Claiming Citizenship

Rights, Participation and Accountability
Series editor • John Gaventa

Around the world, a growing crisis of legitimacy characterizes the relationship between citizens and the institutions that affect their lives. In both North and South, citizens speak of mounting disillusionment with government, based on concerns about corruption, lack of responsiveness to the needs of the poor and the absence of a sense of connection with elected representatives and bureaucrats. Conventional forms of expertise and representation are being questioned. The rights and responsibilities of corporations and other global actors are being challenged, as global inequalities persist and deepen.

In response, this series argues, increased attention must be paid to re-examining contemporary understandings of rights and citizenship in different contexts, and their implications for related issues of participation and accountability. Challenging liberal understandings, in which citizenship is understood as a set of rights and responsibilities bestowed by the state, the series looks at how citizenship is claimed and rights are realized through the agency and actions of people themselves.

Growing out of the work of an international network of researchers and practitioners from both South and North, the volumes in this series explore a variety of themes, including locally rooted struggles for more inclusive forms of citizenship, the links between citizenship, science and globalization, the politics and dynamics of participation in new democratic arenas, and the relationships between claiming rights and ensuring accountability. Drawing on concrete case studies which focus on how people understand their citizenship and claim their rights, the volumes contribute new, empirically grounded perspectives to current debates related to deepening democracy, realizing rights-based development, and making institutions more responsive to the needs and voices of poor people.

Titles in preparation

Volume I: Inclusive Citizenship: Meanings and Expressions

EDITED BY Naila Kabeer

Volume 2: Science and Citizens: Globalization and the Challenge of Engagement EDITED BY Melissa Leach, Ian Scoones and Brian Wynne

Volume 3: Spaces for Change? The Politics of Citizen Participation in

New Democratic Arenas

EDITED BY Andrea Cornwall and Vera Schatten Coelho

Volume 4: Rights and Resources: The Politics of Accountability

EDITED BY Peter Newell and Joanna Wheeler

Volume 5: Claiming Citizenship: Rethinking Democratic Participation

John Gaventa

Claiming Citizenship SERIES Rights, Participation and Accountability

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Volume 1 Inclusive Citizenship Meanings and Expressions

Edited by Naila Kabeer

Foreword by John Gaventa



Inclusive Citizenship: Meanings and Expressions was first published in 2005 by Zed Books Ltd, 7 Cynthia Street, London N1 9JF, UK and Room 400, 175 Fifth Avenue, New York, NY 10010, USA www.zedbooks.co.uk

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Cover designed by Andrew Corbett
Typeset in 10/13 pt Bembo
by Long House, Cumbria, UK
Printed and bound in the EU
by Biddles Ltd, www.biddles.co.uk

Distributed in the USA exclusively by Palgrave Macmillan, a division of St Martin's Press, LLC,175 Fifth Avenue, New York, NY 10010

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A catalogue record for this book is available from the British Library

US Cataloging-in-Publication Data is available from the Library of Congress

ISBN Hb 1 84277 548 0 Pb 1 84277 549 9

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Acronyms

AAA American Anthropological Association ACFOD Asian Cultural Forum on Development

AFL-CIO American Federation of Labor and Congress of

Industrial Organizations

BOTT Build Operate Train and Transfer

CAP community action plan CBO community-based organization

CEDAW Convention on the Elimination of All Forms of

Discrimination against Women

CIDA Canadian International Development Agency
COSATU Congress of South African Trade Unions
DFID Department for International Development

DM district municipality

DWAF Department for Water Affairs and Forestry
ESRAZ Escuela Secundaria Rebelde Autónoma Zapatista

FBW Free Basic Water

ICCPR International Covenant on Civil and Political Rights ICESCR International Covenant on Economic, Social and

Cultural Rights

ICPD International Conference on Population and

Development

IFI international finance institution

LM local municipality
MDS Muktidhara Sansthan
MST landless movement

NAFTA North American Free Trade Agreement

NGO non-governmental organization

NK Nijera Kori

PLA participatory learning and action

SDM sub-divisional magistrate
TFD theatre for development
TNO trans-national organization

TRIPS Trade Related Aspects of Intellectual Property

UN United Nations

UNDHR Universal Declaration of Human Rights

UNICEF United Nations Children's Fund

USAID US Agency for International Development

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Notes on Contributors

Oga Steve Abah is Professor of Theatre for Development at Ahmadu Bello University, Zaria, Nigeria. One of Abah's main interests is the exploration of conversations between methodologies, especially Theatre for Development (TFD) and Participatory Learning and Action (PLA) in doing citizenship research. He is the Nigeria Country Coordinator for the Development Research Centre on Citizenship, Participation and Accountability.

Fran Ansley lives in Knoxville and teaches at the University of Tennessee College of Law in the US. She is particularly interested in finding ways for her teaching and scholarship to support bottom-up organizing for social change and horizontal networks among internationalist opponents of neo-liberal globalization.

Carlos Cortez Ruiz (Doctor in Anthropology, UNAM) teaches post-graduate studies on Rural Development at the Universidad Autónoma Metropolitana (UAM) in Mexico. He acts as Coordinator of the Interdisciplinary Research Program on 'Human Development at Chiapas' of the UAM. During recent years he has researched and published on social strategies of sustainable human development and on public policies.

Evelina Dagnino teaches Political Science at the University of Campinas, São Paulo, Brazil. She has written extensively on social movements, relations between culture and politics, civil society and citizenship.

Rosalind Eyben has been a Fellow at the Institute of Development Studies in Sussex since mid-2002. By background a social anthropologist, she has spent her professional life working for development organizations, including at the UK Department for International Development, where she was DFID's first Chief Social Development Adviser.

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Shireen Huq is an activist in the women's movement in Bangladesh, a founder member of Naripokkho, and for the last nearly twenty years a trainer on gender, rights and development in Bangladesh and elsewhere. She has also worked, since 1987, as Adviser, Women's Development, for Danida, Bangladesh and is currently working in Danida's Human Rights and Good Governance Programme.

Naila Kabeer is Professorial Fellow at IDS and a member of the Poverty and Social Policy Team. Her research interests include gender, population and poverty issues. Her recent books include *The power to choose: Bangladeshi women and labour market decisions in London and Dhaka* and *Mainstreaming gender equality in poverty eradication and the Millennium Development Goals.*

Ruth Lister is Professor of Social Policy in the Department of Social Sciences, Loughborough University. She is a former Director of the Child Poverty Action Group. Her publications include *Citizenship: feminist perspectives* (2nd edn 2003, Palgrave) and *Poverty* (forthcoming, Polity Press).

Lyla Mehta is a sociologist and has worked as Research Fellow at the Institute of Development Studies, University of Sussex since 1998. She has conducted extensive research on the dynamics of water scarcity, forced displacement and resistance to large infrastructure projects and conceptual issues around the 'public' and 'private' nature of water. She is author of *The naturalisation of scarcity: the politics and poetics of water in western India*.

Celestine Nyamu-Musembi is a Kenyan lawyer with a background in legal anthropology. She is currently a Fellow at the Institute of Development Studies, Sussex. She researches and writes on land relations and gender equity in resource control, the functioning of formal and informal justice institutions at the local level, implementation of international human rights standards, rights-based approaches to development, and integrating participatory approaches into rights advocacy. Her geographical focus is Eastern Africa.

Jenks Zakari Okwori is a lecturer in Drama at Ahmadu Bello University, Zaria. He is one of the lead researchers on the Citizenship DRC in Nigeria. Jenks has focused a great deal on exploring the identity question in Nigeria. He is also very interested in communication strategies.

Dr Mandakini Pant currently works in the Research and Academia Linkage Programme of the Society for Participatory Research in Asia (PRIA), New Delhi, India. She was earlier a Reader in the Research Centre for Women's Studies, SNDT Women's University, Mumbai. She also taught Sociology as Senior Lecturer at undergraduate level in SNDT Women's University, Mumbai. She gained a doctorate in Sociology from Rajasthan University, Jaipur in 1992.

Neil Stammers has been Senior Lecturer in Politics at the University of Sussex since 1980. His research and publications focus on issues around power, human rights, social movements and globalization. These are tied together by a concern to examine the future of radical politics and the possibilities for transformative social change.

Joanna Wheeler is the research manager for the Development Research Centre on Citizenship, Participation, and Accountability at the Institute of Development Studies in Brighton, UK. She was awarded a Fulbright Scholarship to conduct research on citizenship and gender in Brazil in conjunction with her postgraduate studies at the University of Massachusetts Amherst in the United States.

Dr John Williams is a Principal Town Planner in the City of Cape Town and lecturer in the School of Government, University of the Western Cape. He has published various interdisciplinary articles in journals such as Cities, Development Southern Africa, Critical Arts, South African Labour Bulletin, Ecquid Novi, and Visual Anthropology and internet-based journals, such as http://www.nu.ac.za/ccms/

Foreword

John Gaventa

This book is about how poor people understand and claim citizenship, and the rights they associate with it. Edited by Naila Kabeer, a scholar long committed to exploring issues of empowerment, collective action and social movements, the volume contributes new insights, rooted in local realities, to global debates about concepts of rights and citizenship.

Citizenship, as the essays in this volume remind us, is a highly contested term, with differing meanings ascribed by different cultures, interests and ideologies. Despite the differences, looking across the case studies in this volume Kabeer argues that aspirations for citizenship often entail common core values, including an impulse for social justice and self-determination – both of groups and individuals – and a sense of horizontal solidarity with others. Taking a comparative and historical approach to struggles for greater inclusion, citizenship is understood as an emergent concept, whose realization will vary across contexts and historical moments. Challenging liberal understandings – in which citizenship is understood as a set of rights and responsibilities bestowed by the state – the case studies in this volume, grounded in everyday experience, give a more robust understanding of citizenship as a multi-dimensional concept, which includes the agency, identities and actions of people themselves.

To be meaningful, any concept of citizenship carries with it a conception of rights. In recent years, the 'rights-based approach' has emerged in the development context as a 'new' approach, which has the potential to strengthen the status of citizens from that of beneficiaries of development to its rightful and legitimate claimants. As the essays in this volume articulate, the rights approach goes beyond a 'human rights approach', which often focuses on debates about global legal covenants, to focus on rights in practice. As Nyamu-Musembi points out, such 'actor-oriented perspectives are based on the recognition that rights are shaped through actual struggles informed by peoples' own understandings of what they are justly entitled to'.

While scores of donor and policy documents have been written in recent years about the rights-based approach, few studies have attempted to go beyond the conceptual debates to examine the meanings of expressions of rights and citizenship 'from below', and how these meanings are acted upon through political and social mobilization. Through contributing new, concrete and empirical case studies from countries spanning North and South – including Bangladesh, Brazil, India, Mexico, Nigeria, Peru, South Africa, the UK and the United States – this volume seeks to remedy that gap.

The approaches found in these cases are far-ranging, including, for instance, the use of popular theatre to explore the links between ethnicity and citizenship in Nigeria, in-depth interviews to understand perceptions of the rights of women in Chiapas or the *favelas* of Brazil, action research with nomads in Rajasthan, or focus groups with young people in the UK. The contributors to the volume are highly diverse as well, with disciplines ranging from sociology and anthropology to theatre, political philosophy, planning and law, and including both academics and practitioners who are deeply engaged in the cases about which they are writing. This diversity of methods and voices – which spans North and South, academic and activist, and a range of disciplinary approaches – gives a richly textured and robust view to struggles for inclusive citizenship in different contexts around the globe.

All the researchers in this volume have been associated in some way with the Development Research Centre on Citizenship, Participation and Accountability, an international research partnership based at the Institute of Development Studies in the UK (www.drc-citizenship.org). Founded in 2000, the Citizenship DRC is funded by the UK Department for International Development (DFID), with additional funding from the Rockefeller Foundation, which enabled participation of some of the northern contributors to this project.

Most of the researchers were involved in one of the Centre's first thematic working groups, which focused on meanings and understandings of citizenship. This group worked together over two years to gather, discuss and refine the studies presented in this volume. It met first for a workshop in Bangladesh in early 2002, where members shared preliminary ideas about the formal architectures and structures of citizenship in their countries, about the tensions between these formal constructions and the realities of everyday life, and about how citizenship could be understood as a dynamic and multi-dimensional concept, rooted in different historical contexts, while simultaneously in the process of being constructed through social action and social movements. The group's members – who were largely from the South – felt that the universal, and often Western, concepts of rights and citizenship risked having little meaning in the daily lives of people in their

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countries, or were used as a basis to exclude, rather than include, poor and powerless groups.

At the meeting in Bangladesh, the group decided to pursue a series of case studies on these themes, following a broad set of common questions. In June 2003 the working group met again, this time in a writing retreat outside Cape Town, South Africa, to share and critique drafts of their work. At this meeting, following concern that the work of the group should recognize that struggles for more inclusive rights and citizenship cut across both North and South, we were joined by other researchers who worked more in northern contexts, and who could share, for instance, the historical struggles in which rights have been shaped in the UK, or the ongoing struggles of immigrant workers for inclusive forms of citizenship and recognition in the USA.

Through its focus on locally grounded meanings and expressions of rights and citizenship, this book appropriately forms Volume 1 of this Zed Books series on Claiming Citizenship: Rights, Participation and Accountability. Other volumes will explore related issues of knowledge and citizen engagement in the context of science and globalization; the politics and dynamics of institutionalized participation in new democratic arenas; and the relationships between claiming rights and ensuring accountability. Also drawing from concrete case studies from around the globe, the volumes will contribute fresh perspectives from both South and North on current debates related to deepening democracy, realizing rights-based development, and making institutions more responsive to the needs and voices of poor people.

As series editor and as director of the DRC, I would like to thank the editor of this volume, Naila Kabeer, as well as each of the authors, for their contribution to the Claiming Citizenship series. The inclusive process of creating this book has been reflective of its thematic content. The collaboration and commitment to mutual learning and partnership across disciplinary, geographic and professional boundaries that have characterized the group's work have created a product that we hope will enrich others as well. In addition to the authors and editors, special thanks should also be given to those who have helped in the production of the manuscript and given support to the working group, including Alexandra Hughes, Lucila Lahitou, Kathryn Perry, Alexander Shankland, Joanna Wheeler, and of course our colleagues and editors at Zed Books, whose support for this series is deeply appreciated.

John Gaventa, series editor Institute of Development Studies July 2004