



# 2014 to 2020 European Structural and Investment Funds Growth Programme

# Call for Proposals European Social Fund

# **Priority Axis 1: Inclusive Labour Markets**

# **Youth Employment Initiative (YEI)**

Managing Authority	Department for Work and Pensions (DWP)		
ESI Fund	European Social Fund		
Priority Axis:	Priority Axis 1 : Inclusive Labour Markets		
Investment Priority:	1.3 Sustainable Integration of Young People into the Labour Market		
Call Reference:	Access to Employment for Young People OC22S17P0650		
LEP Area:	Liverpool City Region		
Call Opens:	27 February 2017		
Call Closes:	23 April 2017		

<b>Document Submission:</b>	Completed Applications must be submitted to			
	⊠ <b>:</b> <u>2014-</u>			
	2020.ESFAPPLICATIONS@DWP.GSI.GOV.UK			

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## 1. Call Context

The 2014 to 2020 European Structural and Investment Funds (ESIF) bring the European Regional Development Fund (ERDF), European Social Fund (ESF) and part of the European Agricultural Fund for Rural Development (EAFRD) together into a single European Union (EU) Structural Investment Funds (ESIF) Growth Programme for England supporting the key growth priorities of innovation, research and development, support for Small and Medium Enterprises (SME), low carbon, skills, employment, and social inclusion.

European Structural and Investment Funds are managed by the Department for Communities and Local Government (ERDF), Department for Work and Pensions (ESF) and the Department for Environment Food and Rural Affairs (EAFRD). In London, the Greater London Authority acts as an Intermediate Body for the European Regional Development Fund and European Social Fund programmes. Unless stated otherwise, the term "Managing Authority" will apply to all these organisations. These Departments are the Managing Authorities for each Fund. The Managing Authorities work closely with local partners who provide:

- Practical advice and information to the Managing Authorities to assist in the preparation of local plans that contribute towards Operational Programme priorities and targets;
- Local intelligence to the Managing Authorities in the development of project calls (decided by the Managing Authorities) that reflect Operational Programme and local development needs as well as match funding opportunities;
- Advice on local economic growth conditions and opportunities within the context of Operational Programmes and the local ESIF Strategy to aid the Managing Authority's assessments at full application stage.

This call is issued by the Department for Work and Pensions (DWP) to commission ESF Funded projects that will support the **Priority Axis 1 of the Operational Programme: Inclusive Labour Markets** and **Investment Priority: 1.3 Sustainable integration into the labour market of young people (YEI)** as set out in the Operational Programme.

All applications will need to be eligible under the European Social Fund Operational Programme for England 2014 to 2020. The <u>ESF Operational Programme</u> is available for applicants to read.

This call for proposal sets out the requirements for any applicants to consider before applying. Applications against this call will be assessed as part of a one stage appraisal process and successful applicants will enter into a funding agreement with the DWP. Further information is given in sections 4 to 10.

All ESF applicants will need to be aware of the requirement to collect and report data on all participants as per Annex 1 (see Appendix A). This will be as well as the

requirement of reporting on output and result indictors referred to in section 3 of the call for proposal.

#### 1.1 National Context

This priority axis aims to increase participation in the labour market and thereby improve social inclusion and mobility. The operational programme identifies the need to address high levels of youth unemployment in specific areas of England this will be delivered through the following Investment priority:

**Investment priority 1.3** Sustainable integration into the labour market of young people (YEI), in particular those not in employment, education or training, including young people at risk of social exclusion and young people from marginalised communities, including through the implementation of the Youth Guarantee.

ESF will not fund activity that duplicates or cuts across national policy on grants and loans for tuition for skills activities. Exemptions to this principle will be considered only where a local specific need and/or market failure has been demonstrated and where the activity falls within the scope of the Operational Programme.

Full details of what can and cannot be supported under this Investment Priority are set out in the Operational Programme. Details of the specific objectives have been reproduced below.

Specific Objective	Results that the Member States seek to
To support the rise in the participation age by providing additional traineeship and apprenticeship opportunities for 15-29 year old NEETs in YEI areas, with a particular focus on 15-19 year old NEETs.	achieve with Union support  The additional support from this investment priority will help more young people aged 15-29 enter traineeships and apprenticeships. It will be focussed on young people in YEI areas, with a particular focus on 15-19 year old NEETs. The additional support from this investment will support investment in traineeships and apprenticeships, including improvements to recruitment and assessment and engagement with employers.  The main result will be that more young participants aged 15 to 29, male and female, who were NEET, are in traineeships or apprenticeships as a result of ESF interventions and this will support movements into work.
To engage marginalised 15-29 year old NEETs in YEI areas and support them to re-engage with education or training, with a particular focus on 15-19 year olds.	The additional support from this investment priority will help to find and engage young people in YEI areas, particularly those aged 15-19, who are disconnected from mainstream activity. Participants could, for example, be

members of gangs, care leavers, young lone parents, ex-offenders or young people with learning difficulties. They are likely to require more intensive and tailored interventions. The main result that will be achieved is that more participants will be engaged with education or training on leaving, and this will be measured by the result target. To address the basic skills needs The additional support from this investment priority will help 15-29 year old NEETs in YEI of 15-29 year old NEETS so they areas to acquire the basic skills necessary to can compete effectively in the labour market; enable them to progress into an apprenticeship, traineeship or other employment. The main result that will be achieved is that more participants will have gained basic skills. There is an output target for participants without basic skills and a result target for participants gaining basic skills. The additional support from this investment To provide additional work experience and pre-employment priority will help unemployed 15-29 year olds training opportunities to and particularly those aged 18-29 in YEI areas unemployed 15-29 year old NEETS to transition into training or sustainable in YEI areas, with a particular focus employment (or self-employment). on those aged over 18 The main result that will be achieved is that more participants will enter traineeships, apprenticeships or sustainable employment (including self-employment) on leaving. The additional support from this investment To support 15-29 year old lone parents who are NEET in YEI priority will help 15-29 year old lone parents areas to overcome the barriers they who are NEET in YEI areas to move closer to face in participating in the labour and into sustainable employment (including market (including childcare). self-employment). Support will include assistance with childcare when this has not been covered by public provision, such as Universal Credit. It will also help participants to overcome other barriers, such as low basic skills or lack of confidence. The main result that will be achieved is that more participants will move into sustainable employment (including self-employment). There is an output target for the number of lone parents.

#### 1.2 Local Development Need

Projects must deliver activity which directly contributes to the objectives of Priority Axis 1, Investment Priority 1.3 of the Operational Programme, and which meets the **local development need** expressed in the text and table below.

#### Call outline

Approximately 68% of working age residents in the Liverpool City Region are in employment: this remains lower than the national average and shows one of the biggest gaps in the last few years. The employment rate for young people in the City Region is closer to 45%, with younger residents facing specific challenges in finding employment.

Employment levels vary amongst young people, with some significantly less likely to be in (or able to compete for) sustainable employment than others. There remains a challenge to ensure that all Liverpool City Region residents can develop the skills and attributes they need to realise their economic potential.

The Liverpool City Region wishes to invite proposals for projects to the value of approximately £10.468m, designed to provide support to and increase the employability of specific groups of young people (including bespoke support for, both, males and females). Project proposals should reflect how they will support a specific target group of young people, these are expected to include:

- young people with learning difficulties and disabilities;
- young people without English, Maths, IT qualifications at Level 2;
- young people from black and ethnic minority backgrounds;
- young people returning to the labour market following parental or caring responsibilities; and
- young people with experience of the care system.

The aim of this call is to support participants' progression into and within the labour market, allowing them to achieve equality of opportunity. Projects must deliver additional, innovative and more intensive provision that meets the needs of specific groups of young people (e.g. those with disabilities, experience of the care system) and local labour markets.

#### **Local Priorities:**

Project proposals must demonstrate innovation alongside evidence of the proposed approach supporting targeted participant groups into employment.

Project proposals should demonstrate a personalised and staged approach to prepare participants for sustainable employment, through development of their employability skills. Project proposals should include, but not be limited to providing:

- bespoke information, advice and guidance;
- job-focused training;
- provision of training to achieve English, Maths at IT qualifications at Level 2;
- digital skills;
- interview techniques;
- supported internships paid at National Minimum Wage specifically for the target group of disadvantaged young people highlighted, for 30 hrs a week for 6months, or 20 hrs a week for 9 months (depending on the needs of the young person);
- progression into supported and open employment (particularly for 25-29 year olds, via wrap around support);
- in-work training and ongoing support by job coaches.

Each stage of the bespoke journey will be unique to the individual, with progression made at an appropriate pace for the participant. Projects must add value to and not duplicate existing provision: applications must demonstrate how a project will work in conjunction with existing support packages and provision in place.

Project proposals should demonstrate strategic alignment with the <u>Liverpool City</u> <u>Region's ESIF Strategy 2014-2020</u>, its ongoing <u>Skills for Growth work</u> and <u>Growth Strategy</u>; along with the <u>European Social Fund Operational Programme 2014-2020</u>.

## 1.3 Scope of activity

This call invites Full Applications which support the delivery of Priority Axis 1, Investment Priority 1.3 Sustainable Integration of Young People (YEI) into the Labour Market of the European Social Fund Operational Programme and responds to the local development need set out in the Liverpool City Region area Local Enterprise Partnership Area European Structural and Investment Funds Strategy.

This call aims to address the identified shortfalls listed in section 1.2 Local Development Need above.

## 2. Call Requirements

All applications are competitive.

Indicative Fund Allocation:	Indicatively, through this call the Managing Authority expects to allocate approximately £3.8m ESF and approximately £3.8m YEI.	
	Applicants will need to have eligible match funding for the ESF element (excluding YEI) for the balance of costs, which must be from a source other than the European	

	Union.
	For all applications proof of this match funding will need to be supplied as part of the full application and appraisal process
	Approximately 90% - £3,489,300 ESF and £3,489,300 YEI allocated to the Transition Region
	Approximately 10% - £387,700 ESF and £387,700 YEI allocated to the More Developed Region
	The Managing Authority reserves the right to increase or decrease the indicative allocation, or support more or fewer projects subject to the volume and quality of proposals received.
Minimum application level	European Social Fund investment is intended to make a significant impact on local growth. Applications are expected to demonstrate appropriate scale and impact. The Managing Authority does not intend to allocate less than £100,000 of European Social Funding to any single project.
	In order to ensure that a range of activity is supported, it is envisaged that multiple projects will be funded and applications from consortia are strongly welcomed and encouraged.
	The minimum project size for both ESF & match funding, is dependent on the intervention rate determined by CoR; 60% Transition Region & 50% More Developed Region. As Liverpool City Region LEP area spans two CoR, Transition and More Developed - applicants will need to provide separate financial and indicator tables with the appropriate intervention rates for each CoR.
Duration of project approvals	All YEI project activity must end by 31/07/18
Geographical Scope	All interventions should be focused on activity and beneficiaries within the Liverpool City region Local Enterprise Partnership area.
	ESF and match must be spent on participants eligible for YEI residing in areas eligible for YEI
Specific call requirements	This is a call for ESF activity.

Call Doadlings	For this specific call, applications will be assessed		
Call Deadlines	following closure of the call. Applications received after the published call close date will not be considered.		
Application selection	All applications will be scored in line with the ESF scoring criteria, but the MA reserve the right to invite projects to enter into funding agreements with projects which may complement other activity or provide niche activity to target groups within the OP.		
Applicant proposals	These can only contain activities which are eligible for ESF.		
Eligible match funding	Applicants will need to have eligible match funding for the ESF element (excluding YEI) for the balance of costs, which must be from a source other than the European Union. For all applications proof of match funding will need to be supplied as part of the assessment.  The intervention rate in the Liverpool City Region LEP Area is 50% in the More Developed areas and 60% in the Transition areas, so 50% of match funding must be provided in the More Developed areas and 40% of match funding must be provided in the Transition areas.		
Operational completion	Operations must be completed no later than 31 August 2018		
Procurement	All procurement must be undertaken in line with EU regulations.		
State Aid law	Applicants must demonstrate compliance with State Aid law.		
Audit/ Compliance	All expenditure and activities will be subject to rigorous audit and non-compliance may lead to financial penalty.		
Calls listing multiple activity	The applicant is required to list each activity they plan to deliver, supported by a clear breakdown of costs.  Expected outputs and results per activity should be provided.		

ESF cannot be used to duplicate existing activities or activities that do not address market failure. ESF can only be used to achieve additional activity or bring forward activity more quickly. Applicants must be able to demonstrate that proposals are additional to activity that would have occurred anyway or enables activity to be brought forward and delivered more quickly than otherwise would be the case in response to opportunity or demand.

# 3. Deliverables required under this Call:

Applications will be expected to achieve the minimum indicative level of Programme Deliverables by contributing to the following Investment Priority. The definitions of which can be accessed at the <a href="ESF Operational Programme">ESF Operational Programme</a>.

Investment Priority	1.3 Sustainable Integration Of Young People (YEI) Into The Labour Market		
Specific Objectives	Sustainable integration into the labour market of young people (YEI), in particular those not in employment, education or training, including young people at risk of social exclusion and young people from marginalised communities, including through the implementation of the Youth Guarantee.		
Indicative Actions			
	<ul> <li>In-work training and ongoing support by job coaches.</li> </ul>		

#### Results Table -

ID	Result Indicator	Target value for this call
YEI – CR01	Unemployed participants who complete the YEI supported intervention	70%
YEI – CR02	Unemployed participants	48%

	T	
	who receive an offer of employment, continued education, apprenticeship or traineeship upon leaving	
YEI – CR03	Unemployed participants who are in education/training, gaining a qualification, or in employment, including self-employment, upon leaving	48%
YEI – CR04	Long-term unemployed participants who complete the YEI supported intervention	60%
YEI – CR05	Long-term unemployed participants who receive an offer of employment, continued education, apprenticeship or traineeship upon leaving	38%
YEI – CR06	Long-term unemployed participants who are in education/training, gaining a qualification, or are in employment, including self-employment, upon leaving	38%
YEI – CR07	Inactive participants not in education or training who complete the YEI supported intervention	60%
YEI – CR08	Inactive participants not in education or training who receive an offer of employment, continued education, apprenticeship or traineeship upon leaving	32%
YEI – CR09	Inactive participants not in education or training who are in education/training, gaining a qualification, or are in employment, including self-employment, upon leaving	32%
YEI – CR10	Participants in continued education, training	15%

	programmes leading to a qualification, an apprenticeship or a traineeship six months after leaving	
YEI – CR11	Participants in	34%
	employment six months	
	after leaving	
YEI – CR12	Participants in self-	4%
	employment six months	
	after leaving	

## **Outputs table – Transition**

ID	Output Indicator	Total target value for this call	Men target value	Women target value
YEI – 08	Participants (below 25 years of age) who are unemployed OR inactive (not in education or training)	2536	1344	1192
YEI – O3	Participants (aged 25-29) who are unemployed OR inactive (not in education or training)	845	448	397
YEI – O9	Unemployed (including long term unemployed) participants (YEI)	2536		-
YEI – O10	Long-term unemployed participants (YEI)	845	-	-
YEI – O11	Inactive participants not in education or training (YEI)	845		-
O5	Participants from ethnic minorities	1014		

YEI – 012	Participants with disabilities (YEI)	338	-	-
YEI – O13	Participants who live in a single adult household with dependent children (YEI)	135	-	-

# **Outputs Table More Developed**

ID	Output Indicator	Total target value for this call	Men target value	Women target value
YEI – O8	Participants (below 25 years of age) who are unemployed OR inactive (not in education or training)	316	167	149
YEI – O3	Participants (aged 25-29) who are unemployed OR inactive (not in education or training)	106		
YEI – 09	Unemployed (including long term unemployed) participants (YEI)	316		-
YEI – O10	Long-term unemployed participants (YEI)	106	-	-
YEI – O11	Inactive participants not in education or training (YEI)	106		-
O5	Participants from ethnic minorities	127		
YEI – O12	Participants with disabilities (YEI)	42	-	-

YEI – O13	Participants who live in a single adult household with dependent children (YEI)	17	-	-

Applicants will be required to demonstrate how they will achieve the deliverables within their proposal along with any methodology that will be used to record achievement. Applicants will also need to ensure robust systems are in place, and be able to describe them, to capture and record the targets and to report quantitative and qualitative performance across the Liverpool City Region LEP area.

All operations will be required to collect data and report progress against the deliverables with each claim. Where an operation underperforms against their deliverables they may be subject to a performance penalty.

There must be a fully evidenced audit trail for all contracted deliverables.

## 4. General Information

Essential information to support the drafting of an application and delivery of a successful ESF funded project is available at the <u>European Growth Funding</u> website pages.

## 4.1 Compliance and Eligibility

When developing an application, Applicants should refer to <u>guidance</u> on eligible Applicants, activities and costs. These are for guidance only and Applicants should take their own specialist advice if in doubt. It is the responsibility of the Applicant to ensure that the rules and guidance are adhered to both at application stage and following approval.

ESIFs are governed by European regulations and national rules. Applicants are advised to familiarise themselves with the relevant documentation listed in the 'key documents' section prior to submitting an application. If successful, Applicants will enter into the standard Funding Agreement and must abide by the standard terms and conditions contained therein. Applicants are therefore strongly advised to read these terms and conditions to ensure that they would be able to enter into such an agreement prior to responding to the call. Once a Funding Agreement has been issued it should be signed and returned within a short timescale.

#### 4.2 Intervention Rate & Match Funding

ESF is the funding is used where no other funding can be obtained (the funder of last resort) and the maximum ESF intervention rate for the operation is 66.66% (inclusive of the YEI allocation). This means ESF can contribute <u>up to</u> 66.66% of the total eligible project costs, subject to State Aid regulations. The remaining 33.34% or more must come from other eligible sources. For all applications proof of match funding will need to be supplied as part of the assessment.

ESF is not paid in advance and expenditure must be defrayed prior to the submission of any claims. Applicants may be asked to demonstrate how they are able to cash flow the operation.

#### 4.3 Applicants

Applicants must be legally constituted at the point of signing a Funding Agreement, and be able to enter into a legally binding Funding Agreement. The Applicant will be the organisation that, if the application is successful, enters into a contract for ESF and therefore carries the liability for ensuring that the terms of the ESF Funding Agreement are met by them and to all delivery partners. If there is more than one organisation applying for the funds, a lead organisation must be selected to become the Applicant. It is this organisation that carries the responsibility and liability for carrying out a compliant project.

The Managing Authority will consider the Applicant's track record, both positive and negative. If the Applicant has been involved in the delivery of previous European grants and any irregularities with this (these) grant(s) have been identified, the Managing Authority will look into these and expect to see how and what steps have been taken to ensure that these have been addressed to mitigate the risk of further irregularities in the future. It is acknowledged that some organisations will be new to ESIF funding and will not have a track record.

## 4.4 Cross Cutting Themes

All applications received under this Call should demonstrate how the Cross Cutting Themes have been addressed in the project design and development. Cross cutting themes for ESF are 'gender equality and equal opportunities' and 'sustainable development'.

For ESF, the project applicants will be required to deliver their services in-line with the Public Sector Equality Duty (as defined in the Equality Act 2010). All projects must have a gender and equal opportunities policy and implementation plan which will be submitted at full application stage and in-line with Managing Authority guidance. Project applicants will also be required to answer a number of ESF-specific equality questions which will be set out in both the full application form and the related guidance.

For ESF, all projects will also be required to submit a sustainable development policy and implementation plan (in-line with guidance produced by the Managing Authority).

The ESF programme particularly welcomes projects that have an environmental focus that can meet the strategic fit at local and programme level whilst also adding value by:

- supporting environmental sustainability; and/ or
- complementing the environmental thematic objectives of other programmes such as ERDF; and/or
- using the environment as a resource to help motivate disadvantaged people

Further information is available in the ESF Operational Programme

#### 4.5 State Aid & Revenue Generation

Applicants are required, in the Application, to provide a view on how their proposal complies with State Aid law. Applicants must ensure that projects comply with the law on State Aid. Grant funding to any economic undertaking which is state aid can only be awarded if it is compatible aid, in that it complies with the terms of a notified scheme or is covered by the De Minimis Regulation. Guidance for grant recipients, explaining more about State Aid, is available; it is important that Applicants take responsibility for understanding the importance of the State Aid rules and securing their full compliance with them throughout the project, if it is selected into the Programme.

The Managing Authority is not able to give legal advice on State Aid. It is the responsibility of the Applicant to ensure that the operation is State Aid compliant.

Where the Applicant does not perceive that there is any State Aid, it should state whether or not it considers Articles 61 and 65(8) of regulation 1303/2013 to apply. This revenue should be taken into account in calculating eligible expenditure. Article 61 refers to monitoring revenues generated after completion of the project, and Article 65(8) how to deal with differences in the forecast and actual revenues at the end of the operation. The details of this will be tested at the full application stage.

### 4.6 Funding Agreement

The Funding Agreement is a standard, non-negotiable and legally binding document. Any successful Applicant will be subject to the terms and conditions contained within this agreement. Applicants are strongly advised to seek their own advice to ensure

<sup>&</sup>lt;sup>1</sup> Article 107(1) of the Treaty on the Functioning of the European Union provides that: "Save as otherwise provided in the Treaties, any aid granted by a Member State or through state resources in any form whatsoever which distorts or threatens to distort competition by favouring certain undertakings or the production of certain goods shall, in so far as it affects trade between Member States, be incompatible with the internal market."

that they would be able to enter into and abide by the terms of the Funding Agreement.

Failure to meet any of the conditions of the agreement or the commitments within the application will result in claw back of funding.

Applicants should be aware that additional provisions and securities may be included within the Funding Agreement to protect the investment. These will be further discussed if relevant following the Full Application stage.

#### 4.7 Procurement

All costs delivered by the Grant Recipient (the applicant) and/or delivery partners must be delivered on an actual cost basis. Other costs must be procured in line with EU regulations. The most common error identified during audit has been failure to comply with relevant procurement regulations and crucially to maintain a full audit trail to prove that they have complied with the relevant regulation. Robust and transparent procurement is required to ensure that Grant Recipients:

- consider value for money;
- maximise efficient use of public money; and
- maintain competitiveness and fairness across the European Union.

It is recommended that applicants seek their own legal advice pertaining to their procurement and requirements to publicise any tendering opportunities.

The Managing Authority is not able to give legal advice on procurement. It is the responsibility of the applicant to ensure the project is compliant in this respect.

# 5. Application Process & Prioritisation Methodology

The YEI call is being assessed under a 'one stage' ESF application process; and applicants will need to complete the Full Application form. Guidance is available on the <a href="European Growth Funding">European Growth Funding</a> website pages. Applications will be subject to a Gateway Assessment undertaken by the Managing Authority under the following criteria:

- Applicant eligibility;
- Activity and expenditure eligibility; and
- The fit with the ESF OP and the call.

Proposals that pass the Gateway Assessment will move into the Core Assessment which consists of the following:

- Strategic fit;
- Value for money;
- Management & control;

- Deliverability;
- Procurement / tendering; and
- State Aid compliance.

The Managing Authority will seek advice from partners when considering applications to ensure its assessment is informed by local economic growth conditions and opportunities within the context of Operational Programmes and the local ESIF Strategy. This will include the relevant LEP Area ESIF Committee and other partners deemed relevant to the application.

The assessment and any prioritisation will be undertaken using only the information supplied as part of the application process. The Managing Authority cannot accept further detail outside this process.

Non-public sector Applicants who are successful may be subject to due financial diligence checks by the Managing Authority. Applicants will be required to submit accounts, and to clarify financial or other organisational information. New Applicant organisations may be required to provide details of a guarantor.

There is no appeal process for applicants who are Applications are rejected at Application stage or for failing to satisfy the MA's Financial Due Diligence checks.

## 6. Support

Please note that this is a competitive call and to preserve impartiality we are unable to enter into correspondence with applicants over their application. Details of where guidance can be found are contained throughout this calls document. In exceptional circumstances, if there are issues with accessing this guidance, please contact:

No applications are to be sent to this email address. Completed applications must be sent to the email address provided in Section 9 – Document submission.

## 7. Key Documents

- Full Application Form;
- Full Application Form Guidance;
- Local Enterprise Partnership area's ESIF strategy; and
- Eligibility Guidance.

## 8. Document Checklist

The appraisal will be undertaken on the basis of documentation received at the point of closure of the call. Applicants should provide the following documentation.

Full Application Stage:

- fully completed Full Application (Section 2.7 and 2.8 are not applicable as this is a "one stage" application process);
- financial tables (if the application is against more than one Category of Region, a financial table for each Category of Region);
- Outputs, Results and Indicators tables (if the application is against more than one Category of Region, a Outputs, Results and Indicators table for each Category of Region); and

To enable the Managing Authority to complete the required Financial Due Diligence checks (if private or voluntary and community sector), applicant to provide:

- three years financial accounts
- Proof of existence Certificate of Incorporation, Charities Registration, VAT Registration Certificate or alternate form of incorporation documentation;
- Proof of trading Financial Accounts/Statements for the most recent two years of trading including, as a minimum, Profit and Loss Account and Balance Sheets:
- Completed FVRA Applicant Template (for applications requesting annualised funding of greater than £1m)

Failure to provide the above documentation could result in the application being rejected.

## 9. Document Submission

Completed Applications must be submitted to

⊠: 2014-2020.ESFAPPLICATIONS@DWP.GSI.GOV.UK

## 10. Timescales

Launch of Call advertised on gov.uk.	27 February 2017
Deadline for submission of Full Application	23 April 2017

Application forms not received by the deadline will not be assessed. Applications which are not fully completed will be excluded.

For this call applications will normally be required to **commence delivery/activity** within three months of the award of contract.

Any changes related to the deadline for the submission of the Application form will be notified on the <u>European Growth Funding</u> website pages.

## 11. Appendix A – Common output indicators

## Appendix A – extract from Annex 1 of the ESF regulation

Common output and result indicators for ESF investments

(1) Common output indicators for participants

"Participants" refers to persons benefiting directly from an ESF intervention who can be identified and asked for their characteristics, and for whom specific expenditure is earmarked. Other persons shall not be classified as participants. **All data shall be broken down by gender.** 

The common output indicators for participants are:

unemployed, including long-term unemployed			
long-term unemployed			
inactive			
Inactive, not in education or training			
employed, including self-employed			
below 25 years of age			
above 54 years of age			
above 54 years of age who are unemployed, including long-term unemployed,			
or inactive not in education or training			
with primary (ISCED 1) or lower secondary education (ISCED 2)			
with upper secondary (ISCED 3) or post-secondary education (ISCED 4)			
with tertiary education (ISCED 5 to 8)			
participants who live in jobless households			
participants who live in jobless households with dependent children			
participants who live in a single adult household with dependent children			
ethnic minorities			
Participants with disabilities			
other disadvantaged			
homeless or affected by housing exclusion			
from rural areas			

(2) Common immediate result indicators for participants are:

inactive participants engaged in job searching upon leaving

participants in education/training upon leaving
participants gaining a qualification upon leaving
participants in employment, including self-employment, upon leaving
disadvantaged participants engaged in job searching, education/ training,
gaining a qualification, in employment, including self-employment, upon leaving

(3) Common longer-term result indicators for participants are:

participants in employment, including self-employment, six months after leaving participants with an improved labour market situation six months after leaving participants above 54 years of age in employment, including self-employment, six months after leaving

disadvantaged participants in employment, including self-employment, six months after leaving