied with the directions and the Orders of the Tribunal. Her complaint about the

Respondent was not that it had prevented her from articulating her claims or had contributed in

some way to her inability to particularise her claims but rather that the Respondent was

misrepresenting her claims and making unfounded assertions and claims of deficiency. The

Tribunal was entitled to reach a concluded view on the case advanced by the Claimant and in

the absence of any suggestion that the Respondent was responsible for failures on the

Claimant's behalf for whatever reason, was entitled to reach the conclusions it did. This was

not to ignore submissions or to fail to consider the Claimant's complaint but was to deal with

the complaints that were actually raised in the context of the costs application. That is the way

in which paragraph 5.2 of the Reconsideration Judgment is to be understood, and I am not

persuaded in the circumstances that the Judge was in error of law in reaching the conclusion he

did in the costs Judgment. Nor was he in error of law in saying that the Claimant had not

asserted that it was the Respondent's failings that led to her inability to particularise her own

claims and identify matters that were within her own knowledge. This ground accordingly

fails.

28. Ground six argues that the Tribunal failed to take into account the health problems of

the Claimant that had been raised by her and were, it is said, well evidenced before the Tribunal

UKEAT/0002/16/DA UKEAT/0064/16/DA

- 14 -

and undisputed by the Respondent. I deal with this complaint shortly because it is clear that

having found the threshold for a costs order established, the Employment Judge did not

conclude automatically that costs should follow. Rather, he recognised that it was open to him

to exercise his discretion to decide whether or not to order costs and to do so in light of all the

circumstances. One of the important circumstances expressly considered was the ill health

complained of. In those circumstances, it is not even arguable that this was a factor that the

Tribunal simply failed to take into account.

29. Moreover Mr Geere has accepted in the course of argument that the Tribunal Judge was

not shown any medical evidence in the hearing. He says that the Respondent failed to include

all medical documents in the bundle, including only some of them and in her confusion, the

Claimant did not take the Employment Judge to those documents that had been included in the

bundle and accepted in the course of the hearing that there was no medical evidence. In any

event, what is also clear is that there was no medical evidence about the Claimant's state of

health on 12 May 2015 or in the period immediately before that hearing. The Claimant

provided evidence of ill health at the hearing on 17 August 2015 but still provided no medical

evidence as to her fitness or lack of fitness to represent herself at that earlier hearing. Having

considered the evidence available, the Tribunal was entitled to conclude that the Claimant's ill

health was not a reason not to make an order for costs. Moreover, Mr Geere's reading of

paragraph 5.3 as indicating that the Judge did not believe that the Claimant was ill is not my

reading of that paragraph. In my judgment, the Judge makes clear at paragraph 5.3 that he did

take account of the Claimant's submissions that she had been ill, but he was not taken to any

medical evidence in relation to that matter. That was true, and there is nothing to suggest that

the Judge disbelieved the Claimant as Mr Geere has submitted.

UKEAT/0002/16/DA UKEAT/0064/16/DA

UKEAT/0065/16/DA

- 15 -

30. Ground seven is not pursued. It was based on a misreading of paragraph 5.4, as has now

been accepted.

31. Ground eight argues that the Tribunal failed to consider what proportion of the costs

requested should be awarded and instead awarded the full sum on an indemnity basis without

applying the principles laid down at paragraph 10 of the decision in Howman v Queen

Elizabeth Hospital Kings Lynn UKEAT/0509/12. Paragraph 10 of Howman deals with the

question when indemnity rather than standard costs should be ordered. It does not deal more

broadly with when costs should be ordered or not. This ground appeared to proceed on a

misunderstanding of what the Tribunal said and to be directed at a challenge to costs being

awarded on the indemnity rather than the standard basis here. It is clear that the Tribunal did

not order indemnity costs but simply referred to the indemnity principle in relation to any VAT

that might otherwise have been claimed. Mr Geere contends the challenge is not as just

articulated but to the fact that the Tribunal ordered the whole of the costs to be paid rather than

only a proportion of them.

32. This ground raises no error of law. The Tribunal conducted a summary assessment by

examining the fee claimed for counsel's attendance against the number of hours spent preparing

for the hearing, the complexity of the case and the issues raised. The Tribunal addressed the

reasonableness of the amount claimed and its proportionality. The Tribunal took into account

the Claimant's financial circumstances when considering the level of the costs award to be

made. It seems to me that having expressly referred to proportionality it is simply not arguable

that the Tribunal erred in law in concluding that the costs claimed for counsel's fee summarily

assessed in the sum identified should be paid. This is not a case where the Tribunal awarded

- 16 -

UKEAT/0002/16/DA UKEAT/0064/16/DA the whole of the costs that were incurred by the Respondent on the occasion of 12 May. The

Tribunal limited itself, as the Respondent had done, simply to counsel's fee. That was a

permissible course to adopt. I can discern no error of law in that assessment.

33. So far as the Reconsideration Judgment is concerned, the grounds of appeal are, as Mr

Geere submits, essentially the same in relation to that Judgment as those he has articulated both

in writing and in oral submissions in relation to the Costs Judgment. Mr Geere contends that it

was an error of law for the Judge not to hold a hearing at which the reconsideration grounds

could have been properly aired and discussed, and he lays particular emphasis on the statement

by the Judge at paragraph 5.2 of the Reconsideration Judgment that the conduct of the

Respondent was not a matter that had been argued before him at the Preliminary Hearing. He

says it clearly had been raised, the Judge was simply pretending that this was not the case and

that cannot be right. I have already dealt with the way in which the Respondent's conduct was

relied on by the Claimant and the absence of any submission to the effect that her inability to

articulate her claim was something that could be laid at the Respondent's door or attributed to a

failure by or to conduct on the Respondent's part. That was never said, and it was in that

context that the Judge said this ground was not argued before him.

34. In his Reconsideration Judgment the Judge identified the Rules relating to

reconsideration and in particular to the provision in the Rules enabling a Judge who considers

that there is no reasonable prospect of the original decision being varied or revoked refusing the

application without a hearing at a preliminary stage. In this case, the Judge addressed each

ground in turn. He considered whether was anything in each of the particular grounds relied on

that might lead him to vary or revoke his decision. For the reasons he gave, he concluded that

UKEAT/0002/16/DA UKEAT/0064/16/DA

- 17 -

there was nothing in the grounds advanced by the Claimant that could lead him to vary or

revoke his decision, and accordingly he refused the application at the preliminary stage. As he

made clear, a request for reconsideration is not an opportunity for a party to seek to re-litigate

matters that have already been litigated, or to reargue matters in a different way or adopting

points previously omitted. There is an underlying public policy principle in all judicial

proceedings that there should be finality in litigation, and reconsideration applications are a

limited exception to that rule. They are not a means by which to have a second bite at the

cherry, nor are they intended to provide parties with the opportunity of a rehearing at which the

same evidence and the same arguments can be rehearsed but with different emphasis or

additional evidence that was previously available being tendered. Tribunals have a wide

discretion whether or not to order reconsideration, and the opportunity for appellate

intervention in relation to a refusal to order reconsideration is accordingly limited.

35. Where, as here, a matter has been fully ventilated and properly argued, and in the

absence of any identifiable administrative error or event occurring after the hearing that

requires a reconsideration in the interests of justice, any asserted error of law is to be corrected

on appeal and not through the back door by way of a reconsideration application. It seems to

me that the Judge was entitled to conclude that reconsideration would not result in a variation or

revocation of the decision in this case and that the Judge did not make any error of law in

refusing reconsideration accordingly.

36. For those reasons, despite the tenacious arguments advanced by Mr Geere on the

Claimant's behalf, both appeals fail and are dismissed. The Order for costs accordingly stands,

UKEAT/0002/16/DA UKEAT/0064/16/DA

UKEAT/0065/16/DA

- 18 -

and the application for a preparation time order on behalf of the Claimant must accordingly fall
away.