

# Setting The Scene: Situating DFID's **Research Funding Policy and Practice in** an International Comparative Perspective

A scoping study commissioned by DFID Central Research Department

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# **Executive Summary**

This report aims to situate the Department for International Development (DFID)'s role as a donor of international research in a comparative international perspective in order to inform the development of the Central Research Department's next 5-year research strategy and 20-year Vision of development for poverty reduction. The study included a desktop/web review of published information and key informant interviews with development researchers and practitioners. The objectives of the study were to i) identify the top 10-15 research donors, ii) identify areas of duplication, and omission, iii) suggest where DFID can add value, iv) identify regional research processes, and vi) new partnerships with which DFID can engage, and vi) identify key northern research organisations of value for developing countries. Outputs include answers to these questions in this report (summarised below), and a series of databases containing detailed information about research donor spending, their programmes (themes, geographical focus, and approaches to capacity development), and regional research networks.

### Key findings

Key findings relating to each of the six questions are:

- Definitions and data constraints: The absence of comparable published data, a broad diversity of definitions of research, research themes, and research processes, themselves indicative of limited information sharing, cooperation and collaboration between research funders has made this study extremely difficult.
- Top 10 donors: Based on highly varied (and possibly unreliable) budget data alone, the top 20 research funders in 2005/06 were the Gates Foundation \$450m, United States Agency for International Development (USAID) \$282m, the European Union (EU) \$254m, Research Institute for France (IRD) \$220m, the UK Department for International Development (DFID) \$174m, Wellcome Trust (UK) \$143m, Swedish International Development Cooperation Agency (Sida) \$135m, Medical Research Council (UK) £120-140m, the International Development Research Centre of Canada (IDRC) \$110m and the World Bank c.\$100m.

Key criteria of quality research donorship included: clarity of mandate, visibility and quality of processes, supporting innovation and stimulating demand. IDRC was the only donor universally highly regarded, though many others scored highly in specific themes.

- Duplication, and omission: This was even more difficult, but geographical and thematic overcrowding does not seem to be a major problem, and there was no consensus among informants about thematic omissions. There is good coverage of Sub-Saharan Africa, South and South-East Asia, Latin America and the Middle-East and North Africa (MENA), but relatively little in Eastern Europe, Central Asia, East Asia and Oceania.
- Where DFID can add value: DFID research in the Health Sector is held in high regard, as is DFID's willingness to support research which explores countervailing ideas. The literature and key informant interviews suggest a range of other mechanisms to add value including research on research itself, getting research-based knowledge into use through support to broader innovation systems and evidence-based policy, flexible funding systems and research capacity-building in the north as well as the south, and with research users as well as suppliers.
- Regional research processes: A number of regional networks and research processes were identified including the International Science Programme (ISP), the African Economic Research Consortium (AERC) and the Southern African Regional Poverty Network (SARPN).
- New partnerships: Potential for enhanced partnerships exist with southern research organisations (through Research Programme Consortia), the multilateral organisations (CGIAR etc), "quality" donors (e.g. IDRC and the Wellcome Trust) – though it is

important to ensure that the objectives of collaborative work are closely aligned - and North-South partnerships. The value of investment in Networks was contentious, while there appears to be scope for more PPPs.

• *Key northern research organisations:* A number of high quality northern research institutes with an interest in development issues or valuable for southern research were identified (e.g. Nuffield, Rowntree, Leverhulme etc).

#### Key Recommendations:

The study makes recommendations in six areas:

- Improved knowledge and harmonisation of development research programmes: DFID could play an important role in supporting international efforts to improve knowledge of what's going on and harmonisation of development research programmes. This could include work to encourage the use of common definitions, the development of a database of development research programmes, work on quantitative and qualitative indicators, establishing an institutional home possibly Development Assistance Committee (DAC) or the International Forum of Research Donors (IFORD), fostering greater information sharing, and promoting "good development research donorship".
- Improved research donor practice: There are a number of opportunities for DFID to put good development research donorship into practice itself. These could include: better communication of it's own research mandate internally and externally, enhanced investment in research communication, establishment of good research management processes (e.g. knowledge management and funding mechanisms), mechanisms to support innovative research (e.g. diversity of funding mechanisms, a balance between thematic and opportunistic research, long and short term funding streams etc), and more work on stimulating demand (e.g. through support to policy makers and civil society groups).
- Improved positioning to add value: In a rapidly changing environment more and on-going work will be needed to identify the key research themes (e.g. through horizon scanning, meta-analysis, and consultations), and greater emphasis on research on policy implementation, and learning about research itself.
- Capacity building: Capacity building for research suppliers and research users emerged as a clear priority from the literature, the donor mapping and informants. Greater financial and human investment is needed in M&E, balancing research and capacitybuilding, expert training, north-south partnerships and networks.
- Partnerships and regional processes: There are a number of opportunities for partnership: with other research donors working on similar themes, with other donors with an emphasis on research into use and capacity building (eg IDRC), with UK Research Funders with an interest in development issues (e.g. Leverhulme) and/or expertise in policy-relevant research and policy engagement (e.g. Rowntree, Nuffield), with regional networks (e.g. AERC), with Public Private Partnerships (eg Climate Change), and with European Donors and their networks (e.g. the Netherlands Organisation for International Cooperation in Higher Education NUFFIC).
- Further investigations: Given the absence of comparable published information and definitional inexactitude that has complicated this study, further work would be useful to inform the new strategy. This could include verification of the data in this report, interviews with research managers in the other major research funders, interviews with a wider range of southern research users and suppliers and a meeting of the major donors to discuss the results and explore opportunities for improved information sharing.

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# **Acronyms and Abbreviations**

ACIAR ADB ADBI AERC AFD AfDB AGRA AusAID BBSRC BMZ CDE CGIAR CIDA CICAD CRCBD DAC DAC DAC DAC DAC DAC DAC DAC DAC DA	Australian Centre for International Agricultural Research Asian Development Bank Asian Development Bank Institute African Economic Research Consortium Agence Française de Développement- French Development Agency African Development Bank Alliance for a Green Revolution in Africa Australian Agency for International Development Biotechnology and Biological Science Research Council (UK) German Federal Ministry for Economic Cooperation and Development Centre for Development and Environment (Switzerland) Consultative Group on International Agricultural Research Canadian International Development Agency French Agricultural Research Centre for International Development Collaborative Research and Capacity Building for Development (USAID) Development Assistance Committee Danish International Development Agency Department for International Development (UK) Dutch Ministry of Foreign Affairs Drugs for Neglected Diseases Initiative Enhancement of Research Council Foundation for Innovative New Diagnostics Global Alliance to Improve Nutrition Global Alliance for Livestock Vaccines Global Development Network Deutsche Gesellschaft für Technische Zusammenarbeit (Germany) Inter-American Development Bank International AIDS Vaccine Initiative International AIDS Vaccine Initiative International Development Research Centre (Canada)
IFORD	International Forum of Research Donors for Development International Partnership for Microbicides
IRD	L'institut de recherchepour le développement- Research Institute for Development (France)
IRRI ISP JBIC JICA KAS MDG MDP MIM MMV MRC MVI NOrad NUFFIC NUFU	International Rice Research Institute International Science Programme Japan Bank for International Cooperation Japan International Cooperation Agency Konrad-Adenauer-Stiftung (Germany) Millennium Development Goal Microbicides Development Programme Multilateral Initiative on Malaria Medicines for Malaria Venture Medical Research Council (UK) Malaria Vaccine Initiative Norwegian Agency for Development Cooperation Netherlands Organisation for International Cooperation in Higher Education Norwegian Council for Higher Education's Program for Development Research and Education

Pediatric Dengue Vaccine Initiative Public-Private Partnership
Netherlands Development Assistance Research Council
Southern African Regional Poverty Network
Swiss Agency for Development and Co-operation
Swedish International Development Cooperation Agency
UNICEF/ UNDP/ World Bank/WHO Special Programme for Research and
Training in Tropical Diseases
United Nations Development Programme
United Nations Research Institute for Social Development
United States Agency for International Development
World Bank
World Health Organisation

### 1. Introduction

#### DFID's New Research Strategy

DFID's Central Research Department is developing a new five-year research strategy (2008/9 – 2012/13), informed by a twenty-year vision of the role it hopes global and developing country research will contribute to poverty reduction beyond the Millennium Development Goals. Its thinking is situated within a recognition of the increasingly important role that knowledge and knowledge markets play in international development, including an appreciation of the potentially significant returns to research (e.g. Alston et al., 1998, Surr et al, 2002).

The new strategy will build on DFID's current research funding framework 2005-2007 (RFF) which is framed around four key researchable priorities: Sustainable Agriculture, Killer Diseases, Climate Change and States that Don't Work for the Poor, and two cross-cutting issues – getting research into use through improved engagement with research users and links to policy, and building the capacity of developing country users and researchers to do and access research. It will also address directly the priorities set out in DFID'S 2006 White Paper on *International Development: Making Governance Work for the Poor* and its forthcoming *Science and Innovation Strategy*.

During the RFF period CRD has aimed to position DFID research increasingly within an international context. Strategic links have been strengthened with other major research funders (both government and foundations), including through joint programming and in line with the OECD Development Assistance Committee harmonisation and alignment principles. In view of a rapidly developing international environment for research funding, this report is designed to build on an international mapping study produced by the RAND Corporation in 2005 and to provide DFID with a more updated information base to inform their decision making, including a wider international consultation process around its research strategy scheduled for the second half of 2007.

#### The Study

The aim of this study is "to inform decision-making about the optimal positioning of DFID research based on an assessment of DFID's current and potential comparative advantage vis-à-vis other international research funders." The specific objectives are to:

- 1. identify the major funders in international development research (top 10-15)
- 2. identify areas of duplication, overlap and donor "crowding" in relation to need, and by extension provide an assessment of areas of omission
- 3. suggest where DFID can "add value" (i.e. sectorally, in relation to research/policy impact, leveraging funds etc.) and therefore develop further a distinct comparative advantage
- 4. identify any major regional/continental research policies processes with which DFID's strategy should engage
- 5. identify new partnerships which could increase the impact of development research or where there would be significant efficiency gains for DFID research management.
- 6. identify key funders of northern research which have application for developing countries.

The full Terms of Reference are provided in Appendix 1.

The study methodology included a printed and web literature review, key informant interviews, and frequent engagement with DFID staff. More methodological details and a list of key informants is provided in Appendix 2.

#### Caveat and qualifications

It is important to note from the outset, however, that due to severe data limitations and tight time constraints it has not been possible to answer the study's research questions as comprehensively as we believe this topic merits. Rather than being conclusive and authoritative, our recommendations include suggestions for further investigation during the course of consultations around the development of the strategy.

#### Structure of the report

The bulk of this report, Section Two, provides the key findings under each of the six questions above. A summary of our conclusions and recommendations are in Section Three. Detailed information about donor spending, research topics, geographical focus, approaches to capacity development, research networks and private-public partnerships are presented in a series of Appendices.

### 2. Findings

#### 2.1 The 10-15 major of development research

Identifying the top donors of international development research is extremely difficult for two main reasons: a) definitional issues and b) availability of data.

#### Definitions

First, definitions of 'research', 'development research' and 'research donors' vary considerably (e.g. Farley 2005, Surr, 2002, Arnold and Bell, 2001). Definitions of "research" in our desktop review and key informant interviews ranged from "academic research published in peer-reviewed journals" through to the OECD definition of "any creative work undertaken on a systematic basis in order to increase the stock of knowledge, and the use of this stock of knowledge to devise new applications" to the 2002 Surr Report's emphasis on knowledge creation and management. The latter focuses on "research and research-like activities" embedded within broader "knowledge systems" and may encompass both "research" and "policy analysis". It should be noted however that DFID's own definition of research emphasises the long-term process of "generating new technologies and ideas" as opposed to shorter-term analysis.<sup>ii</sup> Although the Surr Report distinguishes between "research" and "evaluation" in terms of breadth of audience, with research being intended for a wide external audience and evaluations mainly (although not exclusively) for DFID (p16-17), many of our key informants believed that rigorous research on policy implementation represents a major gap in development research. As such evaluation research of this nature might be usefully conceptualised as a component of "research-like activities".

"Development research" is also subject to wide-ranging interpretations, and there appears to be no commonly agreed upon definition. It may encompass a wide range of disciplines (development studies, social sciences, health/ medical research, agriculture research, science and technology, innovations systems and communications), involve different goals (research *about* development as well as research *for* development) and different actors. At its broadest, 'development research' could potentially encompass all research carried out by developing country governments or even all research undertaken in developing country contexts. For the purposes of this report, however, the UK Research Assessment Exercise (RAE) Development Studies definition of:

issue-driven research concerning the analysis of global and local processes of cultural, demographic, economic, environmental, political, technological and social change in low and middle income parts of the world, with particular reference to structures and institutions; the changing relationships between developed and developing countries; and the critical interrogation of theories of these processes and relationships, and of development policy (61)<sup>iii</sup>

appeared to provide the closest fit to DFID's stated objectives in its 2005-7 Research Funding Framework and informed the thinking underpinning this scoping exercise.

Researchers and practitioners positioned in different fields often not surprisingly have very divergent views on "leading development research donors". Donors of research occupy a continuum, ranging from those whose primary purpose is to fund development research (e.g. IDRC), those who produce research, both in-house and commissioned work (e.g. World Bank and some UN agencies), those who combine development intervention and research (bilaterals and foundations) through to the private sector who may fund research as part of a corporate social responsibility initiative. In this report, we group our discussion according to three sub-categories of research donors: bilaterals, multilaterals and private foundations.

#### Data constraints

Data on research donors is extremely fragmented, in terms of location, coverage and currency. Although the OCED Development Assistance Committee (DAC) website provides the most comprehensive overview of overseas development assistance funding, there is no comparable data on development research spending. Individual agencies also vary widely in the degree to which they publish detailed information on their research funding policies and processes. Disaggregated data on expenditures across research priorities (both thematic and geographical focus) and different types of funding mechanisms is patchy at best and often unavailable. As we discuss further in the recommendations section, these data problems appear to be emblematic of a broader lack of coordination and limited transparency in the field of development research funding. Although none of our respondents advocated donor harmonisation in development research because of the risks of creating a cumbersome bureaucratic strategy and stifling creativity, there were strong calls to pay more attention to achieving greater complementarity among research donors. This could be achieved through regional (e.g. European donors) or organisational type groupings (e.g. private foundations or bilaterals, perhaps through IFORD<sup>iv</sup>) and/or on the basis of a specific thematic research field (e.g. health research donors or the Global Development Platform for Rural Development).

#### Quantitative indicators

Our key informant interviews underscored the importance of selecting leading international donors based on both **quantitative** and **qualitative** criteria. Beginning first with our quantitative assessment, comparative statistics were challenging to compile as there was a paucity of data on separate donor research spending, as well as a frequent lack of clarity as to what this expenditure encompasses. For example, is support to research institutes or multilaterals engaged in research calculated as 'research spending'? Is research which is carried out in the course of programmes and projects incorporated in research expenditure totals? In the case of bilaterals, is research conducted by country offices included in overall totals? Moreover, no international agency provides comparable data on development research funding – neither as a percentage of total development assistance nor disaggregated based on common categories. Thus our overall Top 20 Research Donors (see Table 1 below) should be treated with caution.

	Annual Spending on	Year
Agency (Country)	Development Research – (USD)	
Gates Foundation (USA)	\$450m+	2006
USAID (USA)	\$282m	2002
European Union	\$254m	2007/08
IRD (France)	\$220m	2005
DFID (UK)	\$174m	2005
Wellcome Trust (UK)	\$143m	2005/06
SIDA (Sweden)	\$135m	2006
Medical Research Council (UK)	\$120-160m	2006
IDRC (Canada)	\$110m	2006
World Bank	\$ >100m	2005
NORAD (Norway)	\$100m	2005
ACIAR (Australia)	\$85m	2006/07
Ford Foundation (USA)	\$75-100m	2006
BMZ (Germany)	\$78m	2006
CIDA (Canada)	\$65m	2006
SDC (Switzerland)	\$40m	2006
Japan	\$>35m	2005/06
DMFA (Netherlands)	\$>35m	2006
Danida (Denmark)	\$35m	2005
Rockefeller (USA)	\$30-40m	2005

Table1: The Top 20 Development Research Donors'	<b>Development Research Donors</b> <sup>v</sup>
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This exercise does however point to some interesting trends, including a) the high ranking of DFID among bilaterals (even prior to the recent announcement of the doubling in its budget from 116 million GBP in 2006/7 to 220 million GBP by 2010/11, b) the relative insignificance of UN agencies in the development research field (except for the WHO)<sup>vi</sup>, and c) the relative financial clout of private US (especially the Gates Foundation) and UK foundations.

#### Qualitative indicators

Turning now to considerations of **quality**, although resource size (both financial and human) is obviously significant, highly reputed donors were also characterised as those effective in fostering innovation as well as research-based policy dialogue and research uptake within development practice. Our findings identified the following criteria of quality:

- Clarity about mandate: having a clear mandate was identified as a prerequisite for ensuring policy impact and value for money from development research. The importance of prioritising and investing in *policy-relevant research* was reiterated repeatedly. In this regard, a distinction was made between the role of government agency funding of research which some key informants stressed should be "research for the purpose of social and policy change" and those of research councils who legitimately focus more on the goal of knowledge expansion.
- Visibility and quality: this criterion was defined variously including 'punching above one's weight' on account of organisational strategic coherence, funding research that is published in peer-reviewed journals, maintaining a long-term commitment and a willingness to challenge orthodox views and methodological approaches.
- Supporting innovation: this was viewed as critical and included investing in the incubation of new ideas, a willingness to take risks, not being overly constrained by organisational bureaucracy, investing in quality knowledge management to ensure adequate organisational memory in order to be able to identify innovation, and having flexible budgeting and human resource procedures in order to facilitate such creativity. Fostering an entrepreneurial staff ethos to pro-actively identify quality cutting-edge

research rather than relying on responses to calls for proposals was another key quality of a high impact donor.

 Stimulating demand for research evidence: this included mechanisms to listen and react to demand from more unconventional research users such as the private sector and subnational government officials, and supporting knowledge brokers and creative knowledge translation mechanisms.

#### **Bilateral Donors**

Mindful of the caveats discussed above<sup>vii</sup> (and in Appendix 3), the top ten bilateral funders of development research include in descending order of known quantity of expenditure the USA, France, Canada, UK, Sweden, Norway, Australia, Germany, Switzerland, and Denmark. Funding volumes range from an estimated 282 million USD by USAID to 35 million USD by Denmark.

What was striking from the key informant interviews, however, was the wide range of assessments of quality research donors among bilaterals. The only bilateral to stand out consistently in terms of positive evaluations (including among both northern and southern key informants) was the Canadian International Development Research Center (IDRC), which ranks towards the middle of the bilateral spending league table. This reputation was based predominantly on their role in supporting innovation, capacity building/mentoring and a focus on research-policy linkages, and less on the commissioning of high quality research outputs. Some of the variation in responses to this question can be attributed to different disciplinary backgrounds and interests across the development research continuum. For example, respondents interested in agriculture evaluated France and the US highly, while those concerned with social development issues singled out the Scandinavians and Dutch, whereas DFID was highly praised in the health field. Those interested in research communications assigned high ranks to IDRC and DFID; the German government was commended for fostering close long-term relations between researchers and policy-makers and USAID, the French and IDRC for research capacity development initiatives (especially at the level of the individual). DFID also earned positive assessments for nuanced thinking and the ability to ask pertinent, *policy-relevant* questions (especially in health), as well as its emphasis on research utilisation.

#### Private foundations

Both the quantity of expenditure and contribution to innovation emerged as important characteristics of private foundations involved in international development research funding. In terms of funding volume, Gates, the Medical Research Council, the Ford and Rockefeller Foundations were the most significant development research funders, with the Gates Foundation (450 million USD) being the single largest funder in the international development research field. However, in terms of quality assessments, the Ford, Open Society Institute, Rockefeller and Carnegie Foundations were identified (albeit not universally) as important supporters of innovation and incubators of new ideas. This quality was attributed to organisational flexibility and a willingness to take risks (especially Ford and Rockefeller) and investment in southern institutions over the long-term (especially Ford and Rockefeller).

We have also included major UK private foundations which fund quality research in Table 1 as a basis for comparison. Although data is unavailable as to what percentage of this funding could be considered expenditure on development research, these figures suggest the relative importance of these donors in terms of expenditure if DFID were to considering partnering with funders of northern research. It is also worth noting that the European Foundation Center and the US Foundation Center have useful databases on research funders more generally and could thus serve as useful contact points with which

international donor fora could coordinate in order to promote better collection and collation of data on development research spending.<sup>viii</sup>

Lastly, private foundations (both national [e.g. Peruvian mining companies] and international foundations working in Latin America such as Fundacion Telefonica) are also emerging as important development donors in Latin America. Although not focusing explicitly on development research, private donors in Peru for example are increasingly commissioning research institutions and universities to carry out evaluations of their community intervention efforts which collectively committed to establishing to a 200 million USD corporate social responsibility fund. As we discuss further in the recommendations section, this is an area which may merit further follow-up analysis.

#### **Multilaterals**

A range of multi-lateral institutions was also recognised by various key informants as important development research funders. The World Bank was identified almost universally as the heavy weight in the field, even if acknowledging that a lot of the Bank's research is inhouse. <sup>ix</sup> The Bank clearly stands out in terms of resources (both quantity and calibre of personnel), visibility and accessibility of information. A number of respondents, however, were critical of the Bank's perceived arrogance around its in-house research quality (in line with recent evaluations of the Bank's research), the dominance of a single discipline (economics) and relatively narrow lines of inquiry. Interestingly, several key informants identified a key role for DFID as it emerges as a top bilateral research donor to challenge and question the Bank's dominant role and particular perspective in the field and to actively support alternative and critical perspectives where appropriate.

Regional development banks were recognised as having some importance (especially the Inter-American Development Bank) but relatively limited research budgets. The African Development Bank was seen to be struggling due to capacity and resource gaps in this field.

Turning to UN agencies, we encountered an acute lack of data on development research spending. Our key informant interviews also revealed a general consensus that the UN was not a major player in the field but in some thematic areas various agencies deserved a mention. These included UNIDO, UNRISD for innovative social development research, the UNDP, the Innocenti Research Center on Childhood and the Brasilia UNDP Poverty Center.

Lastly, the CGIAR which enjoys extensive funding (400 million+ USD p.a.) was positively evaluated for its role in promoting high quality research on agricultural development. However, the WHO (370 million+ USD p.a.), which is also well funded, was seen less favourably due to excessive bureaucracy and limited attention to sustainable capacity building. The Global Environmental Facility and the Global Donor Platform for Rural Development were also mentioned as emerging players which DFID should monitor. However, specific information on research spending was not available and thus they are not included in the spending league table.

#### 2.2 Research duplication, overlap and donor crowding

Assessing areas of duplication, overlap or donor 'crowding' presented even greater methodological challenges than the identification of leading research donors due to the widely divergent ways in which donors select and define thematic research priorities. Comparable disaggregated data on how donor agencies allocate funding across thematic and geographic areas or type of funding mechanism was simply not available. Most key informants felt their knowledge of the field was too patchy to make firm statements. They urged that DFID should be flexible and balance attempts to map the field, to identify southern demand and to capitalise on on-going work. The process of identifying research priorities, especially those that speak to policy problems, was described again and again as a messy, non-linear, dynamic process, and it would be critical to foster multiple lines of inquiry in parallel, and to have the in-house capacity to ensure that DFID is an informed research consumer.

Appendix 4 presents a mapping of research donors' priority research themes. In the absence of a universal system of classification, we began with DFID's four key research priorities: health (killer diseases), governance (states that do not work for the poor), environment (climate change) and sustainable agriculture. We then broadly categorised additional topics included in other donor portfolios as economic development, natural resource management, pro-poor policy, human development and other for the sake of convenience. Within each of these loose over-arching categories we grouped topics according to sub-themes according to the way in which they are conceptualised by respective donors (see headings in bold italics). Our analysis is based on this map, and the informant interviews.

#### DFID's thematic priorities in relation to other donors

DFID's current research priorities are killer diseases (46 million GBP per annum), sustainable agricultural development (34 million GBP per annum), climate change (5.5 million GBP but increasing to 9.3 million GBP per annum in 2007/8) and governance (especially in fragile states) (6.7 million per annum). Based on our mapping, the areas of health, agriculture, climate change/environment and governance/democracy constitute major research priorities among a number of top donors (see Table 2 below). These thematic priorities are broad enough and sufficiently important to warrant multiple research initiatives, and it should be noted that the only other research donor to identify climate change as a major research priority is the US.

Several key informants, however, made specific suggestions about additional aspects of these development challenges that could be usefully included:

- Killer diseases: it was recommended that the theme should be sufficiently broadly conceptualised so as to include funding for research on a) less high-profile 'old-fashioned diseases' such as cholera and water-borne diseases or lifestyle diseases such as the diabetes pandemic, b) health systems (only Denmark identified this as a priority) as well as c) facilitating greater dialogue between the natural and social sciences about health and healthcare.
- Climate change: a value-added niche would be to foster a focus on the social and political dimensions of climate change by ensuring that these receive adequate attention within the Global Environmental Facility as part of a broader effort to facilitate dialogue between natural and social scientists.
- Making states work for the poor. here it would be important to ensure that DFID's governance work is informed by a broad definition of governance and dialogues with the more specific work that other donors are supporting on particular groups of rights and socially excluded population groups, peace and conflict resolution. Given that this thematic priority is an area in which DFID's current spend is relatively low (5.8%), increasing expenditure in this area in the next research strategy should be carefully considered.

Table 2.	Thematic research priority overlap: DFID vis-à-vis other donors
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	Bilaterals	Foundations/ Councils	Multi-laterals
Governance	Japan, US, Switzerland	Ford,	World Bank,
	Germany, Canada	Open Society, Rockefeller,	UNRISD, AfDB,
		ESRC	IADB, ADB
Institutions	Japan, Switzerland	Open Society	IADB, AfDB,
			ADB
Civil Society	US, Switzerland	Ford, Open Society,	UNRISD
		Rockefeller	
Human Rights	Switzerland	Ford, Open Society	
Rule of Law	US, Switzerland		
Democracy/	Japan, US, Germany	Ford, Open Society	UNRISD
Elections			
Conflict	Japan, US, Germany, Canada,	Ford, Open Society	World Bank
Management	Switzerland		
Health	Japan, US, Sweden, Norway,	Gates, Rockefeller,	WHO, World
	Denmark, Germany,	Wellcome, Leverhulme,	Bank, UNDP-
		BBSRC, MRC	IPC, UNRISD,
			IADB
Infectious	Japan, US, Denmark, Canada,	Gates, Rockefeller,	WHO, UNRISD,
Diseases	Switzerland, Norway	Wellcome, Leverhulme,	UNDP-IPC
		BBSRC, MRC	
Maternal/	Japan, US, Switzerland	Gates	WHO, IADB
Newborn Health			
Reproductive	US, Denmark, Switzerland	Gates, Ford	WHO, World
Health			Bank, UNDP,
Health Systems	US, Sweden, Denmark, Canada,		WHO, World
	Switzerland		Bank
Environment	Japan, Sweden, Norway	Wellcome Trust, Ford,	WB, UNDP-IPC,
LINNOIMEIN	Canada, Switzerland, Germany	Rockefeller, ESRC	UNRISD, ADB,
	US		IADB
			17.00
Climate Change	US, Sweden, Germany,	Wellcome, Rockefeller,	World Bank
ennane enange	Canada,	ESRC	
Sustainable	Japan, Norway, Canada,	Ford, ESRC	World Bank,
Resource	Switzerland	,	IADB, ADB,
Management			UNRISD
0			
Agriculture	US, Japan, Denmark, Canada	Gates, Rockefeller,	World Bank,
	Germany	Wellcome, BBSRC	ADB, CGIAR
Biotechnology	US, Germany, Switzerland	Gates, Rockefeller, BBSRC	CGIAR
Land	Germany, Canada, Switzerland		CGIAR, WB,
Management			ADB
Markets	US, Denmark, Canada,	Gates	WB, ADB
	Switzerland		
Livestock	US	Wellcome	CGIAR

It is also worth noting that although other areas, such as rights and social justice (including gender, children/youth, indigenous peoples and the disabled), peace and conflict and the private sector, are all being addressed within the research donor community, in broad terms they are the priorities of smaller bilateral donors (Scandinavia and Germany) and some private foundations (Ford, Rockefeller, Open Society Institute, Leverhulme Trust). This indicates that in order to understand the resourcing for research in different thematic areas, it

will be necessary for funders to provide more transparent data on the breakdown of their research budgets.

There were also strong concerns that DFID should pay greater attention to crossgovernment department linkages in order to promote its own research and learn in-depth about its work relates to the UK's government's broader research and policy work. In particular, stronger linkages and dialogue with Foreign Office, the Department of Trade and Industry (DTI) and with the Department of Environment, Food and Rural Affairs (DEFRA) and associated networks such as the UK Sustainable Development Research Network (http://www.sd-research.org.uk/index.php) were encouraged.<sup>x</sup>

#### **Geographical priorities**

Turning to country/regional focal areas, the cross-donor mapping suggests that there is a reasonable coverage of Sub-Saharan Africa, South and South-East Asia, Latin America and the MENA regions, as well as countries within these regions (see Appendix 5). Regions that are receiving relatively little attention include Eastern Europe, Central Asia, East Asia and Oceania. However, given the paucity of comparable data on the relative spend per region and country, we cannot provide any in-depth analysis. In light of the concern raised below about the lack of attention to policy implementation evaluations and lesson learning, it does seem important to promote greater transparency on region and country spending if we are to learn about development (poverty reduction and governance) trajectories from a diversity of perspectives.

#### Areas of donor crowding

From the cross-donor mapping of research areas presented in Appendix 4, evidence of donor crowding is not especially evident. However, key informant interviews suggested the following areas of concern need to be addressed: the dominance of econometrics research, an over-reliance on large quantitative cross-country studies based on secondary research at the expense of grounded context-specific work, and general frameworks linking trade liberalisation and poverty (over country and sector-specific case studies). In terms of methodological approaches, there was also a view that in order to ensure the production of policy-relevant knowledge there needed to be a better balance between primary knowledge generation and consolidation or meta-analysis and audience-friendly rapid synthesis work. Caution would however be necessary to ensure that DFID did not over-correct in areas that it deemed to be suffering from donor crowding.

#### 2.3 Adding Value

#### Areas of omission

There are of course a myriad of potential research topics and thus the challenge facing DFID is to identify development problems where there is either an insufficient body of knowledge and/or under-developed research methodologies to which their support could usefully contribute. Our key informants, who span the breadth of thematic and cross-cutting issues in which DFID is engaged, identified a number of potential areas of omission including social development and building social capital and inequality – especially ethnic minorities, gender poverty reduction and service delivery for children, youth and the elderly. A full list is provided in Appendix 6. But due to our relatively small and non-representative key informant sample these are inevitably a partial and indicative list and should be treated with caution.

Our key informants were much more concerned about omissions in the way that DFID commissions and supports research including suggestions that DFID country office staff should develop regular mechanisms to interact with local grassroots groups and better understand their knowledge needs, and DFID should provide greater support for multi/trans and/or inter-disciplinary ways of working.<sup>xi</sup>

Irrespective of discipline or institutional position, a lack of rigorous research on what does and does not work in terms of *policy implementation* emerged as an important area of omission. There was a strong call for much greater investment in rigorous *evaluations* and *lesson learning* among northern and southern key informants alike. This included in particular learning from bilateral interventions, NGO pilot projects, the failings of gender mainstreaming as well as evaluation of knowledge brokering strategies and tools. Overall there was a real concern to avoid simply extrapolating from the "vaccine model" or the Gates Foundation's search for a magic bullet.

*Global trends* and *global public goods* were also identified as an important focal area. First, there was a call for greater attention to horizon scanning and futures work, especially with regard to the future of the Horn of Africa (an area plagued by conflict, post-conflict and failing states as well as extreme poverty and rapidly growing populations) and China's role in international development. Second, in response to the suggestion to focus on Global Public Goods in DFID's 2007 Visioning Event Background Document there was support for some attention (but certainly not an exclusive focus) to global public goods. However, this would necessitate the adoption of a relatively broad definition that was informed by Southern perspectives (and not only Northern governance concerns) and should include poverty reduction, addressing inequalities (including gender and ethnic minorities) and the realisation of rights. It would also be essential for research in this area to be grounded in regional realities.

#### Research into use

In addition to DFID's four specific thematic foci, research communication and research utilisation is (along with capacity building) one of two priority cross-cutting issues within DFID's current research strategy. The only other international donor to have identified research utilisation and communications as a priority focus is IDRC. Our key informant interviews largely concurred that this is one of DFID's potential comparative advantages. However, this is the subject of another background study that DFID has commissioned to inform the development of its 2008/9 to 2012/13 research funding framework and thus we did not include it as a specific line of inquiry during the course of this scoping study. Nevertheless, several key informants highlighted the need to undertake more rigorous evaluations of what types of research-policy linkages and research communication and utilisation strategies are effective in different research fields and political and policy contexts, suggesting that this was an important under-researched area to which DFID could usefully contribute.xii It was also emphasised that a focus on research into use should not be conflated with embedding policy research questions in research design. In this regard, specific attention to building the capacities of southern policymakers to become more effective and informed consumers of knowledge was identified as an area that had received insufficient attention to date and one where DFID could potentially add value.

#### Funding mechanisms

Lastly, a diversity of funding mechanisms was seen as critical to ensure a balance between key organisational priorities and the identification of emerging issues and trends. Overall, DFID's model of Development Research Centres (DRC) and Research Programme Consortiums (RPC) was seen as positive in its focus on longer-term outcome-focused research funding. It was nevertheless noted that no cross-programme evaluations had been undertaken as yet and that this would be important to better understand which DRC and RPC models were working effectively and why. In addition, there was also a call for greater availability of smaller tranches of funding (with low reporting requirements) to foster creative innovative thinking. This was an area that respondents believed had been relatively neglected in recent years.

#### Capacity building

Capacity building is increasingly recognised as critically important to ensure greater research utilisation among diverse target audiences – policy makers, civil society, southern research institutions, development journalists etc. (e.g. Neilson and Lusthaus, 2007). Our cross-donor mapping indicates that all research donors are involved in some form of capacity building, but no comparative data is available on the proportion of funding allocated to capacity development out of their total spend, thus making it difficult to assess the relative significance of these efforts. It is also worth noting that several key informants questioned whether funding for capacity building—although important—should be funded from DFID's research budget or should instead be funded through other mechanisms, including direct budget support to developing countries. At a minimum this suggests that DFID could pay greater attention to encouraging recipient countries to invest substantially in research and higher education capacity building.

Neilson and Lusthaus (2007) argue that research capacity building efforts should be shaped by the research problem at hand, and that an appropriate balance of individual, institutional and network approaches should be selected accordingly. Key considerations include what sort of solutions are demanded and what types of abilities at which levels and through which possible entry points are required? As can be seen in Appendix 7, donors are supporting a wide range of capacity building mechanisms, which can be broadly grouped as institutional/networking and individual approaches.

Institutional and networking approaches include the following:

- Research partnerships between Northern and Southern research institutions/ universities which have either a regional or thematic focus (esp. Sweden, Norway, Switzerland, Denmark)
- Support for universities in developing countries (particularly in sub-Saharan Africa and in the case of the German Academic Exchange Service Egypt, China and India)
- Support for national research councils in developing countries—which tend to be relatively weak and under-funded--in order to better promote the value of research and research-informed policy dialogue
- Research partnerships between developing and transition countries in order to learn from recent development paths (Switzerland)
- Funding for developing country institutions to access research and technical services of developing country partners
- Supporting the development of communities of practice among researchers and policymakers working on a specific development problem or sector (e.g. SEPIA which addresses agrarian reform in Peru)
- Supporting relationships between researchers working on specific country cases and university teachers in order to foster a new generation of more engaged knowledge consumers
- Supporting policymakers to become more aware of and more discerning consumers of research-based evidence
- Integration of capacity building as a cross-cutting central theme in (most) development research projects (IDRC)
- Support of northern institutes to engage in quality development research and development research partnerships
- Funding collaborative regional Masters and PhD programmes (African Economic Research Consortium)
- Long-term (15-20 yrs) perspective on and investment in capacity development (ISP)

Individual approaches to capacity development include:

- Provision of training for development professionals/experts/ executives (e.g. Germany, Japan, OSI)
- Third country training of experts whereby there is support for training provided by another developing country expert (Japan)
- North-South university exchanges for researchers (Germany)
- Graduate and post-graduate scholarships (esp. Japan, Norway, Germany, Canada, and the European Commission<sup>xiii</sup>)
- "Sandwich training", i.e. short (3-10 months) overseas training opportunities in an effort to minimise developing country brain drain and lifestyle upheaval (ISP)
- Training for officials on development policies (Japan)
- Awards for international development journalism (IDRC)
- Sabbatical scholarships for mid-career academics from the natural and social sciences to spend a year in residence researching on a common topic and engaged in sustained trans-disciplinary dialogue (Kennedy School, Harvard University)

As a cross-cutting theme, capacity building for development research and research utilisation is one of DFID's stated priorities.<sup>xiv</sup> Our key informants generally also agreed that capacity building was an important area and urged that it should not be viewed as a simple add-on to existing research funding initiatives as this risked generating a conflict of interests between research standards and investment in capacity building. They identified several important areas where DFID could strengthen its efforts and impact. Broadly speaking DFID has taken an institutional approach to capacity development but a number of respondents argued that this was one of the weaknesses of UK development agencies vis-à-vis the French and the US. The latter have historically invested much more in MA and PhD scholarships in order to provide training to local researchers involved in joint research projects whereas the British Council's scholarship approach was seen as too narrow and insufficiently policy-focused. At the UK end there is similarly a need for individual PhD scholarships to support country-based fieldwork. In short, there needed to be a strong recognition that research is more than a body of codified knowledge and is equally about training skilled people.

On the institutional front, there was a clear message that capacity building will only be effective if it constitutes an explicit and central aim. There was also a perceived need to invest more in capacity development across the development research continuum, including research management skills, research communication and knowledge brokering skills. This would require a careful mapping of the type of capacity building required and the type of organisation (including respective incentive structures) with the comparative advantage to undertake it.

Equally important, there was a recognition that capacity development was also needed in the North. This includes: i) within DFID itself in order to improve the capacity of the organisation to effectively absorb new research findings, ii) within UK universities and research institutes in order to remain at the international cutting-edge<sup>xv</sup> and iii) among governmental policy-makers so that they are able to clearly articulate and justify the type of policy-relevant evidence they need in order to improve decision-making that impacts international development policy.

Lastly, there were some concerns about whether there is an adequate supply of suitably qualified capacity development trainers to meet demand if DFID were to significantly increase its spend in this area. In particular, there was a need for mentoring of southern non-governmental actors to participate more effectively in dialogue with inter-governmental bodies on technical issues such as taxation, customs, and international law. Specific

capacity building organisations of merit included: the African Capacity Building Foundation, the United Nations University, the Swiss Commission for Research Partnerships, the Third World Academy of Sciences, and the Hewlett Foundation's proposed Southern think tank initiative where it was suggested DFID could play an important interlocutor role.<sup>xvi</sup>

#### 2.4 Engaging in regional policy processes

A number of regional/continental research policy processes and networks were identified during the course of our research, some of which DFID is already engaging with. These are presented by topic and region in Appendix 8. Those that received special mention included the African Economic Research Consortium (AERC), the Southern African Regional Poverty Network (SARPN), the International Science Programme (ISP) and the International Clinical Epidemiology Network (ICLEN) due to their strong north-south linkages, clear mandate and focus on long-term capacity development.

There was also a strong view that DFID was not sufficiently informed about the European development research 'landscape'.<sup>xvii</sup> In particular, it was felt that DFID needed to engage more actively with the European Community and that it could play a more significant role in the funding and brokering of development research in Europe. In this regard, the new European Research Council as well as NORFACE, a partnership of European national research councils of which ESRC is a member, could be useful initiatives to support as would the Three-Cs.Net (drawn from the Maastricht Treaty principles of coordination, complementarity and coherence) community of practice (http://www.threecs.net/community\_of\_practice). In the former case, the UK research councils would likely be the most appropriate channel through which to coordinate such efforts but this would first require the development of closer communication mechanisms between the Councils and DFID in the UK.

Lastly, at the international level, engaging with the World Bank's Researchers' Alliance for Development (www.worldbank.org/rad) or the Sustainable Products Task Force (an international multi-sectoral network focusing on product policy of which DEFRA is a member) were also recommended as potential linkages.

#### 2.5 New partnerships for Increased Impact

In line with broader donor principles of coordination and alignment as well as the sheer breadth and scale of the challenges that international development research must address, DFID has already entered into a range of national and international partnerships to fund research. These include Research Programme Consortia (a partnership between developing country and usually UK-based research institutes), funding regional research systems, joint funding with other donors (including responsive research programmes with UK Research Councils), Product Development Partnerships (with the private sector) and providing core funding to such multilaterals as the Consultative Group on International Agricultural Research (CGIAR). While evaluation of these partnerships is a separate and important exercise, this study sought to identify potential new partnerships in which DFID could engage in order to increase its impact and/or produce efficiency gains for DFID research management.

First, partnering with quality donors such as IDRC or the Wellcome trust, for example, was seen as a positive development and one that could be reinforced in DFID's future research strategy. However, there were also cautionary notes that partnerships need to strive to keep bureaucracy to a minimum (in order to foster creativity and diversity) and have a clear mandate. Here for example there were some concerns that the DFID/ESRC joint venture had been less than optimal due to divergent viewpoints about the type of research that the respective partners wanted to promote.

Second, although there was clear support for investing more in Southern institutions and partners, experience across diverse disciplines and geographical regions among northern and southern key informants alike suggested that there was still value in supporting partnerships between Northern and Southern institutions. <sup>xviii</sup> Not only was this important in order to promote standards of academic excellence and quality control or "technical backstopping", but also because of the greater leverage international partnerships often enjoy vis-à-vis national governments. International partnerships may provide a safer space for southern researchers to voice critical views that challenge existing orthodoxies, and a combination of northern and southern researchers is likely to open up a wider set of doors with influential policy-makers than either alone. It would however be important to foster an environment in which equitable partnerships are able to flourish, including involvement of southern partners from the outset in project design and avoidance of a 'big brother' attitude by northern actors vis-à-vis southern counterparts.

Third, whether or not to support networks solicited mixed views. On the one hand, network theory suggests that multiple seeds need to be sown in order that some will bloom and that diversity and flexibility are of the essence to the diffusion of innovative ideas (e.g. Rodgers, 2003). On the other, however, there was a concern that network proliferation at the regional and international levels tended to detract from national and sub-national focused work as participation in networks was a time and energy consuming activity. As such, there was a perceived need for greater rationalisation of networks on the part of donors. Decision-making about support for networks needed to be more decisive and informed by rigorous evaluations as well as a recognition that networks may have a natural lifecycle. It is also critical to be mindful that networks promote knowledge produced within a particular socio-cultural context and that consumers of knowledge need to be mindful of the power dynamics or what Stone (2003) terms "ideational power" that underlies particular knowledge discourses. In light of these divided opinions, there appears to be a need to invest in more in-depth case study evaluations of the contexts in which different types of networks are effective.

#### Public-Private Partnerships (PPPs)

The most recent research strategies of USAID, the Netherlands, Norad, Danida, SDC and DFID all recognise the importance of greater linkages with the private sector in order to ensure more efficient, timely and relevant research. Moreover, AFD (Agence Française de Développement), IDRC and SDC have all identified private sector involvement in development cooperation as a research priority, while IDRC has set up a Private Sector Development Task Force. A cross-donor mapping of PPPs suggests that public-private linkages are being extensively promoted in the fields of health and agriculture (as can be seen in Appendix 9). Given the importance of climate change within DFID's strategy, DFID may want to explore a PPP model in this field and also to pay greater attention to research into corporate social responsibility issues.

#### 2.6 Funders of Northern research

This was a topic that also solicited mixed views. On the one hand, there was the belief that taking a thematic approach to development and then assessing the way these issues play out in diverse contexts (North, South, East) would obscure the real challenges and complex dynamics of governance and poverty reduction in developing countries. On the other, approaching development issues such as social exclusion, inter-generational poverty transfers, social protection mechanisms or food poverty from a North-South comparative lens could provide a sharper focus on critical variables and lead to richer policy dialogues in both developed and developing country contexts (see Maxwell, 1998).

Turning to specific examples, the Nuffield Foundation, Leverhulme Trust, Rowntree, and Wellcome Trust were all identified as important and quality UK donors of northern research,

with potential for collaboration with DFID (see Appendix 10 for a brief summary of their key research foci). <sup>xix</sup>

Similarly, the ESRC and other UK research councils were seen as important funders of research with application for developing countries. However, the value of these councils was primarily seen in their adherence to rigorous quality standards and concern with expanding new knowledge frontiers, which may not make them ideal partners for development research initiatives that prioritise policy-relevance. They could though play a role in supporting capacity building initiatives for southern researchers and research institutes.

## 3. Conclusions and recommendations

The clearest conclusion from this study is that the absolute lack and inconsistency of information about development research programmes and policy makes it extremely difficult to map the field with sufficient accuracy to provide clear recommendations about where DFID could best add value. Nevertheless DFID is recognised as a valued and important player in the field, with a distinct character and voice that should be reinforced and expanded. Our conclusions and recommendations focus on six areas: 1) knowledge about development research; 2) donor practice; 3) positioning; 4) capacity-building and 5) partnerships and 6) immediate additional investigation.

#### 3.1 Improved knowledge about and harmonisation of development research

The clearest conclusion to emerge from this study is the lack of comparable published data about development research, divergent definitions, weak knowledge sharing and lack of coordination among development research donors. DFID could play an important role in fostering support for and leading an initiative within the DAC, IFORD or possibly the IGFA (International Group of Funding Agencies for Global Change Research) to overcome these serious data constraints and develop an international database on research expenditure. Such an initiative should include work on definitions<sup>xx</sup>, data on total volume of expenditure, as well as disaggregated information on spending by research funding mechanism, research theme, geographical coverage and capacity building efforts. Our findings also suggest that it would be valuable to complement such quantitative data with the development of qualitative indicators, possibly to be assessed by a peer review process along the lines of the OECD's peer review process of member country's overall development assistance approaches. In this regard, this scoping study identified four broad dimensions of quality which might provide a useful starting point: clarity of mandate, supporting innovation, visibility and stimulating demand for research.

### 3.2 Improved research donor practice

The study identified a number of areas where DFID could improve its own practices in these four areas:

Clarity of mandate: DFID's focus on policy-relevant research aimed at informing and shaping international efforts to reduce poverty over the course of the MDGs and beyond, with particular attention to governance issues, needs to be more clearly and consistently communicated to staff and other stakeholders. Given DFID's high profile and standing in the international development community, it is important that DFID sees itself as more than an efficient manager of its research budget and takes an entrepreneurial spirit to understanding the role of research in fostering change in development policy and practice. The experience of other leading donors (e.g. the Ford Foundation and IDRC) suggests that particular attention should be paid to recruiting and retaining high calibre personnel with strong research backgrounds who can identify important policy-relevant questions in their field. The health advisors in DFID were identified as being effective in this regard so this could be an area for follow-up lesson learning.

- Visibility and quality: DFID already enjoys a relatively strong reputation, particularly in the area of research communication and research utilisation. It seems critical for DFID to continue to invest in its research communication and research uptake efforts.<sup>xxi</sup> DFID is also valued for its role as a "nuanced thinker". It could therefore consider positioning itself as a promoter of alternative perspectives and challenger of orthodox views, informed by innovative inter-disciplinary and mixed methodological approaches. Given the dominance of the World Bank in the development field, such a counter-weight role would contribute to ensuring that a multiplicity of views are brought to international, regional and national policy dialogues.
- Supporting innovation: It will be critical for DFID to ensure that its research strategy is supported by a human resource and budget strategy that encourages flexibility and diversity. Employing a diversity of funding mechanisms from large long-term grants through to smaller innovation funds to explore new ideas and methodological approaches is also recommended. This could usefully include ensuring a balance between research that is commissioned in line with a set of organisational thematic priorities and opportunities for researchers to propose new lines of inquiry at relatively regular junctures.
- Stimulating demand: DFID is one of the few international donors explicitly concerned with stimulating demand for research evidence, but these efforts are yet to be evaluated. There is also a pressing need to establish more regular communication channels between emerging groups of research users such as the private sector, sub-national government officials and southern CSOs through roundtables, workshops and seminars involving researchers and public and private sector representatives. Similarly, it is important that DFID invests in innovative methods to listen to the knowledge demands of social movement actors and grassroots groups.

### 3.3 Improved positioning to add value

While data and time constraints make it difficult to provide very specific recommendations, it is possible to make some general recommendations about thematic, geographical, and capacity building issues:

- Thematic priorities: Due to the data and time constraints described above our findings did not reveal areas of obvious thematic donor crowding, or glaring thematic omission, although a number are identified in Appendix 6. Specific recommendations to explore this further are: a) Horizon scanning work to identify emerging issues of importance to development and poverty reduction policy debates, especially relating to global trends and global public goods, and b) Meta-analyses of research being undertaken by leading development research donors in specific sub-themes that fall outside DFID's priority themes in order to better evaluate areas in which DFID should lead, partner or omit. Such an exercise should be triangulated with consultations with a range of DFID's traditional and non-traditional stakeholders in both the South and North. Rigorous research on policy implementation efforts by governmental and non-governmental bodies and systematising lesson learning from both successes and failures were identified by the majority of our key informants as areas where DFID could add value on the international stage.
- Geographic priorities: Our mapping of donor geographical prioritisation suggests that there is adequate coverage of the sub-Saharan African, South and South-East Asian and Latin American regions as well as countries within these regions. Better data is however required in order to assess the depth of this coverage. Coverage of Eastern Europe, Central Asia, East Asia and Oceania appears relatively thin by contrast and in need of attention. In this regard, one of the recommendations from this study is that DFID consider whether it should use a different weighting for its research across regions than it uses for its intervention efforts (90% to low-income countries and 10% to middle-income countries). Learning from a plurality of development experiences in terms of

economic and social development and governance/democratisation and the variables that were decisive in diverse contexts is of vital importance for DFID's policy and programme work. This is also possibly an issue that DFID could lead on in the IFORD and other regional or international fora.

### 3.4 Capacity building

Capacity building across the development research spectrum (from asking questions and designing research through to research communication and utilisation) is without doubt an important part of the broader innovation system that is necessary to ensure effective research-policy-practice linkages. Our mapping of capacity building initiatives revealed a rich and varied portfolio of individual and institutional approaches among international research donors, but relatively limited evaluation evidence. Given such diversity, a useful follow-up exercise that DFID could undertake in partnership with other donors (potentially with IDRC which has already initiated a programme of research in this field) would be an evaluation of the relative strengths and weaknesses of these approaches. This could in turn inform efforts over the medium-term to develop a coherent capacity building approach within DFID that encompasses a strategic balance of individual, institutional and networking mechanisms. Decision-making should be based on a clear 'theory of change' and if possible a corporate definition of capacity building so staff and stakeholders alike are clear about DFID's goals and underlying assumptions.<sup>xxii</sup>

More specific recommendations for DFID to consider include the following:

- Investment in greater financial and human resources to develop a monitoring and evaluation system to assess the aggregate impacts of this capacity building approach over time.
- Ensuring compatibility between institutional incentive structures and capacity building in particular areas. For example, developing policy research and knowledge brokering capacities could be more fruitfully developed through support to think tanks and policy research institutes than universities.
- Learning from the experiences of Switzerland and Japan's support of third country expert training so as to promote greater South-South and South-East learning and experience sharing.
- Providing more opportunities for capacity-building to be demand-led, for example, through financing southern researchers and research institutes to access Northern or third country expertise and services, should be given greater weighting.
- Learning from the experiences of AERC and ISP in terms of capacity building for individual researchers (including collaborative regional MA and PhD programmes) as strong models that simultaneously build individual capacities as well as training and teaching skills in southern regions. It could be useful to consider applying these multipronged integrated capacity building approaches to other thematic areas (especially the social sciences) and other regions (e.g. Central Asia, MENA region, Oceania).

It is further recommended that capacity building be viewed not only as an issue for the South but also for the North. In order to increase DFID's in-house capacity, the IDRC model suggests that there needs to be adequate staffing to ensure that individual advisors and officers can devote sufficient time and energy to advising research and knowledge brokering partners, and facilitating linkages among governmental and non-governmental stakeholders. Secondments to research institutes and think tanks, or to UK research councils and the large private foundations, for DFID staff would also ensure that they are sufficiently up-to-date on emerging research ideas and methodologies and not only on management dimensions.<sup>xxiii</sup>

Lastly, funding to maintain the capacity and quality of policy-relevant research in the UK at the graduate, post-graduate and post-doctorate levels is also an important consideration.

One possibility would be to pursue this aim in partnership with the UK research councils. However, any decision-making should be informed by a careful assessment of the nature of many global policy goals (multi-sectoral, multi-disciplinary and action-oriented) and the extent to which the existing research data base (which is largely but certainly not exclusively single sector, mono-disciplinary and theory-driven) is compatible with these goals.

#### 3.5 Partnerships and regional processes

Given the clear need for more in-depth data collection, mapping and evaluation work as discussed above, it is difficult to make specific recommendations on possible partnerships with other donors. However some possible avenues for DFID to explore include the following:

- Research partnerships with donors based on thematic priorities as mapped out in Appendices 4 and 8. At a minimum when developing a call for proposals it may be useful to check with agencies or particular regional or thematic groupings of donors who have a strong focus in the research area in question what type of work they already have underway.
- In terms of research communication and capacity building, the already close linkages with IDRC could be reinforced and strengthened as DFID and IDRC are unique in their specific focus on these issues.
- Given the strong reputation that UK private foundation donors such as Nuffield, Wellcome and Leverhulme enjoy, it would be advisable for DFID to explore specific thematic areas for mutual exploration where a comparative perspective could add value to policy dialogues in both the North and South. The mandates of these foundations are also primarily concerned with policy impact and social change so there is a clear compatibility with DFID's own overarching goal of poverty reduction.
- It is recommended that support of regional and thematic research networks be undertaken on the basis of rigorous evaluations and a preparedness to withdraw funding if the network is no longer performing a value-added function. Good practice would however seem to suggest that such arrangements are likely to be more effective when there is a close association between the donor and the network, and the provision of mentoring resources where possible.
- In order to leverage the impact of its sizeable budget, DFID could usefully explore greater involvement in Private Public Partnerships – both in terms of evaluating the efficacy of current experiences in the fields of health and agriculture and also in new fields, including climate change, product policy approaches and development and corporate social responsibility.
- In order to promote better synergies and lesson learning among international development research donors, it is also recommended that the UK invest greater resources to better understand and engage with the European development research community, and work in close partnership with the National Research Councils to achieve this aim.

### 3.6 Further work

As has already been mentioned, the absence of comparable published information and definitional challenges has complicated this study. Further work will be necessary to fully inform the process of developing the new strategy. This could usefully include:

- Verification of the data gathered during this study with the major research funders.
- Interviews with research managers in each of them to explore their current programmes and future plans, clarify definitional and budgetary uncertainties.
- Interviews with a wider range of southern research users and suppliers.
- A meeting of the major donors to discuss the results and explore opportunities for improved information sharing.

### Notes

<sup>i</sup> Helpful comments on this report were received by Andrew Barnett, Paul Garner, Simon Maxwell, Sheila Page, Andrew Sumner, Louise Shaxson and Diane Stone. All opinions expressed and errors are however the sole responsibility of the authors.

<sup>ii</sup> DFID Research Funding Framework 2005-7.

iii http://www.rae.ac.uk/pubs/2006/01/docs/j43.pdf

<sup>iv</sup> Another possibility could be the International Group of Funding Agencies for Global Change but the membership is focused more on natural sciences. http://www.igfagcr.org/

<sup>v</sup> Although the volume of research funding under the management of the CGIAR institutions and the WHO is high, greater clarity is needed on what proportion of this funding is already included in calculations of research expenditure by bilateral donors in order to avoid double counting. More detailed Tables and explanatory notes are provided in Appendix 3 – Donor Spending League.

<sup>vi</sup> Although please note that paucity of data on UN agency development research funding made this impossible to verify.

<sup>vii</sup> We are mindful that donors employ not only different definitions of research when calculating spending volumes, but potentially also different departments (e.g. centrally funded research vs. research conducted by country offices vs. research as a component of programme or project funding).

<sup>viii</sup> See: http://www.efc.be/projects/eu/research/default.htm, http://foundationcenter.org/gainknowledge/research/internationaltrends.html.

<sup>ix</sup> It is difficult to estimate the total amount of funding the World Bank devotes to research. The recent evaluation of the World Bank put the amount of funding for the research department at 45 million USD per annum which appears relatively low given the international visibility and breadth of World Bank research products, but as we explain in the Appendices, this is due to a narrow, specific definition of research adopted by the World Bank. It also does not include research components of Global Programs and Partnerships which the Bank's Development Grant Facility finances. See http://web.worldbank.org/wbsite/external/projects/extfininstruments/exttrustfundsandgrants/extdgf/0,,c ontentMDK:20588735~menuPK:64161792~pagePK:64161825~piPK:64161011~theSitePK:458461,0 0.html for further details.

<sup>x</sup> Note this is also in keeping with a recent Capability Review which noted that 'DFID has an important part to play in both the development of international policy and in its delivery through improved cross-Whitehall working . . . other departments will have to do likewise'. It also argues that 'more consistent early engagement by DFID with other government departments . . . is crucial'. (quoted in Maxwell, 2007).

<sup>xi</sup> Augsburg (2005) provides a useful definitional distinction in this regard:

- Transdisciplinarity: Research that integrates the social and natural sciences in a common approach, and includes non-scientific knowledge systems in a participatory and interactive process to improve societal practices. Transdisciplinarity is a principle of scientific research and intradisciplinary practice that describes the application of scientific approaches to problems that transcend the boundaries of conventional academic disciplines.
- Interdisciplinarity: Interdisciplinarity is the act of drawing from two or more academic disciplines and integrating their insights to work together in pursuit of a common goal. "Interdisciplinary Studies", as they are called, use interdisciplinarity to develop a greater understanding of a problem that is too complex or wide-ranging to be dealt with using the knowledge and methodology of just one discipline.
- Multidisciplinarity: Multidisciplinarity is a non-integrative mixture of disciplines in that each discipline retains its methodologies and assumptions without change or development from other disciplines within the multidisciplinary relationship. Multidisciplinarity is distinctly different than Interdisciplinarity because of the relationship that the disciplines share. Within a multidisciplinary relationship this cooperation "may be mutual and cumulative but not interactive" (Augsburg 2005: 56) while interdisciplinarity blends the practices and assumptions of each discipline involved.

<sup>xii</sup> It is also an area in which DFID should consider increasing funding as despite the importance to DFID's strategy and reputation total expenditure constitutes just 5.8% of its total annual budget (6.7

million GBP out of a total annual budget of 116 million GBP, DFID, 2007). Note, however, that a substantial budget has been allocated to DFID's Agricultural and Natural Resources Research into Use Programme managed by NRI.

<sup>xiii</sup> The European Commission through Erasmus Mundus and other 'mobility schemes' is seeking a lead in integrating the 'European research 'space' with its neighbourhood and beyond with funding instruments such as 'Asian windows' which earmark funding for students from particular countries to carry out higher education degrees in European institutions (see http://oc.ouropa.ou/education/programmes/mundus/acian.pdf for details)

http://ec.europa.eu/education/programmes/mundus/asian.pdf for details).

<sup>xiv</sup> In this regard it would be useful to disaggregate its total spend as this information is not available in DFID's Research Strategy Background Paper (March 2007).

<sup>xv</sup> UK universities were seen to have a comparative advantage in that the relatively small size and resourcing of the academic community compared to the US had already compelled academics to diversify and to be outward looking in terms of the partnerships they forge and research problems they select.

<sup>xvi</sup> A more comprehensive list can also be found in Young and Kannemeyer, 2001.

<sup>xvii</sup> A useful database in this regard is provided by the European Foundation Centre: http://www.efc.be/projects/eu/research/Facts.htm

<sup>xviii</sup> It is however important to note that time did not permit us to consult with an even number of northern and southern stakeholders, and thus this issue should be revisited over the course of DFID's research strategy consultation process. Such consultations should strive to ensure a balance between southern countries where donor funding plays a major role in supporting research funding and those in which research is funded primarily though local public and private funding sources.

<sup>xix</sup> The Volkswagon Stiftung in Germany was recognised as important in terms of volume of funding but with limited partnership potential given their concern for branding. Party-affiliated foundations such as the SPD-affiliated Rosa Luxemburg Stiftung was believed to invest in national and European social research, as were foundations in other Western European countries such as Italy, France and Spain.

<sup>xx</sup> Here the UK Research Assessment Exercise style definitions of academic disciplines might provide a useful model.

<sup>xxi</sup> There seemed to be a broad consensus about this at the March 2007 DFID Visioning Event.

<sup>xxii</sup> See useful discussion by Lusthaus and Neilson, 2005.

<sup>xxiii</sup> Here the Professional Skills for Government and Heads of Profession approaches promoted by the Cabinet Office may provide a useful reference point.

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# Appendix 1 - Terms of Reference

#### SETTING THE SCENE: A REVIEW OF KEY INTERNATIONAL RESEARCH FUNDING POLICY AND PROCESS

#### Background

- 1. DFID's Central Research Department is developing a new five-year research strategy. The strategy will be set against a twenty-year vision of where we would like to see global and developing country research environments contributing to poverty reduction beyond the Millennium Development Goals.
- 2. The strategy will build on DFID's current research funding framework 2005-2007 (RFF). The RFF sets out four key researchable priorities: Sustainable Agriculture, Killer Diseases, Climate Change and States that Don't Work for the Poor. The strategy also highlights two cross-cutting issues getting research into use through improved engagement with research users and links to policy, and building the capacity of developing country users and researchers to do and access research.
- 3. Central Research Department (CRD) has used a range of different mechanisms to fund research. These include Research Programme Consortia (a partnership between developing country and usually UK-based research institutes), funding regional research systems, joint funding with other donors (including responsive research programmes with UK Research Councils), Product Development Partnerships (with the private sector) and providing core funding to such multilaterals such as the Consultative Group on International Agricultural Research (CGIAR).
- 4. During the RFF period CRD has aimed to position DFID research increasingly within an international context. Strategic links have been strengthened with other major research funders (both government and foundations), including through joint programming.
- 5. In line with the OECD Development Assistance Committee harmonisation and alignment principles, CRD has also sought to respond to regional/continental priority setting initiatives, including the Comprehensive African Agricultural Development Programme and NEPAD's Consolidated Plan of Action on African Science and Technology.
- 6. The RFF 2005-2007 was informed by an international mapping study produced by the RAND Corporation. In view of rapid development of the international environment for research funding since then, DFID's requires an updated information base to inform decision making. One important choice for the new research strategy is whether DFID's current comparative advantage and "sectoral niche" is still valid and what are the biggest gaps in international research funding coverage relevant to poverty reduction.
- 7. The new research strategy will operate over 5 years (2008/9 2012/13). It will build on the strengths of DFID's current portfolio and increase DFID's current emphasis on research capacity building and getting research into use. It will also address directly the priorities set out in DFID'S 2006 White Paper on International Development: Making Governance Work for the Poor.

#### Purpose

8. To inform decision-making about the optimal positioning of DFID research based on an assessment of DFID's current and potential comparative advantage vis-à-vis other international research funders.

#### Outputs

- 9. A report of up to 15 pages including an executive summary, plus annexes. The report should:
  - identify the major funders in international development research (top 15)
  - identify areas of duplication, overlap and donor "crowding" in relation to need, and by extension provide an assessment of areas of omission
  - suggest where DFID can "add value" (i.e. sectorally, in relation to research/policy impact, leveraging funds etc.) and therefore develop further a distinct comparative advantage
  - describe any major regional/continental research policies processes with which DFID's strategy should engage
  - identify if appropriate new partnerships which could increase the impact of development research or where there would be significant efficiency gains for DFID research management
  - identify key funders of northern research which has application for developing countries
- 10. The study should undertake a literature review of major funding policy. It should also draw on material provided by CRD. The consultants should "triangulate" their findings with experts in developing country research institutes to ensure their perspective is represented.

#### Methodology and workplan

11. In response to this TOR, the consultants will be required to provide a two page summary of their methodology, draft work plan and staffing arrangements. The time input will be up to 20 days. The report should be delivered by end of March 2007, and interim findings will be presented to DFID in February.

Project Officer: Dylan Winder

# Appendix 2 - Methodology and Key Informants

The methodological approach adopted for this study included the following components:

- a. A desktop review of major funding policy drawing on UN, IFI, DAC, EU and other multilateral and bilateral sources.
- b. Key informant interviews with senior researchers in ODI and a limited number of development experts from the UK and US<sup>i</sup> (see below) to identify:
  - leading development research funders and their perceived strengths and weaknesses in terms of quality and impact;
  - development research themes where there is either donor crowding or omissions relative to need;
  - effective capacity building mechanisms to strengthen development research and examples of best practice, and
  - partnerships and policy processes with which DFID should engage in order to leverage its budget.
- c. Web-based research to gather information about the leading donors, including objectives (including key target audiences and end users), funding, main programmes, recent evaluations, future plans etc.<sup>ii</sup>
- d. Regular engagement with CRD staff to understand their priorities and objectives for this scoping paper, including participation in a recent Visioning Event with development experts in March 2007.
- e. Preparation of a draft paper and an electronic discussion of this paper by members of ODI's networks.
- f. Peer review of the draft paper by "experts" and triangulation through telephone interviews with the heads of southern Think Tanks.

### Key interviews:

- Simon Maxwell Development policy, aid, global governance
- Alison Evans Poverty Policy
- Andrew Shepherd Rural Development and Chronic Poverty
- David Booth Poverty and Governance
- Caroline Harper Poverty, social development, childhood/youth
- John Farrington Agricultural development and natural resources
- Steve Wiggins Agricultural development, innovation and learning
- Sheila Page Macro-economic policy and trade policy
- Adrian Hewitt European development policy, aid
- Andrew Barnet The Policy Practice Ltd (development economics, innovation systems)
- Diane Stone Warwick University (Networks, knowledge processes)
- Merilee Grindle Kennedy School of Public Policy, Harvard (Public policy)
- Louise Shaxson DEFRA consultant (Environmental policy, bridging policy and research)
- Olivia MacDonald Senior Policy Officer, Christian Aid
- Andrea Goetzke Newthinking Communications, consultant for GDI on "Overview of organization of development research in different European countries"
- Paul Garner Professor of International Health, Liverpool School of Tropical Medicine
- Galab Shaik Professor of Development Economics, Center for Economic and Social Studies, Hyderabad
- Santiago Cueto Director of Grupo de Analysis para el Desarollo/ Analysis for Development Group (GRADE), Lima, Peru

# Appendix 3 - Donor Spending League

### **Bi-lateral Organisations**

Country spending on research for development \*

Country (agency)	Spending on	<b>Bi-Lateral</b>	Multi-lateral	Year of
	Development	Funding	Funding	spending
	Research – (USD)			figure
USA- (USAID) <sup>1</sup>	\$282m			2002
France (IRD)	\$220m			2005
Canada <sup>2</sup>	\$175m			2006
IDRC	\$110m	\$70m (64%)	\$40m (36%)	" "
CIDA	\$65m	\$22m (34%)	\$43m (66%)	" "
UK (DIFD) <sup>3</sup>	\$174m	\$104m (60%)	\$62m (36%)	2005
Sweden (SIDA) <sup>4</sup>	\$135m	\$40.5m (30%)		2006
Norway (NORAD) <sup>5</sup>	\$100m	\$77m (70%)	\$23m (30%)	2005
Australia <sup>6</sup>	\$100m			
ACIAR	\$85m	\$75m (88%)	\$10m (12%)	2006/07
AusAID	\$15m			" "
Germany	\$78m			2006
$(BMZ)^7$				
Switzerland (SDC) <sup>8</sup>	\$40m		\$13m (33%)	2006
Japan <sup>9</sup>	\$>35m			2005/06
Netherlands (DMFA) <sup>10</sup>	\$>35m			2006
Denmark (Danida)	\$35m	\$22.3m (64%)	\$12.7m (36%)	2005

#### Notes:

\*These figures are based on what government development agencies spent on development research, and in many cases represent estimates as a number of agencies do not keep clear records of exact spending on development research or include it in broader spending categories linked with education, capacity building and information technology.

1 USAID do not keep central figures on research spending for each USAID bureau so this figure is based on an estimate by Everett L. Mosley, Inspector General-USAID in May 2003. He estimated research funding was distributed in the following areas: Agriculture- 24%, Health- 31%, Population- 20%, Development- 8%, Education-5%, Social Sciences- 9%, and Environment -3%. In 2002 USAID committed \$54.9m to CGIAR (2005- \$54.8m) and \$53m to GAVI Alliance (2005- \$64.5m). USAID commits \$29m annually to IAVI, \$13m to Family Health International and \$1.5m to MMV.

In 2006 \$148m was spent by USAID on health-related research; 80% of this (USD \$119m) was spent on the main research areas of HIV/Aids, Malaria, Tuberculosis, Reproductive Health and Family Planning, Maternal and Newborn Health, Micronutrient Deficiencies in Women and Children and Management of Severe Malnutrition, Acute Respiratory Infections, and Health Systems. Over half of this funding (57%) was spent on HIV/Aids research. Reproductive health research was the second largest recipient with 21% of the total research funding and research into Malaria received 8%.

2 In 2006 IDRC allocated 33% of its research budget to projects in Africa and the Middle East, 14.9 % in Latin America and the Caribbean, 15.6% in Asia and 36.5% on multi-regional and global projects. In 2006 CIDA allocated 66% (\$43m) of its research spending on multi-lateral funding, including \$12m to CGIAR and \$12m to the Canadian International Immunization Initiative.

3 Figures based on DFID Research Funding Framework 2005-2007. It states DFID's direct/bi-lateral research funding to be \$104m in 2005, and support for multi-lateral research as \$40m. In 2005 DFID committed \$44.2m to CGIAR.

4 In 2006 Sida's total funding for research was \$135m. \$40.5m (30% of the total budget) went to bi-lateral research, \$70m (52%) to thematic research, and \$24.5m (18%) to research in Sweden.

5 Figure based on what Norad allocated through their development cooperation budget in 2005 to research and higher education - 4-5% of net ODA. Included in the bi-lateral funding figure is \$31m Norad committed to higher education, which includes training and research. In 2005 Norway committed \$12.6m to CGIAR.

6 Figure is based on the Australian Centre for International Agricultural Research's (ACIAR) estimated funding of research for 2006-2007- \$85m (with around 12% of this funding multi-lateral research, primarily through CGIAR), and AusAID's approximate spending of \$15m on research in 2006-2007 (based on a survey carried out internally in AusAID in June 2006). AusAID research spending is set to increase quite significantly over the next four years following the announcement of a larger research program in the April 2006 White Paper on the Australian Government's Overseas Aid Program.

7 These figures only represent BMZ's 2006 spending on research and exclude other German Federal and State departments, agencies and research councils funding of research. Overall German spending on development research is thus likely to be much higher than \$78m. BMZ research funding in 2006 can be divided into the following: total development research expenditure - \$40m, CGIAR funding - \$21m, research components of technical cooperation projects - \$13.5m, funding for the German Development Institute - \$4m.

8 SDC's Research Policy (2002) states the agency's aim to spend 6% of the SDC yearly budget on research and development, which in 2002 represented USD \$58.2m. In 2006 SDC's net funding of research was approximately CHF 50m (USD \$40m). 33% (\$13m) of this was spent on funding multi-lateral research. In 2005 SDC committed \$18.2m to CGIAR, with some CGIAR programmes run under bilateral programmes. Approximately \$5.5m was spent on SDC commissioned research.

9 There are no current figures available for JICA and JBICI spending on research. This estimate is based on government support to Japanese development research institutes, which exceeded \$35m in 2005, and support to CGIAR in the same year (\$10m).

10 There are no current figures for overall DMFA spending on research. This estimate is based on the DMFA department for Research and Communication (DCO/OC) central research programme budget of \$34m in 2006. In 2005 DMFA committed \$24.1m to CGIAR.

#### **Multi-lateral Organisations**

Organisation	Spending on Research	Year of spending figure
EU <sup>11</sup>	\$254m	2007-2008
World Bank <sup>12</sup>	\$ >100m	2005
Asian Development Bank Institute <sup>13</sup>	\$14m	2006/07
African Development Bank <sup>14</sup>	\$<5m	2006-2008
UNDP <sup>15</sup>	N/A	
Inter-American Development Bank	N/A	

#### Multi-lateral 'suppliers' of development research

Organisation	Spending on Research	Year of spending figure
CGIAR <sup>16</sup>	\$400m+	2005
WHO <sup>17</sup>	\$370m	2006-2007
UNRISD <sup>18</sup>	\$<4m	2006

#### Notes:

11 This figure is based on the 7th Research Framework Programme (FP7) International Cooperation Programme which incorporates development research and research relevant to developing countries. This figure is not inclusive of other research relevant to development which other EU agencies may also fund.

#### 12 This estimate is based on the following:

*An Evaluation of World Bank Research* 1998-2005- September 2006 states that the total research budget in FY 2005 was USD \$25.3m. This includes all projects in the World Bank which were classified as research in the accounting system by managers. However, due to the limitations of the accounting system, it may not include all of the costs associated with research outputs at the World Bank. The report states that the "Bank distinguishes research from other analytical work in that research is designed to produce results with wide applicability across countries or sectors, while economic and sector work take the product of research and apply it to particular project or country settings. For FY2005, research was 11 percent of the budget spent on analytic and advisory work, which is consistent with the historical experience." In addition, the bank's DEC Data Group manages trust funds of approximately \$20 million for statistical capacity building. The report also mentions research carried out by regional programmes in the World Bank but states that although "the Latin American and Caribbean region is the most active region involved in research, apart from preparing some flagships." Multi-Lateral Research spending: in 2005 the World Bank contributed \$50m to CGIAR. In 2006 the bank contributed \$2.5m to UNICEF-UNDP-World Bank-WHO Special Programme for Research and Training in

13 Based on the 2007 approved budget for the Asian Development Bank Institute.

14 Based on proposed spending for the African Development Bank *Medium-Term Research Program (2006-2008)*.

15 Figures are not available for total UNDP spending on development research. In 2005 the programme received \$921m in gross regular income.

16 In 2005 CGIAR received funding from: USA- \$54.8m, World Bank- \$50m, UK- \$44.2m, Canada- \$36.4m, European Commission- \$30.6m, Netherlands- \$24.1m, Switzerland- \$18.2m, Germany- \$15.4m, Sweden \$14.3m, Norway \$12.6m, Japan- \$10.9m, Denmark \$7.4m, France \$5m, ADB- \$4.1m, UNDP \$0.9m.

17 The proposed WHO programme budget for 2006-07 called for a spending of \$108.5m on Communicable Disease Research, \$74.6m for the Health Information, Evidence and Research Policy Programme and \$138m to be spent on the Knowledge Management and IT Programme.

18 Based on UNRISD's annual operating budget.

Tropical Diseases (TDR), and \$1m to GAVI Alliance.

Foundation / Trust /Council	Spending on Research	Year of
		spending figure
Gates <sup>19</sup>	\$450m+	2006
Medical Research Council <sup>20</sup>	\$120-160m	2006
Wellcome Trust <sup>21</sup>	\$143m	2005/06
Ford	\$75-100m	2006
Rockefeller	\$30-40m	2005
ISP	\$4m	2005
Leverhulme <sup>22</sup>	\$2m	2005
Nuffield Foundation <sup>23</sup>	\$2m	2006
Nuffield Trust <sup>24</sup>	\$<1m	2006
Carnegie <sup>25</sup>	\$>0.5m	2005
Aga Khan Foundation <sup>26</sup>	N/A	
KAS <sup>27</sup>	N/A	
Open Society Institute <sup>28</sup>	N/A	
BBSRC <sup>29</sup>	N/A	
ESRC <sup>30</sup>	N/A	
Hewlett Foundation <sup>31</sup>	N/A	

#### Foundation, Institute and Council spending on research for development\*

#### Notes:

\*These figures are based on estimates as many foundations do not keep a separate record of spending on research projects.

19 The Gates foundation spends heavily on health research. In June 2006 it announced a USD \$287m investment in research to develop a HIV/Aids vaccine.

20 In 2006 the estimated gross spend in the MRC Infections and Immunity Programme was \$160m. A main focus of this programme is global health. DFID (/ODA) has had in place since 1993 a Concordat with the MRC to support UK-led biomedical and public health research which tackles the priority health problems of people in developing countries. Under the Concordat, DFID provides a contribution to MRC's portfolio of Research Relevant to Health of Developing Societies. DFID's commitment to the current Concordat, which runs for a period of five years to March 2008, is \$40m. The MRC's Council has also strengthened the MRC's spending on Africa, coinciding with the UK's Commission for Africa March 2005 report on the continent's health and development. This extra spending has funded a range of studies and training initiatives in MRC units in Uganda and Gambia.

21 The trusts awarded \$52m directly to researchers at overseas institutions. A further \$91m was awarded to researchers at UK locations for research overseas. Most international support is targeted at developing and restructuring countries.

22 In 2005 the Trust provided \$2m in support to International Research Networks and Visiting Professorships.

23 Figure is based on the 2006 Commonwealth Programme which supports initiatives that bring about long-term improvements in health, education and civil justice in Eastern and Southern Africa, and foster North-South partnerships.

24 Figure based on the trust's income in 2006.

25 This figure only relates to the Carnegie Corporation's spending on development research and does not include spending on research by the Carnegie Endowment for International Peace. Figures are not available for the institute's spending on research.

26 There are no current figures for the foundation's funding of development research. In 2005 the foundation gave out programme grants and assistance worth \$128m.

27 There are no central figures for the foundation's funding of development research.

28 There are no current figures for the institutes funding of development research. In 2005 the institute and Soros Foundation Network committed \$369m to initiatives and grants. \$33m was committed to projects in Africa and \$33m to international initiatives.

#### Setting The Scene: DFID's Research Funding in an International Comparative Perspective

29 There are no current figures for the council's overall funding of development research. The council invests around \$672m a year into bio-sciences. In 2006 it established a Scheme for Research on Sustainable Agriculture for International Development in conjunction with DFID. The scheme has a budget of \$12m over four years. The council also funds research relevant to developing countries through research grants to universities and research institutes and is a key member in establishing UK Collaborative on Development Sciences (UK-CDS). This Collaborative aims to provide a framework for a better coordinated approach to development sciences research in the UK, for the purpose of increasing its relevance and impact for national and international policies and activities aimed at sustainable improvements in the lives of the world's poorest people and countries. It will have a yearly budget of approximately \$600k. See BBSRC entry in appendix 10.

30 There are no current figures for the council's overall funding of development research. The council has an annual budget of over \$200m. It established in 2005 a joint research funding programme with DFID which has made available funds of \$26m over five years for development research. The council also funds development research through grants to universities in the UK and support to research centres (including the Global Poverty Research Group, ESRC Research Group on Wellbeing in Developing Countries, World Economy and Finance Research Programme). See ESRC entry in appendix 10.

31 There are no current figures for the foundation's total spending on development research. The foundation has four programmes which have relevance to developing countries: education, population, global development, and the environment. In 2005 the foundation's Population Programme gave grants totalling \$38m, Global Development Programme- \$22m, Education Programme - \$37m and Environment Programme - \$39m. Within these programmes grants are made to (bi-lateral and multi-lateral) organisations which carry out research in and relevant to developing countries.

# Appendix 4 - Research Themes:

### **Bi-lateral Organisations**

	Japan	US	Sweden	Germany	Netherlands	Norway	Denmark	Canada (IDRC)	Switzerland	UK
	(JICA)	(USAID)	(Sida)			(NORAD)	(Danida)		(SDC)	(DFID)
	Maternal/New	Reproductive	Reproductive		Infectious	Infectious	Reproductive	Health Systems	Reproductive	Reproductive
	born Health	Health	Health		Diseases	Diseases	Health	Health systems	Health	Health
	Safe Child	Contraceptive &	Sida supports		Rawoo funded	NORAD is	Enreca / Danish	in relation to	SDC research is	Realising
	Birth and	Family Planning	the WHO Human		HIV/Aids	a large	Research	good	focused on	Rights:
	Family	Operations	Reproductive		Research is	funder of	Network for	Governance.	Reproductive	improving
	Planning	Research	Research		focused on	internationa	International	Research focus:	Health and	sexual and
	Focus:		Programme		the cultural,	I HIV/Aids	Health (DRNIH)	Sub-Sahara	Rights.	reproductive
	Vietnam and	Maternal/Newb			social, human	research	is carrying out a	Africa, Latin	Country focus:	health for poor
	Myanmar	orn Health	Health Systems		rights,	institutes	research project	America and the	Bangladesh,	and
		Neonatal,	Sida supports		economic and		studying:	Caribbean	Chad, Nepal,	vulnerable
	Infectious	Newborn Care	aspects of		political		'Constructions of		Ukraine,	populations.
_	diseases	Practices,	capacity building		impact of		Masculinities	Infectious	Kyrgyzstan	Strategies for
lth	JICA-	Healthy timing	at a national		HIV/Aids		and Their	Diseases		improving
H	Supports	and spacing of	level (partner				Influence on	IDRC- HIV/Aids	Children's	understanding
a	partner	pregnancies	countries) and				Male Sexual and	Research is	Health	of sexual and
He	countries and	research	also several				Reproductive	primarily	Early Childhood,	reproductive
T	international		global initiatives				Health and	concentrated in	partner	health and
	organisations	Children's	including: GFHR,				Behaviour in	Sub-Saharan	countries:	rights
	tackling	Health	COHRED,				Urban East	Africa with a	Bangladesh	
	HIV/Aids/ TB	Micronutrient	AHPSR				Africa.' Focus	focus on:	Belarus	Maternal/
	and Malaria	Deficiencies in					countries:	Identifying and	Cambodia	Newborn
		Women and	Infectious				Uganda &	bridging	Moldova	Health
		Children and	Diseases				Tanzania. Also	knowledge gaps	Nepal	Research into
		Management of	Sida channels its				supports	to ensure that	Romania	Maternal,
		Severe	support to				research into	interventions are	Ukraine	Neonatal and
		Malnutrition	research on				Malaria in	implemented		Child Health;
			tropical and				Tanzania &	effectively and	Health Systems	Strategies for
		Health Systems	other infectious				Ghana	can be adapted	Health Sector	improving
		Performance	diseases					to different	Reforms-	maternal
		Assessment and	primarily through				Health Systems	health systems	including	neonatal and
		Financing	the WHO				Health Care	and cultural	decentralisation,	child health;
		Pharmaceutical	Tropical Disease				Systems in	contexts;	and pro-poor	Strategies for
		Management	Research				Africa were a	Understanding	health services	improving
		Quality	programme				priority research	the complex		reproductive
		Assurance	(TDR)				area for Danida	relationship		health and
		Research	HIV/Aids- Sida's				in 2006.	between		HIV

Infectious	special		Research	livelihood	Infectious	prevention
Diseases	programme for		partner countries	strategies,	Diseases	provontion
Research into	research into		in Africa:	vulnerability, and	HIV/Aids- SDC	Health
vaccines and	HIV/AIDS mainly		Uganda, Ghana,	HIV/AIDS; and	provided support	Systems
drugs for	supports		Kenya, Zambia,	exploring the	to the Human	Future Health
HIV/Aids,	research on		Tanzania,	role of	Science	Systems:
Malaria, TB	vaccines and		Mozambique	information and	Research	Making Health
In 2006 over	mother-to-child		Mozambique	communication	Council (HSRC)	Systems Work
\$60m was spent	transmission		Enreca /	technologies in	- South Africa for	for the Poor
on HIV/Aids	transmission		DRNIH- Current	the fight against	social research	
research	Occupational		research project:	HIV/AIDS	into HIV/AIDS.	Infectious
research	and		Health Reforms	TIN/AID0	SDC also	Diseases
	environmental		and Ethics:	Research for	provides support	Killer
	medicine		Private General	International	to ICDDRB	Diseases
	Sida supports a		Practitioners in	Tobacco	(Bangladesh)	HIV/Aids, TB,
	regional Central		Poor Urban	Control (RITC)	Dangiadesity	Malaria-
	American		Neighbourhoods	IDRC's RITC		Drugs and
	network		in South and	provides funding		Vaccine
	coordinated by		South East Asia	for research		Research.
	the Institute for			projects that fall		Microbicides
	the Studies on		Infectious	within five		Research –
	Toxic		Diseases	priority thematic		HIV/Aids.
	Substances		Danida provides	areas :-		STDs
	(IRET) in Costa		funding to The	Poverty and		0103
	Rica. The		African Malaria	tobacco;		Mental Health
	programme has		Network Trust	Tobacco		Mental health
	enabled		(AMANET), The	farming: health,		policy
	researchers to		European	livelihoods,		development
	expand their		Malaria Vaccine	economics,		and
	knowledge about		Initiative (EMVI)	environment:		implementatio
	the effects of		and International	Health systems		n in Africa:
	pesticides on		AIDS Vaccine	interventions;		breaking the
	human health		Initiative (IAVI).	Globalization		cycle of
	and ecosystems.		Danida has also	and tobacco;		mental ill-
	In 2002, Sida		supported	Alternative forms		health and
	expanded its		HIV/Aids	of tobacco use		poverty; and
	collaboration		research into			better
	with the Centre		socio-cultural			understanding
	for Health and		contexts and			of an under
	Population		norms & sexual			researched
	research		behaviour -			area
	(ICDDR, B) in		especially			alou
	Dhaka to include		among young			Tobacco
	environmental		people			
	health		Poopio			
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	Japan (JICA)	US (USAID)	Sweden (Sida)	Germany	Netherlands	Norway (NORAD)	Denmark (Danida)	Canada (IDRC)	Switzerland (SDC)	UK (DFID)
Agriculture		USAID Collaborative Research and Capacity Building for Development (CRCBD) Programmes: Biotechnology Sorghum/Millet & Other Grains; Peanut; Dry Grain Pulses; Horticulture Markets Assets & Market Access Fisheries Aquaculture & Fisheries Livestock Animal Source Foods	Sida supports the following research projects: Dryland Husbandry Programme (DHP); Research Programme on Sustainable Use of Dry-land Biodiversity (RPSUD); Pastoral Information Network Programme (PINEP)	Biotechnology Centre for Development Research (ZEF) supports projects connected to the Economics of Agricultural Biotechnology. Country focus: Philippines & Bangladesh Land Management German Development Institute- (DIE) research: Contract farming - a key to the development of rural areas in Sub-Sahara- Africa Agricultural Trade DIE research: Agricultural trade and food security in Economic Partnership Agreements (EPAs) between the EU and Subsahara- African regions			Agricultural Markets Danida supports research into Market-based agricultural production. Particular focus: infrastructure, credit systems, and impact of reform on other sectors	Land Management IDRC funds research into land tenure issues Agricultural Markets Strengthening Integration with Economic and Social Systems Forestry IDRC funds, and is the secretariat for the International Model Forest Network, which supports sustainable forest management	Biotechnology SDC supports research into the development of new and appropriate biotechnological production methods Agricultural Markets Agricultural production and marketing	Based on DFID Renewable Natural Resources Research Strategy, which ended in March 2006- new research strategy to be based on evaluation of this programme: Biotechnolog y Plant Sciences & Crop Protection research Programmes Forestry Research Programme Fisheries management Science Programme Livestock Protection Programme Animal Health Programme

J	Japan (JICA)	US (USAID)	Sweden (Sida)	Germany (DIE)	France (IRD)	Norway (NORAD)	Denmark (Danida)	Canada (IDRC)	Switzerland (SDC)	UK (DFID)
Sec Sec Sec Sec Sec Sec Sec Sec Sec Sec	Sustainable resource management / Biodiversity JICA provides support to six environmental research centres in: Chile, China, Egypt, ndonesia, Mexico, and Fhailand				IRD supports research in remote sensing and sustainable environmental management; Integrated water management; Sustainable development of coastal environments	NORAD supports research in: Protection and sustainable use of biological diversity		The IDRC Rural Poverty and Environment Program Initiative supports research into: Multi- stakeholder approaches in environmental governance; Enhancing Equitable Access and Use; Social Learning for Adaptation; Ecosystem management IDRC Regional Water Demand Initiative – WaDImena Covers the MENA region: Algeria, Egypt, Jordan, Lebanon, Morocco, Palestine, Syria, Tunisia, Yemen, IDRC EEPSEA Economy and Environment Program for Southeast Asia	SDC supports research into: natural resources (soil, freshwater); Mitigation of desertification	Water / Sanitation Water supply and sanitation (WSS) financing, delivery and sustainability Natural resource management systems This topic also includes governance systems around the management of forests and water- that work for the poor – including some action research

	Japan (JICA)	US (USAID)	Sweden (Sida)	Germany (DIE)	France (IRD)	Norway (NORAD)	Denmark (Danida)	Canada (IDRC)	Switzerland (SDC)	UK (DFID)
Environment	Pollution JICA supports research into Water and Air Pollution, and also waste disposal issues	Climate Change USAID Climate Change Programme supports research, training and capacity building for developing countries	Climate Change Sida supports the Asian Regional Research Programme in Energy, Environment and Climate (ARRPEEC) Marine and coastal research Sida is one of the main founders of Coral Degradation in the Indian Ocean (CORDIO) Archaeology and environment Sida supports Human Responses and Contributions to Environmental Change (HRAC)	Climate Change German Development Institute (DIE) - Climate Change and International Security Research Programme DIE Research on climate policy focuses on the analysis of national climate policy goals and institutional arrangements and their relationship with global climate policy. The main research question is how emerging developing countries (anchor countries) are integrating themselves into processes of global environmental governance. The focus is on China, India, and Brazil	The research institute supports research in remote sensing and sustainable environmental management; Integrated water management; Sustainable development of coastal environments	NORAD supports research into: Reducing pollution of land, air and water; Cultural heritage conservation and management of the natural environment's cultural values		Climate Change Climate Change Adaptation in Africa Program - This joint programme with DFID looks at the impact of climate change on Africa The IDRC Rural Poverty and Environment (RPE) Program Initiative Supports research into: Multi-stakeholder approaches in environmental governance; Enhancing Equitable Access and Use; Social Learning for Adaptation; Ecosystem management	SDC supports research into: conservation of biodiversity/enda ngered ecosystems (including mountains)	Climate Change Climate Change Adaptation in Africa — CCAA Program. Joint programme with IDRC

Jap (JIC		US (USAID)	Sweden (Sida)	Germany (DIE)	Netherlands (Rawoo)	Norway (NORAD)	Denmark (Danida)	Canada (IDRC)	Switzerland (SDC)	UK (DFID)
The for I Coc (JBI eco Fore Fore Asia cou eco make Dev Eco carr rese Eco carr rese eco inte Prou Eco Boy Eco Eco Boy Eco Boy Eco Eco Eco Eco Eco Eco Eco Eco Eco Eco	e Japan Bank International operation BC) supports onomic earch into: reign Direct estment in a; developing untries onomic policy- king e Institute for veloping onomies ries out earch into: st Asian onomic egration & douction- tribution works in SE	USAID economic development research is focused on: Financial Markets; Micro enterprise Development; Economic Policy Legal and Institutional Reform; Privatisation		DIE economic development research includes: Reform of the international financial architecture; Trade policy- challenges for developing countries and development of the WTO	Rawoo has carried out research into Knowledge Platform "Private Sector and Development Cooperation"- Research to support Dutch private sector investment in developing countries	NORAD supports research into private sector development	Danida 2006 priority research theme: The role of the private sector in developing countries Particular focus on Sub-Saharan Africa	IDRC Globalization, Growth and Poverty Program- Aims to enable developing countries to design appropriate domestic economic policies and international integration strategies	SDC supports economic development research into: small businesses and informal sector (production and services); Economic growth and distribution (globalisation); Trade policy (international financial flows & investments)	Energy Supply- Improving access to Reliable and Affordable Energy Services towards achieving MDGs New understanding on which policies, rules and regulations best promote economic growth that benefits the poor New understanding on the role of production for different markets (domestic, regional and International) in stimulating economic growth in a globalised world Better access to information on transport and infrastructure

	Japan (IDE)	US (USAID)	Sweden (Sida)	Germany (DIE)	Netherlands (Rawoo)	Norway (NORAD)	Denmark (Danida)	Canada (IDRC)	Switzerland (SDC)	UK (DFID)
Governance/ Conflict / Fragile States	IDE research themes: Political Structures and Interrelations in the Contemporary Levant States; Elections and Developing Democracies in Asia; Post- conflict Challenges in Africa	USAID governance areas of interest: Rule of Law; Corruption; Civil Society; Elections and Political Processes; Conflict Management (cross-cutting theme)	Sida supports research in conflict and peace through funding to AAPS, CODESRIA, OSSREA, SEACSN CLACSO	DIE research: Non-democratic regimes: background and strategies for democracy promotion in authoritarian systems; Challenges to Governance and Statehood in Developing and Transition Countries; Contributions of development cooperation to the African peace and security architecture	Rawoo supported research: Post-conflict Management and Development. Focus: West Africa and the Great Lakes Area in Eastern Africa	The Research Council of Norway's Development & Globalisation Programme carries out research under the theme of poverty, peace & conflict		IDRC Multi- Stakeholder Approaches in Environmental Governance Program IDRC research into conflict and fragile states includes the themes of: Political Economy of Peace and Conflict; Violence, Trauma, Justice and Reconciliation; Security and Insecurity; Democratic Processes of Governance and Peace-building	SDC supports governance research into: Governmental and administrative systems (local/central, decentralisation); Human Rights; Rule of Law; Corruption; Division of labour / responsibilities between state, civil society and private sector. SDC also carries out research into: Conflict analysis (causes, effects and mitigation measures) and economic impact	DFID supports: The Centre on Citizenship, Participation and Accountability- IDS, Sussex Crisis States Programme Development Studies Institute, London School of Economics. Centre for Research on Inequality, Ethnicity and Human Security Queen Elizabeth House, University of Oxford

Japar	US	Sweden	Germany	Netherlands	Norway	Denmark	Canada (IDRC)	Switzerland	UK
		2 11 11				(Danida)			
(JICA Educa JICA resea	(USAID)           tion         Gender           upports         Women in           ch into         development is a           nd higher         cross cutting           on in         theme for USAID	(Sida) Gender Sida GRUPHEL IV Project - Research has resulted in a new gender- sensitive policy formulation for southern Africa	Germany (DIE) Science and Technology DIE Research: Intellectual property rights and technology transfer to developing countries	Netherlands (Rawoo) Migration Rawoo has supported research into: International migration and national development from a southern perspective	Norway (NORAD) Gender Norad supports research into Women and: The informal sector and agriculture, Democracy and human rights, Health and education Children Norad supports research into the Rights of the Child Culture Norad provides funding for the study of Indigenous People and their cultures Education Norad research is focused on Basic Education and strengthening education systems	Denmark (Danida)	Canada (IDRC) Gender IDRC gender research is focused on: Women's citizenship and governance; Women's access to justice; Women and their sexual and reproductive and economic rights; Women and Migration Science and Technology IDRC Innovation, Technology and Society Program- Includes support to link science & technology with policy making. Migration IDRC supports research into: Study on the forced migration of Colombians	Switzerland (SDC) Gender SDC supports research into Women's Rights Culture SDC supports research into Cultural Diversity Education SDC research is focused primarily on Higher Education Migration SDC supports research into: Migration and reintegration of victims of conflict (rehabilitation and reconstruction)	UK (DFID) Gender Understanding the factors that enhance women's empowerment ; Understanding how particular agriculture and health technologies affect the position of women Education Improved education access, quality and outcomes Process of Change Chronic poverty: needs of the very poorest Disability Following on from the Knowledge and Research Programme on Disability further research is planned

					Young Lives Young Lives is a longitudinal research project investigating the nature of childhood poverty in four developing countries: Ethiopia India (Andhra Pradesh) Vietnam, and Peru Faith and Development Migration
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# Multi-lateral Organisations

	мно	World Bank	UNDP	UNDP International Poverty Centre (IPC)	UNRISD	IADB
Health	Reproductive Health         UNDP/UNFPA/WHO/World Bank Special         Programme of Research, Development and         Research Training in Human Reproduction         (HRP)         Research Themes:         Promoting Family Planning; Improving Maternal         and Prenatal Health; Preventing Unsafe         Abortion; Controlling Sexually Transmitted and         Reproductive Tract Infections; Adolescent         Reproductive Health and Sexuality; Gender         Issues and Reproductive Rights in         Reproductive Health; Sexual Health         Children's Health         Children's Health         Children's Environmental Health research         themes:         Asthma in children; Effects of arsenic exposure         during pregnancy on children; Bio monitoring of         persistent toxic substances in children; Long-         term studies of children in selected developing         countries         Health Systems         Health Information, Evidence and Research         Policy Programme aims to: Maximize the         potential of health systems to improve health         and to respond to health needs         Infectious Diseases         Communicable Disease Research:         This area of research activities, to generate <t< td=""><td>Reproductive Health UNDP/UNFPA/WHO/ World Bank Special Programme of Research, Development and Research Training in Human Reproduction (HRP) (see WHO entry) WB research relating to Health is covered by the WB Research Department Human Development and Public Services Programme. Its work is mainly focused on health service provision, delivery and reform. Examples of projects include: Community-based nutrition programs in Senegal &amp; Burkina Faso; Prevention and new treatment programs for HIV/AIDS in Burkina Faso, Ghana, Mozambique, Rwanda and South Africa; and home-based child health and parenting programs in Ecuador and the Philippines</td><td>Reproductive Health UNDP/UNFPA/WHO/ World Bank Special Programme of Research, Development and Research Training in Human Reproduction (HRP) (see WHO entry) HIV/Aids UNDP focus areas: HIV/AIDS and Human Development; Governance of HIV/AIDS Responses; Human Rights, Gender and HIV/AIDS</td><td>HIV/Aids IPC supports the research programme- Macroeconomic Policies against HIV/AIDS- Established in 2005, the programme has focused on the macroeconomic impact of scaling up ODA to combat the HIV/AIDS epidemic and gearing macroeconomic policies to reverse the HIV/AIDS epidemic</td><td>HIV/AIDS As part of their Social Policy and Development Research UNRISD is planning to carry out research into HIV/AIDS policy and practices. The scope of the project has yet to be clearly defined</td><td>Child Health IADB supports a Child Health, Poverty and the Role of Social Policies Research Network. The objective of this Research Network project is to examine the private and public determinants of household investments in LAC countries in one specific form of human capital: child health. Malnutrition at the early stages of life, usually manifested in terms of growth failure against a standard reference population, can lower child resistance to infections, increase child morbidity and mortality, and decrease mental development and cognitive achievement. Identifying the significant correlates of poor child health and growth attainment in children is useful for planning effective health policy. Health planners need such information to plan and set priorities for intervention strategies to improve child health, and to assess the effect of interventions</td></t<>	Reproductive Health UNDP/UNFPA/WHO/ World Bank Special Programme of Research, Development and Research Training in Human Reproduction (HRP) (see WHO entry) WB research relating to Health is covered by the WB Research Department Human Development and Public Services Programme. Its work is mainly focused on health service provision, delivery and reform. 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Training in Tropical Diseases (TDR)Strategic and Discovery Research:-Molecular entomology, Pathogenesis andgenomics, Genomics and discovery research;Product Development and Evaluation:-Diagnostics, Vaccines, Drugs;Implementation Research and Methods:-Implementation research;Research Capability Strengthening:-Capacity strengthening work plan, GoodLaboratory Practice (GLP);Multilateral Initiative on Malaria;Sexually Transmitted Diseases DiagnosticsInitiative;Strategic Initiative for Developing Capacity inEthical Review (SIDCER)			
<ul> <li>Vaccine Research and Development Programme</li> <li>Aim: To develop and promote a global and sustainable R&amp;D pipeline delivering the optimal cost-effective vaccines for IVR priority diseases</li> <li>Health Research Systems Analysis (HRSA) Initiative</li> <li>More than 20 countries and national focal points are participating in the pilot phase to describe and analyze national health research systems</li> </ul>			

CGIAR	World Bank	Asian Development Bank Institute (ADBI)
Biotechnology         Germ-plasm improvement for priority crops, livestock, trees and fish         Forestry         Forestry and Agro-Forestry Programme         Research on forest genetic resources carried out by CGIAR centres:         Center for International Forestry Research (CIFOR) in Bogor, Indonesia;         The World Agro-forestry Centre in Nairobi, Kenya; Bioversity International in Rome, Italy         Alternatives to Slash and Burn (ASB) Programme         Fisheries         Fisheries         Stock management; Increasing efficiency and production; Diversification of fish uses         Livestock         International Livestock Research Institute (ILRI)-         Based in Kenya and Ethiopia, its research themes include:         Refining Management Systems; Characterizing and conserving the genetic diversity of indigenous tropical livestock and the forages and crop residues that feed them; Selecting and improving tropical livestock, forages and microbes to increase food production efficiencies; Providing policy options that support equitable and sustainable development of livelihoods that depend on livestock resources         Research Systems         Enhancement of national agricultural research systems through joint research, policy support, training and knowledge-sharing	The World Bank DEC Development Research Group (DECDRG) Rural Development Programme- Carries out research in: Land Policy; Rural Investment Climates; Economics and, Institutions of Water; Non- Farm Rural Development; Rural Finance Community Driven Development (CDD)	(ADBI) Organic Agriculture, Poverty Reduction, and the Millennium Development Goals This research aims to generate empirical results that can be used as basis for policy formulation to support organic agriculture. The research includes cross-country studies on the impact of organic agriculture on MDGs achievement ADB has also in the past carried out research into: Land Ownership and Tenure; Land Reform; Land Use; Irrigation; Micro Analysis of Farm Firms, Farm Households, and Farm Input Markets; Accelerating Agriculture and Rural Development for Inclusive Growth: Policy Implications for Developing Asia

	World Bank	UNDP	UNRISD	Asian Development Bank Institute (ADBI)	IADB
Environment and Natural Resources	The WB DEC Development Research Group (DECDRG) Infrastructure and Environment Programme carries out research in: Global Carbon Policy and Trading Initiatives; Brown Issues and Health Poverty, Environment and Development	UNDP Drylands Development Centre This centre specializes in assisting countries to fight poverty and encourage development in the drier parts of the world. The Centre, located in Nairobi, Kenya, is one of the three UNDP Thematic Centres around the world UNDP Environment and Energy for Sustainable Development Programme Focus areas: Frameworks and Strategies for Sustainable Development Water Governance Sustainable Energy Sustainable Land Management Biodiversity Chemicals Management	Social Policy, Regulation and Private Sector Involvement in Water Supply Research Programme This research programme investigates the effects of private sector involvement in water supply in terms of access, equity and affordability. Country focus: Brazil, Burkina Faso, Colombia, France, Hungary, Malaysia and the United Kingdom	ADB Water Knowledge Centre The centre supports research in: Water supply and sanitation; Dams and Development; Mekong Wetland management; Water sector reforms Market-based Mechanism for Payment for Environmental Services by the Poor: A Case of Non-Timber Forest Products (NTFPs) in Bhutan The poor, particularly the hard-core poor in remote mountainous areas regularly use NTFPs in order to meet their income, health, and nutritional needs. Although NTFPs are primarily consumed at the local or national level, some 150 products are traded internationally in significant quantities, thus offering potential for income improvement. While promoting NTFPs for export can bring significant gains to the poor in mountainous areas, excessive commercialization can also deplete forest resources and ultimately lead to more poverty. More importantly, since the forest provides various ecosystem services that are generally taken for granted, unless proper incentives are offered to protect the forest, drastic environmental consequences may be inevitable. ADBI's research will review the effectiveness of using organic certification as a market-based mechanism for achieving key environmental and social objectives by ensuring market access for responsibly-produced NTFPs	Geography, Natural Resources and Development in Latin America Research Network IADB supports this network which carries out research into the geographical factors relating to development in Latin America

World Bank DEC         UNDP has led policy- oriented research across	State of the World Economy	Markets,	The Bank's		
<ul> <li>Group (DECDRG) Research themes: Finance and Private Sector Research</li> <li>Programme, Macro- economics and Growth Research Programme &amp; Investment Climate research Programme</li> <li>Carry out research into: Micro- enterprises; Microfinance; Developing Indicators of Access to Financial Services; Small and Medium Enterprises: Overcoming Growth Constraints; Remittances; Entrepreneurship; Bank Regulation and Supervision; Deposit Insurance; Access to Finance, Bankruptcy and Resolution of Financial Distress; Corporate Governance; Foreign Bank Entry; Financial Structures – Bank-based vs. market-based financial system; Financial Crises; Taxation of Financial Intermediation; Bank Privatization; Poor Growth</li> <li>Investment Climate research Programme: Research themes:</li> </ul>	This programme is developing a world macroeconomic model, which was originated by members of the Cambridge Economic Policy Group in the 1980s at the University of Cambridge. Work on the model is being spearheaded by Alphametrics Ltd. of Thailand, with the support of the Cambridge Endowment for Research in Finance (CERF) as well as IPC. The core of the research programme is the development of an integrated databank and modelling framework that can bring together analysis in order to clarify the potential impact of current global trends and evolving public policies on global income distribution and human welfare in the medium to long term	Business and Regulation Work on these issues is organized under the following research areas: Social effects of the privatization of public services; Role of business in poverty reduction; Social and policy responses to 'corporate globalization'; Business influence on social policy and development	Medium-Term Research Program for 2006-2008 has highlighted the following as research priorities for the next three years: Macroeconomic Dynamics and Growth in Africa; Investment Climate and Competitiveness of African Economies; Regional Integration and Trade	Infrastructure for Regional Cooperation Research Programme Research themes include: FDI in infrastructure in Asia; Infrastructure and human needs: forecasts of the demand for infrastructure; How structures of infrastructure markets vary across the region; Regulatory practices: lessons from the rest of the world; Regulatory practices: different approaches in the Asia-Pacific region; Pricing issues and subsidies; How infrastructure pricing policies affect national budgets; Equity and poverty aspects: how to ensure that infrastructure benefits the poor; Governance and management in infrastructure; Prospects for private-public partnerships; Financial sector infrastructure development; Macroeconomic issues: How growth increases demand for infrastructure. Private Sector Development Regional Development in the People's Republic of China This study considers various options including developing	The IADB supported Latin American and Caribbean Research Network (LACRN) carries out research into: Microeconomics and Competitiveness; Discrimination and Economic Outcomes; Sustainability of Fiscal Policy in Latin America; The Political Economy of Exchange Rate; Economic Policies in Latin America and the Caribbean; The Structure of Public Debt in Latin America; Corporate Governance in Latin America and the Caribbean; Trade and Integration: The Emergence of New Successful Export Activities in Latin America & The Caribbean

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	of Investment	macroeconomics of			closer trade and investment	
Climate	Policies on Firm	scaling up HIV/AIDS			links with Japan and both	
Growth	and Performance;	financing			parts of Korea and the scope	
The Dist	tributional Impact	0			for reforming and	
	tment Climate				restructuring the state-owned	
	ons Across Types				enterprise sector	
	s; Evaluating					
	ment Interventions				Regional Development in	
	ort Markets				the Philippines	
to Suppo					This study provides a	
Maara	economics and				comprehensive assessment	
	Programme				of regional development	
					issues as they affect the	
	ge Rates,				Philippines; including,	
	s cycles,				amongst others, the regional	
	ional Capital				dimensions of infrastructure	
	Financial Crises				development, the investment	
	ntagion, Financial				climate, fiscal relations,	
Globaliz	zation				political economy and poverty	
					reduction issues.	
					The ADB Economics and	
					Research Department also	
					carries out microeconomic	
					analysis and good practice	
					studies to deepen the	
					understanding of forces for	
					employment, competition,	
					and inclusive growth; and to	
					strengthen the development	
					effectiveness of ADB's	
					operational strategies and	
					programs in its developing	
					member countries	

	World Bank	UNDP	UNDP International	UNRISD	African Development	Asian Development Bank
			Poverty Centre (IPC)		Bank	Institute (ADBI)
	WB Poverty Research aims to	Key areas of UNDP	Pro-Poor Growth Policies	Poverty Reduction and	The Bank's Medium-	The ADBI Poverty Reduction
	improve current data and	poverty reduction	One of three priority areas	Policy Regimes Research	Term Research Program	Research Programme is
	methods of poverty and	support include:	of IPC is to provide	Programme	for 2006-2008 has	focusing on the following
	inequality analysis, make data	Magnaganania and	developing countries with	This major UNRISD research	highlighted the following	areas:
	more accessible to users, and use it to better understand	Macroeconomic and structural policies -	policy advice and technical assistance to understand	initiative aims to contribute to debates on new policy	as a research priority for the next three years:	Poverty Targeting - India
	what makes "pro-poor growth".	formulating the overall	the nature and	approaches to poverty	Poverty Reduction and	After the completion of
5	Research themes and projects	policy framework for	requirements for pro-poor	reduction. The programme	the Attainment of the	several country studies,
	within this programme include:	growth for poverty	growth as well as the	aims to: assess a range of	Millennium Development	including India and the
	Pro-Poor Growth, Poverty	reduction	policies that best promote	contemporary approaches to	Goals in the Regional	People's Republic of China at
Policy	Analysis Toolkit, Poverty and	Employment for	it. Research themes	poverty reduction, including	Member Countries	the beginning of 2004, ADBI
	Inequality Data, Inequality, The	poverty reduction -	include:	the Millennium Development		work is now focused on an in-
<u> </u>	Comparative Living Standards	strategies for the	Assessing the effect of	Goals (MDGs) and Poverty		depth examination of the
oor	Project (CLSP),Small Area	employment- economic	macroeconomic and	Reduction Strategy Papers		impact of targeting measures
0	Estimation Poverty Maps	growth-poverty reduction	sectoral policies to reduce	(PRSPs); identify key		at the micro level. A village
<u>م</u>		nexus	poverty and inequality;	institutional, policy and		level study in Uttar Pradesh
· ·		Public resource	Analyzing the effects of	political issues that are not		considers how local
L .		management – focusing on fiscal	trade and capital account liberalization and export-	being addressed in current poverty reduction strategies;		institutions impact of the effectiveness of poverty
P.O		issues, in terms of	led economic strategies on	and examine the		targeting
Δ.		policies and	national levels of	contradictions.		largeting
		management, to make	employment, poverty, and	complementarities and		
		sure they complement	inequality; Assessing the	synergies between different		
		poverty reduction	effects of labour market	components of "policy		
		initiatives	policies and outcomes on	regimes", including social,		
		Information and	poverty and inequality	labour market and		
		Communication		macroeconomic policies, and		
		Technology for		political and regulatory		
		Development (ICTD) -		institutions		
		making ICT work for				
		poverty reduction through policy				
		interventions				
		Civil society and MDGs				
		- developing strategies				
		for partnering with civil				
		society in overcoming				
		poverty				
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Wor	Id Bank	UNDP International Poverty Centre (IPC)	UNRISD	IADB
Hummer Cover Horison	Human Development & Public vices Research examines ors that contribute to human elopment, especially in the areas ealth, education and social ection, how to improve the erage and quality of services and ider institutions in support of nan development, and the ctive use of aid. earch themes within this gramme include: Aid ctiveness, Education, Health Nutrition, Impact luation, Political Economy and vice Delivery International Migration & elopment Research includes nsive data-gathering and ysis on the development impact igration, so as to identify ration policies, regulations and tutional reforms that will lead to roved development outcomes. earch themes within this gramme include: erminants and Impact of ration and Remittances, Brain n, Temporary movement of sons (including Mode IV of the IS), The link between trade, and migration, Social tection issues, Social capital, vernance.	<ul> <li>Social Protection and Human Development Strategies</li> <li>One of three priority areas of IPC is to strengthen the capacity of developing countries to design, implement, and monitor effective strategies for enhancing people's capabilities and increasing their socioeconomic security. Specific aims of the programme include:</li> <li>Offering advisory services for participating governments to help incorporate concrete human development targets into the design of domestic economic and social policies</li> <li>Assisting governments in the harmonization of social programs with overarching national policies, including economic reforms and medium-term expenditure frameworks</li> <li>Providing technical assistance to governments for the design and implementation of policies and programmes that seek to improve the targeting, quality, and effectiveness of social services</li> <li>Developing policy tools to enhance the effectiveness, efficiency, and incidence of public spending on the poorest groups</li> <li>Seeking out institutional mechanisms to better coordinate the domestic entities and actors involved in designing and implementing policy</li> <li>Disseminating analytical studies on how vulnerability affects poverty and inequality in order to better design national systems of social protection</li> <li>Supporting the creation and deepening of regional networks composed of social policy specialists to share and disseminate good development practices</li> </ul>	Social Policy and Development Research Key research themes include: Construction of a Social Policy Index (SPI); Financing Social Policy; Global Social Policy; Migration and Social Welfare	Research in the Social and Issues Research Network includes studies on social exclusion and the impacts on society

	World Bank	UNDP	UNRISD	African Development Bank	Asian Development Bank Institute (ADBI)	IADB
Governance /Conflict	WB Conflict Research studies the economics of civil war and post-conflict transitions to advise policymakers on avoiding conflict and conflict recurrence as well as improve the prospects of post-conflict development. Themes within this programme include: Economic Causes and Economic Consequences of Civil War, Civil War Termination, Ethnic Cleavages, Political Institutions, External Intervention, Crime and Violence	UNDP Democratic Governance Programme Areas of focus: Policy Support For Governance; Parliamentary Development; Electoral Systems and Processes; Justice and Human Rights; E- Governance and Access to Information for Citizens' Participation; Decentralization, Local Governance and Urban/Rural Development; Public Administration Reform and Anti-Corruption	Democracy, Governance and Well-Being Programme UNRISD's work under the Democracy, Governance and Well-Being Programme Area for 2005- 2009 focuses on the following aspects: Organized groups and welfare development; Politics of poverty reduction; Decentralization and service provision; Social policy and transitions to democracy <b>Civil Society and Social</b> <b>Movements Programme</b> Research themes include: Global Civil Society Movements: Dynamics in International Campaigns and National Implementation; Social Responses to Inequalities and Policy Changes; UN World Summits and Civil Society Engagement	The Bank's Medium- Term Research Program for 2006- 2008 has highlighted the following as a research priority for the next three years: Governance, Institutions and Public Sector Management in Africa	ADBI Governance Research is focused on: Reform of enterprise governance and implications for performance; Reform of bank governance and implications for performance; Corporate governance of banks in Asia Corporate Governance in the Banking Sector - Indonesia, Republic of Korea, Thailand, and Malaysia This study extends earlier work on enterprise corporate governance to look at banks in the selected case-study countries. A detailed survey will be undertaken to assess the extent to which governance practice conforms to best-practice norms and the implications of governance for bank performance. The effectiveness of regulatory systems will also be examined	The IADB supported Latin American and Caribbean Research Network (LACRN) carries out research on: Administrative Autonomy of Governmental Institutions in Latin America; Industrial Organization of Social Services Delivery; Political Institutions, Policymaking Processes and Policy Outcomes; The Political Economy of Institutional Reforms in Latin America; Decentralization and Fiscal Discipline in Sub-National Governments

	UNICEF Innocenti Research Centre	UNRISD	IADB
Other Research Themes	Current centre research:         Convention on the Rights of the Child implementation         Research on Child trafficking in the light of the implementation of the Convention of the rights of the child, its Optional protocol on the sale of children, child prostitution and child pornography and the Palermo protocol; Research on the implementation of international standards on children and violence; Research on the process of implementation of the Convention on the Rights of the Child (CRC)         Children and transitional justice         Research themes:         Adolescent programming and participation in emergencies and transition;         Children and truth and reconciliations commissions         Transitional justice and children         Child poverty and social and economic policy responses         Aim of this research is to:         Promote a deeper understanding of child poverty as a distinct policy and social issue in developing and industrialized countries, combining evidence-based comparative analysis of economic and social policies with that of the implementation of international human rights standards;         Assess and promote the mobilization of resources for the realization of children's rights, including by assessing options within States' resources and in the context of development assistance, to promote children's universal access to quality basic social services;         Assess the impact on children of migration and other household responses to poverty	Gender and Development For the new research phase (up to 2009), the following thematic areas have been identified: Political and Social Economy of Care; Religion; Politics and Gender Equality; Gender Dimensions of Judicial Reform; Decentralization; Redistribution and Women's Access to Welfare Identities, Conflict and Cohesion This programme focuses on the concepts of difference, citizenship and accommodation to stress the complexity of ethnic and national identities and reveal how such identifications evolve over time and are reconfigured by political and economic changes. In so doing, the programme directs attention to the need to contest and offer new perspectives for terms such as "indigeneity" and "nation" The projects under this programme aim to dismantle notions of closed or bounded identities and provide fresh insights into forms of identity formation and transformation	Research in the Social Issues Research Network includes studies in Adolescents and Young Adults in Latin America; Teachers in Latin America: Careers and Incentives

### Foundations/ Trusts/ Councils

	Gates	Ford	Rockefeller	Open Society Institute	Aga Khan Foundation	Wellcome Trust	Nuffield Trust	Leverhulme	BBSRC	Medical Research Council
lth	Reproductive Health Focus on contraceptive supply, reducing	Reproductive health The foundation's Sexuality and	Infectious Diseases The foundation funds the International	Open Society Mental Health Initiative- focus on CIS, Eastern &	The foundation's Health Programme is focused on: Strengthening	Infectious Diseases The Trust's Global Health Programme focuses largely on	The trust has funded research into: Conceptual issues around	Some funding for basic science research	The council supports research into: Biochemistry and Cell	Infections and Immunity Programme Key research area:
Hea	maternal illness and preventing unintended pregnancies	Reproductive Health Research Programme looks at	Partnership for Microbicides, and in the past foundation funding has	Central Europe OSI Public Health	and developing partnerships between all stakeholders from the state to	research into infectious diseases, including tropical and neglected infectious disease.	global health and global security Health and	covers the study of infectious diseases	Biology; Biomolecular Sciences; Engineering and Biological	Infections affecting poorer people in developing countries,
	Maternal/ Newborn Health Community intervention & causes of child death in the first 28 days	social, cultural and economic factors that affect sexuality and reproductive	been instrumental in creating: International AIDS Vaccine Initiative (IAVI); Medicines for	Programme Focuses include: Sexual Health and Rights	the community; Promoting policy dialogue and mechanisms to develop and sustain health systems and	emerging infections, and public health- including communicable and non-communicable diseases.	Foreign Policy Global health and risk including case studies into: HIV/Aids; Tobacco;		Systems; Genes and Developmenta I Biology; Plant and Microbial Sciences	especially HIV, malaria, TB and respiratory infections MRC funds
	Infectious Diseases The foundation heavily funds research into HIV/Aids, malaria & TB vaccines	health	Malaria Venture (MMV); Global Alliance for TB Drug Development (TB Alliance); International Partnership for Misrobiado	The Sexual Health and Rights Project (SHARP) develops and implements a global strategy to improve the	services; Documenting and disseminating best practices	The trust funds specific research projects in Kenya, Malawi, Thailand & Vietnam; researching infectious diseases, with a particular	Population movements and acute and chronic infectious disease Global health			(with support from DFID) Research Units in Gambia and Uganda carrying out research into
	and drugs. Also provides funds for research into other infectious diseases- including STDs & meningitis		Microbicides (IPM); Pediatric Dengue Vaccine Initiative (PDVI); Centre for the Management of Intellectual Property in	sexual health and rights of socially marginalized populations- Eastern/Centr al Europe and W. Africa		focus on Malaria and HIV/Aids The Trust also funds Medicines for Malaria Venture (MMV) and has established Wellcome Trust	and risk Case studies included: The Concept of Risk; Bioterrorism: what is the real Threat; Infectious			HIV/AIDS, tuberculosis, malaria, reproductive health, viral diseases, respiratory infections, nutrition and
			Health R&D (MIHR)	Law and Health		Centres for Research in Clinical Tropical Medicine, with 4	Disease and Risk: lessons from SARS; Climate change;			non- communicable diseases

	centres in the UK researching tropical diseases. The trust has also recently established a senior fellowship for tropical disease and public health	Health impact- assessment of foreign policies	
	The Trust provides funding for developing countries to improve their technology transfer in the health sector and has recently increased funding for developing country research into biomedical ethics		

	Ford	Rockefeller	ISP	Wellcome Trust	ESRC
Environment and Natural Resources	The foundation supports research into <b>resource</b> <b>management in reducing</b> <b>poverty</b>	The Rockefeller Foundation <b>Climate Change Initiative</b> aims to catalyze attention, funding, and action in building climate change resilience for poor and vulnerable people globally by: Creating robust action models of climate change resilience for poor and vulnerable people; Funding, promoting, and disseminating those models; Increasing pressure on funders, practitioners, and policy-makers to support increased funding and action for climate change resilience for poor and vulnerable people	The ISP International Programme in Chemical Sciences supports research into: Ecological chemistry & chemistry of natural resources (bioactive substances, clay) The ISP International Programme in Physical Sciences supports research in: Environmental Physics & Geophysics	The trust supports research on the impact of: environmental change (with particular focus on climate change) and malaria	The council funds research into environmental policy and environmental equality

	Gates	Ford	Aga Khan Foundation	Open Society Institute	KAS	Leverhulme	ESRC
Economic Development	The foundation supports research that: studies developing business models that dramatically accelerate growth; develops new and improved financial services for the poor; Improves information for economic policy-making; supports developing pro-poor insurance schemes	The foundation's Development Finance and Economic Security Programme supports organizations that help businesses create employment opportunities and helps low-income people acquire, develop and maintain savings, investments, businesses, homes, land and other assets. Their Work-Force Development Programme supports organizations that help improve the ways low- income people develop marketable job skills and acquire and retain reliable employment that provides liveable wages	Programmes support rural savings and credit schemes	OSI Economic and Business Development Programme Research areas: Business & Entrepreneurship Development; Microfinance: Social & Economic Inclusion	Social Market Economy	The trust supports economic research	Economic Development, impact of globalisation on developing country economies

	Ford	Rockefeller	Open Society Institute	KAS	Aga Khan Foundation	ESRC
Governance /Conflict	The foundation's Peace and Social Justice Programme supports research into Governance and Civil Society & Human Rights. Global Offices in this Program: Beijing, Cairo, Hanoi, Jakarta, Johannesburg, Mexico City, Moscow, Nairobi, New Delhi, Rio de Janeiro, Santiago	The foundation's South East Asia Programme offers support to research carried out by the region's civil organisations	<ul> <li>AfriMAP</li> <li>The Africa Governance Monitoring and Advocacy Project (AfriMAP) was established in 2004 to monitor the compliance of member states of the African Union with selected standards of good governance, democracy, human rights, and the rule of law. AfriMAP is currently focusing on the following themes: <ul> <li>Justice Sector and the Rule of Law</li> <li>Political Representation</li> <li>Civil Service</li> </ul> </li> <li>Central Eurasia Project supports grassroots organizations that develop or sustain innovative approaches to protecting human rights throughout the region, upholding the rights of labor migrants, and combating torture</li> <li>The Open Society Initiative for East Africa (OSIEA)</li> <li>Supports and promotes public participation in democratic governance, the rule of law, and respect for human rights in Kenya by awarding grants, developing programs, and bringing together diverse civil society leaders and groups</li> <li>Human Rights and Governance Grants Program</li> <li>Provides support to nongovernmental organizations operating in the fields of human rights and governance in Europe and Central Asia</li> <li>The Latin America Program carries out a range of activities and grant-making aimed at strengthening key democratic institutions. The Program gives priority to: Promoting civilian control of and engagement with efforts to ensure that state security organs (military, police, and intelligence) support and operate within a democratic framework, which includes respecting human rights; Improving civilian expertise on public security and defence issues as well as civil society monitoring and engagement with police reform efforts; Supporting the efforts of truth commissions and regular judicial organs to establish accountability for human rights abuses and to end impunity. This component also draws on the expertise and resources of the Open Society Justice Initiative</li> </ul>	KAS funds research into State and Society	Foundation activities promote and strengthen civil society and grass- roots organizations	Governance and Citizenship Global Governance and Security; Participation and Accountability; Identity and Culture; Structure, governance and constitutional change

	Ford	Rockefeller	KAS	Open Society Institute	Aga Khan	ISP	Leverhulme	ESRC
Other Research Themes	The foundation supports research into the role of <b>religious</b> <b>traditions</b> of the world in shaping social values, <b>arts</b> <b>and culture</b> , <b>the</b> <b>media</b> , <b>and access</b> <b>to Education</b> (basic and higher)	Through its Partnership for Higher Education in Africa (Focus: Ghana, Mozambique, Nigeria, South Africa, Tanzania, and Uganda) the foundation supports research into African Higher Education	Contemporary History; Culture; Development Policy; Education and Research; European Policy; Foreign and Security Policy; Political Communication s/ Public Opinion; Religion and Values Orientation; Women's and Family Policy	Children & Youth Education (basic & higher) Media, Arts & Culture Women's Programme This programme invites proposals to support innovative initiatives that link women's rights strategies with other rights strategies, raising awareness about women's multiple discrimination	Gender & Development Education	The ISP International Programme in Physical Sciences supports research in: Condensed matter physics and materials science; Biophysics and radiation physics; Applied nuclear physics; Atmospheric physics and geophysics; Applied laser physics	The trust supports research in Applied and basic science, Humanities, Social sciences, International relations, and Education	The councils main research themes include: Human Activities and Technologies; Knowledge and Understanding; Exploitation of Knowledge; Learning and Teaching

Appendix 5	- Donor	<sup>r</sup> Regional/	Country	Focus
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	Japan (JICA)	US (USAID)	Sweden (Sida)	Germany	France	Netherlands	Norway (NORAD)	Denmark (Danida)	Canada (IDRC)	Switzerland (SDC)	CGIAR
West / Central Africa	Partner countries: Ghana Senegal	Bi-lateral Field missions: Mali Senegal Guinea Liberia Ghana Benin Nigeria	Sida development cooperation countries: Benin Burkina Faso Ivory Coast Gambia Ghana Guinea Guinea Guinea Bissau Cape Verde Liberia Mali Niger Nigeria Senegal Sierra Leone Togo 2005 Sida research capacity building partner: Burkina Faso	BMZ partner countries: Benin Burkina Faso Côte d'Ivoire Ghana Guinea Cameroon Mali Mauritania Niger Nigeria Senegal	AfD projects in: Benin Burkina Faso Cap Verde Gambia Ghana Guinea Bissau Ivory Coast Liberia Mali Mauritania Niger Nigeria Senegal Sierra Leone Togo IRD partners: Benin Togo Cote D'Ivoire Cameroon Mali Niger Senegal Gambia Guinea Mauritania CIRAD partner countries: Burkina Faso Cameroon Cote D'Ivoire Ghana	DMFA partner countries: Benin Burkina Faso Cape Verde Ghana Mali Senegal		Danida partner countries: Benin Burkina Faso Ghana Mali	IDRC Regional Office- Dakar, Senegal IDRC has current Projects in: Benin & Mali The following countries have carried out IDRC research in the past and are covered by the above regional office: Burkina Faso Cape Verde Côte d'Ivoire Gambia Ghana Guinea Bissau Guinea Bissau Guinea Senegal Sierra Leone Togo	SDC development cooperation countries: Benin Burkina Faso Liberia Mali Niger Sierra Leone Chad NCCR- North- South Research Partnerships: Côte d'Ivoire Burkina Faso Mauritania Chad Senegal Ghana Cameroon	Members: Nigeria Cote D'Ivoire Research centres: Africa Rice Center (WARDA)- Benin IITA - International Institute of Tropical Agriculture- Nigeria

					Guinea Mali Senegal						
East / Souther n Africa	Partner countries: Ethiopia Malawi Tanzania Zambia Kenya South Africa JICA has carried out major research studies on: Kenya	Bi-lateral Field missions: Ethiopia Kenya Uganda DR Congo Angola Namibia South Africa Mozambique Zimbabwe Zambia Madagascar Tanzania Rwanda	Sida development cooperation countries: DR Congo Angola Congo Chad Mozambique South Africa Namibia Burundi Eritrea Ethiopia Kenya Madagascar Malawi Mauritius Rwanda Somalia Sudan Tanzania Uganda Zambia 2005 Sida research capacity building partners: Ethiopia Uganda Tanzania Mozambique	BMZ partner countries: Ethiopia Burundi Eritrea Kenya Lesotho Madagascar Malawi Mozambique Namibia Rwanda Zambia Africa South Tanzania Chad Uganda	AfD carries out project work in: Comoros Islands Kenya Madagascar Mayotte Mozambique Namibia Reunion Island South Africa Tanzania Uganda Zimbabwe Angola Burundi Cameroon Central African Republic Chad DR Congo Congo Djibouti Eritrea Ethiopia Gabon Kenya Rwanda Sudan Yemen IRD partners: Kenya South Africa Congo Madagascar CIRAD	MOFA partner countries: Eritrea Ethiopia Kenya Mozambique Tanzania South Africa Uganda Zambia	Partner countries: Sudan Eritrea Ethiopia Somalia Uganda Tanzania Malawi Mozambique South Africa Zambia Angola DR Congo NUFU proposed project countries (2007-): Ethiopia Uganda Tanzania South Africa Malawi Mozambique Zimbabwe Zambia Sudan	Danida partner countries: Kenya Mozambique Uganda Tanzania Zambia	IDRC Regional Office in Nairobi, Kenya- covers the following countries: Angola Botswana Burundi Comoros Djibouti Ethiopia Eritrea Kenya Lesotho Madagascar Malawi Mauritius Mozambique Namibia Rwanda Seychelles Somalia South Africa Swaziland Tanzania Uganda Zambia Zimbabwe	SDC development cooperation countries: South Africa Lesotho Swaziland Mozambique Madagascar Malawi Tanzania Burundi NCCR- North- South Research Partnerships: Kenya Tanzania Uganda Djibouti Eritrea Ethiopia Somalia Sudan	Members: Kenya South Africa Uganda Research Centres: ILRI - International Livestock Research Institute- Kenya World Agro- forestry Centre (ICRAF)- Kenya

					partners: Congo Ethiopia Kenya Madagascar South Africa						
MENA	Partner countries: Afghanistan Egypt Iraq Provides support to the ME peace process Partnership Program (south – south cap building) countries: Tunisia Morocco Egypt Jordan	Development cooperation countries: Iraq Egypt Jordan Lebanon Morocco West Bank / Gaza Yemen	Sida Development cooperation: West Bank & Gaza	BMZ partner countries: Egypt Algeria Yemen Jordan Morocco Palestinian territories Syria Tunisia	AfD carries out projects in: Algeria Egypt Jordan Lebanon Morocco Syria Tunisia Turkey West Bank And Gaza IRD partners: Egypt Morocco	MOFA Partner countries: Egypt Yemen	Partner Country: Palestinian area	Danida Partner Country: Egypt	IDRC Regional Office in Cairo, Egypt- covers projects in: Algeria Cyprus Egypt Iran Israel Jordan Lebanon Morocco Saudi Arabia Syrian Arab Republic Tunisia Turkey West Bank and Gaza Yemen	SDC dev coop countries: Gaza & West Bank Algeria Morocco Tunisia Egypt Libya	Members: Egypt Iran Morocco Turkey Syria Research Centre: ICARDA - International Center for Agricultural Research in the Dry Areas- Syria
South East / East Asia	Partner countries: China Mongolia Cambodia Indonesia Laos Malaysia Myanmar Philippines Thailand Vietnam JICA has carried out	Development cooperation countries: Burma Cambodia East Timor Indonesia Lao Mongolia Philippines Vietnam	Side Development cooperation Cambodia China Laos Indonesia Mongolia Thailand Vietnam East Timor Burma Philippines	BMZ partner countries: China Indonesia Cambodia Laos Mongolia Philippines Thailand (probably until 2010) Timor-Leste Vietnam	AfD projects in: Cambodia China Laos Thailand Vietnam IRD partners: Indonesia Laos Vietnam Thailand New Caledonia	MOFA partner countries: Indonesia Mongolia Vietnam	Partner Countries: Vietnam Indonesia NUFU proposed project country: Vietnam	Danida partner country: Vietnam	IDRC Regional Office- Singapore- Covers projects in: China Japan Korea (ROK, DPRK) Mongolia Cambodia Fiji Indonesia Lao	SDC development cooperation countries: China DPRK Mongolia Laos Vietnam Cambodia NCCR- North- South Research Partnerships:	Members: China Malaysia Philippines Thailand Research Centres: World Fish Center- Malaysia Centre for International Forestry Research- CIFOR-

	major research studies on: China Indonesia Philippines Thailand Malaysia Vietnam Partnership Program (south – south cap building) countries: Thailand Singapore Philippines Indonesia JICA also provides assistance to Oceania countries		2005 Sida research capacity building partner: Vietnam		French Polynesia CIRAD partners: French Polynesia Indonesia New Caledonia Thailand Vanuatu Vietnam				Malaysia Myanmar Papua New Guinea Philippines Thailand Vietnam	Cambodia China (Yunnan Province) Laos Myanmar Thailand Vietnam	Indonesia International Rice Research Institute – IRRI – The Philippines
South Asia	Partner countries: Bangladesh Pakistan Nepal Sri Lanka India JICA has carried out major research studies on: Bangladesh Pakistan Sri Lanka Nepal India	USAID development cooperation to: Afghanistan India Pakistan Nepal Bangladesh Sri Lanka	Sida Development Cooperation: Afghanistan Bangladesh India Sri Lanka 2005 SIda Research capacity building partner: Sri Lanka	BMZ partner countries: Afghanistan Bangladesh India Nepal Pakistan Sri Lanka	AfD projects in: Afghanistan	MOFA partner countries: Afghanistan Bangladesh Pakistan Sri Lanka	Partner countries: Nepal Bangladesh Afghanistan Pakistan Sri Lanka NUFU proposed project countries (2007): Bangladesh Sri Lanka Nepal	Partner countries: Bangladesh Bhutan Nepal	IDRC Regional Office Delhi, India- covers projects in: Afghanistan Bangladesh Bhutan India Pakistan Nepal Sri Lanka	SDC development cooperation countries: India Bangladesh Nepal Bhutan Pakistan NCCR- North- South Research Partnerships: Bangladesh India Nepal Pakistan	Members: India Bangladesh Pakistan Research Centres: International Water Management Institute- Sri Lanka ICRISAT - International Crops Research Institute for the Semi-Arid Tropics- India

Central Asia	Partner Country: Uzbekistan	Development cooperation countries: Uzbekistan Turkmenistan Tajikistan Kyrgyzstan Kazakhstan	Sida Development cooperation countries: Tajikistan Kyrgyzstan							SDC development cooperation countries: Belarus Kyrgyzstan Moldova Russian Federation Georgia Armenia Azerbaijan Tajikistan Ukraine Uzbekistan NCCR- North- South Research Partnerships: Kyrgyzstan Tajikistan Kazakhstan Uzbekistan	
Latin America and the Caribbe an	Partner Countries: Mexico El Salvador Nicaragua Guatemala Honduras Costa Rica Panama Dominican Republic Argentina Bolivia Brazil Paraguay JICA has carried out major research	Development cooperation countries: Bolivia Brazil Colombia Cuba Dominican Republic Ecuador El Salvador Guatemala Guyana Haiti Honduras Jamaica Mexico Nicaragua Panama Paraguay	Sida Development Cooperation countries: Bolivia Colombia Guatemala Honduras Nicaragua Peru 2005 Sida research capacity building partners: Bolivia Nicaragua	BMZ partner countries: Bolivia Brazil Chile Costa Rica Dominican Republic Ecuador El Salvador Guatemala Honduras Colombia Cuba Mexico Nicaragua Paraguay Peru	AfD projects in: Antigua and Barbuda Bahamas Barbados Belize Brazil Cuba Dominica Island Dominican Republic French Guyana Grenada Guadeloupe Guyana Haiti Jamaica	MOFA partner countries: Bolivia Colombia Guatemala Nicaragua Suriname	Partner Countries: Guatemala Nicaragua	Partner Countries: Bolivia Nicaragua	IDRC Regional Office- Montevideo, Uruguay- covers projects in: Caribbean- Cuba Jamaica Haiti Dominican Republic Trinidad & Tobago Central America- Mexico Guatemala El Salvador	SDC development cooperation countries: Bolivia Ecuador El Salvador Haiti Honduras Colombia Cuba Nicaragua Peru NCCR- North- South Research Partnerships: El Salvador Venezuela	Members: Colombia Mexico Peru Research Centres: CIP - Centro Internacional de Ia Papa- Peru CIMMYT - Centro Internacional de Mejoramiento de Maiz y Trigo- Mexico

	studies on: Brazil Partnership Program (south – south capacity building) countries: Brazil Mexico Chile Argentina	Peru	Martinique Saint Lucia Saint Kitts and Nevis St Vincent the Grenadines Surinam Trinidad and Tobago CIRAD partners: Brazil Caribbean Central America French Guiana Guadeloupe Martinique			Nicaragua Costa Rica Panama Latin America- Argentina Bolivia Brazil Chile Colombia Ecuador Paraguay Peru Suriname Uruguay Venezuela	Mexico Haiti Dominican Republic Bolivia Peru	
Eastern Europe		Development cooperation countries: Albania Armenia Azerbaijan Belarus Bosnia- Herzegovina Bulgaria Croatia Cyprus Czech Republic Georgia Romania Russia Serbia and Montenegro Slovak Republic Turkey Ukraine		MOFA partner countries: Bosnia- Herzegovina Georgia Macedonia Moldavia			SDC development cooperation countries: Albania Bosnia and Herzegovina Bulgaria Serbia & Montenegro Kosovo Romania Macedonia	

Setting The Scene: DFID's Research Funding in an International Comparative Perspective

### Appendix 6 - Possible areas of omission\*

Research areas identified by key informants as possible areas of omission included the following:

- social development and building social capital
- inequality especially ethnic minorities, gender
- poverty reduction and service delivery for children, youth, elderly
- inclusive globalisation
- global governance
- governance impact of high aid dependency
- institutions of international trade and aid architecture given that most extant research is significantly dated
- foreign policy
- urbanisation in the light of current population trends in Asia and Africa
- infrastructure development
- the new economy (including services)
- industry and value chains
- rural health
- the role of the private sector in health service delivery for the poor
- organisational behaviour of government departments in order to better understand policy processes and research uptake mechanisms
- research ethics

#### Notes:

\*It is worth noting that these topics largely fall in the field of social inclusion, economic development and governance in which DFID is investing only 6.7 million GBP out of a total annual budget of 116 GBP (DFID, 2005)

# Appendix 7 - Donor Capacity Building- Country agencies

	Japan	US	Sweden	Norway	Denmark	Germany	Canada	Switzerland	UK
	(JICA)	(USAID)	(SIDA/SAREC)	(NORAD)	(Danida)		(IDRC)	(SDC)	
Developing	Third-Country	Collaborative	Sida/SAREC	National	ENRECA	German		Commission	The eight
Northern	Training Program	Research and	promotes co-	Programme for	projects	Research		for Research	social science
Institutions	Developing country	Capacity	operation	Research and	(Enhancement of	Foundation-		Partnerships	Development
and Southern	accepts trainees	Building for	between Swedish	Higher	Research	Provides grants		with	Research
Linkages	from other	Development	researchers and	Education	Capacity)	for developing		Developing	Centres are all
	developing	(CRCBD)	developing	(NUFU)	projects function	country institutes		Countries	consortia with
	countries with	Research	countries through:	The Norwegian	as cooperation	involved in		(KFPE)	a majority of
	shared	collaboration		Council for	between	cooperation with		provides	developing
	characteristics in	between US	Joint Formas -	Higher	researchers at a	German		support through	country
	order to transfer	institutes and	Sida/SAREC	Education's	Danish institution	universities/		university	members
	development	developing	funded program	Program for	and a partner	institutes		exchanges	
	expertise and skills.	countries- focus	for research on	Development	institution in one			research	
	Started in 1975	on agriculture.	sustainable	Research and	of Danida's			partnerships	
		Current/ future	development in	Education	programme	German		with developing	
	Third-Country	research	developing	(NUFU) supports	countries	Academic		countries	
	Experts	programmes:	countries	competence		Exchange			
	Experts from	Sorghum/Millet &		building and		Service		Also:	
	developing	Other Grains	Swedish	academic co-		(DAAD)-		Promotion of	
	countries are	(2006);	Research Links	operation		supports closer		Research	
	dispatched to other	Peanut (2006);	<ul> <li>aims to stimulate</li> </ul>	between		cooperation		Partnerships	
	developing	Aquaculture &	contacts with	individual		between		between	
	countries in order	Fisheries (2006);	Asia, Middle East	researchers in		German and		Developing and	
	to transfer their	Assets & Market	- North Africa (the	Norway and in		developing		Transition	
	expertise and skills.	Access (2006);	MENA-region)	countries where		countries		Countries and	
	In 2004, 124	Dry Grain Pulses	and South Africa	Norway has		universities –		the Swiss	
	experts from	(2007);		substantial		large focus on		Universities of	
	developing nations	Horticulture	MENA - Swedish	development co-		China, India &		Applied	
	were dispatched to	(2007)	Research	operation.		Egypt		Sciences-	
	other developing	Animal Source	Partnership	60% of 18				Swisscontact	
	nations	Foods (2008)	Programme aims	current					
		Soil, Water &	to stimulate	programmes are				Scientific	
		Ecosystem	contacts between	in Sub Saharan				Cooperation	
		Services (2009)	Swedish	Africa. Specific				Fund EPFL-	
			researchers and	focus on				SDC	
		Middle East	researchers in the	Universities				Research	
		Regional	Middle East -					Fellow	
		Cooperation	North African	Three key				Partnership	
		(MERC) Program	region	institutions in				Programme -	
				Norwegian				RFPP	

		Asia - Swedish Research Partnership Programme South Africa - Swedish Research Partnership Programme	bilateral support: Makerere University, Addis Ababa University, ODar es Salaam The Norad Programme in Arts and Cultural Education Programme aims to contribute to the strengthening of cultural education institutions in the South by means of joint activities and 'professionalisati on' of artists and art forms, and supports the development of degree programmes for students at the South institutions			NCCR North- South- Institute's work is based on research partnerships with over 40 institutes in 30+ developing countries	
Developing Southern Institutions	Global Climate Change Program This program includes capacity building of south research institutes in relation to climate change ENCAP- Environmentally	Bilateral research co- operation- Strengthening partner countries' capacity through university development- long term investment. Focus: Burkina Faso		inWEnt – German Capacity Building – Heavily financed by BMZ this non- profit group carries out capacity building programmes focused on specialists and	In 2005 75% of all IDRC projects had capacity building as a central theme 256 IDRC research activities with capacity building objectives were active at the end of 2006.		

			<b>E</b> .(1.)						1 1
		Sound Design	Ethiopia			executives in			
		and	Uganda			dev countries			
		Management	Tanzania						
		Capacity	Mozambique						
		Building for	Bolivia						
		Partners and	Nicaragua						
		Programs in	Sri Lanka						
			Vietnam						
		Africa	vietnam						
		Focuses on co-							
		operation							
		between USAID							
		and partners in							
		Africa through:							
		Capacity building							
		in agriculture and							
		rural development							
		Professional							
		development of							
		African EIA							
		practitioners							
		Development &							
		dissemination of							
		resource							
		materials							
		Environmental							
		training for small-							
		scale							
		development							
		projects							
	-								
Developing	Overseas			Norad's		German Federal	Training and	Young	
Southern	Participants for			Programme for		States provide	Awards	Researchers	
Individuals	Training in Japan			Master Studies		university places	Program	Programme-	
	The JICA Institute			(NOMA)		for students from	IDRC Doctoral	aims to support	
	for International			Replaces the		developing	Research	fieldwork	
	Cooperation (IFIC)			Norad		countries. In	Awards;	activities of	
	receives			Fellowship		2003 the total	Canadian	young Swiss	
							Window on		
	participants from			Programme		support was		and foreign	
	developing			(NFP).		557m Euros	International	PhD or	
	countries for			Aims to educate			Development	postdoctoral	
	training in Japan			staff in the public		Alexander von	Awards;	students	
				and private		Humboldt	The John G.	studying in	
	International			sector as well as		Foundation	Bene Fellowship	Switzerland, if	
	Development			NGOs in the		Provides	in Community	these activities	
	Centre of Japan -			South. Masters		Research	Forestry;	take place in a	
	Contre of Vapari -		I		<u>I</u>	Research	i orostry,	take place in a	I

	IDCJ Development Policies Course A five-month course designed for developing countries government officials. Mainly covers development economics and project planning	programmes will to a greater extent be established and developed in the South in close collaboration with Norwegian institutions		scholarships and grants to academics from developing countries. The foundation receives annual support from the German Government	The Bentley Fellowship; IDRC Internship Awards; The Pearson Fellowship; Centre Sabbatical Awards; IDRC Awards for International Development Journalism; Project-related Graduate Study Awards; AGROPOLIS Awards; Ecosystem Approaches to Human Health Training Awards- these awards are open to Canadian citizens and people from developing countries	developing or transition country in collaboration with a local partner and his/her institution	
Networks	Global Development Network- Japan	NORAD supports a number of international institutes involved in research including UPEACE WIDER UNRISD WHO The Research Council of	# The Danish Research Network for International Health- # Danish Network for Agricultural Research for Development (NETARD)- # Research Network for Environment and Development		Climate Change Adaptation in Africa — CCAA Program; The International Model Forest Network (IMFN); Pan Asia Networking- ICT use in Asia; KariaNet- ICT use in MENA; Bellanet International Secretariat-		

	Norway participates actively in the four International Global Change programmes and IIASA	(ReNED)- # Danish Water Forum Research (DWF Research)- # Research Network for Governance, Economic Policy and Public Administration - # Network for Smallholder Poultry Development	International ICT use	
Developing Nth Institutes		Danida supports the following Danish institutes: Danish Seed Health Centre for Developing Countries; Danish Institute for International Studies; Danish University Consortium on Environment and Development, Sustainable Land Use and Natural Resource Management; Danish Bilharzias Laboratory		

## Donor Capacity Building: Foundations, Trusts and Councils

	Rockefeller	Ford	Open Society Institute	ISP	Leverhulme	BBSRC	Aga Khan Foundation	Medical Research Council	Nuffield Foundation	Wellcome Trust
Developing	Rockefeller-			ISP gives long-		International			Commonweal	The trust
Northern	InnoCentive			term project-		Scientific			th	through its
Institutes	Partnership			oriented support		Interchange			Programme	internationa
and	The foundation			for developing		Scheme			This	I funding
Southern	provides funding			active and		In 2006 the			programme	provides
Linkage	for developing			sustainable		council awarded			supports	grants for
U	country institutes			research		37 grants			initiatives that	institutes
	to access			environments		totalling £85.2k			will bring	based in
	InnoCentive			within chemistry,		through this			about long-	the UK
	research and			mathematics and		Scheme, These			term	working
	technical			physics in		have included			improvements	with/ in
	services			selected		support for			in health,	developing
				countries in		scientists at the			education and	countries
				Africa, Asia, and		Institute of			civil justice in	
				Latin America		Grassland and			Eastern and	
				with a focus on		Environmental			Southern	
				least developed		Research to			Africa and will	
				countries. Each		work with			foster North-	
				project has one		researchers in			South	
				or more		the Sudan to			partnerships.	
				counterparts at		develop plant			It focuses on	
				universities,		Eco-systems to			projects that	
				institutes, or		stabilise soils			improve	
				industrial		and landscape			services	
				laboratories in					through the	
				Sweden or other					development	
				industrialised					of the	
				countries or in					expertise and	
				regional					experience of	
				laboratories.					practitioners	
				Currently there					and policy	
				are around 60					makers, and	
				research groups					where active	
				in Sweden which					involvement	
				collaborate					from the UK-	
				and/or serve as					based	
				host groups for					organisation	
				fellows, there are					will increase	

Rockefeller	Ford	Open Society Institute	ISP	Leverhulme	BBSRC	Aga Khan Foundation	Medical Research Council	Nuffield Foundation	Wellcome Trust
			about 10 in the rest of Europe, and in the regions themselves there are about 40. <i>Countries with</i> <i>ISP support</i> : <b>Africa</b> - Burkina Faso, Cameroon, Ethiopia, Ghana, Kenya, Malawi Mali, Mauritania, Nigeria, Senegal, Tanzania, Uganda, Zambia, Zimbabwe <b>Asia</b> - Bangladesh, Cambodia, Laos, Sri Lanka, Thailand <b>Latin America</b> - Ecuador, Peru					the initiative's effectiveness. A grant competition is held every two years and sufficient funds are available to fund four grants of up to £250,000 each	

	Rockefeller	Ford	Open Society Institute	ISP	Leverhulme	BBSRC	Aga Khan Foundation	Medical Research Council	Nuffield Foundation	Wellcome Trust
Developing			The Southeast	Local research			University of	The need to		The Trust
southern			Asia: Beyond	infrastructure			Central Asia	increase		has recently
Institutions			Borders	The			(UCA)	research		established
			Program	strengthening of			Founded in	capacity in		A Health
			In 2004 the	the home			2000 by the	developing		Research
			program	laboratory in			governments	countries was		Capacity
			supported	terms of working			of	an important		Strengtheni
			initiatives for a	material is vital			Kazakhstan,	theme for the		ng Initiative-
			comprehensive	for the possibility			the Kyrgyz	MRC's		in Kenya &
			approach to	to do research,			Republic,	Council in		Malawi in
			development in	and for the will of			Tajikistan, and	2005/06. The		conjunction
			the Mekong Sub-	researchers to			His Highness	Directors of		with DFID
			Region that	stay in their			the Aga Khan.	MRC units in		and IDRC.
			engaged local	home countries.			Its	Gambia and		It aims to
			communities	There is often a			programmes	Uganda		strengthen
			affected by	shortage of even			are geared	prepared		the capacity
			large-scale	fundamental			towards	papers		for the
			development	minor			addressing	outlining		generation
			projects. The	equipment, and			key social and	activities that		of new
			program also	ISP supports the			economic	the MRC		health
			supported the	gradual building			issues facing	could lead to		research
			launch of a long-	up of the local			the region	better training		knowledge
			term initiative to	research			and,	and retention		within
			develop	environment.			particularly,	of African		Kenya and
			leadership	The support			facing	scientists. The		Malawi, and
			capacity of	includes			mountain	MRC's		improve its
			young people	equipment and			communities	Training and		use in
			working against	spare parts,				Career		evidence-
			human trafficking	chemicals and			Aga Khan	Development		based
			as well as the	other			Academies	Board (TCDB)		decision
			pilot phase of a	consumables,			An integrated	agreed to		making,
			long-term	literature and			network of	allocate to		policy
			initiative to	access to			residential	both units		formulation
			support capacity-	Internet, etc. For			schools in	research		and
			building	use of expensive			Africa, South	studentships		implementa
			initiatives of	and demanding			and Central	open to		tion
			organizations	equipment not			Asia, and the	African		
			engaged in	available in the			Middle East;	candidates.		The Trust
			social	parent institute,			known as the	The TCDB		also
			entrepreneurship	ISP supports			Aga Khan	also approved		provides
			in Asia	travel to			Academies.	support for		equipment
				collaborating			The Aga Khan	clinical		grants for

Rockefeller	Ford	Open Society Institute	ISP	Leverhulme	BBSRC	Aga Khan Foundation	Medical Research Council	Nuffield Foundation	Wellcome Trust
			groups or to a			Academies	research		developing
			regional well-			have a dual	fellowships for		country
			equipped			mission: to	the Gambia		research
			laboratory			offer	unit, enabling		institutes
						exceptional	the best		
						girls and boys	graduate of		
						from all	the medical		
						backgrounds -	school of the		
						- irrespective	new university		
						of their	to gain		
						families' ability to pay an	research experience at		
						international	the unit.		
						standard of	Restricted to		
						education	West African		
						from pre-	graduates of		
						primary to	the medical		
						secondary	school, the		
						levels with a	fellowship will		
						rigorous	otherwise be		
						academic and	similar to the		
						leadership	UK-based		
						experience;	Clinical		
						and to	Research		
						strengthen the	training		
						profession of teaching by	fellowship. To increase the		
						investing	opportunities		
						substantially	for UK		
						in the	scientists		
						professional	interested in		
						development	developing a		
						of teachers,	research		
						locally and	career in		
						regionally.	tropical		
						The first such	medicine, the		
						school, the	TCDB agreed		
						Aga Khan	to open up all		
						Academy in	the fellowship		
						Mombasa,	schemes to		
						began	enable		
1						operating in	candidates		1

	Rockefeller	Ford	Open Society Institute	ISP	Leverhulme	BBSRC	Aga Khan Foundation	Medical Research Council	Nuffield Foundation	Wellcome Trust
							August 2003	from the UK or Africa to be based fulltime in either of the MRC Units in Africa. The MRC's Council awarded £2m to the London School of Hygiene and Tropical Medicine to strengthen clinical trials capacity at Mwanza, Tanzania		
Developing Southern Individuals	Alliance for a Green Revolution in Africa (AGRA)- Co-funded with the Gates Foundation- One key focus of the project is to train a new generation of African Crop Scientists. Alliance will invest \$20m to provide graduate level training in African universities for the next generation of	Scholarship Programme Support for students from southern countries to continue their education.	International Policy Fellowships The International Policy Fellowships Program identifies and supports research by open society leaders in countries throughout the Soros foundations network. IPF is affiliated with the Center for Policy Studies at Central	PhD and MSc education The ISP model of "sandwiching", with research periods of 3-10 months abroad alternating with periods at home, reduces substantially the risk for brain drain. Not only will the working conditions in the laboratory and the general living conditions continue to be familiar to the	Visiting Professorships The trust provides funds for visiting professorships from developing countries					The trust has recently established new research fellowship s to support dev country scientists. These include: <u>Internationa</u> <u>I Senior</u> <u>Research</u> <u>Fellowships</u> Public Health and Tropical Medicine Fellowships

Rockefeller	Ford	Open Society Institute	ISP	Leverhulme	BBSRC	Aga Khan Foundation	Medical Research Council	Nuffield Foundation	Wellcome Trust
African crop breeders		European University and cooperates with partners such as the Woodrow Wilson Center in the United States OSI's Scholarship Programs Offers supplementary grants to humanities and social-science doctoral students from Eastern and Central Europe and the former Soviet Union	fellows, but they will also gradually transfer their research, knowledge and some material to the home laboratory, and thus avoid the frustration of drastic changes when the fellowship periods finish A number of degrees are awarded every year within the supported projects. As far as possible the degrees are given by the home universities. Yearly 20-30 PhD theses and 80-120 MSc theses are presented						
			Exchange of scientists/techn icians In addition to PhD and MSc students, other scientists connected with						

	Rockefeller	Ford	Open Society Institute	ISP	Leverhulme	BBSRC	Aga Khan Foundation	Medical Research Council	Nuffield Foundation	Wellcome Trust
				the supported						
				projects are						
				invited to come						
				to host						
				laboratories in						
				Sweden or other						
				countries, or to						
				regional						
				laboratories, to						
				pursue research,						
				learn new						
				methods, or						
				perform						
				measurements						
				that cannot be						
				done in the						
				home laboratory.						
				People from						
				different host						
				groups pay visits						
				to the supported						
				groups to						
				lecture, do						
				research,						
				discuss						
				planning, help						
				with						
				maintenance,						
				etc. Training and exchange of						
				technicians is						
				also performed						
				when the need						
				arises						
				allses						
Natura ni -				Cummont to	A a a da mia					
Networks				Support to	Academic					
				regional co-	Exchange:					
				operation	International					
				Another	Networks					
				important part of	The Trustees					

Rockefeller	Ford	Open Society Institute	ISP	Leverhulme	BBSRC	Aga Khan Foundation	Medical Research Council	Nuffield Foundation	Wellcome Trust
			the ISP	believe that					
			programme is	international					
			the regional	understanding					
			activities.	and the sharing					
			Several of the	of knowledge					
			ISP supported	can be fostered					
			groups have	by interchanges					
			grown in	between					
			expertise and	academics.					
			independence,	Support is given					
			and have been	both to					
			or are taking an	encourage the					
			active part in	formation of					
			starting regional	international					
			networks or are	networks and to					
			functioning as	permit the visit of individual					
			resource centres. The	scholars to the					
			scientists in the						
			region manage	UK (see Visiting Professorships).					
			these activities.	Fiblessoisilips).					
			The underlying	Aim					
			philosophy is to	To foster and					
			transfer the	develop fields of					
			responsibilities	research where					
			for promotion of	the participants					
			science in	can benefit from					
			developing	an international					
			countries to the	exchange of					
			scientists	ideas and of					
			themselves	experience					
			through	between two or					
			enhanced	more institutions.					
			regional	It is expected					
			research co-	that the outcome					
			operation	of a grant will be					
				the creation or					
			Networks	development of					
			between regional	an effective					
			research groups	research					
			promote	network					
			exchange of						

	Rockefeller	Ford	Open Society Institute	ISP	Leverhulme	BBSRC	Aga Khan Foundation	Medical Research Council	Nuffield Foundation	Wellcome Trust
				scientists and arrange courses, workshops, symposia and summer schools. This strengthens the research field in the region and breaks isolation. In this way many research groups, who do not get direct support from ISP, also benefit						
Developing Nth Institutes						UK Collaborative on Development Sciences (UK- CDS) This collaborative aims to provide a framework for a better coordinated				

Rockefeller	Ford	Open Society Institute	ISP	Leverhulme	BBSRC	Aga Khan Foundation	Medical Research Council	Nuffield Foundation	Wellcome Trust
					approach to development sciences research in the UK, for the purpose of increasing its relevance and impact for national and international policies and activities aimed at sustainable improvements in the lives of the world's poorest people and countries				

# Appendix 8 - Development research networks by region and theme

Theme/Region	Health	Agriculture	Environment	Economic Development /	Other
				Poverty Alleviation	
	EQUINET - Regional Network on	Agro-Forestry Research	African Geo-Information	African Economic	GENDER
	Equity in Health in Southern	Network	Research Network (AGIRN)	Research Consortium	
	Africa	www.rcfa-	www.agirn.org	(AERC)	Gender and Economic
	www.equinetafrica.org	cfan.org/english/profile.9.html	The rationale for the development	www.aercafrica.org	Reforms in Africa (GERA)
	This network is made up of		of the African Geo Information	Established in 1988 as a not-	www.twnafrica.org/gera.asp

				Aim: Knowledge management, Building linkages, Promoting debate Research focus: Food security & hunger; Livelihoods & vulnerability; Social safety nets & social protection; International & regional trade policy; Resource flows & use; Macroeconomic & global initiatives (PRSPs; MDGs); Democracy & governance; Regional integration; Land reform Funders: DFID, SDC, Ford Foundation	issues related to gender, trade and investment with regards to women's participation in trade and investment decision-making processes at the national, regional and global level; Alternative economic frameworks that could be developed to address gender, trade and investment issues
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Theme/Region	Health	Agriculture	Environment	Economic Development / Poverty Alleviation	Other
	South East Asia Optimising		ERNASIA- Environmental	East Asian Development	POPULATION
	Reproductive and Child Health in		Research Network Asia	Network (EADN)	
	Developing Countries (SEA-		www.ernasia.org	www.eadn.org	Asian Meta-Centre -
	ORCHID)		An independent, multi-disciplinary	EADN is a network of	Asian Population Network
	www.seaorchid.org		research network that provides an	research institutions in the	(APN)
	The network is a five-year		international forum for academic	developing countries of East	www.populationasia.org
	collaborative project (2004-08)		cooperation, exchange and debate	Asia under the Global	Based at the Asian Meta-
	between four countries in SE Asia		on environmental problems in Asia	Development Network	Centre, APN is a broad network
	(Thailand, Malaysia, Philippines			(GDN). The objective of	of population institutions,
	and Indonesia) and Australia. The		The focus of research currently	EADN is research capacity	networks, scientists,
	project aims to address whether		encompasses issues of:	building and research	demographers, population
	the health of mothers and babies in		International trade and	networking. Its mission is to	experts and individuals
	the four SE Asian countries can be		environment	strengthen the capacity of	interested in population and
	improved by increasing the		Ecological modernization	research institutions and	health issues from academic
	capacity for research synthesis and		Industrial ecology	researchers in developing	institutions, government
	improving the implementation of		Rural/peri-urban society and	East Asia to undertake high	agencies and private
_	effective interventions. The network		environmental policy	quality development-focused	organisations internationally. It
σ	is made up of universities and		Natural resource management	and policy-relevant research	sets out to encourage scholarly
sia	medical centres in the five			in the social sciences that	interaction amongst Asian
V	countries			can be used in policy	scholars, build research
4				analysis, debates and inputs	capacity and advance research
-	The objectives of the project:			at the regional and national	methodologies, in-depth case
	To answer the following questions-			levels. The network is made	studies and collaborative
	What is the current teaching and			up of 41 research institutes in	research in the region. The APN
	practice related to pregnancy and			12 East Asian countries	is largely based on the internet
	childbirth in SE Asia?				and email and acts as a conduit
	What are the local barriers to the			Research themes include:	for the dissemination of
	use of research evidence in SE			Social Impact of the Asian	research and information on
	Asia and how can they be			Financial Crisis; Indicators	population and health matters.
	overcome?			and Analyses of	APN also organises workshops
	Will a targeted intervention to build			Vulnerabilities to Economic	and training events
	capacity for the generation,			Crises; Income Distribution	E water
	evaluation and implementation of			and Sustainable; Economic	Funder:
	relevant evidence lead to improved			Development: The East Asian	Wellcome Trust
	research output, research			Experience; Political	ICT
	implementation and health			Transition and Development	ICT
	outcomes?			in East Asia; Urban Poverty	DAN Asis Naturatina
	E un de m			and Social Safety Nets in	PAN Asia Networking
	Funders:			East Asia; Labour Migration	www.idrc.ca/en/ev-9608-201-1-

National Health and Medical		and East Asian Integration	DO TOPIC.html
Research Council of Australia,		and East Asian integration	PAN is a program initiative of
Wellcome Trust		Fundara	
wellcome Trust		<i>Funders:</i> WB, GDN	IDRC which supports research
		WB, GDN	into innovative ways of adopting
			ICTs to address key
			development challenges,
			namely in the areas of health,
			education, livelihoods and
			governance. PAN works with
			communication and networking
			technologies such as Wireless
			Fidelity (Wifi), WiMax, Code
			Division Multiple Access
			(CDMA) and information
			processing tools for the Internet
			and hand-held devices such as
			Personal Digital Assistants
			(PDAs) and cell phones.
			Objectives:
			To support policy research on
			effective frameworks to enable
			equitable access to the benefits
			of the information society;
			To support applied research
			through testing innovative and
			useful ICT applications in the
			areas of health, education,
			livelihoods and governance; and
			To support socio-economic
			research capacity development
			to better understand the myriad
			effects ICTs are having on
			Asian people and communities.
			PAN supports projects in 18
			Asian countries covering
			themes such as:
			Distance learning & education;
			E-Commerce; GIS/Mapping;
			Networking; Rural Access to
			ICT; Tele-centres; Tele-
			medicine
			Funder: DRC

Theme/Region	Health	Agriculture	Environment	Economic Development /	Other
				Poverty Alleviation	
		Economic Research Forum	Nile Basin Discourse	IADB research networks	
		www.erf.org.eg	www.nilebasindiscourse.org		
		Established in 1993, ERF is an	The Nile Basin Discourse (NBD) is	IADB- Latin America and	
		independent self-sustaining	a network of civil society	Caribbean Research	
		institution that aims to improve	organizations from the 10	Network (LACRN)	
		the quality and increase the	countries of the Nile Basin	Created in 1991, this network	
		quantity of applied policy-	Burundi, DRC, Egypt, Eritrea,	of nearly 350 research	
		oriented economic research on	Ethiopia, Kenya, Sudan, Rwanda,	institutes has proven to be an	
		the Arab Region, Turkey and	Tanzania and Uganda which	effective vehicle for financing	
		Iran. Its mission is to initiate and	seeks positive influence over the	quality research to enrich the	
		fund policy-relevant economic	development of projects and	public policy debate in Latin	
		research, to publish and	programmes under the Nile Basin	America and the Caribbean.	
		disseminate the results of	Initiative (NBI) and other Nile-	The objectives of the Network	
		research activity to scholars,	related programmes. The	are to leverage the Research	
		policymakers, and the business	overarching objective of the NBD	Department's research	
		community, and to function as a	is to promote sustainable and	capabilities, to improve the	
4		resource base for researchers	equitable development, poverty	quality of research performed	
Z		through its databank and	reduction, and cooperation	in the region, and to	
111		documentation library. ERF	between all stakeholders in the	contribute to the development	
MENA		does not conduct research in-	Nile Basin.	policy agenda in Latin	
2		house but, rather, acts as a		America and the Caribbean.	
		research network, clearing-	NBD has four current aims:	Through a competitive	
		house, and facilitator. ERF has	Establish National Discourse	bidding process the network	
		a network largely based at	Forums in the network countries;	provides grant funding to	
		universities around the region of	Open Nile Resource Centres	leading Latin American	
		128 research fellows, 38 senior	around the region and create a	research centres to conduct	
		associates and 71 research	database of civil society	studies on the economic and	
		associates.	organisations in the Nile region;	social issues of greatest	
			Carry out research on NBI	concern to the region today.	
		Research themes include:	projects; Increase the capacity of		
		Financial Market Development;	civil society organisations within	Research Themes:	
		Liberalization of Trade and	the region	Finance; Labour and Social	
		Foreign Investment; Industrial		Issues; Macroeconomics;	
		Policy; Labor Markets & Human	Funder:	Politics and Institutions;	
		Resource Development; The	DFID	Trade and Integration	
		Informal and Small-Scale			
		Enterprise Sector; Science and	Technical assistance:	Funder:	
		Technology Policy; Information	ODI, ICUN- The World	IADB	
		Technology and Data Access	Conservation Union		

	<i>Funders:</i> Ford Foundation, Arab Fund for Social and Economic Development, IDRC, UNDP, WB			
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Theme/Region	Health	Agriculture	Environment	Economic Development /	Other
				Poverty Alleviation	
		Latin America Urban		LACEA/IDB/WORLD BANK	
		Agricultural Research		Network on Inequality and	
		Network (AGUILA)		Poverty	
		www.ipes.org/au (in Spanish)		www.nip-lac.org	
		AGUILA consists of institutions		The LACEA/IDB/World Bank	
		and researchers that work in the		Inequality and Poverty	
•		field of Urban Agriculture in		Network is a joint initiative	
		Latin America and the		that aims to advance the	
America		Caribbean. It was founded in		state of knowledge and	
<u> </u>		April, 1995. AGUILA's mission		expertise regarding the	
Ð		is to unite and articulate efforts		causes and consequences of	
L		of the entities that foment UA		poverty, inequality, and social	
		projects and programmes in		exclusion, and the whole	
4		Latin America and the		range of policies, institutions,	
		Caribbean, through action-		and social structures that	
L		research, communication,		influence their dynamics as	
ati		training, management,		well as the impact of public	
a		exchange and cooperation. The		action. The network is made	
		network is made up of over 25		up of institutes and	
		institutes.		researchers from over 18	
		Funders:		countries in Latin America/	
		IDRC		Caribbean and has links with	
				institutes in the UK, USA, Sweden, Canada and	
				Denmark.	

Theme/Region	Health	Agriculture	Environment	Economic Development / Poverty Alleviation	Other
	International Clinical Epidemiology Network (ICLEN)		International Model Forest Network (IMFN)	· · · · · · · · · · · · · · · · · · ·	CHILDREN
	www.inclen.org		http://www.clacso.org.ar/difusion		Childwatch International
	INCLEN is a unique global network		Established in 1995, the role of		Research Network
	of clinical epidemiologists,		IMFN is to facilitate the creation of		www.childwatch.uio.no
	biostatisticians, social scientists,		a global network of model forests		Based in Norway, Childwatch is
	and other health care professionals		dedicated to managing the world's		a network of institutions and
	affiliated with key academic health		forest-based landscapes in a		associations (over 40 worldwide
	care institutions around the world. It		sustainable manner. The		with 600+ researchers) involved
	consists of 81 Clinical		Secretariat (based at IDRC-		in interdisciplinary research on
	Epidemiology Units (CEUs) making		Canada) provides the central day-		issues relating to children's
	a federation in over 41 countries.		to-day coordination of support and		rights, development and well-
	Over 1400-trained faculty are		development services to the		being.
	affiliated with these units who are		Network, works to strengthen and		20g.
	dedicated to multidisciplinary		expand the Network and, at the		Aim:
_	research and training to address		site level where there is no		Promote children's rights
Internationa	disparities in health care and		regional network in place, supports		through child research; Raise
2	disease. The network also has		new and existing model forests in		the profile of child research;
0	semi autonomous regional		the following areas:		Increase resources for child
	networks in Africa, India, China,		Networking between sites and		research; Encourage
T T	Southeast Asia, Latin America,		regions (transfer of technology and		collaborative research
	Europe-Mediterranean and		know-how); Assistance in resource		
	Canada/ USA.		expansion, Technical and logistical		Study Group Research Themes:
5			issues in establishing and		Children and the Media;
Ť,	INCLEN's goals are to strengthen		operating model forests;		Children and the Law; Children,
Ż	national health care systems and		Communications, advocacy and		Religion and Spirituality;
_	improve health practices globally		outreach; Targeted program		Monitoring Children's Rights;
	by providing professionals in the		support (as available) ; Partnership		Children's Perspectives on
	field with the totals to analyze the		development and capacity-		Citizenship and Nation-building;
	efficacy, efficiency, and equity of		building; Documentation;		Children, Poverty &
	interventions and preventive		Monitoring and evaluation. There		Marginalisation
	measures.		are over 40 different model forests		
			in 20 countries.		Funders:
	Priority issues of the network				NORAD, Norwegian Research
	include:		Common themes for model forests		Council
	Maternal & child Health		include:		
	Tuberculosis		Conservation, Habitat Protection		
	HIV/AIDS		and Stewardship; Education,		
	Rational Drug Use		Capacity Building and Networking;		

## Appendix 9 - Private-Public Partnerships

Agency/Organisation	Policy/ Strategy Approach to PPP	Health PPPs	Agricultural PPPs	Research into PPP/ Private Sector in development	Other
UK (DFID)	The Surr Report highlighted the need for DFID to engage more with the private sector in development research	DFID supports: Global Alliance for TB Drug Development (TB Alliance) Medicines for Malaria Venture (MMV) Drugs for Neglected Diseases Initiative (DNDi) The International AIDS Vaccine Initiative (IAVI) International Partnership for Microbicides (IPM) Microbicides Development Programme (MDP)	DFID supports: Global Alliance for Livestock Vaccines (GALV)		
Japan (JICA)			JICA supports: IRRI		
US (USAID)	USAID Research Policy Framework states USAID's positive attitude towards PPPs	USAID invests heavily in health research and works with a number of private companies / institutes <i>HIV/Aids</i> - USAID supports IAVI- and works in partnership with Crucell and GlaxoSmithKline (GSK) Pharmaceutical companies <i>Malaria</i> - USAID supports MMV and works with GSK and GenVec Biopharmaceutical Co. in providing malaria research <i>TB Research</i> USAID supports the TB Alliance and works with GSK <i>Maternal Health Research</i>	USAID has worked with Monsanto in Kenya to work on sweet potato research; the project has now expanded to S Africa USAID supports: IRRI		

Agency/Organisation	Policy/ Strategy Approach to PPP	Health PPPs	Agricultural PPPs	Research into PPP/ Private Sector in development	Other
		USAID has formed PPPs with Wyeth Pharmaceutical Company, Schering-Plough and ORC/Macro			
		Micronutrient Research USAID works in partnership with Rational Pharmaceutical Management Plus/Management Sciences for Health USAID also supports: GAVI Alliance and GAIN			
Sweden (Sida)		SIDA supports: IPM	SIDA supports: IRRI		SIDA reports have noted the increased trend in development research towards PPPs
Germany (BMZ)		BMZ supports: IPM	BMZ supports: IRRI		<i>inWEnt- Capacity</i> <i>Building International</i> This government funded organisation works with the private sector in the capacity building of developing countries
France			The French Govt. supports: IRRI CIRAD works with the private sector in its Central African Forest Management scheme	AFD has highlighted PPP as an area of future research	
Netherlands	DMFA 2005 Research in Development Policy states PPP as a form of research cooperation	The Dutch Ministry of Foreign Affairs supports: Aeras Global TB Vaccine Foundation TB Alliance Foundation for Innovative New			DMFA has established a PPP with the four leading Dutch banks to improve the capacity of financial

Agency/Organisation	Policy/ Strategy Approach to PPP	Health PPPs	Agricultural PPPs	Research into PPP/ Private Sector in development	Other
		Diagnostics (FIND) IPM MMV GAVI Alliance			institutions in developing countries. Work includes training and research
Norway (Norad)	2005 report called for Norad to increase its interaction with the private sector and PPPs	Norad supports: IAVI IPM			
Denmark (Danida)		Danida- Enreca- Danish Research Network for International Health- works with a range of health organisations including private firms. One of the network's aims is to work with the private sector working in research in developing countries. It is currently working with the private health consultancy company Ease International Danida also supports: IPM & Aeras TB foundation	Danida supports: IRRI		2001 Danida report highlighted the lack of Danida involvement with the private sector in dev research
Canada (IDRC/CIDA)	IDRC CSPF 2005-2010 states the importance for IDRC to increase cooperation with non-traditional research partners and increase PPPs	IDRC and CIDA support: GAVI Alliance International Partnership for Microbicides CIDA also supports the Global Alliance to Improve Nutrition (GAIN)	IDRC supports: IRRI	IDRC Private Sector Development Task Force (PSDTF) Carries out research into private sector involvement in development cooperation	Telecentre.org Joint venture with SDC and Microsoft- aims to strengthen grassroots telecentre networks – seen as an example for future IDRC cooperation with the private sector
Switzerland (SDC)	2002 Research Policy states the need for SDC to increase its commitment to entering PPPs	SDC supports: MMV	SDC supports: IRRI	SDC carries out research into the private sector under its governance priority research area	

Agency/Organisation	Policy/ Strategy Approach to PPP	Health PPPs	Agricultural PPPs	Research into PPP/ Private Sector in development	Other
CGIAR			Scientific and Know-How Exchange Program (SKEP) The initiative seeks to promote technology and knowledge transfer between scientists working in the private sector and CGIAR Centres	CGIAR has started to carry out research into PPP and its role in agricultural development	
WHO		<ul> <li>WHO Public-Private Partnerships</li> <li>Global Alliance to Eliminate</li> <li>Lymphatic Filariasis (GAELF)</li> <li>Global Buruli Ulcer Initiative (GBUI)</li> <li>Global Collaboration for</li> <li>Development of Pesticides for</li> <li>Public Health (GCDPP)</li> <li>Partners for Parasite Control (PPC)</li> </ul>			The Special Programme for Research and Training in Tropical Diseases TDR- Public/private partnership for research training This capacity building scheme involves WHO working with the private sector including GSK Biologicals
Gates		The foundation supports: MMV TB Alliance PATH Global Forum for Health Research GAVI Alliance (USD \$1.5bn funding) <i>Grand Challenges in Global Health</i> <i>and PPPs</i> Established by the foundation this initiative aims to identify and take action on the grand challenges facing global health. It works with a number of pharmaceutical companies to develop vaccines			

Agency/Organisation	Policy/ Strategy Approach to PPP	Health PPPs	Agricultural PPPs	Research into PPP/ Private Sector in development	Other
Rockefeller		Between 1996-2002 the foundation provided seed funding for the following Product Development Partnerships: International AIDS Vaccine Initiative Medicines for Malaria Venture Global Alliance for TB Drug Development International Partnership for Microbicides Paediatric Dengue Vaccine Initiative Centre for the Management of Intellectual Property in Health R&D			

## Appendix 10 - Research donor overview by country

	Japan	US	Sweden	Netherlands	Norway	Denmark	Canada	Switzerland	Germany
	(JICA/IDE)	(USAID)	(Sida)	(DMFA)	(NORAD)	(Danida)	(IDRC)	(SDC)	(GTZ/BMZ)
Major Themes	JICA general themes: Governance, Peace-building, Gender and Development, Poverty Reduction, Environmental Management, Nature Conservation, Education Health, Water management, Social Security, Transportation, ICT, Natural Resources and Energy, Economic Policy, Private Sector Development, Agricultural/rural Development, Fisheries, Urban/Regional Development Institute for Development Institute for Development Institute for Development Institute for Development Institute for Development Sustainable growth and development, Poverty Reduction,	USAID general themes: Global Health, Global Partnerships, Environment, Education and Universities, Economic Growth and Trade, Democracy and Governance, Agriculture	Sida research themes: Social Sciences, Health, Natural Resources and the Environment, Science and Technology	DMFA general themes: Poverty Reduction, Human and Social Development, Environmental protection, Human Rights	Norad general themes: The rights of the child, Persons with Disability, Health, HIV/Aids, ICT and Development, Anti- Corruption, Culture, Women and Gender Equality, Human Rights, Environment, Private Sector Development, Macro-Economics, Indigenous Peoples, Education	2006 Danida major research themes: The development and role of the private sector in developing countries, Children and young people in developing countries, Market-based agricultural production in developing countries, Health care systems in Africa	IDRC research themes: Environment and Natural Resource Management (ENRM), Information and Communication Technologies for Development (ICT4D), Innovation, Policy and Science (IPS), Social and Economic Policy (SEP)	SDC research themes: Crisis Prevention, Good Governance, Income Generation and Employment, Increase of Social Justice, Sustainable Use of Natural Resources	BMZ general themes: Debt relief, Education, Food security, Health, combating AIDS, Population policy, Peace- building, Environment, Human rights, Democracy, Governance, Globalisation and Trade, Cooperation with the private sector

	Peace and Security								
Major cross- cutting themes		Conflict Management, Information Technology, Private and Voluntary Cooperation, Women in development				Good Governance, Gender, HIV/Aids		Gender, Governance	
Research funding	In 2005 IDE received government grants of USD \$34.2m. No figures available for JICA spending on research	Estimated that in 2002 USAID spent USD \$282m on research. Research funding distribution: Agriculture- 24% Health- 31% Population- 20% Development- 8% Education- 5% Social Sciences- 9% Environment -3%	In 2006 Sida's total funding for research was \$135m. \$40.5m (30% of the total budget) went to bi- lateral research, \$70m (52%) to thematic research, and \$24.5m to research in Sweden. Distribution of 2004 Sida funding to Swedish Development Research (USD \$13m): Natural Resources and Environment- 22% Science, Technology and Industrialisation- 22% Humanities, Education and Culture- 11% Health-18% Economic, Political and Social	DMFA 2006 central research budget - USD \$35m	2005- Norad spent USD \$100m on research and support for higher education – 4% of net ODA Research funding distribution: Research in multilateral organisations- 30% State-state direct support - 25% Norwegian Development Research- 10% Higher education and training- 10% Regional Research Networks and funds- 4% NUFU + other research collaboration -11% Misc 9% NUFU (see below) - 2007-2011 programme budget- USD \$112m	In 2005 Danida allocated USD \$35m to research support. It is expected that this level of funding will be maintained during the next five years.	In 2006 IDRC received USD \$110m in government funding. In 2005-2006 IDRC allocated 33% of its research budget to projects in Africa and the Middle East, 14.9% in Latin America and the Caribbean, 15.6% in Asia and 36.5% on multi- regional and global projects. During 2005-2006 30% of the IDRC research budget was spent on ENRM projects, 26%- ICT4D, 7%- IPS and 37%- on SEP projects	SDC's 2002 Research Policy states the agency's aim to spend 6% of the SDC yearly budget on research and development- which in 2002 represented USD \$58.2m. In 2006 SDC's net funding of research was approximately CHF 50m (USD \$40m)	In 2006 BMZ spent \$78m on funding research. BMZ research funding in 2006 can be divided into the following: Total development research expenditure - \$40m; CGIAR funding - \$21m; Research components of technical cooperation projects - \$13.5m; funding for the German Development Institute - \$4m

			Dimensions of					
			Development- 27%					
Capacity	JICA- Third-	Collaborative	Joint Formas -	National	ENRECA	In 2005 75% of all	SDC- Young	German
Building	Country	Research and	Sida/SAREC	Programme for	(Enhancement of	IDRC projects had	Researchers	Research
Initiatives	Training	Capacity	funded program	Research and	Research	capacity building	Programme-	Foundation
	Program	Building for	for research on	Higher Education	Capacity)	as a central theme	Aims to	Provides
	Partner	Development	sustainable	(NUFU)	ENRECA projects		support	grants for
	developing	(CRCBD)	development in	The Norwegian	function as	Training and	fieldwork	developing
	country accepts	Research	developing	Council for Higher	cooperation	Awards Program	activities of	country
	trainees from	collaboration	countries	Education's	between	IDRC Doctoral	young Swiss	institutes
	countries with	between US		Program for	researchers at a	Research Awards,	and foreign	involved in
		institutes and	Swedish	Development	Danish institution	Canadian Window	PhD or	cooperation
	shared	developing	Research Links	Research and	and a partner	on International	postdoctoral	with German
	characteristics in	countries. Main	-aims to stimulate	Education (NUFU)	institution in one of	Development	students	universities/
	order to transfer	focus on	contacts with Asia,	supports	Danida's	Awards,	studying in	institutes
	development	agriculture.	Middle East - North	competence	programme	The John G. Bene	Switzerland, if	
	expertise and	Current/ future	Africa (the MENA-	building and	countries	Fellowship in	these	German
	skills. Started in	research	region) and South	academic co-		Community	activities take	Academic
	1975	programmes:	Africa	operation between		Forestry,	place in a	Exchange
		Sorghum/Millet &		individual		The Bentley	developing or	Service
	JICA- Third-	Other Grains	MENA - Swedish	researchers in		Fellowship,	transition	(DAAD)
	Country	(2006);	Research	Norway and in		IDRC Internship	country in	Supports
	Experts	Peanut (2006);	Partnership	countries where		Awards,	collaboration	closer
	Experts from	Aquaculture &	Programme aims to	Norway has		IDRC Awards for	with a local	cooperation
	developing	Fisheries (2006);	stimulate contacts	substantial		International	partner and	between
	countries are	Assets & Market	between Swedish	development co-		Development	his/her	German and
	dispatched to	Access (2006);	researchers and	operation.		Journalism	institution	developing
	other developing	Dry Grain Pulses	researchers in the	60% of the 18				country
	countries in	(2007);	Middle East - North	current		IDRC also	SDC	universities -
	order to transfer	Horticulture	Africa region	programmes are in		supports the	Research	large focus or
	their expertise	(2007);		Sub-Saharan		following	Fellow	China, India 8
	and skills. In	Animal Source	Asia - Swedish	Africa.		networks which	Partnership	Egypt
	2004- 124	Foods (2008);	Research	Specific focus on		promote cap	Programme –	
	experts from	Soil, Water &	Partnership	Universities.		building:	(RFPP)	inWEnt –
	developing	Ecosystem	Programme			Climate Change		German
	nations were	Services (2009)		Three key		Adaptation in	Also:	Capacity
	dispatched to		South Africa -	institutions in		Africa Program		Building
	other developing	Middle East	Swedish	Norwegian bilateral		(CCAA);	Commission	Heavily
	nations	Regional	Research	support: Makerere		The International	for Research	financed by
		Cooperation	Partnership	University, Addis		Model Forest	Partnerships	BMZ, this non
	JICA- Overseas	(MERC)	Programme	Ababa University,		Network (IMFN);	with	profit group
	Participants for	Program		University of Dar es		Pan Asia	Developing	carries out
	Training in		Bilateral research	Salaam		Networking- ICT	Countries	capacity
	Japan	Global Climate	co-operation-			use in Asia;	(KFPE)	building
	The JICA	Change	Strengthening	Norad's		KariaNet- ICT use	Provides	programmes

	Institute for International Cooperation (IFIC) receives participants from developing countries for training in Japan International Development Centre of Japan (IDCJ)- Development Policies Course A five-month course designed for developing country government officials. Mainly covers development economics and project planning	Program This program includes capacity building of southern research institutes in relation to climate change ENCAP- Environmentally Sound Design and Management Capacity Building for Partners and Programs in Africa Focuses on co- op between USAID and partners in Africa through: Capacity building in agriculture and rural development, Professional development of African EIA practitioners, Development & dissemination of resource materials, Environmental training for small- scale development	partner countries' capacity through university development. Focused on long term investment. Focus countries: Burkina Faso Ethiopia Uganda Tanzania Mozambique Bolivia Nicaragua Sri Lanka Vietnam		Programme for Master Studies (NOMA) Replaces the Norad Fellowship Programme (NFP). Aims to educate staff in the public and private sector as well as NGOs in the South. Majority of masters programmes are established and developed in the South in close collaboration with Norwegian institutions		in M. East and N. Africa; Bellanet International Secretariat- International ICT use	support through University exchanges & research partnerships with developing countries <b>Swisscontact</b> Promotes research partnerships between Developing and Transition Countries and Swiss Universities of Applied Sciences. <b>NCCR North-</b> <b>South-</b> Institute's work is based on research partnerships with over 40 institutes in over 30 developing countries	focused on specialists and executives in developing countries. German Federal States Provide university places for students from developing countries. In 2003 the total support was €557m Alexander von Humboldt Foundation Provides Research scholarships and grants to academics from developing countries. The foundation receives annual support from the German Government
PPPs	JICA supports: IRRI	USAID invests heavily in health research and	SIDA supports: IPM, IRRI	DMFA supports: Aeras Global TB Vaccine	Norad supports: IAVI, IPM	Danida /ENRECA- Danish Research Network for	Telecentre.org A joint venture with	SDC supports: MMV, IRRI	BMZ supports: IPM, IRRI

 1						
works with a	Foundation,		International Health	SDC and		
number of private	TB Alliance	2005 report called		Microsoft, it aims	2002	
companies/	Foundation for	for Norad to	Works with a range	to strengthen	Research	
institutes	Innovative New	increase its	of health	grassroots	Policy states	
montatoo	Diagnostics	interaction with the	organisations	telecentre	the need for	
HIV/Aids-	(FIND),	private sector and	including private	networks. Seen as	SDC to	
		PPPs				
USAID supports	IPM,	PPPS	firms. One of the	an example for	increase its	
IAVI and works in	MMV,		network's aims is to	future IDRC	commitment	
partnership with	GAVI Alliance		work with the	cooperation with	to entering	
Crucell and			private sector	the private sector	PPPs	
GlaxoSmithKline	DMFA has		working in research			
(GSK)	established a		in developing	IDRC- Private		
pharmaceutical	PPP with the		countries. It is	Sector		
companies	four leading		currently working	Development Task		
	Dutch banks to		with the private	Force (PSDTF)		
Malaria- USAID	improve the		health consultancy	Carries out		
supports MMV	capacity of		company Ease	research into		
and works with	financial		International	private sector		
GSK and	institutions in		momational	involvement in		
GSK and GenVec in			Danida also			
	developing			development		
providing malaria	countries. Work		supports:	cooperation		
research	includes training		IPM,			
	and research.		Aeras TB	IDRC and CIDA		
TB Research			foundation,	support:		
USAID supports			IRRI	GAVI Alliance,		
the TB Alliance				IPM,		
and works with				IRRI,		
GSK				Global Alliance to		
				Improve Nutrition		
Maternal Health				(GAIN)		
Research				(•)		
USAID has				IDRC CSPF 2005-		
formed PPPs				2010 states the		
with Wyeth				importance for		
Pharmaceutical				IDRC to increase		
Company,				cooperation with		
Schering-Plough				non-traditional		
and ORC/Macro				research partners		
				and increase		
Micronutrient				PPPs		
Research						
USAID works in						
partnership with						
Rational						
Pharmaceutical						
า กลากสีนอนแนส์	1	I	I			

		Management Plus/ Management Sciences for Health USAID supports GAVI Alliance and GAIN USAID has worked with Monsanto in Kenya to work on Sweet Potato research and the project has now expanded to S Africa. USAID also supports IRRI USAID Research Policy Framework states USAID's positive attitude towards PPPs							
Country Foci	JICA Partner countries: Ghana Senegal Ethiopia Malawi Tanzania Zambia Kenya South Africa Afghanistan Egypt Iraq China Mongolia Cambodia Indonesia	USAID Bi-lateral Field missions: Mali Senegal Guinea Liberia Ghana Benin Nigeria Ethiopia Kenya Uganda DR Congo Angola Namibia South Africa Mozambique	Sida development cooperation countries: Benin Burkina Faso Ivory Coast Gambia Ghana Guinea Guinea-Bissau Cape Verde Liberia Mali Niger Nigera Senegal Sierra Leone	DMFA partner countries: Benin Burkina Faso Cape Verde Ghana Mali Senegal Eritrea Ethiopia Kenya Mozambique Tanzania South Africa Uganda Zambia Egypt	Norad partner countries: Sudan Eritrea Ethiopia Somalia Uganda Tanzania Malawi Mozambique South Africa Zambia Angola DR Congo Vietnam Indonesia Nepal	Danida partner countries: Benin Burkina Faso Ghana Mali Kenya Mozambique Uganda Tanzania Zambia Egypt Vietnam Bangladesh Bhutan Nepal Bolivia	IDRC partner countries: Burkina Faso Cape Verde Côte d'Ivoire Gambia Ghana Guinea Bissau Guinea Bissau Guinea Conakry Liberia Mauritania Niger Nigeria Senegal Sierra Leone Togo Angola	SDC Development Cooperation countries: Benin Burkina Faso Liberia Mali Niger Sierra Leone Chad South Africa Lesotho Swaziland Mozambique Madagascar Malawi	BMZ partner countries: Benin Burkina Faso Côte d'Ivoire Ghana Guinea Cameroon Mali Mauritania Niger Nigeria Senegal Ethiopia Burundi Eritrea Kenya

Г	Laos	Zimbabwe	Togo	Yemen	Bangladesh	Nicaragua	Botswana	Tanzania	Lesotho
	Malaysia	Zambia	DR Congo	Indonesia	Afghanistan	i ilouiuguu	Burundi	Burundi	Madagascar
	Myanmar	Madagascar	Angola	Mongolia	Pakistan		Comoros	Gaza & West	Malawi
	Philippines	Tanzania	Congo	Vietnam	Sri Lanka		Djibouti	Bank	Mozambique
	Thailand	Rwanda	Chad	Afghanistan	Guatemala		Ethiopia	Algeria	Namibia
	Vietnam	rtwanda	Mozambique	Bangladesh	Nicaragua		Eritrea	Morocco	Rwanda
	Bangladesh	USAID	South Africa	Pakistan	Nicalagua		Kenya	Tunisia	Zambia
	Pakistan	development	Namibia	Sri Lanka			Lesotho	Egypt	South Africa
	Nepal	cooperation	Burundi	Bolivia			Madagascar	Libya	Tanzania
	Sri Lanka	countries:	Eritrea	Colombia			Malawi	China	Chad
	India	Iraq	Ethiopia	Guatemala			Mauritius	DPRK	Uganda
	Uzbekistan	Egypt	Kenya	Nicaragua			Mozambique	Mongolia	Egypt
	Mexico	Jordan	Madagascar	Suriname			Namibia	Laos	Algeria
	El Salvador	Lebanon	Malawi	Bosnia-			Rwanda	Vietnam	Yemen
	Nicaragua	Morocco	Mauritius	Herzegovina			Seychelles	Cambodia	Jordan
	Guatemala	West Bank /	Rwanda	0			Somalia	India	Morocco
	Honduras	Gaza	Somalia	Georgia Macedonia			South Africa	Bangladesh	Palestinian
	Costa Rica	Yemen	Sudan	Moldavia			Swaziland		territories
		Burma		IVIOIDAVIA				Nepal	
	Panama Dominican	Cambodia	Tanzania				Tanzania Uganda	Bhutan Pakistan	Syria Tunisia
			Uganda						
	Republic	East Timor	Zambia West Bank & Gaza				Zambia Zimbabwe	Belarus	China Indonesia
	Argentina	Indonesia						Kyrgyzstan	
	Bolivia Brazil	Lao	Cambodia China				Algeria	Moldova Russian	Cambodia Laos
		Mongolia					Cyprus		
	Paraguay	Philippines	Laos				Egypt, Iran	Federation	Mongolia
		Vietnam	Indonesia				Israel	Georgia	Philippines
		Afghanistan India	Mongolia Thailand				Jordan Lebanon	Armenia	Thailand Timor-Leste
								Azerbaijan	
		Pakistan	Vietnam East Timor				Morocco Saudi Arabia	Tajikistan Ukraine	Vietnam
		Nepal							Afghanistan
		Bangladesh	Burma				Syrian Arab	Uzbekistan	Bangladesh
		Sri Lanka Uzbekistan	Philippines				Republic Tunisia	Bolivia Ecuador	India
			Afghanistan						Nepal
		Turkmenistan	Bangladesh				Turkey	El Salvador	Pakistan
		Tajikistan	India				West Bank & Gaza	Haiti	Sri Lanka
		Kyrgyzstan	Sri Lanka				Yemen	Honduras	Bolivia
		Kazakhstan	Tajikistan				China, Japan	Colombia	Brazil
		Bolivia	Kyrgyzstan				Korea (ROK,	Cuba	Chile
		Brazil	Bolivia				DPRK)	Nicaragua	Costa Rica
		Colombia	Colombia				Mongolia	Peru	Dominican
		Cuba	Guatemala				Cambodia, Fiji	Albania	Republic
		Dominican	Honduras				Indonesia, Lao	Bosnia and	Ecuador
		Republic	Nicaragua				Malaysia	Herzegovina	El Salvador
		Ecuador	Peru				Myanmar	Bulgaria	Guatemala
		El Salvador					Papua New	Serbia	Honduras

Guatemala Guyana		Guinea Philippines	& Montenegro Kosovo	Colombia Cuba
Haiti		Thailand	Romania	Mexico
Haiti Honduras		Vietnam	Macedonia	Nicoroguo
Jamaica			Macedonia	Nicaragua
		Afghanistan		Paraguay
Mexico		Bangladesh		Peru
Nicaragua		Bhutan		
Panama		India		
Paraguay		Pakistan		
Peru		Nepal		
Albania		Sri Lanka		
Armenia		Cuba, Jamaica		
Azerbaijan		Haiti		
Belarus		Dominican		
Bosnia-		Republic		
Herzegovina		Trinidad & Tobago		
Bulgaria		Mexico		
Croatia		Guatemala		
Cyprus		El Salvador		
Czech Republic		Nicaragua		
Georgia		Costa Rica		
Romania		Panama		
Russia		Latin America-		
Serbia and		Argentina		
Montenegro		Bolivia		
Slovak Republic		Brazil		
Turkey		Chile		
Ukraine		Colombia		
		Ecuador		
		Paraguay		
		Peru		
		Suriname		
		Uruguay		
		Venezuela		

## Research Donor Overview by Foundation, Trust and Council

	Gates Foundation	Ford	Rockefeller	Open Society Institute	KAS	Aga Khan Foundation	BBSRC	ESRC	Medical Research Council	Wellcome Trust	Leverhulme
Major Themes	Global Development: Financial Services for the Poor; Agricultural Development; Global Libraries Global Health: Priority Diseases and Conditions (including HIV/Aids, TB & Malaria, maternal/ new born health) Break through Science	The foundation has three programmes which cover research: Asset Building and Community Development Finance and Economic Security; Work-Force Development; Environment and Development; Sexuality and Reproductive Health Peace and Social Justice Human Rights; Governance; Civil Society Knowledge, Creativity and Freedom Education and	The foundation's main work related to development is carried out through the three initiatives of; <i>Innovation for</i> <i>development;</i> <i>Green</i> <i>Revolution;</i> <i>Product</i> <i>development</i> <i>Partnerships</i> Within these initiatives the foundation carries out work in the following areas: Food security; Health Equality; Africa Regional Programme; Southeast Asia Programme; Global Inclusion	Children & Youth Economic Developme nt Education Governanc e Health Human Rights Law & Justice Media, Arts & Culture Women	Research areas: Contempor ary History; Culture; Developme nt Policy; Education and Research; European Policy; Foreign and Security Policy; Political Communica tions/ Public Opinion; Religion and Values Orientation; Social Market Economy; State and Society; Women's and Family Policy	Foundation's areas of focus: Health; Education; Rural Development; Civil Society Cross-cutting Concerns: Human Resource Development; Community Participation; Gender and Development; Environment	BBSRC/ DFID Scheme for Research on Sustainable Agricultural Development for International Development- first round of funding will focus on crop science The council supports research into: Agri-Food; Animal Sciences; Biochemistry and Cell Biology; Biomolecular Sciences; Engineering and Biological Systems; Genes and Developmenta I Biology; Plant and Microbial Sciences	ESRC/ DFID Joint Funding Programme is focused on: Understanding and creating the socio- economic conditions that are necessary to facilitate the alleviation of poverty; New theoretical and conceptual thinking about the nature of development and the conditions under which development and poverty alleviation can be delivered methodological ; Challenges posed by international comparative work in different social, economic and cultural settings; Paucity of datasets, especially	Council research portfolio: Health Services and Public Health Research; Infections and Immunity; Molecular and Cellular Medicine; Neuroscience s and Mental Health; Physiological Systems and Clinical Sciences Within the Infections and Immunity is the key research area of: Infections affecting poorer people in developing countries, especially HIV, malaria, TB and respiratory infections	The trust funds: Biomedical research, Research programmes in the medical humanities (biomedical ethics and history of medicine), Technology transfer to encourage commercial application of research to meet medical needs, Activities to promote public engagement with science	The trust supports research in: Applied and basic science, Economics, Humanities, Social sciences, International relations, Education

		Scholarship; Religion, Society and Culture; Media; Arts and Culture						micro-level or longitudinal data The councils main research themes include: Economic Growth; International Development & Globalisation;; Global Governance and Security; Participation and Accountability; Environment Policy; Human Activities and Technologies; Environmental Equity; Technologies; Knowledge and Understanding; Exploitation of Knowledge; Learning and Teaching	areas include: Clinical and public health research; Infections and vaccine research; Global health; Biomarkers; Ageing-related research; Sustaining capability in areas of strategic importance MRC funds Research Units in Gambia and Uganda carrying out research into HIV/AIDS, tuberculosis, malaria, reproductive health, viral diseases, nutrition and non- communicable diseases		
Researc h funding	Since its inception in 1994 the foundation has given just over USD \$13bn donors in grants. Over half of this	In 2005 the foundation invested over USD \$500m in grants, fellowships and programme support. In	In 2005 the foundation spent \$30- 40m on development research. \$8m of this went to IPM	There are no current figures for the institutes funding of developme nt research. In 2005 the	No figures available	No specific research funding figures available. In 2005 the foundation gave out programme grants and assistance worth \$128m	There are no current figures for the council's funding of development research. The council invests around \$672m	There are no current figures for the council's funding of development research. The council has an annual budget of over \$200m.	In 2006 the estimated gross spend in the MRC Infections and Immunity Programme was \$160m. A main focus of	During 2005/6 the trust gave support and grants of USD \$143m towards research overseas. Some USD	In 2005 the trust awarded USD \$58.5m to research programmes and researchers. Nearly half of the active

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	investment	2005 it spent	institute and	a year into	It established in	this	\$51.5m was	awards in
	(USD \$7.8bn)	USD \$142m	Soros	bio-sciences.	2005 a joint	programme is	awarded	2005 were in
	has been in its	on the Asset	Foundation	In 2006 it	research	global health.	directly to	basic science.
	global health	Building and	Network	established a	funding	The MRC's	researchers at	Humanities
	program. The	Community	committed	Scheme for	programme	Council has	overseas	projects
	foundation has	Development	\$369m to	Research on	with DFID	strengthened	institutions. A	represented
	awarded total	Programme;	initiatives	Sustainable	which has	the MRC's	further USD	nearly 25% of
	grants of USD	USD \$92m on	and grants.	Agriculture for	made available	spending on	\$90.6m was	trust supported
	\$631m to	its human	\$33m was	International	funds of \$26m	Africa,	awarded to	active
	projects in its	rights	committed	Development	over five years	coinciding with	researchers at	research in
	Global	programme;	to projects	in conjunction	for	the UK's	UK locations	2005
	Development	USD \$92m on	in Africa	with DFID.	development	Commission	for research	
	Program. In	its	and \$33m	The scheme	research. The	for March	overseas.	
	June 2006 it	governance	to	has a budget	council also	2005 Africa	Most	
	announced a	& civil society	internationa	of \$12m over	funds	report on the	international	
	USD \$287m	programme;	l initiatives	four years.	development	continent's	support is	
	investment in	USD \$81m on		The council	research	health and	targeted at	
	research to	its education,		also funds	through its	development.	developing	
	develop a	sexuality and		research	grants to	The extra	and	
	HIV/Aids	religion		relevant to	universities in	spending has	restructuring	
	vaccine, and	programme;		developing	the UK and	funded a	countries	
	has invested	and USD		countries	support to	range of		
	USD \$258m in	\$81m on its		through its	research	studies,		
	the Malaria	media and		research	centres	training and		
	Vaccine	arts		grants to		initiatives in its		
	Initiative	programme.		universities		units in		
		Within this		and research		Uganda and		
		spending the		institutes and		Gambia. It		
		Foundation		is a key		also provided		
		has supported		member in		\$2m to the		
		a number of		establishing		London		
		research		UK		School of		
		projects that		Collaborative		Hygiene and		
		they belief fit		on		Tropical		
		into the remit		Development		Medicine to		
		of their		Sciences (UK-		strengthen		
		initiatives-		CDS). It will		clinical trials		
		which		have a yearly		capacity at		
		approximately		budget of		Mwanza,		
		make up \$75-		approximately		Tanzania.		
		100m		\$600k. SEE		The MRC is		
				BELOW		also		
						contributing to		
						a major		

					international	
					study to	
					develop safe	
					and effective	
					and effective	
					microbicides	
					to reduce the	
					sexual	
					transmission	
					of the HIV	
					virus. Through	
					the MRC	
					Clinical Trials	
					Unit,	
					it also	
					provided	
					clinical trial	
					leadership	
					and	
					management	
					to	
					enable the	
					launch of a	
					phase III trial	
					of the	
					potential	
					microbicide,	
					PRO2000/5.	
					PRO2000/5.	
					In this	
					partnership	
					with DfID and	
					Imperial	
					Collogo	
					College	
					London,	
					the trial will	
					enrol nearly	
					10,000	
					women in six	
					clinical trial	
					sites across	
					three Sub-	
					Saharan	
					Sanaian	
					Countries in	
					Africa. This is	
					one of the	
					largest trials of	
1	1			l	largest thats of	

						its kind with results expected early in 2009		
Capacity Building	Scholarship Programme	Partnership for Higher	Internation al Policy	University of Central Asia	International Scientific	The need to increase	The trust through its	Academic Exchange:
Initiative	Support for	Education in	Fellowship	(UCA)	Interchange	research	international	International
s	students from	Africa	The	Founded in 2000	Scheme	capacity in	funding	Networks
	the south to	Established in	Internationa	by the	In 2006 the	developing	provides	The trust
	continue their	2000 with the	I Policy	governments of	council	countries was	grants for	provides
	education	Ford	Fellowships	Kazakhstan, the	awarded 37	an important	institutes	funding for the
		foundation	Program	Kyrgyz Republic	grants totalling	theme for the	based in the	creation of
	Partnership	and Carnegie	identifies	and Tajikistan,	£85.2k	MRC's	UK working	international
	for Higher	Corporation	and	and His	through this	Council in	with / in	research
	Education in	this	supports	Highness the	Scheme.	2005/06. The	developing	networks in
	Africa	partnership	research by	Aga Khan. Its	These have	Directors of	countries	supported
	(see	aims to:	open	programmes are	included	MRC units in		areas of
	opposite)	Generate and	society	geared towards	support for	Gambia and	Health	research.
		share	leaders in	addressing key	scientists at	Uganda	Research	Funding is
		information	countries	social and	the Institute of	prepared	Capacity	also available
		about African	throughout	economic issues	Grassland and	papers	Strengthenin	to support
		university and	the Soros	facing the region	Environmental	outlining	g Initiative-	overseas
		higher	foundations	and, particularly,	Research to	activities that	Kenya &	academic
		education	network.	facing mountain	work with	the MRC	Malawi	visits to the
		issues;	IPF is	communities	researchers in	could lead to	Recently	UK. The lead
		Discuss	affiliated		the Sudan to	better training	established in	institute within
		strategies for	with the	Aga Khan	develop plant	and retention	conjunction	the network
		supporting	Center for	Academies	Eco-systems	of African	with DfID and	should be UK
		universities;	Policy	An integrated	to stabilise	scientists. The	IDRC, It aims	based
		Support	Studies at	network of	soils and	MRC's	to strengthen	
		universities	Central	residential	landscape	Training and	the capacity	Fellowships
		seeking to	European	schools in Africa,		Career	for the	The trust
		transform	University	South and	UK	Development	generation of	provides
		themselves;	and	Central Asia,	Collaborative	Board (TCDB)	new health	fellowships for
		Encourage	cooperates	and the Middle	on	agreed to	research	students in the
		networking	with	East; known as	Development	allocate to	knowledge	UK. Also
		among	partners	the Aga Khan	Sciences	both units	within Kenya	provide
		innovative	such as the	Academies. The	(UK-CDS)	research	and Malawi,	fellowships for
		African	Woodrow	Aga Khan	This	studentships	and improve	UK based
		university	Wilson	Academies have	collaborative	open to	its use in	students
		leaders and	Center in	a dual mission:	aims to	African	evidence-	wanting to
		higher	the United	to offer	provide a	candidates.	based	study
		education	States.	exceptional girls	framework for	The TCDB	decision	overseas
		experts; Distil	OSI's	and boys from all	a better	also approved	making, policy	
1		and share	Scholarshi	backgrounds	coordinated	support for	formulation	

	1 1			1 1			
lessons	p	irrespective of	approach to		clinical .	and	
learned from	Programs	their families'	development		research	implementatio	
grant-making;	Offers	ability to pay	sciences		fellowships for	n	
and	supplement	an international	research in		the Gambia		
Advocate for	ary grants	standard of	the UK, for the		unit, enabling	Research	
wider	to	education from	purpose of		the best	Fellowships	
recognition of	humanities	pre-primary to	increasing its		graduate of	These include:	
the	and social-	secondary levels	relevance and		the medical	International	
importance of	science	with a rigorous	impact for		school of the	Senior	
universities to	doctoral	academic and	national and		new university	Research	
African	students	leadership	international		to gain	Fellowships,	
development.	from	experience; and	policies and		research	Public Health	
The	Eastern and	to strengthen the	activities		experience at	and Tropical	
Partnership	Central	profession of	aimed at		the unit.	Medicine	
currently	Europe and	teaching by	sustainable		Restricted to	Fellowships	
supports	the former	investing	improvements		West African		
programs in:	Soviet	substantially in	in the lives of		graduates of	Equipment	
Egypt, Ghana,	Union	the professional	the world's		the medical	Grants	
Kenya,		development of	poorest		school, the	The Trust	
Madagascar,		teachers, locally	people and		fellowship will	provides	
Mozambique,		and regionally.	countries		otherwise be	equipment	
Nigeria, South		The first such			similar to the	grants for	
Africa.		school, the Aga			UK-based	developing	
Tanzania, and		Khan Academy			Clinical	country	
Uganda		in Mombasa,			Research	research	
- 3		began operating			training	institutes	
		in August 2003			fellowship. To		
					increase the		
					opportunities		
					for UK		
					scientists		
					interested in		
					developing a		
					research		
					career in		
					tropical		
					medicine,		
					the TCDB		
					agreed to		
					open up all		
					the fellowship		
					schemes to		
					enable		
					candidates		
					from the UK or		

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						Africa to be based fulltime in either of the MRC Units in Africa. The MRC's Council awarded £2m to the London School of Hygiene and Tropical Medicine to strengthen clinical trials capacity at Mwanza,		
PPPs	The foundation supports a range of PPPs including: MVI, MMV, IAVI, TB Alliance, GAVI Alliance		The foundation supports: TB Alliance, MMV, IPM, IAVI			Tanzania The Edward Jenner Institute for Vaccine Research (EJIVR) Funded from 1995 through a public- private partnership between the MRC, the DH and GlaxoSmithKli ne. Following a review by the sponsors, the Jenner Institute was re-launched in 2005/06. The new institute brings together expertise from the University	The trust supports: Multilateral Initiative on Malaria (MIM) Global Fund to Fight AIDS, Tuberculosis and Malaria International AIDS Vaccine Initiative (IAVI) Medicines for Malaria Venture (MMV) Roll Back Malaria Initiative on Public-Private Partnerships	

Country	Worldwide	The	Worldwide	Afghoniston	KAS bos	The foundation	Maat raccords	Montropperh	of Oxford and The Institute for Animal Health (IAH) and it will focus on human vaccine development from fundamental research to clinical trials and field efficacy studies. The MRC contributed £1.25m in 2005 to 2006 to support the transition and relocation to a new building within Oxford. With its enhanced translational focus, the new institute aims to accelerate vaccine development for global infectious diseases such as HIV, malaria, and tuberculosis	for Health (IPPPH) Global Alliance for Vaccines and Immunization (GAVI) Accelerating Access Initiative (AAI)	The maiority of
Country Foci	woriawide	The foundation has 12 offices around the world	vvoriawide	Afghanistan Albania Angola Armenia Azerbaijan	KAS has over 200 programme s in over 120	The foundation works in the following countries:	Most research is based in UK institutes	Most research is based in UK institutes	MRC has research units in Gambia and Uganda. Most MRC funded	The trust has identified the following as focus countries and	The majority of trust supported research is based in the UK

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	(including-	Bahrain	countries. It	Afghanistan		research is	encourages	
	Lagos, New	Bangladesh	has	Bangladesh		based in the	funding	
	Delhi, and Rio	Belarus	regional	Bosnia		UK, although	requests from	
	de Janeiro). It	Benin	offices in:	Burkina Faso		it does	them:	
	supports	Bosnia and		Congo,		support UK	Angola	
	projects	Herzegovin	Asia	Democratic		based projects	Bangladesh	
	worldwide	а	Afghanistan	Republic of		working with	Botswana	
		Botswana	Cambodia	Egypt		institutes in	Burkina Faso	
		Bulgaria	China	India		the south	Burundi	
		Burkina	East-Timor	Iran			Cambodia	
		Faso	India	Ivory Coast			Cameroon	
		Burma	Indonesia	Kazakhstan			Czech	
		Cambodia	Korea	Kenya			Republic	
		Cameroon	Malaysia	Kyrgyz Republic			Eritrea	
		Cape Verde	Mongolia	Madagascar			Estonia	
		Chad	Philippines	Mali			Ethiopia	
		Croatia	Sri Lanka	Mozambique			Gabon	
		Czech	Thailand	Pakistan			Gambia	
		Republic	Uzbekistan	Syria			Ghana	
		Egypt	Vietnam	Tajikistan			Hungary	
		Estonia	violitani	Tanzania			India	
		Gambia	Africa	Uganda			Kenya	
		Georgia	Ghana	Zanzibar			Laos	
		Ghana	Kenya	Zarizibar			Lesotho	
		Guatemala	Congo				Malawi	
		Guinea	Mozambiqu				Mali	
		Guinea-	e				Mozambique	
		Bissau	Namibia				Namibia	
		Haiti	Nigeria				Nepal	
		Hungary	Senegal				Nigeria	
		India	South				Pakistan	
		Indonesia	Africa,				Poland	
		Indonesia Iran	Africa, Sudan				Poland Rwanda	
		Israel	Uganda				Senegal South Africa	
		Ivory Coast	Zimbabwe					
		Jordan	Latin				Sri Lanka	
		Kazakhstan	Latin				Swaziland	
		Kosovo	America				Thailand	
		Kyrgyzstan	Argentina				Tanzania	
		Laos	Bolivia				Togo	
		Latvia	Brazil				Uganda	
		Lebanon	Chile				Vietnam	
		Lesotho	Columbia				Zimbabwe	
		Liberia	Costa Rica				Zambia	
		Lithuania	Ecuador					

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Macedonia	Guatemala			Wellcome	
Malawi	Nicaragua			Trust major	
Mali	Peru			overseas	
Mauritania				Dreamente	
Mauritania	Uruguay			Programmes:	
Mexico	Venezuela			Malawi	
Moldova				Kenya	
Mongolia				South Africa	
Montonogra				Vietnam	
Montenegro				vietnam	
Mozambiqu				Thailand	
е					
Namibia					
Niger					
Niger					
Nigeria					
Occupied					
Palestinian					
Territories					
Peru					
Philippines					
Finippines					
Poland					
Puerto Rico					
Romania					
Russia					
Senegal					
Serbia					
Serbia					
Sierra					
Leone					
Slovakia					
Slovenia					
South					
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Airica					
Swaziland					
Tajikistan					
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Ukraine					
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States					
Uzbekistan					
Vietnam					
Zambia					
Zimbabwe					

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<sup>&</sup>lt;sup>i</sup> Although an effort was made to interview a broad range of key informants, due to time and resource constraints we were unable to achieve an even balance across disciplines and sectors. This is an area that could be usefully expanded during DFID's research strategy consultation phase.

<sup>&</sup>lt;sup>ii</sup> It should be noted that although DFID had planned to build on similar exercises underway by the Japanese and German governments unfortunately these were delayed and were not available during the drafting of this report.