



Survey on national programmes in “water science and technology for the developing world”

Introduction

The purpose of this questionnaire is:

- to gather information about existing programmes in “water science and technology for the developing world” (and thematically related programmes) of the SPLASH consortium member countries; and
- to identify the funding mechanisms and instruments used in their administration and management at national level.

Thus, the questionnaire addresses primarily executive managers of programmes either working for the donor organisation or for the institution that is responsible for the programme implementation.

In the SPLASH context, the term “national programmes” refers to all programmes which include a specific component of water research for the developing world (referred to in the questionnaire as ‘Water Research for Development’) and are funded or co-funded by state actors. The term “programme” typically refers to the coordinated management of a portfolio of projects with a defined programme and project management cycle. However, the term “programme” is defined more broadly by SPLASH. This means that in addition to fully-fledged programmes, the definition can also apply to national project schemes with the focus of ‘Water Research for Development’, if they are considered to be potential areas for research cooperation. Please also include in the questionnaire programmes which are in the planning stage. Programmes that are governed only by non-state actors are not included in SPLASH.

The questionnaire is divided into following sections:

- General information about the programme, its focus and funding mechanisms;
- Development of the programme;
- Programme management and implementation procedures;
- Dissemination of programme results; and
- Potential for joint activities and transnational cooperation

Please complete a separate questionnaire for each research programme. If you have any questions, please do not hesitate to contact your national contact person. All questions provide multiple choice options, except where answers are yes/no. ‘Link’ refers to the URL (website).

Please complete this questionnaire before **August 15** and send it to your national contact person.

Thank you very much for your cooperation!

General information on the research programme

1 Name and website of the programme

Comment: Throughout the questionnaire the term “programme” is used for national funding mechanisms related to water research for developing countries. The term “programme” can be understood in a broad sense in the SPLASH framework and can also apply to project clusters or umbrella projects on a national scale. In case your funding mechanism is not a programme in a narrower sense, please describe below what kind of scheme or mechanism you are referring to:

2 Name of the programme funding agency/ies (please add link)

3 Name and full address of the programme implementing organisations/agencies (please add link)

4 Name and full address of programme manager/s (+ e-mail, phone and fax)

5	Period/duration of the programme (start month and year and end month and year)
6	Is the programme likely to be extended beyond its original timeframe?
<input type="checkbox"/> Yes <input type="checkbox"/> No	
7	How many research staff are involved in the programme? (i.e. the total number of full time positions, please specify numbers for North/South separately, based on nationality of the staff)

Focus of programme

This section addresses the thematic and spatial orientation of the programme, its objectives and structure.

8 Is the focus of the programme mainly “Water Research for Development”?

Yes No

If no, please estimate the part of the budget relating to water themes: _____ %

9 What are the specific aims and objectives of the programme?

10 What are the highlights of and greatest successes achieved by the programme?

11 What specific themes are covered in the programme?

Please indicate the focus covered by the research programme in the following matrix. Please mark your main focus regarding spatial level, scale level and discipline in the columns (ONE per level).

Spatial level	Scale level	Research discipline
R: rural	N: national	So: socio-economic
P: peri-urban	R: regional	Po: political
U: urban	G: global	Na: natural
		En: engineering

Thematic focus	Spatial level			Scale level			Discipline			
	R	P	U	N	R	G	So	Po	Na	En
Water for people										
Water supply and sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Health and hygiene promotion	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Valuing water in culture	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water for food										
Securing food supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
More crop per drop	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Aquaculture	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water for nature										
Protecting ecosystems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ensuring ecosystem services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water for industry/energy										
Cleaner production	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hydroelectric power	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cross cutting issues										
Integrated Water Resources Management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water and climate change	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water and urbanization	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water and conflicts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water and legal/policy issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water and gender	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Water and natural hazards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vulnerable groups*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Coastal zone management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transboundary issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments:										
* People with limited access to water										

12 Which geographical focus does the programme have?

Please list ALL (developing) countries collaborating in each thematic focus.

Water for people	_____
Water for food	_____
Water for nature	_____
Water for industry/energy	_____
Crosscutting issues	_____
Others, please specify:	_____

13 Does the programme explicitly link to the respective countries' national strategy as specified in the respective Poverty Reduction Strategy Paper (PRSP)

Yes No

If yes, please specify country:

14 Who are the potential users of the programme deliverables?

	North	South
Academic researchers	<input type="checkbox"/>	<input type="checkbox"/>
Consultants	<input type="checkbox"/>	<input type="checkbox"/>
Policy makers	<input type="checkbox"/>	<input type="checkbox"/>
Civil society/NGOs	<input type="checkbox"/>	<input type="checkbox"/>
Practitioners	<input type="checkbox"/>	<input type="checkbox"/>
Others, please specify:	<input type="checkbox"/>	<input type="checkbox"/>

15 Are there any centralised facilities or services within the programme (e.g. resource centres, data banks, technology providers, etc.)?

Yes No

If yes, please specify which facilities and their accessibility

	Public access	Restricted access
Resource centre	<input type="checkbox"/>	<input type="checkbox"/>
Data banks	<input type="checkbox"/>	<input type="checkbox"/>
Technology provider	<input type="checkbox"/>	<input type="checkbox"/>
Others, please specify:	<input type="checkbox"/>	<input type="checkbox"/>

16 What types of activities are supported by the programme?

Indicate the importance related to the budget proportion allocated

	Importance			
	none	low	medium	strong
Basic/fundamental research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Applied research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Industrial/technological development	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Capacity development* of organisations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Capacity development* of individuals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trans- and interdisciplinary research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

* = capacity building

17 Which are the regions/countries in focus for the supported activities?

Please indicate the main focus related to the budget spending

	Main focus	
	Own country	Developing region/country, please specify
Basic/fundamental research	<input type="checkbox"/>	_____
Applied research	<input type="checkbox"/>	_____
Industrial/technological development	<input type="checkbox"/>	_____
Capacity development* of organisations	<input type="checkbox"/>	_____
Capacity development* of individuals	<input type="checkbox"/>	_____
Trans- and interdisciplinary research	<input type="checkbox"/>	_____
Others, please specify:	<input type="checkbox"/>	_____

* = capacity building

18 Does the programme have a strategy for capacity development?

Yes No

If yes, please describe the strategy briefly or provide link:

19 Is capacity development a focus of the programme?

Yes No

If yes, please specify which educational levels you are targeting and the emphasis of the targeting:

	not targeted	low	medium	strong
Undergraduate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Masters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PhD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Post doctoral	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mid career researchers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Administrative staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Practitioners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Others, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

20 Is the effectiveness and impact of capacity development measured?

Yes No

If yes, please specify WHAT is measured:

- Number of staff trained
- Public participation increased
- Improvement of knowledge level (reflected i.e. in more efficient water management)
- Other, please specify:

Please also specify HOW this is measured:

- By assessing the knowledge level
- Number of public participants during public meetings
- Assessment of the skills level in decision making (documents, reports, etc.)
- Other, please specify:

21 Does the programme prescribe how lessons learned through research and capacity development should be disseminated?

Yes No

If yes, please specify how this information is made available:

Programme funding

This section addresses the aspects of funding sources and budgetary issues.

22 What is the total budget of the programme over its lifespan?

k €

23 How is the programme funded?

Please specify all funding bodies from the list below and indicate the percentage of the total budget which each funding body is contributing.

	% of total budget
<input type="checkbox"/> Ministry of Education and Science	
<input type="checkbox"/> Ministry of Foreign Affairs	
<input type="checkbox"/> Development Agency	
<input type="checkbox"/> Research Council	
<input type="checkbox"/> Sub-national authorities	
<input type="checkbox"/> EU co-financing measures	
<input type="checkbox"/> Universities, research institutions	
<input type="checkbox"/> Private companies	
<input type="checkbox"/> NGOs	
<input type="checkbox"/> Charities/foundations	
<input type="checkbox"/> Others, please specify:	
Total	100%

24 What is the share of the programme budget related to Water Research for Development (WRD)?

k €

Please specify how this share is allocated among the following thematic foci:

	% of WRD budget
<input type="checkbox"/> Water for people	
<input type="checkbox"/> Water for food	
<input type="checkbox"/> Water for nature	
<input type="checkbox"/> Water for industry/energy	
<input type="checkbox"/> Cross-cutting issues	
<input type="checkbox"/> Others, please specify:	
Total	100%

25 Please estimate the amount of the programme budget related to Water Research for Development (WRD) allocated to different organisation types:	
% of WRD budget	
International Organisations	
<input type="checkbox"/> UN-organisations	<input type="text"/>
<input type="checkbox"/> International research centres	<input type="text"/>
<input type="checkbox"/> NGOs	<input type="text"/>
Organisations in your country	
<input type="checkbox"/> Governmental	<input type="text"/>
<input type="checkbox"/> University	<input type="text"/>
<input type="checkbox"/> Research centre	<input type="text"/>
<input type="checkbox"/> Non-profit / NGO	<input type="text"/>
<input type="checkbox"/> Commercial/private sector	<input type="text"/>
Organisations in developing countries	
<input type="checkbox"/> Governmental	<input type="text"/>
<input type="checkbox"/> University	<input type="text"/>
<input type="checkbox"/> Research centre	<input type="text"/>
<input type="checkbox"/> Non-profit / NGO	<input type="text"/>
<input type="checkbox"/> Commercial/private sector	<input type="text"/>
<input type="checkbox"/> Others, please specify:	<input type="text"/>
	100%

26 Which cost items are funded by the programme?	
<input type="checkbox"/>	Personnel
<input type="checkbox"/>	Operational costs (consumables, travel grants)
<input type="checkbox"/>	Infrastructure and equipment
<input type="checkbox"/>	Accompanying measures (workshop, courses, conferences)
<input type="checkbox"/>	Others, please specify:

Programme development

This section addresses the overall design process of the programme, participating institutions, and how long the programme development takes.

27 Is the programme part of a wider national research strategy?

Yes No

If yes, please state who was involved in the design of this strategy:

28 Has there been a previous programme to this in Water Research for Development or in a related field?

Yes No

If yes, please specify how the current programme relates to its predecessor programme:

29 Is there an internal procedure for research identification, formulation, monitoring and evaluation?

Yes No

If yes, please describe the stages of this procedure briefly or provide link:

30 Who was involved in defining the contents of the programme?

Please specify the level of involvement

	Involvement			
	none	low	medium	strong
Ministries in donor countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ministries in recipient countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Research institutions in European countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Research institutions in developing countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Research institutions in non-European OECD countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Experts from developing countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
National research council	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Management agency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Stakeholders/beneficiaries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Industry	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Others, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please name the most important organisation/institutions (name, address, city, country, website):

Organisation 1

Organisation 2: _____

Organisation 3: _____

Organisation 4: _____

31 How long did it take from formulation of the initial programme concept to the first call?

32 How long did it take from the first call to the start of the first project?

33 What do you consider were/are the main benefits and challenges of your programme design approach?

Benefits:

Challenges: _____

34 When will the next update (or re-design) process of the programme take place?

35 Will lessons learned from the programme activities influence future programme development?

Yes

No

If yes, please specify these particular lessons learned and how these will contribute to future programme development:

Programme management and impact assessment

This section comprises three parts:

Part A addresses the procedure related to application.

Part B addresses the proposal evaluation procedures.

Part C addresses the programme monitoring, evaluation and impact assessment.

36 Who is involved in the programme application, evaluation, monitoring and impact assessment procedures?

	Steering/ Expert committee/ board	Programme Manager, scientific officer	External evaluator / expert	Stakeholder/ beneficiaries	Other, please specify:
Formulation of call (thematic or open)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pre-selection (verification of formal criteria)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Evaluation to formulate recommendations for approval	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Approval	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Monitoring and controlling	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Evaluation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Impact assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

PART A: APPLICATION

37 Which authority/ies (from own or developing country) issues, publicises and modifies the rules regarding the application procedure for the programme?

38 What type of calls for proposals does the programme utilise?

- Submission of responsive project proposals to topics specified by donor
- Open calls for project proposals on topics specified by applicant
- Other, please specify:

39 What language are the calls written in?

- National language/s, please specify:
- English
- Other, please specify:

40 Is eligibility for programme funding restricted to specific nationalities?

- Yes No

If yes, please specify which nationalities are eligible

- Own country
- Developing countries
- Others, please specify:

41 Is eligibility for programme funding restricted to certain institutions/kinds of institutions?

- Yes No

If yes, which institutions are eligible for the programme:

International Organisations

- UN –organisations
- International research centers
- Non-profit/NGO
- Others, please specify:

Organisations in your country

- Governmental
- University
- Research centre
- Non-profit / NGO
- Commercial/private sector
- Others, please specify:

Organisations of other OECD countries

- Governmental
- University
- Research centre
- Non-profit / NGO
- Commercial/private sector
- Others, please specify:

Organisations in developing countries

- Governmental
- University
- Research centre
- Non-profit / NGO
- Commercial/private sector
- Others, please specify:

42 Are calls permanently open for the submission of proposals or are there deadlines for submission?

Permanently open call for proposals Yes No
 Deadlines for proposals Yes No
 Other, please specify:

If calls are subject to deadlines, please specify the frequency:

43 What proposal submission system is used?

Electronic system optional compulsory
 Paper version optional compulsory
 Most common means of submission:

PART B: PROPOSAL EVALUATION

44 Which authority/ies (from own or developing country) issues, publicises and modifies the rules of procedure for evaluating proposals in the programme?

45 Which department/institution administers the applications?

46 What are the main stages of the evaluation procedure?

47 Do you have an evaluation committee?

Yes No

If yes, please specify who appoints this committee:

- Programme board
- Ministry
- Scientific committee
- Other, please specify:

48 Do you apply evaluation criteria?

Yes No

If yes, please specify how these criteria are quantified (e.g through scores, weights, thresholds):

PART C: MONITORING, EVALUATION AND IMPACT ASSESSMENT

49 Is programme monitoring and evaluation mandatory?

Monitoring Yes No
 Evaluation Yes No

If possible, please provide link to any relevant guidelines:

50 What is the focus of monitoring and evaluation in the programme?

	Monitoring	Evaluation
Activities (e.g. implementation efficiency)	<input type="checkbox"/>	<input type="checkbox"/>
Compliance with programme goals	<input type="checkbox"/>	<input type="checkbox"/>
Process (e.g. to ensure participation and democracy)	<input type="checkbox"/>	<input type="checkbox"/>
Output*	<input type="checkbox"/>	<input type="checkbox"/>
Outcomes*	<input type="checkbox"/>	<input type="checkbox"/>
Impact*	<input type="checkbox"/>	<input type="checkbox"/>
Relevance of objectives and targets	<input type="checkbox"/>	<input type="checkbox"/>
Use of financial resources	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify:	<input type="checkbox"/>	<input type="checkbox"/>

* Explanation of terms used above:

Output: refers to the short- and mid-term results actually achieved in the framework of the programme. Outputs relate to the efficiency (functioning, performance) of a programme.

Outcomes: refers to the initial effects or direct impacts that can be observed as both benefits and drawbacks.

Impact: The term impact refers to a programme's achievement, which go beyond mere outputs or outcomes. The term is often related to the effectiveness of a programme - its success in contributing to its purpose and goal. Impact comprises the mid- to long-term implications a programme has for the context and its population, be they intended or unintended and can be negative or positive.

51 What methods do you use for impact assessment?

- Stakeholder evaluation
- Internal evaluation
- External/expert evaluation
- Other, please specify:

Please provide link to any relevant guidelines:

52 Are any ‘lessons learned’ within the programme publicised?

- Yes No

If yes, please provide link or describe how this information is made available and for which target groups:

53 Do ‘lessons learned’ feed into ongoing and future programmes?

- Yes No

If yes, please provide link or describe how this is done:

Knowledge dissemination

This section addresses communication strategies and knowledge dissemination.

54 What specific products or outputs is the programme aiming to deliver?

55 Does the programme have an existing communication strategy?

Yes No

If no communication strategy exists, please state if it is the intention to create a strategy and by what date?

Yes (please specify date):
 None intended

56 Does your programme generate specific recommendations for policy making?

Yes No

If yes, please describe how research results are transformed into information for practical implementation at the policy level:

57 Which are your dissemination activities?

Education
 Networking
 Data provision
 Others, please specify:

58 Which are the target groups of your communication activities?

- Policy makers
- Practitioners
- Scientists
- General public
- NGOs
- Others, please specify:

59 What are the methods used to disseminate programme results?

Please rank the importance of the following dissemination practices

	Importance			
	none	low	medium	high
Online through programme website	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Newsletters (hardcopy or e-newsletter)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hardcopy publications	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
E-publications	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Face-to-face programme meetings/workshops/seminars	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other sector events/meetings/workshops/seminars	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Academic journals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Professional/sector publications/websites	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Training packages	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Media/press/TV/radio/DVDs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Others, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

60 In which languages are the various outputs produced?

Please only specify 'National' if not English, French or Spanish

	English	French	Spanish	National	Other
Online through programme website	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Newsletters (hard copy/e-newsletters)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hardcopy publications	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
E-publications	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Face-to-face programme/meetings/workshops/seminars	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other sector events/meetings/workshops/seminars	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Academic journals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Professional/sector publications/websites	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Training packages	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Media/press/TV/radio/DVDs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Others, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

61 On what level are the results of the programme disseminated, either now or in the future?

Please specify the organisations through which results are disseminated on each level

Level	Organisation
Global level (e.g. UN, CGIAR):	_____
Regional level (e.g. EU, African Union):	_____
National level:	_____
Sub-national level (e.g. provincial):	_____

62 Is the success of your dissemination activities monitored?

Yes No

If yes, please describe briefly how this is done:

- Quantitative e.g. number of outputs disseminated/no. of web hits
- Qualitative e.g. user surveys of use, impact

What are the main findings:

How are these findings used:

Future implementation of joint activities and transnational programmes in the framework of SPLASH

This section addresses the possibilities and challenges of research cooperation as well as experiences and good practices.

63 Does the programme have cooperative links with similar national programmes in other countries?

Yes No

If yes, please name the programme/s and region/country and specify any relevant links:

Please also mention what form this cooperation takes:

- Joint funding
- Joint meetings
- Informal exchange
- Other, please specify:

64 Does the programme have cooperative links with international organisations, initiatives or networks?

Yes No

If yes, please name the programme/s and specify any relevant links:

Please also mention what form this cooperation takes:

- Joint funding
- Joint meetings
- Informal exchange
- Other, please specify:

65 If the programme/organisation is interested in cooperation within joint research activities and/or transnational programmes, what form might your contribution take?

- Funding for which only researchers from national institutions may apply
- Funds for which researchers from other countries may apply
- Support through human resources (e.g. motivate researchers to participate)
- Support through infrastructure (e.g. laboratories)
- Support through exchange of research data, information and knowledge (from projects coordinated by the programme/organisation)
- Other, please specify:

66 What kind of partner organisations would you be willing to cooperate with within the SPLASH framework?

	Level of interest			
	None	Low	Medium	High
National partners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
European partners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Partners in non-European OECD countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Partners in developing countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
International organisations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please briefly motivate your choice:

67 What do you consider to be the main benefits of joint research activities and transnational collaboration?

	Level of importance			
	None	Low	Medium	High
Avoid duplication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Improve information exchange	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Strengthen research cooperation within Europe	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Strengthen research cooperation with developing countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Improve alignment to identified research needs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Improve positioning of your national research institutions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Improve research quality	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
More efficient use of resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Strengthen strategic impact of research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contribute to achieving the MDGs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
To share research facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Personnel exchange	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Standardisation of monitoring and assessment procedures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please briefly explain your choice:

68 What do you consider to be the main challenges of strengthening cooperation within joint research activities and transnational programmes?

	Level of importance			
	None	Low	Medium	High
Legal constraints	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of financial flexibility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Doubts about efficiency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Diversity of programme management procedures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Intellectual property rights	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Complicated and time consuming calls for tender	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Diverse research interests	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Diverse culture and language	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of administrative capacities, staff and financial resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Diverse cooperation policies	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
No political/thematic priority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If applicable, please add comments on legal or administrative constraints:

69 From the perspective of the programme, what are the priorities for joint activities / transnational programmes?

	Level of importance			
	None	Low	Medium	High
Common research strengths have been identified	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Geographic research gaps have been identified	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Thematic research gaps have been identified	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contribute to international commitments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Potential to take research forward into practice	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

70 Which thematic/geographical areas for cooperation would you be most interested in? Please rank from 1-6 (1 = very interested, 6 = not interested)

- Water for people, please specify: _____
- Water for food, please specify: _____
- Water for nature, please specify: _____
- Water for industry/energy, please specify: _____
- Cross cutting issues, please specify: _____
- Geographic areas, please specify: _____

71 How feasible would it be to implement a transnational call within the programme in the framework of SPLASH?

	not possible	difficult ³	medium	easy ²
Synchronous calls with national funding ¹	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Joint calls with transnational funding	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other joint activities, please specify:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If ‘not possible’, please indicate the reasons for this:

¹i.e. the funding money will not cross the border of countries

²e.g because the process does not require complicated and long lasting procedures

³e.g. because the process has to follow completely or partly the programme design and initiation procedures as describe above

72 What internal procedures would need to be followed for implementation of a joint activity or a transnational call in your research programme within SPLASH?

73 Joint activities - ideas and approaches

What do you consider to be the most promising ideas or potential approaches for the development of joint activities and strengthened cooperation between existing national research programmes in water for development?

Do you have any general comments?

Thank you for your participation!

Your information will help to map the European research related to water for development. The results are expected to be published in December 2007. In order to keep you updated please fill in your contact details below (name, organisation e-mail, phone, fax). These details are for internal SPLASH use only.

Country	
Name of institution	
Address	
Name of respondent 1	
Name of respondent 2	
Name of respondent 3	
E-mail of respondent	
Telephone of respondent	