



Climate & Development  
Knowledge Network

A large, stylized world map composed of numerous small red dots. The map is centered on the Atlantic Ocean, with the Americas on the left and Europe and Africa on the right. The dots are arranged in a grid-like pattern that follows the contours of the continents.

# Managing Climate Extremes and Disasters in Asia:

## Lessons from the IPCC SREX Report

A smaller, stylized world map composed of red dots, similar to the one above, located in the bottom right corner of the page.

---

# Contents

<b>1. Introduction to the Special Report</b>	<b>01</b>
<b>2. Changing disaster risks</b>	<b>03</b>
<b>3. Future impacts</b>	<b>12</b>
<b>4. Managing the risks of climate extremes and disasters</b>	<b>14</b>
<b>5. Conclusions: What does this mean for decision makers in Asia?</b>	<b>17</b>
<b>IPCC SREX Glossary of Terms</b>	<b>20</b>
<b>IPCC Uncertainty Guidance</b>	<b>20</b>

---

**This report was compiled by Catherine Cameron, Gemma Norrington-Davies and Dr. Victoria te Velde of Agulhas: Applied Knowledge, with the guidance of Dr. Tom Mitchell, Overseas Development Institute.**

---

The authors would like to thank the following reviewers for their support and comments: Mairi Dupar, Katie Harris, Amy Kirbyshire, Maarten van Aalst, Mihir Bhatt and Salmah Zakaria. Also to Neville Nicholls, Elizabeth Colebourn and Kris Ebi for their assistance with the wider series of summaries.

This document should be referenced as follows: Climate and Development Knowledge Network (2012) Managing climate extremes and disasters in Asia: Lessons from the SREX report. CDKN, available online at [www.cdkn.org/srex](http://www.cdkn.org/srex).

**All correspondence should be addressed to:**

**Dr. Tom Mitchell**  
**Overseas Development Institute**  
**E: [t.mitchell@odi.org.uk](mailto:t.mitchell@odi.org.uk)**

---



# 1. Introduction to the Special Report

## 1.1 About the SREX report

The Special Report on Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation (SREX) was commissioned by the Intergovernmental Panel on Climate Change (IPCC) in response to a recognised need to provide specific advice on climate change, extreme weather and climate events ('climate extremes'). The SREX report was written over two and a half years, compiled by 220 expert authors, 19 review editors and taking account of almost 19,000 comments. It went through three rigorous drafting processes with expert and government review. The findings were approved by the world's governments following a four-day meeting, where the Summary for Policy Makers was agreed. It thus provides the best scientific assessment available to date. It comprises a policy summary released in November

2011 and the full report released in March 2012 (available online at <http://ipcc-wg2.gov/srex>).

This summary highlights the key findings of the report from an Asian perspective, including an assessment of the science and the implications of this for society and sustainable development. The SREX report considers the effects of climate change on extreme events, disasters, and disaster risk management (DRM). It examines how climate extremes, human factors and the environment interact to influence disaster impacts and risk management and adaptation options (see Figure 1). The SREX report considers the role of development in exposure and vulnerability, the implications for disaster risk, and the interactions between disasters and development. It examines how human responses to extreme events and disasters could contribute to adaptation objectives, and how adaptation to climate change could

become better integrated with DRM practice. The SREX report represents a significant step forward for the integration and harmonisation of the climate change adaptation, disaster risk management and climate science communities.

Although not an official publication of the IPCC, this summary has been written under the supervision of co-authors of the report and it has been thoroughly reviewed by an expert scientific panel. The summary includes material directly taken from the SREX report, where the underlying source is clearly referenced, but it also presents synthesis messages that are the views of the authors of this summary and not necessarily those of the IPCC. It is hoped that the result will illuminate the SREX report's vital findings for decision makers in Asia, and so better equip them to make sound investments to reduce disaster risk in a changing climate.

## 1.2 Ten Key Messages

Key summary messages from the IPCC Special Report on Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation for the Asia region:<sup>1</sup>

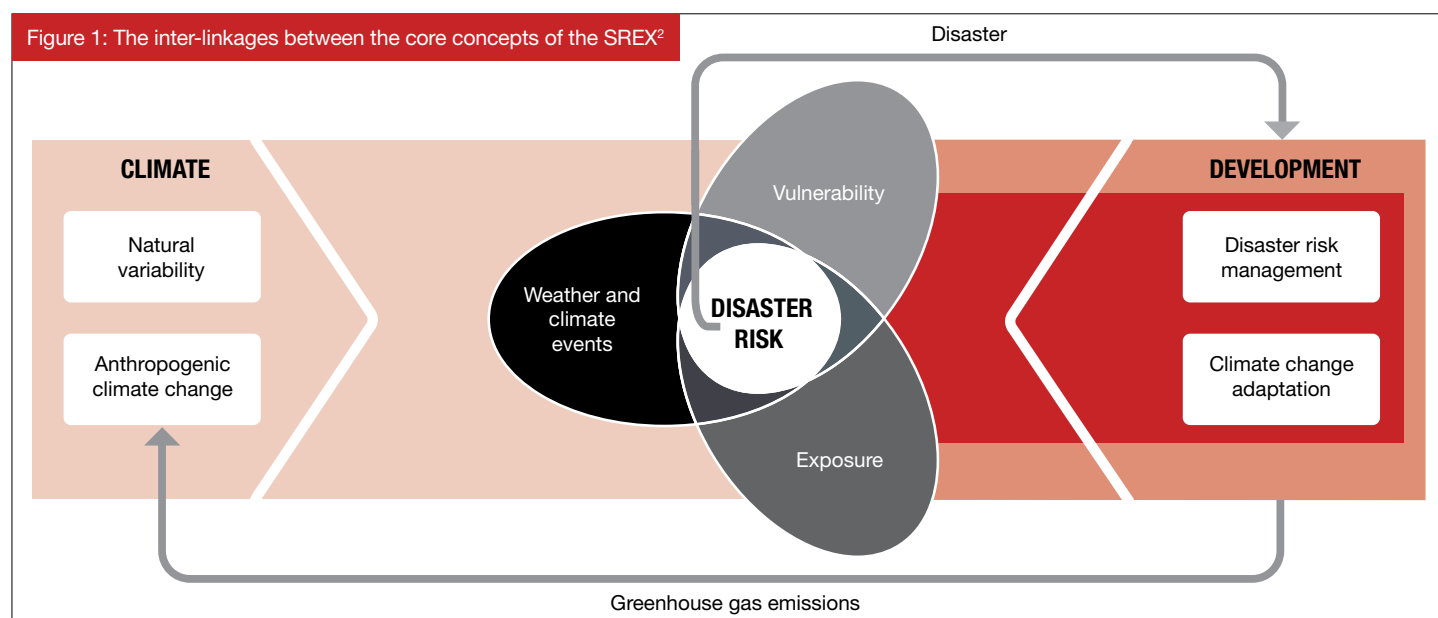
1. Even without taking climate change into account, disaster risk will continue to increase in many countries as more vulnerable people and assets are exposed to weather extremes. In absolute terms for example, Asia already has more than 90% of the global population exposed to tropical cyclones.
2. Based on data since 1950, evidence suggests that climate change has changed the magnitude and frequency of some extreme weather and climate events in some global regions already.
3. In the next two or three decades, the expected increase in climate extremes will probably be relatively small compared to the normal year-to-year variations in such extremes. However, as climate change impacts become more dramatic, its effect on a range of climate extremes in Asia will become increasingly important and will play a more significant role in disaster impacts.
4. There is better information on what is expected in terms of changes in extremes in various regions and sub-regions, rather than just globally (see Table 1 and Figure 2); though for some regions and some extremes uncertainty remains high (e.g. drought trends across most of Asia).
5. High levels of vulnerability, combined with more severe and frequent weather and climate extremes, may result in some places in Asia, such as low lying islands and coastal areas, being increasingly difficult places in which to live and work.
6. A new balance needs to be struck between measures to reduce risk, transfer risk (e.g. through insurance) and effectively prepare for and manage disaster impact in a changing climate. An example is the introduction of index-based insurance for non-irrigated crops in Andhra Pradesh. This balance will require a stronger emphasis on anticipation and risk reduction.
7. Existing risk management measures need to be improved as many countries are poorly adapted to current extremes and risks, so are not prepared for the future. This would include a wide range of measures such as early warning systems, land use planning, development and enforcement of building codes, improvements to health surveillance, or ecosystem management and restoration. Indonesia's 2007 Disaster Management Law for example, has created a stronger association between DRM and development planning processes.
8. Countries' capacity to meet the challenges of observed and projected trends in disaster risk is determined by the effectiveness of their national risk management system. Such systems include national and sub-national governments, the private sector, research bodies, and civil society including community-based organisations. In Bangladesh the government has worked in partnership with donors, NGOs, humanitarian organisations and with coastal communities themselves to implement DRR efforts for tropical cyclones.
9. More fundamental adjustments are required to avoid the worst disaster losses and tipping points where vulnerability and exposure are high, capacity is low and weather and climate extremes are changing.
10. Any delay in greenhouse gas mitigation is likely to lead to more severe and frequent climate extremes in the future and will likely further contribute to disaster losses.

## 1.3 The implications of this for Asia are as follows:

- There is a need for countries to reassess their vulnerability and exposure in order to better manage disaster risk. This needs to be fully integrated into planning processes, including e.g. through improved continuous data collection for vulnerability and exposure to changing floods and cyclones.
- There is a need for new and better disaster risk assessments that take climate change into account, which may require countries and people to reassess their thinking on what levels of risk they are willing and able to accept.
- It will be important to strengthening new and existing partnerships for reducing risk, e.g. including with the private sector and bilateral and multilateral agencies. Mutual learning within Asia will be important.
- There is a need to strengthen the integration of financial and programming mechanisms to support adaptation and risk management across development sectors.
- It will be important to highlight changing climate-related disaster risks to policy makers working in other policy domains.
- There is a need to reaffirm the importance of mitigating greenhouse gases globally in order to avoid the worst climate extremes and their associated impacts across Asia.
- There must be consideration that in some cases today's climate extremes will be tomorrow's 'normal' weather. Tomorrow's climate extremes may therefore stretch our imagination and challenge our capacity to manage change as never before.
- There is a need for much smarter development and economic policies that consider changing disaster risk as a core component. Without this it is likely that an increasing number of people and assets will be adversely impacted by future climate extremes and disasters.

## 2. Changing disaster risks

This section looks at the components of changing disaster risk in more detail. The inter-linkages between the core concepts discussed in the SREX report are illustrated in Figure 1. This shows how both changes in vulnerability and exposure and changes in weather and extreme climate events can contribute and combine to create disaster risk, hence the need for both disaster risk management (DRM) and climate change adaptation (CCA) within development processes.



### 2.1 Changes in vulnerability and exposure<sup>3</sup>

Vulnerability and exposure are dynamic and depend on economic, social, demographic, cultural, institutional, and governance factors. Individuals and communities are also differentially exposed based on factors such as wealth, education, gender, age, class/caste, and health. Lack of resilience and capacity to anticipate, cope with and adapt to extremes are important

factors of vulnerability. For example, a tropical cyclone can have very different impacts depending on where and when it makes landfall. Similarly, a heat wave can have very different impacts on different population groups depending on their vulnerability. Extreme impacts on human, ecological, or physical systems can therefore result from individual extreme weather or climate events, from non-extreme events where exposure and vulnerability are high, or from a compounding of events or their impacts.

High vulnerability and exposure are generally the outcome of skewed development processes, for example, environmental mismanagement, demographic change, rapid and unplanned urbanisation, failed governance, and a scarcity of livelihood options. This can result in settlements in hazard prone areas, the creation of unsafe dwellings, slums and scattered districts, poverty and lack of awareness of risks. For example, those with awareness, transferable livelihoods, money and access to transport can

move away from disaster areas and live more comfortably out of danger. Those without these assets may be forced to locate their homes in hazard prone areas where they are more vulnerable and exposed to climate extremes. They will also have to deal with the impacts of disaster on the ground, including no water, food, sanitation or shelter. An example of such differences, comparing the different outcomes of two cyclones in Southern Asia, is shown in Box 1.

2. Lavell, A., M. Oppenheimer, C. Diop, J. Hess, R. Lempert, J. Li, R. Muir-Wood, and S. Myeong, 2012: Climate change: new dimensions in disaster risk, exposure, vulnerability, and resilience. In: *Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation* [Field, C.B., V. Barros, T.F. Stocker, D. Qin, D.J. Dokken, K.L. Ebi, M.D. Mastrandrea, K.J. Mach, G.-K. Plattner, S.K. Allen, M. Tignor, and P.M. Midgley (eds.)]. A Special Report of Working Groups I and II of the Intergovernmental Panel on Climate Change (IPCC). Cambridge University Press, Cambridge, UK, and New York, NY, USA, pp. 25-64.
3. Draws on material from SREX Chapter 2, Cardona, O.M. et al, 'Determinants of Risk: Exposure and Vulnerability', and Chapter 4, Handmer, J. et al, 'Changes in Impacts of Climate Extremes: Human Systems and Ecosystems'.

In absolute terms, Asia has over 90% of the global population exposed to tropical cyclones. Although only 15% of world tropical cyclones occur in the North Indian Ocean, they account for 86% of the mortalities. There is strong evidence that weather-related mortality risk is highly concentrated in countries with low GDP and weak governance. For example, many of the countries exposed to tropical cyclones in the North Indian Ocean are characterised by high population density, vulnerability and low GDP. Studies indicate that the extent of the vulnerability to climate variability and climate change is shaped by both the dependence of the national economy and livelihoods on climate-sensitive natural resources and the resilience or robustness of the country's social institutions to equitable distribution of resources under climate change.

A study in South Asia<sup>4</sup> suggests that adaptive capacity and livelihood resilience depend on social capital at the household level (education and other factors that enable individuals to function within a wider economy), the presence or absence of local enabling institutions (local cooperatives, banks, self-help groups), and the larger physical and social infrastructure that enables goods, information, services and people to flow. Interventions to catalyze effective adaptation are important at all these multiple levels.

Changing patterns of vulnerability and exposure are a key driver of risk and disaster losses. Understanding the multi-faceted nature of both exposure and vulnerability is a prerequisite for determining how weather

and climate events contribute to the occurrence of disasters, and for designing and implementing effective adaptation and disaster risk management strategies. Decision and policy making therefore needs to be based on the nature of vulnerability and exposure and not only on the hazard itself.

## 2.2 Changes in extreme events

### Defining climate extremes<sup>5</sup>

A changing climate leads to changes in the frequency, intensity, spatial extent and duration of weather and climate

extremes, and can result in unprecedented extremes. 'An *extreme (weather or climate) event* is generally defined as the occurrence of a value of a weather or climate variable above (or below) a threshold value near the upper (or lower) ends ("tails") of the range of observed values of the variable' (see glossary).

Box 1: An example of different impacts depending on vulnerability and exposure

### Cyclones in Southern Asia

**In November 2007, Cyclone Sidr made landfall in Bangladesh causing almost 4,200 fatalities. Cyclone Nargis hit Myanmar in May 2008 and caused over 138,000 fatalities, making it the eighth deadliest cyclone ever recorded. Sidr and Nargis were both Category 4 cyclones of similar severity; affecting coastal areas with comparable numbers of people exposed. However, these two comparable events had vastly different impacts.**

**The Government of Bangladesh has made serious efforts aimed at disaster risk reduction (DRR) from tropical cyclones. It has worked in partnership with donors, NGOs, humanitarian organisations and, most importantly, with coastal communities themselves. With a sevenfold increase of cyclone shelters and twofold increase of volunteers, 1.5 million people were safely evacuated prior to landfall of Sidr in 2007.**

**In contrast to Bangladesh, Myanmar has very little experience with powerful tropical cyclones, so did not have a comprehensive disaster management programme in place. Bangladesh has, since 1972, developed a holistic approach to disaster management, codified in the Standing Order on Disasters, this now includes early warning systems, community based mobilisation, coupled with operating procedures in place defining the response at every level of government.**

**The landfall of Nargis was the first time in recorded history that Myanmar experienced a cyclone of such a magnitude and severity. Despite Nargis being both slightly less powerful and affecting fewer people than Sidr, it resulted in human losses 32 times higher. This clearly demonstrates that climate change adaptation efforts can be effective in limiting the impacts from extreme tropical cyclone events through DRR and the importance of considering experience and governance contexts as factors affecting vulnerability and exposure to weather extremes.**

Box 2: What can policy makers expect from climate science?

- **The quality of information will differ between global, regional and local scales.**
- **There will be differences in what the science can say about extremes, e.g. the links between rises in temperature and sea level rise are more clear than the links between rises in temperature and an increase in frequency or intensity of storms.**
- **Variability is always important. Climate trends are usually only one factor in the probability of hazards – in some regions and for some decisions, seasonal variability may be more important than long-term trends.**
- **For decisions affecting just the next decade, it may be more important to think about what has changed already and what the near term range of variability is, rather than what will happen in the coming century.**
- **In many cases, all we know is that risks are rising, because uncertainty is increasing, with sometimes some hints on future trends or ranges of uncertainty – there is seldom specific information on precise future probabilities of particular extremes.**
- **These factors should be considered when reviewing climate science for decision- and policy-making. However, uncertainty should not be used as a reason for inaction with regard to investing in reducing vulnerability and exposure. SREX provides enough information to show that more people and assets are in harm's way and much more can be done to reduce exposure, vulnerability and risk.**

4. Moench & Dixit, 2004

5. Draws on material from SREX Chapter 3, Nicholls, N. et al, 'Changes in Climate Extremes and their Impacts on the Natural Physical Environment'.




## 2.3 Changes in climate extremes affecting the region

The SREX provides robust scientific information on what can be expected from changes in weather and climate extremes in various regions and sub-regions of Asia. A summary of this information is captured in Table 1 and 2.

### Key

#### Symbols

-  Increasing trend
-  Decreasing trend
-  Varying trend
-  Inconsistent trend/insufficient evidence
-  No or only slight change

#### Level of confidence in findings




-  Low confidence
-  Medium confidence
-  High confidence

Table 1: Observed changes in temperature and precipitation extremes since the 1950s<sup>6</sup>

Table 1 shows observed changes in temperature and precipitation extremes, including dryness in regions of Asia since 1950, with the period 1961-1990 used as a baseline (see box 3.1 in Chapter 3 of SREX for more information).













































































Region and Sub-region	Trends in maximum temperature (warm and cold days) <sup>7</sup>	Trends in minimum temperature (warm and cold nights) <sup>8</sup>	Trends in heat waves/warm spells <sup>9</sup>	Trends in heavy precipitation (rain, snow) <sup>10</sup>	Trends in dryness and drought <sup>11</sup>
North Asia	 Likely increase in warm days (decrease cold days)	 Likely increase in warm nights (decrease cold nights)	 Spatially varying trends	 Increase in some regions, but spatial variation	 Spatially varying trends
Central Asia	 Likely increase in warm days (decrease cold days)	 Likely increase in warm nights (decrease cold nights)	 Increase in warm spells in a few areas  Insufficient evidence in others	 Spatially varying trends	 Spatially varying trends
East Asia	 Likely increase in warm days (decrease cold days)	 Increase in warm nights (decrease cold nights)	 Increase heat wave in China  Increase in warm spells in northern China, decrease in southern China	 Spatially varying trends	 Tendency for increased dryness
Southeast Asia	 Likely increase in warm days (decrease cold days) for northern areas  Insufficient evidence for Malay Archipelago	 Likely increase in warm nights (decrease cold nights) for northern areas  Insufficient evidence for Malay Archipelago	 Insufficient evidence	 Spatially varying trends, partial lack of evidence	 Spatially varying trends
South Asia	 Increase in warm days (decrease warm days)	 Increase in warm nights (decrease in cold nights)	 Insufficient evidence	 Mixed signal in India	 Inconsistent signal for different studies and indices
Western Asia	 Very likely increase in warm days (decrease in cold days more likely than not)	 Likely increase in warm nights (decrease in cold nights)	 Increase in warm spells	 Decrease in heavy precipitation events	 Lack of studies, mixed results
Tibetan Plateau	 Likely increase in warm days (decrease cold days)	 Likely increase in warm nights (decrease cold nights)	 Spatially varying trends	 Insufficient evidence	 Insufficient evidence



Table 2: Projected changes in temperature and precipitation extremes, including dryness, in Asia

Table 2 shows projected changes in temperature and precipitation extremes, including dryness, in Asia. The projections are for the period 2071-2100 (compared with 1961-1990) or 2080-2100 (compared with 1980-2000) and are based on GCM and RCM<sup>12</sup> outputs run under the A2/A1B emissions scenario.

Region and Sub-region	Trends in maximum temperature (the frequency of warm and cold days) <sup>13</sup>	Trends in minimum temperature (the frequency of warm and cold nights) <sup>14</sup>	Trends in heat waves/warm spells <sup>15</sup>	Trends in heavy precipitation (rain, snow) <sup>16</sup>	Trends in dryness and drought <sup>17</sup>
North Asia	 Likely increase in warm days (decrease in cold days)	 Likely increase in warm nights (decrease in cold nights)	 Likely more frequent and/or longer heat waves and warm spells	 Likely increase in heavy precipitation for most regions	 Inconsistent change
Central Asia	 Likely increase in warm days (decrease in cold days)	 Likely increase in warm nights (decrease in cold nights)	 Likely more frequent and/or longer heat waves and warm spells	 Inconsistent signal in models	 Inconsistent change
East Asia	 Likely increase in warm days (decrease in cold days)	 Likely increase in warm nights (decrease in cold nights)	 Likely more frequent and/or longer heat waves and warm spells	 Increase in heavy precipitation across the region	 Inconsistent change
Southeast Asia	 Likely increase in warm days (decrease in cold days)	 Likely increase in warm nights (decrease in cold nights)	 Likely more frequent and/or longer heat waves and warm spells  Low confidence in changes for some areas	 Inconsistent signal of change across most models (more frequent and intense heavy precipitation suggested over most regions)	 Inconsistent change
South Asia	 Likely increase in warm days (decrease in cold days)	 Likely increase in warm nights (decrease in cold nights)	 Likely more frequent and/or longer heat waves and warm spells	 Slight or no increase in %DP10 index  More frequent and intense heavy precipitation days over parts of S. Asia	 Inconsistent change
West Asia	 Likely increase in warm days (decrease in cold days)	 Likely increase in warm nights (decrease in cold nights)	 Likely more frequent and/or longer heat waves and warm spells	 Inconsistent signal of change	 Inconsistent change
Tibetan Plateau	 Likely increase in warm days (decrease in cold days)	 Likely increase in warm nights (decrease in cold nights)	 Likely more frequent and/or longer heat waves and warm spells	 Increase in heavy precipitation	 Inconsistent change

6. Period 1961-1990 used as a baseline.

7. Refers to the number of warm days and cold days with maximum temperature above or below extreme values, e.g. the 90th/10th percentile with respect to the 1961-1990 reference period.

8. Refers to the number of warm nights and cold nights with minimum temperature above or below extreme values, e.g. the 90th/10th percentile with respect to the 1961-1990 reference period.

9. Warm spell refers to periods of at least six days where maximum temperature values exceed the 90th percentile with respect to the 1961-1990 reference period.

10. Refers to the number of days with precipitation above an extreme value, e.g. the 90th percentile, with respect to the 1961-1990 reference period.

11. Dryness is calculated in relation to a number of variables including: number of consecutive dry days (dry is defined as daily precipitation with <1 mm); soil moisture anomalies; and drought severity index. Dryness refers to a hydro-meteorological water deficit, whereas drought is extended and continuous water shortage. More information is given in Box 3.3 of Chapter 3 in the SREX report.

12. GCM refers to Global Circulation Model, RCM refers to Regional Climate Model.

13. Refers to the number of warm days and cold days with maximum temperature above or below extreme values e.g. the 90th/10th percentile in 2071-2100 with respect to the 1961-1990 reference period.

14. Refers to the number of warm nights and cold nights with temperature extremes above or below extreme values, e.g. the 90th/10th percentile in 2071-2100 with respect to the 1961-1990 reference period.

15. Warm spell refers to periods of at least six days where extreme temperature values exceed the 90th percentile in 2071-2100, with respect to the 1961-1999 reference period.

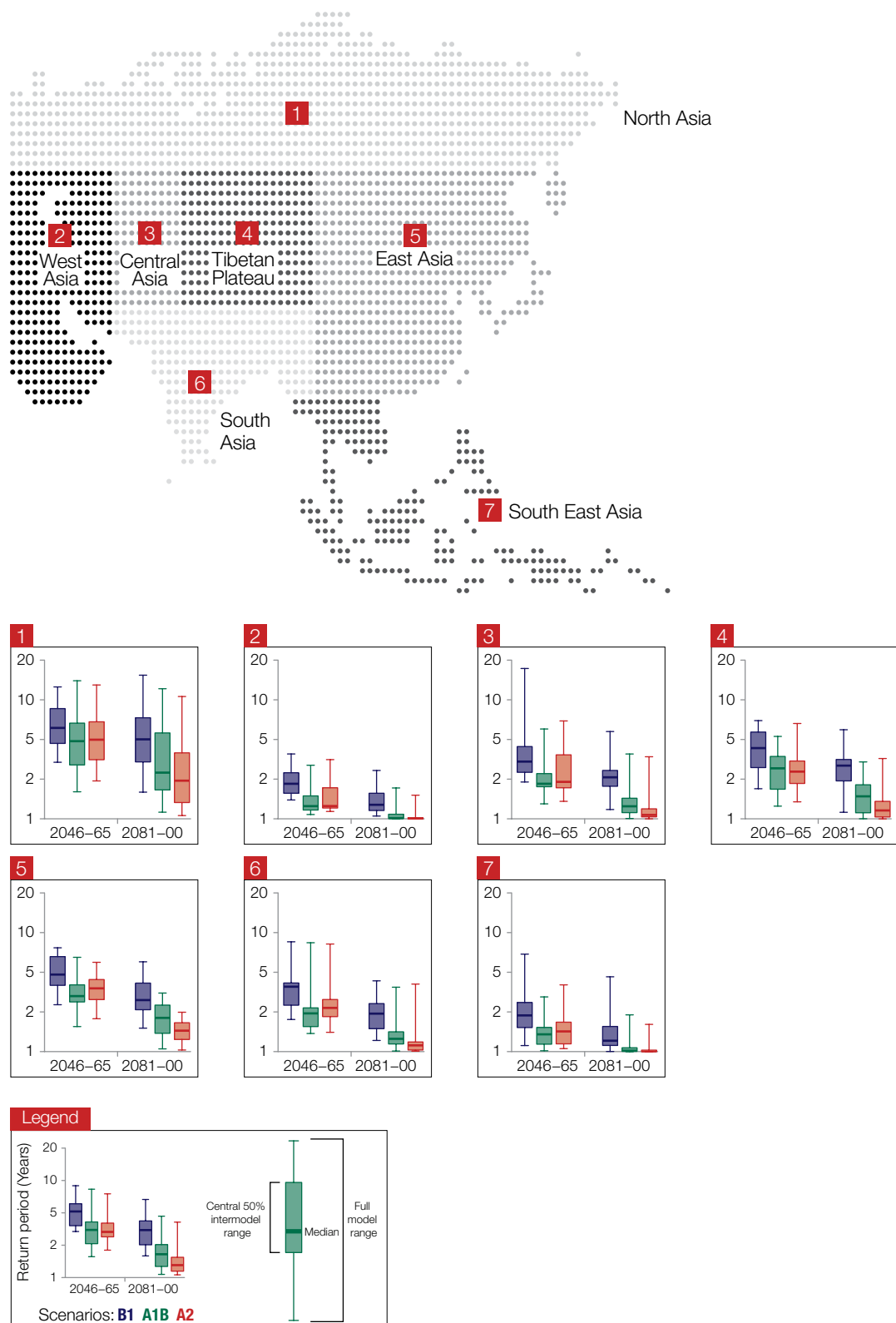
16. Refers to the number of days with precipitation above an extreme value, e.g. the 95th percentile, or above 10mm in one day in 2071-2100, with respect to the 1961-1990 reference period.

17. Dryness is calculated in relation to a number of variables including: number of consecutive dry days (dry is defined as daily precipitation with <1 mm); soil moisture anomalies; and drought severity index. Dryness refers to a hydro-meteorological water deficit, whereas drought is extended and continuous water shortage. More information is given in Box 3.3 of Chapter 3 in the SREX report.

Figure 2: Projected return period (in years) of late 20th century 20-year return values of annual maximum (a) of the daily maximum temperature; and (b) 24-hour precipitation rates<sup>18</sup>

### (a) Temperature

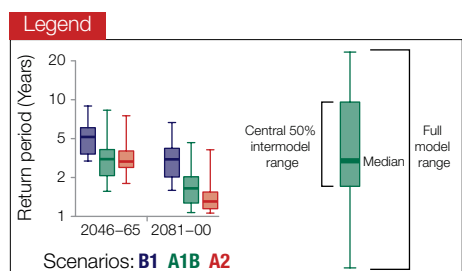
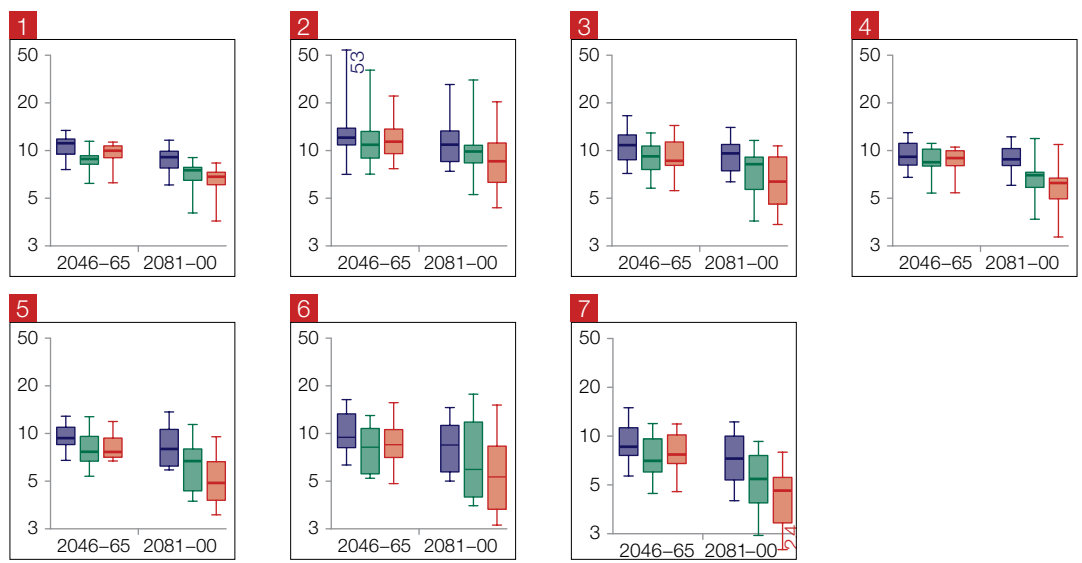
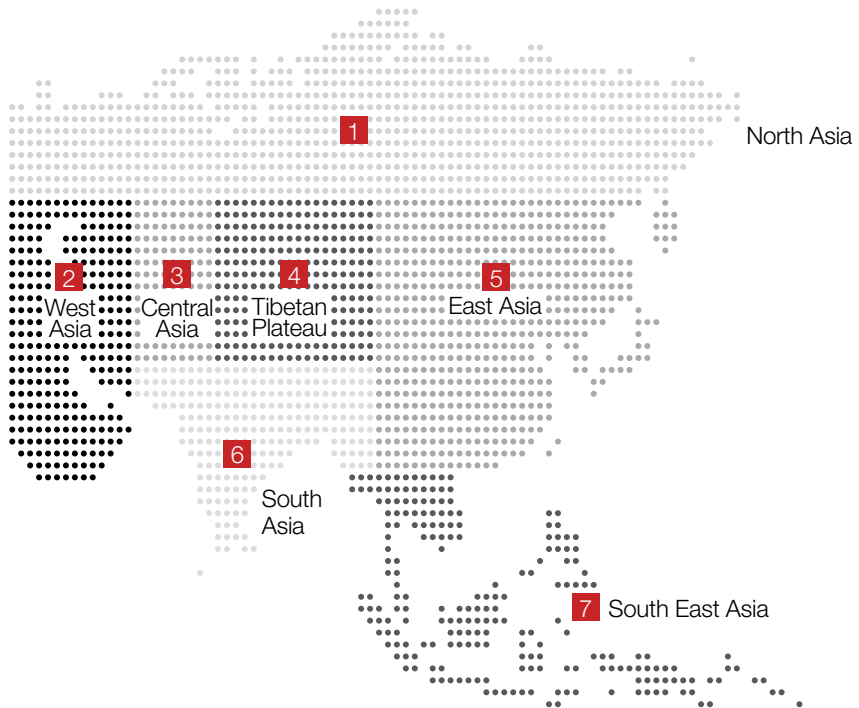
These graphs show how often the hottest day in the last 20 years of the 20th century will be experienced by the middle and end of the 21st century. These are shown under three different emissions scenarios: B1, A1B and A2.<sup>19</sup> For example, in Western Asia, the hottest day experienced in the last 20 years at the end of the 20th century will occur annually or biannually by 2046-65. So what are now considered temperature extremes will become much more like 'normal' temperatures in less than 50 years time.



18. Seneviratne, S.I., N. Nicholls, D. Easterling, C.M. Goodess, S. Kanae, J. Kossin, Y. Luo, J. Marengo, K. McInnes, M. Rahimi, M. Reichstein, A. Sorteberg, C. Vera, and X. Zhang, 2012: Changes in climate extremes and their impacts on the natural physical environment. In: *Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation* [Field, C.B., V. Barros, T.F. Stocker, D. Qin, D.J. Dokken, K.L. Ebi, M.D. Mastrandrea, K.J. Mach, G.-K. Plattner, S.K. Allen, M. Tignor, and P.M. Midgley (eds.)]. A Special Report of Working Groups I and II of the Intergovernmental Panel on Climate Change (IPCC). Cambridge University Press, Cambridge, UK, and New York, NY, USA, pp. 109-230.

### (b) Precipitation

These graphs show how often the wettest day in the last 20 years of the 20th century will be experienced by the middle and end of the 21st century. These are shown under three different emissions scenarios: B1, A1B and A2.<sup>19,20</sup> For example, in East Asia and the Tibetan Plateau, the wettest day experienced in the last 20 years at the end of the 20th century will happen more like every 10 years by the end of the 21st Century depending on which emissions scenario is followed.



19, 20. These refer to three of the six possible IPCC emissions scenario groups used throughout their reports. B1 describes a convergent world with rapid changes towards a service and information economy and introduction of clean and resource efficient technologies. A1B describes rapid economic development and growth, with balanced technological development across all sources. i.e. neither fossil intensive nor all non-fossil sources. A2 is a heterogeneous world with self reliance and local identity, regional economic development, fragmented and slower growth. See [www.ipcc.ch/pdf/special-reports/spm/sres-en.pdf](http://www.ipcc.ch/pdf/special-reports/spm/sres-en.pdf) Figure 1 for more information.

Observations and projections of trends in the monsoon and tropical cyclones and other relevant extremes are given in Table 3.

Table 3: Overview of considered extremes and summary of observed and projected changes on global scale

	Observed changes (since 1950)	Attribution of observed changes	Projected changes (up to 2100) with respect to late 20th century	
Phenomena related to weather and climate extremes	<b>Monsoons</b>	<i>Low confidence</i> in trends because of insufficient evidence.	<i>Low confidence</i> due to insufficient evidence.	<i>Low confidence</i> in projected changes of monsoons, because of insufficient agreement between climate models.
	<b>El Niño and other modes of variability</b>	<i>Medium confidence</i> of past trends towards more frequent central equatorial Pacific El Niño Southern Oscillation (ENSO) events. Insufficient evidence for more specific statements on ENSO trends. <i>Likely</i> trends in Southern Annular Mode.	<i>Likely</i> anthropogenic influences on identified trends in Southern Annular Mode. <sup>21</sup> Anthropogenic influence on trends in NAO are <i>as likely as not</i> . No attribution of changes in ENSO.	<i>Low confidence</i> in projections of changes in behaviour of ENSO and other modes of variability because of insufficient agreement of model projections.
	<b>Tropical cyclones</b>	<i>Low confidence</i> that any observed long-term (i.e. 40 years old or more) increases in tropical cyclone activity are robust, after accounting for past changes in observing capabilities.	<i>Low confidence</i> in attribution of changes in tropical cyclone activity to anthropogenic influences (insufficient data quality and physical understanding).	<i>Likely</i> decrease or no change in frequency of tropical cyclones. <i>Likely</i> increase in mean maximum wind speed, but possibly not in all basins. <i>Likely</i> increase in heavy rainfall associated with tropical cyclones.
	<b>Extratropical cyclones</b>	<i>Likely</i> poleward shift in extratropical cyclones. <i>Low confidence</i> in regional changes in intensity.	<i>Medium confidence</i> in anthropogenic influence on poleward shift.	<i>Likely</i> impacts on regional cyclone activity but <i>low confidence</i> in detailed regional projections due to only partial representation of relevant processes in current models. <i>Medium confidence</i> in a reduction in the numbers of mid-latitude storms. <i>Medium confidence</i> in projected poleward shift of mid-latitude storm tracks.

#### Box 3: Extreme weather events in Asia – beyond SREX

- **The number of natural catastrophes in Asia has risen from under 100 in 1980 to over 300 in 2010. The largest growth is in hydrological events e.g. flooding and mass movement.**
- **Between 1980 and 2010 Asia experienced 4,950 weather related catastrophes, more than any other continent over the same period.**
- **Between 1980 and 2010 Asia has suffered 51% of the world's reported fatalities from natural catastrophes (1.16 million people).**
- **In the first 9 months of 2011 80% of all economic losses from natural disasters occurred in Asia Pacific.**

Source: Münchener Rückversicherungs-Gesellschaft, Geo Risks Research, NatCatSERVICE, 2011.

21. The Southern Annular Mode refers to the shifts (north and south) of atmospheric mass between middle and high latitudes. It is the most significant mode of variability outside the tropics in the Southern Hemisphere and plays an important role in climate variability in these latitudes. It has been associated with cooler than normal temperatures over most of Antarctica and Australia, with warm anomalies over the Antarctic Peninsula, southern South America, and southern New Zealand, and with anomalously dry conditions over southern South America, New Zealand, and Tasmania and wet anomalies over much of Australia and South Africa (e.g., Hendon et al., 2007).

## 2.4 Consequences of climate extremes<sup>22</sup>

This section builds on the information presented in Tables 1 and 2 and Figure 2 to highlight how climate extremes could affect Asia. It provides examples of the consequences and impacts that arise from a sample of climate extremes common to the Asia region. The science base also shows how incremental climate-related impacts, rather than extreme events per se, can have extreme consequences where vulnerability is high.

**Floods:** Pakistan's 2010 floods left an estimated 6 million people in need of shelter. Urban poor populations often experience increased rates of infectious disease after flood events, for example after the July 2005 floods in Mumbai the prevalence of leptospirosis rose eight-fold. In Dhaka, Bangladesh, severe flooding in 1998 was associated with an increase in diarrhoea;

with the risk of non-cholera diarrhoea being higher among those from a lower socio-economic group unable to use tap water. Exposure to flooding in 1970, with projections to 2030, are shown in Figure 3, highlighting particular exposure for Asia.

**Heat stress:** Heat extremes can claim casualties in tropical countries, even where people are acclimatised to a hot climate. A study of the relationship between daily temperature and mortality in mid- and low-income countries found that higher mortality was observed on very hot days in most cities, including tropical cities, such as Bangkok, Dhaka and Delhi. Persons living in informal settlements and structures are more exposed to high temperatures.

**Drought:** Prolonged drought in Syria (2008-2011) has affected 1.3 million people. The loss of the 2008 harvest has accelerated migration to urban areas and increased levels of

extreme poverty. This has put pressure on water resources with deficit exceeding 3.5 billion cubic meters in recent years due to growing water demands and drought.

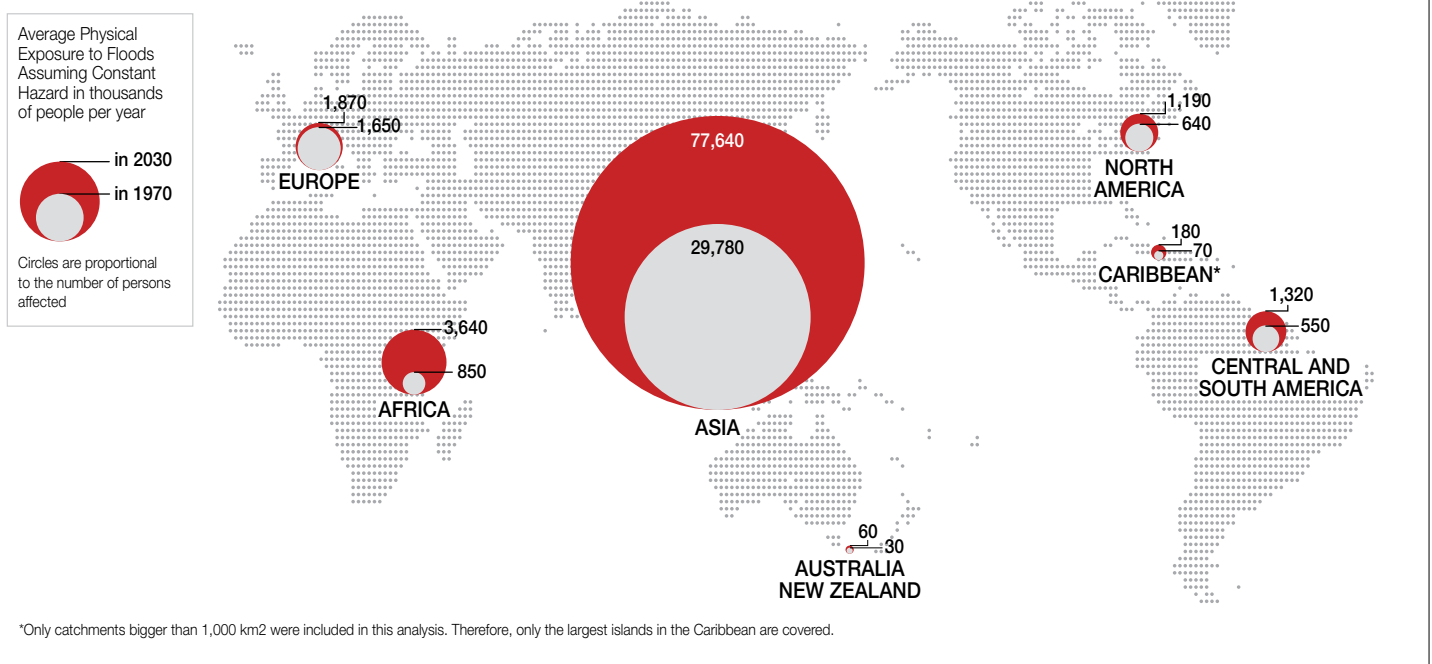
**Dzud** is a compound hazard occurring in Mongolia's cold dry climate that encompasses drought, heavy snowfall, extreme cold and windstorms. It lasts all year round and causes dramatic socioeconomic impacts, including significant loss of livestock, unemployment, poverty and mass migration from rural to urban areas, giving rise to heavy pressure on infrastructure, and social and ecosystem services.

**Tropical cyclones:** Damages from tropical cyclones are perhaps most commonly associated with extreme wind, but storm-surge and fresh-water flooding from extreme rainfall generally cause the great majority of damage and loss of life. Extreme strong winds damage buildings, infrastructure

and other assets, torrential rains cause floods and landslides, and high waves and storm surge lead to coastal flooding and erosion, all of which have major impacts on people. For example, Cyclone Nargis, which hit Myanmar in May 2008, caused over 138,000 fatalities. Projected sea level rise is expected to further compound tropical cyclone surge impacts. Asia is particularly exposed as Figure 4 illustrates.

**Sea Level Rise** can exacerbate inundation, erosion, and other coastal hazards, threaten vital infrastructure, settlements and facilities, and thus compromise the socio-economic wellbeing of island communities and states. A comparative study of the impact of sea level rise on coastal inundation across 84 developing countries showed that the greatest vulnerability in terms of inundation of land area to a 1m sea level rise was located in East Asia and the Pacific, followed by South Asia.

Figure 3: Average physical exposure to floods assuming constant hazard in thousands of people per year<sup>23</sup>



22. Draws on material from SREX Chapter 4, Handmer, J. et al, 'Changes in Impacts of Climate Extremes: Human Systems and Ecosystems'.

Figure 4: Average physical exposure to tropical cyclones, assuming constant hazard, in thousands of people per year<sup>24</sup>

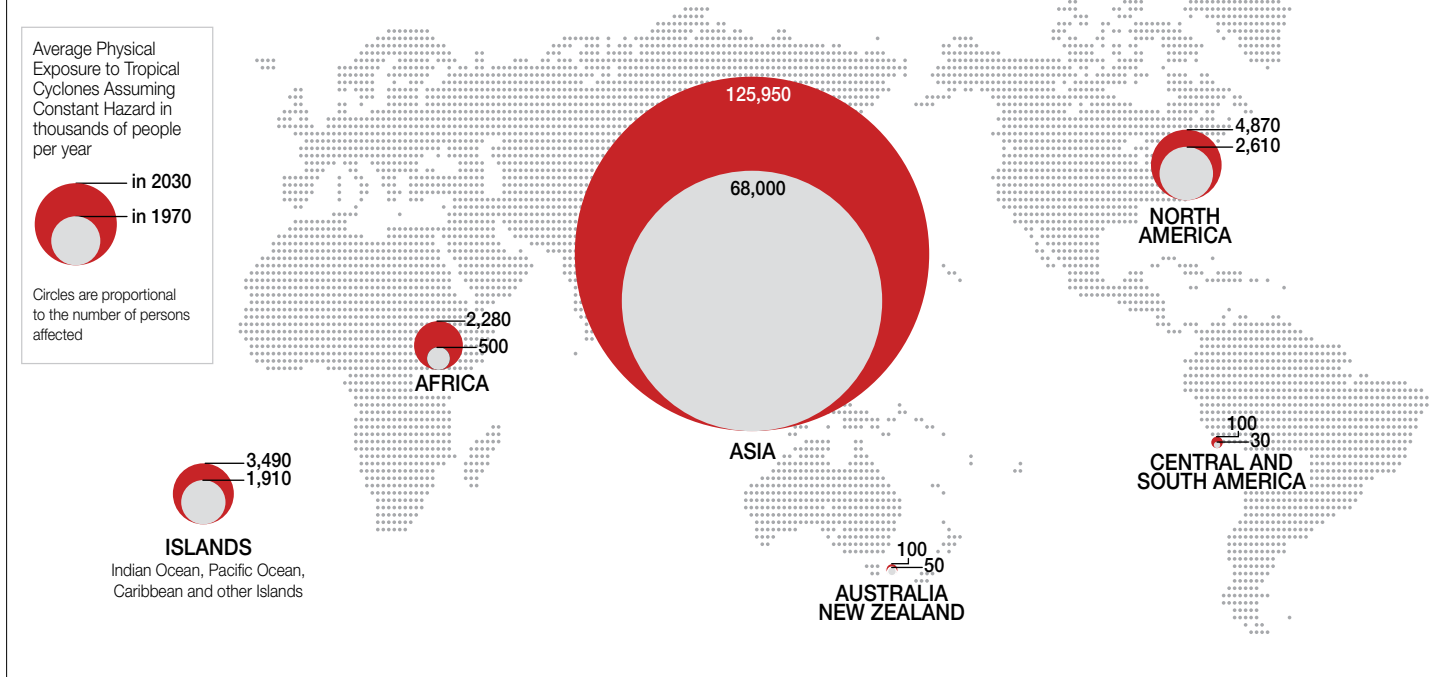
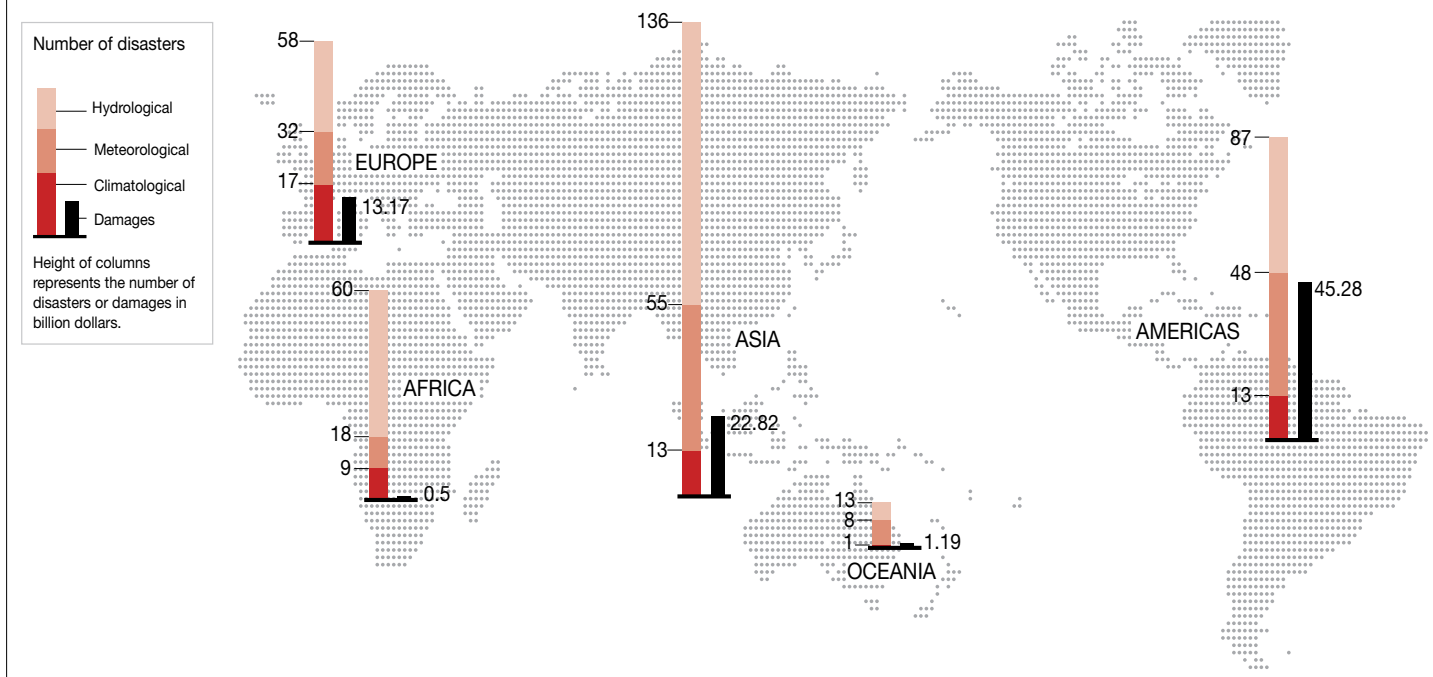


Figure 5: Weather and climate related disasters and regional average impacts (damages in US\$ billion) from 2000-2008<sup>25,26</sup>



23, 24, 25. Handmer, J., Y. Honda, Z.W. Kundzewicz, N. Arnell, G. Benito, J. Hatfield, I.F. Mohamed, P. Peduzzi, S. Wu, B. Sherstyukov, K. Takahashi, and Z. Yan, 2012: Changes in impacts of climate extremes: human systems and ecosystems. In: *Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation* [Field, C.B., V. Barros, T.F. Stocker, D. Qin, D.J. Dokken, K.L. Ebi, M.D. Mastrandrea, K.J. Mach, G.-K. Plattner, S.K. Allen, M. Tignor, and P.M. Midgley (eds.)]. A Special Report of Working Groups I and II of the Intergovernmental Panel on Climate Change (IPCC). Cambridge University Press, Cambridge, UK, and New York, NY, USA, pp. 231-290.

26. From Vos et al, 2010.



# 3. Future impacts

This section looks ahead to explore the range of possible future impacts for the region, considering points 3, 4 and 5 in the key messages (section 1.2) in more detail.

## Impacts of extremes on human systems and eco systems

As shown in section 2, climate extremes may result in a broad range of impacts on both human and ecosystems including economic losses, impacts on different sectors such as tourism and agriculture, on urban settlements and on small island states. The severity of these impacts will depend strongly on the level of exposure and vulnerability to climate extremes. Collectively such impacts can also have a significant adverse affect on the population and can harm national, regional and global development. Some examples are provided below.

### 3.1 Increasing economic losses<sup>27</sup>

There is *high confidence* that economic losses from weather and climate related disasters are increasing, albeit with large inter-annual variability. Increasing exposure of people and economic assets has

been a major cause. Whilst measured economic losses from disasters are largest in developed countries, there is high confidence that fatality rates and economic losses as a proportion of GDP are higher in developing countries. The largest absolute adaptation costs are expected in East Asia and the Pacific, followed by the Latin American and Caribbean region as well as sub-Saharan Africa. In the period 2000-2008, Asia experienced the highest number of weather- and climate-related disasters.

Increases in exposure will result in higher direct economic losses from tropical cyclones. In July 2005, Mumbai, India, was struck by the largest storm in its recorded history. A week of heavy rain disrupted water, sewer, drainage, transport, power, and telecommunications systems. As a result of this 'synchronous failure', Mumbai-based ATM banking systems ceased working across much of the country, and the Bombay and National Stock Exchanges

were temporarily forced to close. This demonstrates that within megacities, risk and loss are both concentrated and also spread through networks of critical infrastructure as well as connected economic systems.

### 3.2 Sector vulnerability<sup>28</sup>

Extreme events have the greatest impacts on sectors that are closely linked with or dependent on the climate, for example water, agriculture and food security, forestry, health and tourism. There is high confidence that changes in the climate could seriously affect water management systems. Climate extremes also have large adverse impacts on infrastructure, e.g. roads cracking, railways buckling, and flooding of airports, particularly in coastal areas. Coastal inundation due to storm surges and floods can affect terminals, freight villages, storage areas, and cargo, and disrupt supply chains and transport. This may

have far reaching implications for international trade, as more than 80% of global trade in goods (by volume) is carried by sea. The economic viability of for example, the Chinese coastal zone – home to many multinational companies producing a large share of consumer goods globally – is highly exposed to typhoon risk and will increasingly depend on well implemented DRR mechanisms. The tourism sector is also sensitive to the climate; particularly given that climate is a key factor in tourism demand. Agriculture is affected directly by both temperature extremes and rainfall. For example, rice, the staple food in many parts of Asia, is adversely affected by extremely high temperature. About 15% (23 million ha) of Asian rice areas experience frequent yield loss due to drought. The problem is particularly pertinent to eastern India, where the area of drought-prone fields exceeds more than 10 million ha.<sup>29</sup>

27. Draws on materials from SREX Chapter 4, Handmer, J. et al, 'Changes in Impacts of Climate Extremes: Human Systems and Ecosystems', and SREX Chapter 6, Lal, P. N. et al, 'National Systems for Managing the Risks from Climate Extremes and Disasters'.

28. Draws on materials from SREX Chapter 4, Handmer, J. et al, 'Changes in Impacts of Climate Extremes: Human Systems and Ecosystems'.

29. Even when total annual rainfall is adequate, shortages at critical periods reduce yield. For example, lowland rice production in the Mekong region is generally reduced because crops are cultivated under rain fed conditions, rather than irrigated, and often exposed to drought.



### 3.3 Urban settlements<sup>30</sup>

Changes in settlement patterns, urbanisation, and socio-economic status in Asia have influenced observed trends in vulnerability and exposure to climate extremes. In many coastal areas growing urban settlements have also affected the ability of natural coastal systems to respond effectively to extreme climate events, thus rendering them more vulnerable. Flooding regularly disrupts cities and urban food production, which can undermine food security, particularly in poor communities. Heavy rainfall and

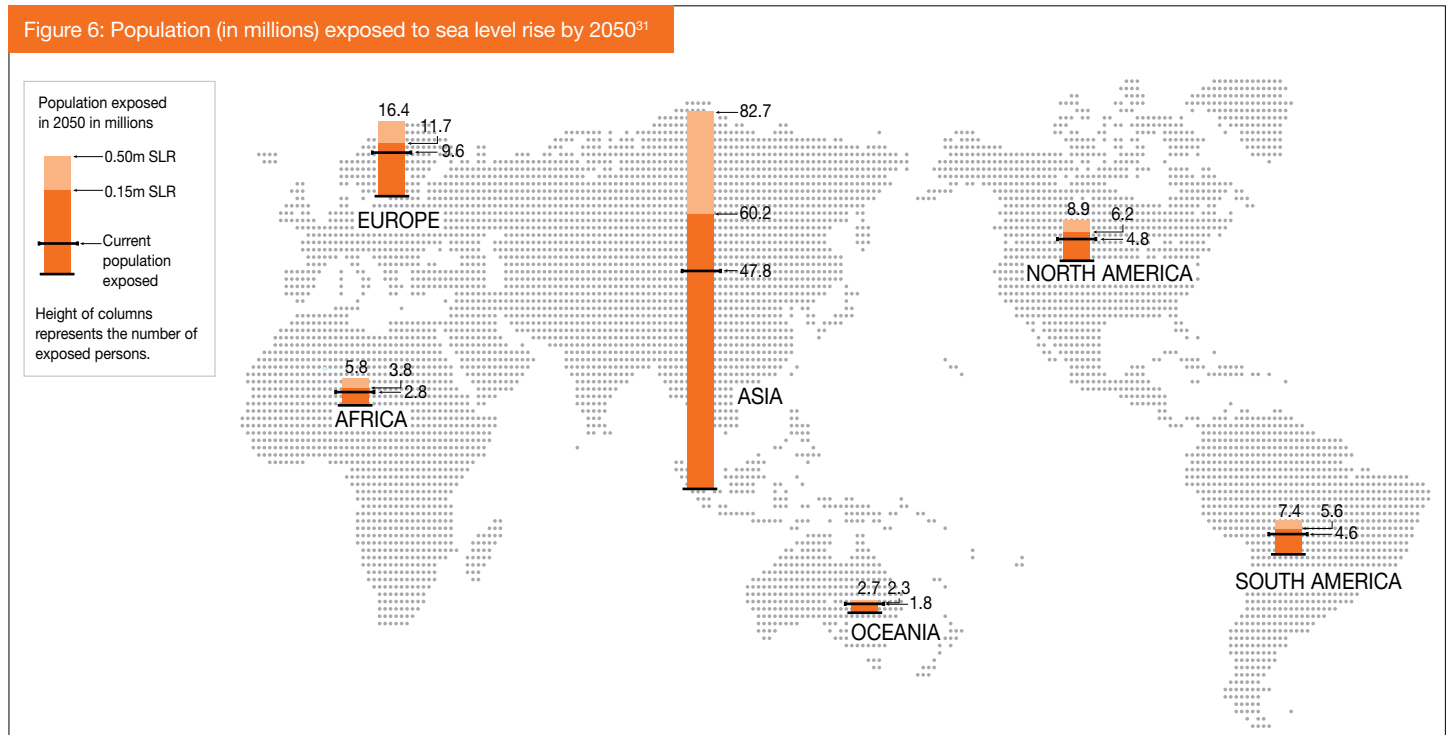
flooding can also contaminate surface water and affect environmental health in urban areas.

The need for adaptation is especially acute in Asia given that seven of the top 10 urban agglomerations projected to have the greatest exposure of assets in 2070 are in developing countries in this region. Scaled-up financing for adaptation may therefore be needed to safeguard residents and economic activity in these cities to a level comparable to that of other coastal megacities facing similar population and asset exposure, e.g. New York or Tokyo. Critical distinctions

include the degree of poverty and the effectiveness of local government in those cities most at risk. Some countries, such as China, India and Thailand, are projected to face increased future exposure to extremes, especially in highly urbanised areas, as a result of rapid urbanisation and economic growth. In central Dhaka, Bangladesh, infilling in areas occupied by natural water bodies and drains is increasing the risks of flooding. Building in the drained wetlands also generates new risks of liquefaction following earthquakes.

Mumbai, Kolkata, Dhaka, Guangzhou, Ho Chi Minh City, Shanghai, Bangkok, Rangoon, and Hai Phòng will have the greatest population exposure to coastal flooding in 2070. Many of these cities are already characterised by significant population and asset exposure to coastal flooding. However, there is a certain limit to adaptation given that these cities are fixed in place and some degree of exposure to hazards is 'locked in' due to the unlikelihood of relocation. The numbers exposed in millions by 2050 are shown in the graph below highlighting the particular exposure of Asia.

Figure 6: Population (in millions) exposed to sea level rise by 2050<sup>31</sup>



30. Draws on materials from SREX Chapter 4, Handmer, J. et al, 'Changes in Impacts of Climate Extremes: Human Systems and Ecosystems'.

31. Handmer, J., Y. Honda, Z.W. Kundzewicz, N. Arnell, G. Benito, J. Hatfield, I.F. Mohamed, P. Peduzzi, S. Wu, B. Sherstyukov, K. Takahashi, and Z. Yan, 2012: Changes in impacts of climate extremes: human systems and ecosystems. In: Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation [Field, C.B., V. Barros, T.F. Stocker, D. Qin, D.J. Dokken, K.L. Ebi, M.D. Mastrandrea, K.J. Mach, G.-K. Plattner, S.K. Allen, M. Tignor, and P.M. Midgley (eds.)]. A Special Report of Working Groups I and II of the Intergovernmental Panel on Climate Change (IPCC). Cambridge University Press, Cambridge, UK, and New York, NY, USA, pp. 231-290.

# 4. Managing the risks of climate extremes and disasters

This section considers the ranges of responses required in order to try to better manage the risks of climate extremes and disasters. It considers the key messages 6-10 in more detail (see section 1.2).

## Managing the risk at different scales/levels<sup>32</sup>

Disaster risk will continue to increase in many countries as more vulnerable people and assets are exposed to climate extremes. Increases in the occurrence of such weather-related disaster risk will magnify the uneven distribution of risk between wealthier and poorer countries. Climate change is altering the geographical distribution, intensity and frequency of some weather-related hazards in some regions, which threatens to exceed the capacities of poorer countries to absorb losses and recover from disaster impacts. So risk management becomes critical. This section considers the risk management options at local, national and international levels.

Closer integration of disaster risk management and climate change adaptation, along with the incorporation of both into local, sub-national, national, and international development policies and practices, could provide benefits at all scales. Addressing social welfare, quality of life, infrastructure, and livelihoods, and incorporating a multi-hazards approach into planning and action for

disasters in the short term, facilitates adaptation to climate extremes in the longer term. When considering the linkages between disaster management, climate change adaptation and development, timescales play an important role. For example, during disaster reconstruction tensions frequently arise between demands for speed of delivery and sustainability of outcome. Response and reconstruction funds tend to be time limited, often requiring expenditure within 12 months or less from the point of disbursement. This pressure is compounded by multiple agencies working with often limited coordination. Time pressure and competition between agencies tends to promote centralised decision-making and the sub-contracting of purchasing and project management to non-local commercial actors. Both outcomes save time but miss opportunities to include local people in decision-making and learning from the event, with the resulting reconstruction in danger of failing to support local cultural and economic priorities. Strategies and policies are more effective when they acknowledge multiple stressors, different prioritised values, and competing policy goals.

## 4.1 Local level DRM<sup>33</sup>

Integrating local knowledge with additional scientific and technical knowledge can improve disaster risk reduction and adaptation. This self-generated knowledge can uncover existing capacity, as well as important shortcomings. The social organisation of societies dictates the flexibility in the choice of protective actions. It is important to overcome the disconnect that remains between local risk management and national institutional and legal policy and planning. Local level DRM for example can, and should, be supported by environmental planning, urban land use planning, livelihood strengthening and improvement in health surveillance, water supply, sanitation, and irrigation and drainage systems.

Research undertaken by the Institute for Social and Environmental Transition (ISET) on a number of cases in India, Nepal and Pakistan shows that benefits exceed costs for local interventions. For example they note that return rates are particularly robust for lower-cost interventions, when compared to embankment infrastructure strategies that require capital investment. In Orissa, India, a comparison of the impact of the 1999 super cyclone

on 409 villages in two tahsils with and without mangroves, show that villages that had healthy strands of mangroves suffered significantly less loss of lives than those without (or with limited areas of) healthy mangroves, even though all villages had the benefit of early warnings and accounting for other social and economic variables.

## 4.2 National level DRM<sup>34</sup>

National systems are at the core of countries' capacity to meet climate challenges. Effective national systems comprise multiple actors from national and sub-national governments, the private sector, research bodies and civil society, including Community-Based Organisations (CBOs), each playing differential but complementary roles to manage risk according to their accepted functions and capacities. Greater efforts are required to address the underlying drivers of risk and generate political will to invest in disaster risk reduction. Changes in weather and climate extremes also pose new challenges for national DRM systems, which are often poorly adapted to current risks. However, there are relatively few examples where mainstreaming

32. Draws on materials from SREX Chapter 8, O'Brien, K. et al, 'Toward a Sustainable and Resilient Future'.

33. Draws on materials from SREX Chapter 5, Cutter, S. et al, 'Managing the Risks from Climate Extremes at the Local Level'.

34. Draws on materials from Chapter 6, Lal, P. N. et al, 'National Systems for Managing the Risks from Climate Extremes and Disasters'.

adaptation to climate change and DRM issues have been priorities over extended time periods and have made significant progress.

There are some examples of standing disaster management capacity including the National Commission for Disaster Reduction in China, National Disaster Management Authorities in India and Indonesia, and National Disaster Management Offices (NDMO) in

many Pacific island countries. In Mongolia the National Climate Risk Management Strategy and Action Plan (MMS 2009) seeks to build climate resilience at the community level through reducing risk and facilitating adaptation by: i) improving access to water, ii) improving the quality of livestock, iii) strengthening veterinarian services, and iv) using traditional herding knowledge and techniques, along with better community based DRM.

Legislation at the national level can play a useful role as explored in Box 4.

The existing literature on legislation for adaptation at the state level is not comprehensive, but a number of countries studied lack many of the institutional mechanisms and legal frameworks important for coordination at this level. In the South Pacific, high exposure to climate change risk has yet to translate into legislative frameworks to

support adaptation, with only Fiji, Papua New Guinea and Western Samoa formulating national climate change regulatory frameworks. Without a supporting and implemented national legislative structure, achieving local DRR and climate change adaptation planning can be complicated. In some high-risk regions rapid development of national platforms of CSOs and CBOs is helping to push for the transformation of policies and practices related to DRR.

A set of factors has been identified that make efforts to systematically manage disaster risk more successful. These are captured in Box 5.

#### Box 4: The role of legislation – examples from Indonesia and China

### Indonesia: Disaster Management Law (24/2007)

In 2007 Indonesia passed the Disaster Management Law, which created a stronger association between DRM and development planning processes. The legislative process was considered successful due to:

- **Strong, visible professional networks** – networks born out of previous disasters meant a high level of trust and willingness to co-ordinate the legal reform process. The political and intellectual capital, along with leadership from the Indonesian Society for Disaster Management was instrumental in convincing lawmakers about the importance of disaster management reform.
- **Civil society leading advocacy** – this resulted in CSOs being recognised by Law as key actors in implementing DRM in Indonesia.
- **A supportive political environment** – reform was initiated after the 2004 South Asian tsunami, which highlighted major deficiencies in DRM. However, the direction of the reform (from emergency management towards DRR) was influenced by the international focus, through the HFA, on DRR.
- **Inclusive drafting process** – consultations on the new Disaster Management Law were inclusive of practitioners and civil society, but not so far-reaching as to delay reform.
- **Consensus that passing an imperfect law is better than no law at all** – an imperfect law can be supplemented by additional regulations, which helps to maintain interest and focus.

### Disaster management in China – integration and legislation

The Government's disaster management process, developed as National Integrated Disaster Reduction, is a comprehensive system bringing together central and local government sectors and covering different phases of disaster preparedness, response and recovery/ rehabilitation. China has over 30 laws and regulations on disaster management. The Emergency Response Law, adopted in 2007, serves as the central legal document governing all disaster related efforts. It establishes an emergency response system consisting of three levels:

- **The National Master Plan for Responding to Public Emergencies** – a framework used throughout government to ensure public security and cope with emergency events, including all disaster response activities.
- **Five national thematic disaster response plans** which assign duties and arrangements for major disaster response categories (disaster relief; flood and drought; earthquakes; geological disasters and very severe forest fires).
- **Emergency response plans for 15 central government departments** with detailed implementation plans and operation norms.

## 4.3 Risk management at the international level<sup>35</sup>

International actors can also play a useful enabling role in risk management as summarised in Box 6.

International funding mechanisms such as the LDC (Least Developed Countries) Fund, the Special Climate Change Fund, the Multi-donor Trust Fund (MDTF) on Climate Change, and the Pilot Programme for Climate Resilience (PPCR) under the Climate Investment Fund (CIF) are making funding and resources available to developing countries to pilot and mainstream climate risk management and resilience building into development. This provides incentive for scaled-up action and transformational change, although funding is inadequate. In Asia international actors have also supported the Disaster Reduction Hyperbase<sup>36</sup> (DRH),

35. Draws on materials from Chapter 7, Burton, I. et al, 'Managing the Risks: International Level and Integration Across Scales'.

36. <http://drh.edm.bosai.go.jp/>

a web-based collection of new and traditional indigenous technologies relevant to DRM that promote communication among developing and industrial countries.

Several Asian countries have been cooperating with OECD countries to develop small earth observation satellites, like DAICHI (Advanced Land Observing Satellite) and WINDS (Wideband InterNetworking engineering

test and Demonstration satellite) that include both optical and microwave sensors. DAICHI operated between 2006 and 2011 and made an important contribution to emergency observations of regions hit by major disasters in this period. It is important to note that there are existing capabilities within some particularly exposed developing countries (e.g. India, Bangladesh, China, Philippines) with well-developed remote-sensing capabilities of their own,

or existing arrangements with other space agency suppliers.

Risk transfer (usually with payment) and risk sharing (usually informal, with no payment) mechanisms are also recognised by international actors as an integral part of DRM and adaptation. A number of international organisations are already supporting countries most at risk from climate impacts to explore the potential for risk transfer, for example through enabling

access to insurance against extreme weather events. The international transfer and sharing of risk is an opportunity for individuals and governments of all countries that cannot sufficiently diversify their portfolio of weather risk internally, and especially for governments of vulnerable countries that do not wish to rely on ad hoc and often insufficient post-disaster assistance. Some specific examples of risk transfer in Asia are illustrated in Box 7.

#### Box 5: Factors for more successful management of disaster risk<sup>37</sup>

- **Risks are recognised as dynamic and are mainstreamed and integrated into policy and strategy, for example the impact of the 2004 Asian tsunami helped to create a supportive political environment for DRM in Indonesia.**
- **Legislation for managing disaster risk is supported by clear regulations that are enforced. For example, Bangladesh is developing national policies that require replacement houses built following disasters to include 'climate proofing' standards.**
- **Disaster risk management functions are co-ordinated across sectors and scales and led by organisations at the highest political level. In China the government's National Integrated Disaster Reduction approach brings together a number of central and local government sectors and covers different phases of disaster preparedness, response and recovery.**
- **Risk is quantified and factored into national budgetary processes.**
- **Decisions are informed by the right information, using a range of tools and guidelines, for example remote-sensing capabilities in India, China and the Philippines.**
- **Early Warning Systems work, for example early warning committees established in northern Bangladesh have helped to link communities to external organisations and improved their access to resources during floods.**

#### Box 6: The role of IFIs, donors and other international actors in developing catastrophic risk financing mechanisms

**International agencies can play a strongly catalytic role in the development of catastrophic risk financing solutions in vulnerable countries, most notably by:**

- **exercising convening power and coordinating initiatives**
- **supporting public goods for development of risk market infrastructure**
- **providing technical assistance and sharing experience**
- **creating enabling markets, for example in the banking sector**
- **financing risk transfer, for example through micro-insurance.**

#### Box 7: A risk transfer example

##### **Covering local risks: index-based micro insurance for crop risks in India**

An innovative insurance program set up in India in 2003 covers non-irrigated crops in the state of Andhra Pradesh against the risk of insufficient rainfall during key times of the cropping season. The index-based policies are offered by a commercial insurer and marketed to growers through microfinance banks. In contrast to conventional insurance, which is written against actual losses, this index-based (parametric) insurance is written against a physical or economic trigger, in this case rainfall. The scheme owes its existence to technical assistance provided by the World Bank. Schemes replicating this approach are currently targeting more than a million exposed farmers in India. One advantage of index-based insurance is the substantial decrease in transaction costs due to eliminating the need for expensive post-event claims handling, which has impeded the development of insurance mechanisms in developing countries. A disadvantage is basis risk, which is the lack of correlation of the trigger with the loss incurred.

37. Draws on material from Chapter 8, O'Brien, K. et al, 'Toward a Sustainable and Resilient Future'.



# 5. Conclusions: What does this mean for decision makers in Asia?<sup>38</sup>

**This final section considers the implications for the Asia region in more detail. As climate change impacts become more dramatic, the effects on a range of climate extremes will become more important and will play a more significant role in disaster impacts and DRM. The capacity of Asian countries to meet this challenge will be determined by the effectiveness of their national risk management systems, including adaptation and mitigation measures. Some are poorly prepared and need to reassess their vulnerability, exposure and investments in order to better manage disaster risks. A new balance needs to be struck between measures to reduce and transfer risk, and to effectively prepare for and manage disaster impacts in a changing climate.**

## 5.1 Links to the mitigation of greenhouse gases

Rapid and comprehensive reduction of greenhouse gas emissions is required in order to reduce the need for future adaptation and DRM in the longer term. Creating synergies between adaptation and mitigation can increase the cost-effectiveness of action and make them more attractive to stakeholders including potential funding agencies. Opportunities for synergies are greater in some sectors (agriculture and forestry, buildings and urban infrastructure) but are more limited in others (coastal systems, energy and health). Examples include where adaptation leads to effects on mitigation such as watershed planning including hydro-electricity affecting greenhouse gas emissions, or where mitigation can affect capacity to adapt, such as community carbon sequestration affecting livelihoods.<sup>39</sup>

## 5.2 Coping, adapting and learning

How well a community responds to and survives disaster depends upon the resources that can be used to cope. Adaptation in anticipation of extreme events can help to limit the 'coping' that may be required to survive the next disaster. Adaptive capacity focuses on longer-term and more sustained adjustments, e.g. better rainwater harvesting techniques, changing crops, or building further inland or on higher ground. As possible climate futures are uncertain, 'no regrets' adaptation strategies are often recommended. They have net benefits over the range of anticipated future climate and associated impacts. Learning is essential to risk management and adaptation. Research on learning emphasises the importance of action-oriented problem solving, learning-by-doing, and concrete learning cycles.

## 5.3 Integrating DRM, climate change adaptation and sustainable development

Sustainable development involves finding pathways that achieve a variety of socioeconomic and environmental goals, without sacrificing any one for the sake of the others. As a result, the relationships between adaptation, disaster risk management and sustainability are highly political. Successful reconciliation of multiple goals 'lies in answers to such questions as who is in control, who sets agendas, who allocates resources, who mediates disputes, and who sets rules of the game'.<sup>40</sup> This means that conflicts of interest must be acknowledged and addressed, whether they are between government departments, sectors, or policy arenas, and suggests that simple panaceas are unlikely

without trade-offs in decision-making. The effectiveness of actions to reduce, transfer, and respond to current levels of disaster risk could be vastly increased. Exploiting potential synergies between DRM and adaptation to climate change will improve management of both current and future risks, and strengthen adaptation processes. Disaster risk management and adaptation to climate change literatures both now emphasise bottom up, grass roots approaches, as well as the value of more holistic, integrated approaches. There are many potential synergies between DRM and climate change adaptation that can contribute to a sustainable and resilient future.

38. Draws on materials from SREX Chapter 6, Lal, P. N. et al, 'National Systems for Managing the Risks from Climate Extremes and Disasters' and Chapter 8, O'Brien, K. et al, 'Toward a Sustainable and Resilient Future'.

39. These examples are from WGII, chapter 18 of the IPCC Fourth Assessment Report.

40. Wilbanks, 1994: 544.

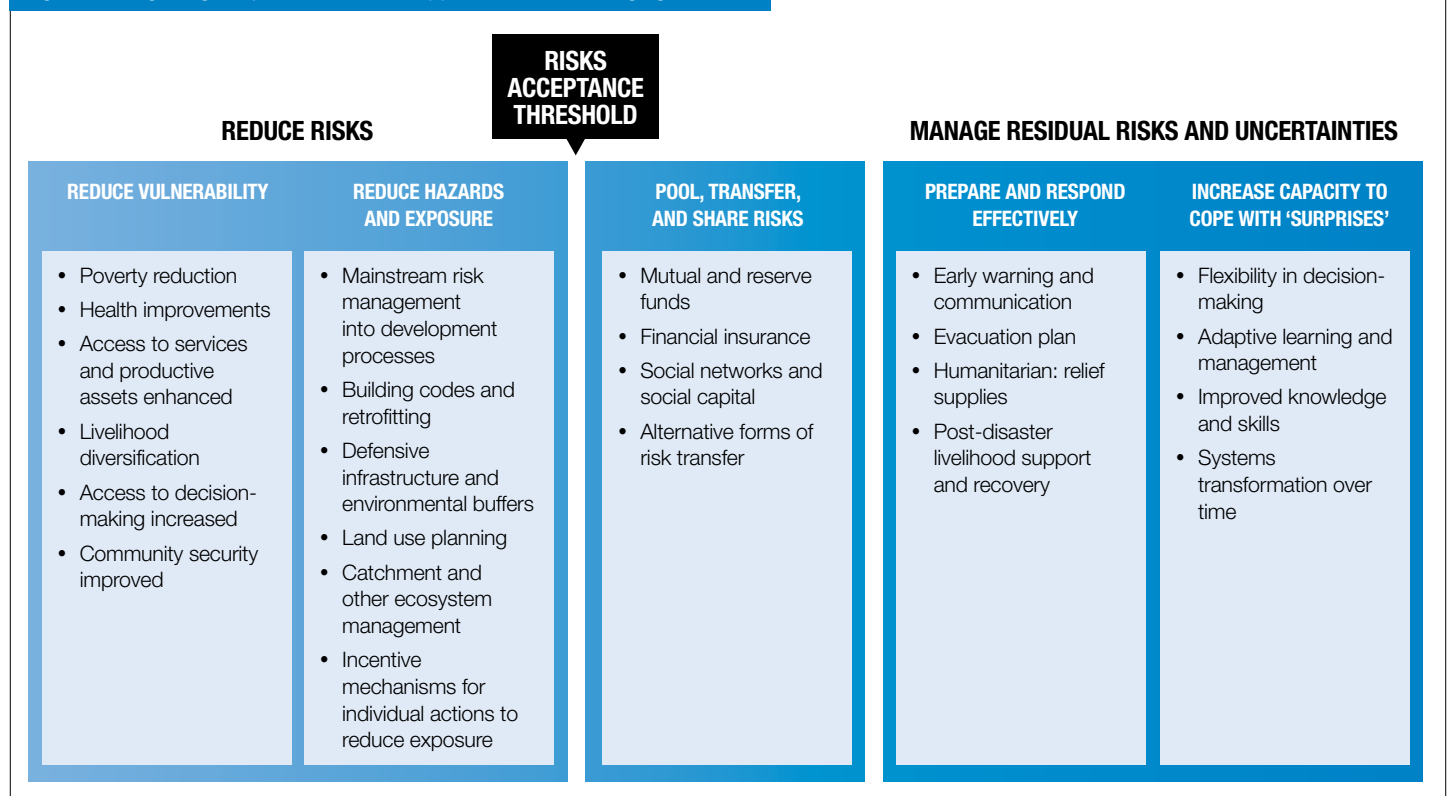
Although there is no single approach, framework or pathway to achieve such an integrated approach some important contributing factors have been identified. These include reducing exposure, reducing vulnerability, transferring and sharing risks and adequate preparation, response and recovery. These are captured in Figure 7.

A further set of critical factors to successfully integrate DRM, climate adaptation and resilience building have also been identified and are highlighted in Box 8.

#### Box 8: Eight critical factors for integrating DRM, Climate Adaptation, and Resilience Building

1. The capacity to reconcile short-term and long-term goals.
2. The willingness to reconcile diverse expressions of risk in multi-hazard and multi-stressor contexts.
3. The integration of DRM and climate change adaptation into other social and economic policy processes.
4. Innovative, reflexive, and transformative leaders (at all levels).
5. Adaptive, responsive, and accountable governance.
6. Support for flexibility, innovation and learning, locally and across sectors.
7. The ability to identify and address the root causes of vulnerability.
8. Long-term commitment to managing risk and uncertainty and promoting risk-based thinking.

Figure 7: Integrating adaptation and DRM approaches for a changing climate<sup>41</sup>



41. Lal, P.N., T. Mitchell, P. Aldunce, H. Auld, R. Mechler, A. Miyan, L.E. Romano, and S. Zakaria, 2012: National systems for managing the risks from climate extremes and disasters. In: Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation [Field, C.B., V. Barros, T.F. Stocker, D. Qin, D.J. Dokken, K.L. Ebi, M.D. Mastrandrea, K.J. Mach, G.-K. Plattner, S.K. Allen, M. Tignor, and P.M. Midgley (eds.)]. A Special Report of Working Groups I and II of the Intergovernmental Panel on Climate Change (IPCC). Cambridge University Press, Cambridge, UK, and New York, NY, USA, pp. 339-392.

## 5.4 Building long-term resilience: from incremental to transformational<sup>42</sup>

If extreme climate and weather events increase significantly in coming decades, climate change adaptation and DRM are likely to require not only *incremental* (small, within existing technology and governance systems) changes, but also *transformative* (large, new systems, new ways in thinking) changes in processes and institutions. This will involve moving away from a focus on issues and events towards a change in culture and overall approach, elaborated in the following areas:

**Partnerships:** among the most successful DRM and adaptation efforts are those that have facilitated the development of partnerships between local leaders and other stakeholders, including extra-local governments. This allows local strengths and priorities to surface, while acknowledging that communities and local governments have limited resources and strategic scope to address the underlying drivers of risk on their own.

**Leadership** can be critical for DRM and climate change adaptation, particularly in initiating processes and sustaining them over time.

Change processes are shaped by the action of individual champions (including those resisting change) and their interactions with organisations, institutional structures and systems. Leadership can be a driver of change, providing direction and motivating others to follow. A number of private sector organisations have demonstrated this at Chair and CEO level enabling transformational change within their organisations.

Identifying the drivers of hazard and vulnerability in ways that **empower all stakeholders** to take action is key. This is done best where local and scientific knowledge is combined in the generation of risk maps or risk management plans. Greater use of local knowledge and local capacity can initiate enhanced accountability in integrated risk decision-making. There is also need for better co-ordination and accountability within governance hierarchies and across sectors.

**International actors** can help by providing an institutional framework to support experimentation, innovation and flexibility, financing risk transfer and supporting funding for adaptation.

**Technology** is an essential part of responses to climate extremes, at least partly because technology choices

and uses are so often a part of the problem. Enhancing early warning systems is one example where technology can play an important role in DRM, particularly in considering 'hard' (engineering) and 'soft' (social and administrative) technology. Although technology is an essential part of our response to climate change, responses can also be improved by addressing social vulnerability, rather than focusing exclusively on technological approaches.

Transformation can imply loss of the familiar, creating a sense of disequilibrium and uncertainty. Desirable or not, transformations are occurring at an unprecedented rate and scale, influenced by globalisation, social and technological development, and environmental change. Climate change itself represents a system-scale transformation that will have widespread consequences on ecology and society, including through changes in climate extremes.

Responses to climate change and changes in disaster risk can be both incremental and transformational. Transformation calls for leadership, both from authority figures who hold positions and power, and from individuals and groups who connect present-day actions with building a sustainable and resilient future.

**For further information**  
The Summary for Policy Makers, full report, fact sheet and video is available at:  
<http://ipcc-wg2.gov/srex>.

Other useful links including videos and recommended reading are on the CDKN website here:  
[www.cdkn.org/srex](http://www.cdkn.org/srex).

42. Draws on materials from Chapter 5, Cutter, S. et al, 'Managing the Risks from Climate Extremes at the Local Level', Chapter 6, Lal, P. N. et al, 'National Systems for Managing the Risks from Climate Extremes and Disasters', and Chapter 7, Burton, I. et al, 'Managing the Risks: International Level and Integration Across Scales'.



# IPCC SREX Glossary of Terms

## Core concepts defined in the SREX and used throughout the summary include:

**Climate Change:** A change in the state of the climate that can be identified (e.g. by using statistical tests) by changes in the mean and/or the variability of its properties and that persists for an extended period, typically decades or longer. Climate change may be due to natural internal processes or external forcings, or to persistent anthropogenic changes in the composition of the atmosphere or in land use.

**Climate Extreme (extreme weather or climate event):** The occurrence of a value of a weather or climate variable above (or below) a threshold value near the upper (or lower) ends of the range of observed values of the variable. For simplicity, both extreme weather events and extreme climate

events are referred to collectively as 'climate extremes.' The full definition is provided in section 3.1.2 of the SREX report.

**Exposure:** The presence of people; livelihoods; environmental services and resources; infrastructure; or economic, social, or cultural assets in places that could be adversely affected.

**Vulnerability:** The propensity or predisposition to be adversely affected.

**Disaster:** Severe alterations in the normal functioning of a community or a society due to hazardous physical events interacting with vulnerable social conditions, leading to widespread adverse human, material, economic, or environmental effects that require immediate emergency response to satisfy critical human needs and that may require external support for recovery.

**Disaster Risk:** The likelihood over a specified time period of severe alterations in the normal functioning of a community or a society due to hazardous physical events interacting with vulnerable social conditions, leading to widespread adverse human, material, economic, or environmental effects that require immediate emergency response to satisfy critical human needs and that may require external support for recovery.

**Disaster Risk Management:** Processes for designing, implementing, and evaluating strategies, policies, and measures to improve the understanding of disaster risk, foster disaster risk reduction and transfer, and promote continuous improvement in disaster preparedness, response, and recovery practices, with the explicit purpose of increasing human security, well-being, quality of life, resilience, and sustainable development.

**Adaptation:** In human systems, the process of adjustment to actual or expected climate and its effects, in order to moderate harm or exploit beneficial opportunities. In natural systems, the process of adjustment to actual climate and its effects; human intervention may facilitate adjustment to expected climate.

**Resilience:** The ability of a system and its component parts to anticipate, absorb, accommodate, or recover from the effects of a hazardous event in a timely and efficient manner, including through ensuring the preservation, restoration, or improvement of its essential basic structures and functions.

**Transformation:** The altering of fundamental attributes of a system (including value systems; regulatory, legislative, or bureaucratic regimes; financial institutions; and technological or biological systems).

# IPCC Uncertainty Guidance

The standard terms used to define levels of confidence in this report are as given in the IPCC Uncertainty Guidance Note, namely:

Confidence terminology	Degree of confidence in being correct
Very high confidence	At least 9 out of 10 chance
High confidence	About 8 out of 10 chance
Medium confidence	About 5 out of 10 chance
Low confidence	About 2 out of 10 chance
Very low confidence	Less than 1 out of 10 chance

The standard terms used in this report to define the likelihood of an outcome or result where this can be estimated probabilistically are:

Likelihood terminology	Likelihood of the occurrence/outcome
Virtually certain	> 99% probability
Extremely likely	> 95% probability
Very likely	> 90% probability
Likely	> 66% probability
More likely than not	> 50% probability
About as likely as not	33 to 66% probability
Unlikely	< 33% probability
Very unlikely	< 10% probability
Extremely unlikely	< 5% probability
Exceptionally unlikely	< 1% probability



# Agulhas

Applied Knowledge

This document is an output from a project funded by the UK Department for International Development (DFID) for the benefit of developing countries. However, the views expressed and information contained in it are not necessarily those of or endorsed by DFID, which can accept no responsibility for such views or information or for any reliance placed on them. This publication has been prepared for general guidance on matters of interest only, and does not constitute professional advice. You should not act upon the information contained in this publication without obtaining specific professional advice. No representation or warranty (express or implied) is given as to the accuracy or completeness of the information contained in this publication, and, to the extent permitted by law, the Climate and Development Knowledge Network's members, the UK Department for International Development ('DFID'), their advisors and the authors and distributors of this publication do not accept or assume any liability, responsibility or duty of care for any consequences of you or anyone else acting, or refraining to act, in reliance on the information contained in this publication or for any decision based on it.