

Urban Development

Structured Learning Guide

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CONTENTS

Introduction	
General Resources	4
Urban Poverty	7
Urban Services	10
Urbanisation and Growth	16
Urban Planning	19
Urban Governance	
Urban Livelihoods	28
Cities and Environment and Climate Change	
Cities and Disaster Planning	37
Housing and Land	
Women and Urbanisation	

Introduction

The purpose of this booklet is to support advisers in DFID to strengthen their knowledge of urban development themes by providing signposts to quality assured references, grey literature and other useful learning materials. This structured learning guide will enable DFID advisers from any cadre to improve their understanding of key policy and programming issues, but is particularly aimed at infrastructure, climate change and environment, and livelihoods advisers. The guide covers the thematic areas listed below:

- 1. **Urban Poverty** including resilience.
- 2. **Urban Services** including water and sanitation, health and education issues.
- 3. **Urbanisation and Growth** including evidence on pro-poor growth and issues of inequality.
- 4. **Urban Planning** including participatory approaches to slum improvement such as slum dweller federations, small towns, mega-cities and secondary towns.
- 5. **Urban Governance** including citizen inclusion, urban management and funding.
- 6. **Urban Livelihoods** formal and informal, including social protection mechanisms, opportunities for the urban poor, rural-urban links, migration and urban food security.
- 7. Cities and Climate Change
- 8. **Cities and Disaster Planning** including flood protection.
- 9. Housing and Land
- 10. **Women and Urbanisation** including economic opportunities for women.

We start with a section listing general resources that provide a good overview on urban issues or cover multiple themes. For each theme, we provide a list of resources and information on the type of resource (e.g. book, academic paper, grey literature, blog, online lecture, website) as well as a short description of content and, where possible, a direct link to access the resource. We also indicate the level of complexity (1=least complex, 5=most complex) and flag up key resources with a blue star symbol. Unless otherwise stated, all web-based resources were accessed from June to July 2014.

This is intended to be a live document that is regularly updated to incorporate new material on each theme and to eliminate resources that are less useful or links that are no longer working. With this is in mind, advisers are encouraged to suggest amendments and to identify resources that they consider as essential reading for their peers, either by highlighting resources that are already on this list or by recommending additional resources. Suggestions for revisions should be sent to ruth.sparrey@evidenceondemand.org.

Current policy/programming context and useful sources of current information

Many international aid agencies are taking a keen interest in urbanisation, in recognition of the fact that more than half of the world's population now lives in cities or small towns and 90% of urban growth is happening in developing countries. Donors are increasingly seeking to address the challenges posed by issues such as food security, climate change and informal settlements in urban areas. Almost 1 billion people currently live in informal settlements, and this number is expected to grow by nearly 500 million by 2020.

United Nations Human Settlements Programme (UN-Habitat)

The mission of UN-Habitat is to promote socially and environmentally sustainable human settlements development and achieve adequate shelter for all. UN-Habitat's current strategic plan spans from 2014 to 2019 and has seven areas of focus. These are: urban legislation, land and governance; urban planning and design; urban economy; urban basic services; housing and slum upgrading; risk reduction and rehabilitation; research and capacity development. UN-Habitat is headquartered in Nairobi and is active in over 70 countries, working with governments, local authorities, NGOs, the private sector, and civil society. See here for more information on UN-Habitat's history, mandate and role in the UN system. UN-Habitat produces Global Reports on Human Settlements every two years. These are valuable overviews of specific topics: financing urban shelter (2005), urban safety and security (2007), urban planning (2009), climate change (2011) and mobility (2013). UN-Habitat also publishes 'State of the World's Cities' reports and some regional versions of this. These can be accessed here. UN-Habitat maintains a database on the proportion of households living in informal settlements and relevant statistics. However, their accuracy has been questioned.

The World Urban Forum (WUF) is a technical forum convened by UN-Habitat and hosted in a different city every two years. It examines critical human settlements issues, including rapid urbanisation, climate change and policies. The 7th session of the WUF took place in April 2014 in Medellin, Colombia, see http://wuf7.unhabitat.org/.

United Nations Population Division

The basic statistics on urbanisation used by the World Bank and all the UN agencies come from the UN Population Division. Every two years, it publishes a collection of urban statistics covering all nations and large cities under the title 'World Urbanization Prospects'. The Latest version is: United Nations, Department of Economic and Social Affairs, Population Division (2012), World Urbanization Prospects: The 2011 Revision.

UN FAO is supporting urban and peri-urban agriculture and its integration into national and local agricultural development strategies, food programmes, and urban planning, see here.

World Bank

The World Bank's <u>urban development programming</u> is focused on land use planning, urban employment and services, climate risk, and infrastructure improvements. The Bank has also launched several urbanisation initiatives: Global Urban Data; Low Carbon Liveable Cities (LC2) Initiative; Inclusive Cities Program; Resilient Cities Initiative; and the Competitive Cities Knowledge Base. In the last 10 years, total World Bank assistance has almost doubled from an annual average of US\$2.15 billion (FY04-08) to US\$4.11 billion (FY09-13). In May 2014, the World Bank appointed Ede Ijjasz-Vasquez to lead on urban, rural and social development global practice.

USAID

In October 2013, USAID released its "Sustainable Service Delivery in an Increasingly Urbanized World" policy. The policy seeks to harness urbanisation to enable USAID to

achieve its key objectives, including addressing climate change, improving global health, and

The Bartlett Development Planning Unit

achieving food security. For more information, see here.

The <u>Bartlett DPU</u> is based at University College London and has sixty years' experience of urban development education, training, research and consultancy. The DPU also provides specialist short courses in a range of fields, including gender policy and planning, environment, and social development. It designs and runs specially tailored training programmes and courses for governmental institutions, NGOs and international organisations.

International Institute for Environment and Development (IIED)

IIED has had a specialist group working on urban issues for over 35 years and a large range of collaborating institutions in Africa, Asia and Latin America. It has many open access books and papers on urbanisation, small urban centres, rural-urban linkages, environmental problems, urban poverty and climate change, food security, and gender – see here.

IIED also produces the international journal *Environment and Urbanization* that focuses on urban issues in low- and middle-income nations and all of its content from 1989-2011 is available open-access. Each issue is on a theme – see here. For each issue of the journal, a brief is also prepared for governments and staff of international agencies. These can be accessed from here.

Other

The <u>Lincoln Institute of Land Policy</u> produces the <u>Atlas of Urban Expansion</u>, which details the geographic and quantitative dimensions of urban expansion and its key attributes in cities all over the world. **Habitat International** is the only other international journal that focuses on urban issues in low-/middle-income nations although journals such as Urban Studies and the International Journal of Urban and Regional Research have also published relevant papers.



General Resources



General Resources

Resource type	Title	Description	How to access / full reference	Level of complexity
Online interactive map	UNICEF An Urban World	An interactive graphical map depicting current and projected urban populations.	http://www.unicef.org/sowc2012/urbanmap/	1
Interactive map	Cities: an interactive data visual	Illustrates the scale and speed of urban transformation from 1800- 2025, based on IIED research.	http://www.iied.org/cities-interactive-data-visual	1
Online Lecture	UN Habitat Global Urban Lecture Series	The Global Urban Lecture Series is a free online repository of 15- minute video lectures by scholars and experts associated with UN- Habitat's work. Each lecture package has a synopsis of the lecture, biography of the speaker, links to study materials and the video.	http://unhabitat.org/urban-knowledge-2/urban-lectures/ (See 'Our lectures' tab.)	2
Website	The Guardian: Cities and Development	Website with collection of journalistic news articles, reports and blogs on cities and urban development.	http://www.theguardian.com/global- development/cities-and-development	1
Blog and Twitter	Urb.im network	Urb.im is a global network and international community of practice (supported by Ford Foundation) working for just and inclusive cities. It connects practitioners in cities across the world to share ideas and experiences in order to innovate, replicate and scale working solutions to urban poverty.	http://urb.im/blogs and @urb_im on Twitter	1
Blog	Measuring progress in the quality of life of the urban poor	In this ODI blog series from January to February 2014, experts put forward their key recommendations to improve the way we define and measure progress in the quality of life of the urban poor.	ODI Blog Series	2
Blog	World Bank Sustainable Cities Blogs	A space for urban development professionals from around the world to exchange ideas, news and articles on sustainable cities. The blog is coordinated by the Urban Development and Local Government Unit within the World Bank.	http://blogs.worldbank.org/sustainablecities/cate gory/topics/urban-development	2
Blog	David Satterthwaite Urban Blog	Dr David Satterthwaite is a senior fellow at IIED and Visiting Professor at University College London. David has written extensively on issues of urban poverty and urban adaptation to climate change and covers contemporary urban issues in this blog.	http://www.iied.org/blogs/david-satterthwaite	2
Blog	DPU Blog	The purpose of the Bartlett Development Planning Unit, based at UCL, is to strengthen the professional and institutional capacity of governments and non-governmental organisations (NGOs) to deal with urban planning issues.	http://www.bartlett.ucl.ac.uk/dpu/latest/blogs	1
ODI paper	An urban dimension in a new set of development goals	After careful consideration of the existing evidence, the MDG 'slum target', and existing proposals for a post-MDG framework, this working paper proposes five steps to incorporate an urban dimension into a new development framework.	Lucci, P. (2014) An urban dimension in a new set of development goals, London: ODI http://www.odi.org.uk/publications/7776-urban-dimension-new-set-development-goals	2

General Resources

Resource type	Title	Description	How to access / full reference	Level of complexity
DFID policy brief	Research into lessons learnt from DFID India urban investments	A short 3-page policy brief detailing the highlights of a review of seven projects in India since 1994.	http://r4d.dfid.gov.uk/pdf/outputs/ORIE/India_Ur ban_Review_Policy_Brief.pdf	3
IIED paper	Urbanisation concepts and trends	Dispels myths, reviews some key conceptual issues and summarises urbanisation trends. It concludes that although urbanisation brings serious challenges, attempts to inhibit it through exclusionary policies are likely to be economically, socially and environmentally damaging.	McGranahan, G. and Satterthwaite, D. (2014) Urbanisation concepts and trends, IIED Working Paper, London: IIED. http://pubs.iied.org/10709IIED.html	3
IIED paper	The Transition to a Predominantly Urban World and its Underpinnings	This paper is one of the most detailed overviews of urban change, describing the dramatic changes in the size of the world's urban population over the last 100 years. It charts the long-term trends in each region and the political, social and economic drivers, such as the political changes associated with the end of colonial empires, independence and globalization.	Satterthwaite, D (2007) The Transition to a Predominantly Urban World and its Underpinnings, Human Settlements Working Paper Series, Urban Change, No. 4. London: IIED. http://pubs.iied.org/10550IIED.html	3
Grey literature	Brazil's Early Urban Transition: What can it teach urbanizing countries?	Draws experiences and possible lessons to be learned from Brazil, a country that has recently completed a rapid urban transition.	Martine, G. and McGranahan (2010) Brazil's Early Urban Transition: What can it Teach Urbanizing Countries? IIED/UNFPA. http://www.citiesalliance.org/sites/citiesalliance.org/files/IIED_Brazil'sEarlyUrbanTransition.pdf	3
UN paper	UN World Urbanization Prospects: the 2011 Revision	Since 1988, the Population Division of the UN Department of Economic and Social Affairs has issued estimates and projections, every two years, of the urban and rural populations of all countries in the world and of their major urban clusters.	UN (2012) World Urbanization Prospects: the 2011 Revision, UN Department of Economic and Social Affairs, Population Division. http://esa.un.org/unpd/wup/index.htm	3
Grey literature	The Urban Opportunity: Enabling Transformative and Sustainable Development	Proposes a post-2015 Sustainable Development Goal (SDG) to "Empower Inclusive, Productive, and Resilient Cities." The draft goal aims to "make all cities socially inclusive, economically productive, environmentally sustainable, and resilient to climate change". In addition, the goal proposes that cities "develop participative, accountable and effective city governance".	Revi, A. and Rosenzweig, A. (2013) The Urban Opportunity: Enabling Transformative and Sustainable Development, Background Research Paper submitted to the High Level Panel on the post-2015 Development Agenda, Sustainable Development Solutions Network. Available here.	3
Book	The Routledge Handbook on Cities of the Global South	A handbook from 2014 with short sections on all issues of relevance to urbanisation. Interdisciplinary contributions from leading experts draw on debates on the macro- and micro-scale forces that shape cities, including ideological, demographic and technological shifts.	Parnell, S. and Oldfield, S. (2014) The Routledge Handbook on Cities of the Global South, London and New York: Routledge.	3



Urban Poverty



Urban Poverty

Resource type	Title	Description	How to access / full reference	Level of complexity
Grey literature	Urban Poverty and Development in the 21st Century - Towards an Inclusive and Sustainable World	Provides an accessible overview of trends in urbanisation and key characteristics of urban poverty and vulnerability. The authors suggest that urban public space needs to be regenerated to encourage local participation in development, and to reduce urban ecological impact.	Beall, J. and Fox, S. (2007) <i>Urban Poverty and Development in the 21st Century - Towards an Inclusive and Sustainable World</i> , Oxfam Research Report, Oxfam GB. Available here.	2
Grey literature	Urban Partnerships for Poverty Reduction (UPPR) Project Evaluation	Mid-term review of UPPR in Bangladesh by UNDP including recommendations.	UNDP (2012) Urban Partnerships for Poverty Reduction (UPPR) Mid-Term Review 2012 – Aide-Memoire. http://erc.undp.org/evaluationadmin/downloaddo cument.html?docid=6334	3
GSDRC Helpdesk Research Report	Urbanisation and Urban Poverty in Bangladesh	This is a literature review on the key issues (security of tenure, informal employment, poor political representation) relating to urbanisation and urban poverty in Bangladesh, including books, journal articles, web-based resources and other grey literature since 2000.	Khan, S., (2009) <i>Urbanisation and Urban Poverty in Bangladesh</i> , Helpdesk Research Report, Birmingham: GSDRC http://www.gsdrc.org/docs/open/HD618.pdf	3
IIED paper	A future that low-income urban dwellers want, and can help secure	This policy report identifies the role of organised communities, networked at city level, to urban poverty reduction in the global South. It highlights the potential contribution of local involvement of grassroots groups in urban development - despite the lack of recognition and support from donor agencies and governments.	Satterthwaite, D. and Mitlin, D. (2013) A future that low-income urban dwellers want, and can help secure, Human Settlements Working Paper Series, Poverty Reduction in Urban Areas 38, IIED, http://pubs.iied.org/pdfs/10626IIED.pdf.	3
IDS paper	Exploring the Potential and Limits of the Resilience Agenda in Rapidly Urbanising Contexts	This evidence report analyses the emergence of the concept of 'urban resilience' in the literature and assesses the strengths and limitations of this concept in the context of rapid urbanisation processes. The analysis was based on a literature review of studies on urban resilience and two new case studies, one large city (Mumbai, India) and a smaller city (Khulna, Bangladesh).	Béné, C., Cannon, T., Gupte, J., Mehta, L. and Tanner, T. (2014) Exploring the Potential and Limits of the Resilience Agenda in Rapidly Urbanising Contexts, IDS Evidence Report 63, Brighton: IDS. Available here.	4
ODI paper	Spatial inequality and urban poverty traps	The paper argues that inequality in urban areas is based on more than physical proximity to services, infrastructure and jobs. Therefore, it is necessary to focus on the underlying dimensions of inclusion/exclusion, and on power relationships that mediate access to employment opportunities, markets and services.	Grant, U. (2010) Spatial inequality and urban poverty traps, ODI Working Papers 326, London: ODI/Chronic Poverty Research Centre. http://www.odi.org.uk/publications/4526-spatial-poverty-traps-inequality-urbanisation	4
Academic paper	Conceptualizing urban poverty	This paper from 1995 sets out the historical context – it explores the different ways in which urban poverty was understood in the 1980s-1990s and the implications of such perceptions for measuring poverty and designing poverty reduction programmes.	Wratten, E. (1995) 'Conceptualizing urban poverty', <i>Environment and Urbanization</i> , 7(1), 11-38. http://eau.sagepub.com/content/7/1/11.full.pdf+html	4
Academic paper	The Asset Vulnerability Framework: Reassessing	Seminal article on theoretical approaches to urban poverty. It argues that poverty can best be understood in	Moser, C. (1998) 'The Asset Vulnerability Framework: Reassessing Urban Poverty	4

Urban Poverty

Resource type	Title	Description	How to access / full reference	Level of complexity
	Urban Poverty Reduction Strategies	terms of five capitals (or dimensions). The capitals include both tangible assets, such as labour and human capital, less familiar productive assets, such as housing, as well as intangible assets, such as household relations and social capital. (See Moser book below for a more recent update of the framework.)	Reduction Strategies', World Development, Vol. 26, No. 1, pp 1-19. http://www.sciencedirect.com/science/article/pii/S0305750X97100158	
Academic paper	Urban Poverty Reduction: Learning by Doing in Asia	This paper describes the Asian Coalition for Community Action (ACCA) programme that was set up in 2009 to catalyse and support community-driven upgrading initiatives and citywide networks of community organizations able to negotiate and work with local governments. ACCA activities have rapidly been taken up across Asia. By January 2012, the programme was supporting activities in 708 settlements in 153 cities in 19 Asian countries.	Boonyabancha, S. and Mitlin, D. (2012) 'Urban Poverty Reduction: Learning by Doing in Asia', Environment and Urbanization, 24:2, 403-22. http://eau.sagepub.com/content/24/2/403.full.pdf +html	3
Academic paper	New evidence of the urbanization of global poverty	Presents new evidence on the role of urbanisation in overall poverty reduction. The authors find that one-quarter of the world's consumption poor live in urban areas and that the proportion has been rising over time. By fostering economic growth, urbanisation helped reduce absolute poverty in the aggregate but did little for urban poverty. Over 1993-2002, the count of the "\$1 a day" poor fell by 150 million in rural areas but rose by 50 million in urban areas.	Ravaillion, M, Chen, S. et al (2008) 'New evidence of the urbanization of global poverty', Population and Development Review, Vol.33, No. 4, pp. 667-701. http://onlinelibrary.wiley.com/doi/10.1111/j.1728-4457.2007.00193.x/abstract	5
Book (and blog)	Urban Poverty in the Global South: Scale and Nature	This comprehensive book sets out the problem of urban poverty, with useful statistics on urban growth and chapters on poverty measurement, health, income and livelihoods. It describes how the present measures of poverty underestimate urban poverty and inequality, and especially urban health deprivations.	Satterthwaite, D. and Mitlin, D. (2013) <i>Urban</i> Poverty in the Global South: Scale and Nature, London: Routledge. Also see associated blog by the authors: http://urb.im/blog/upgs .	3/4
Book	Reducing Urban Poverty in the Global South	This book sets out ways of reducing urban poverty, including a review of the strengths and weaknesses of urban poverty reduction approaches, the local, national and international agencies who work in this area, and citizen-led poverty reduction. Also looks towards policy changes and the post-2015 MDGs.	Satterthwaite, D. and Mitlin, D. (2013) Reducing Urban Poverty in the Global South, London: Routledge	3/4
Book	Ordinary Families, Extraordinary Lives: Assets and Poverty Reduction in Guayaquil, 1978-2004	This book provides a detailed understanding of urban poverty through the story of five women and their families strategically accumulating assets to escape poverty in Guayaquil, Ecuador, over a quarter of a century. The author shows how a more sophisticated understanding of asset accumulation as well as poverty itself can help to counter inaccurate stereotypes.	Moser, C. (2009) Ordinary Families, Extraordinary Lives: Assets and Poverty Reduction in Guayaquil, 1978-2004, Washington DC: Brookings Institution. Google Books Preview	3



Urban Services

Including water, sanitation, health, education and waste management issues



Resource type	Title	Description	How to access / full reference	Level of complexity
Film	Don't leave me now	The Slum Sanitation Program (SSP) is the initiative of the Municipal Corporation of Greater Mumbai. SSP aims to build sustainable toilet blocks in the slums of Mumbai and to train slum dwellers to operate and maintain them. This film takes a look at the concept, implementation and success of SSP.	http://www.cultureunplugged.com/documentary/watch-online/play/1565/Don-t-Leave-Me-Now-	1
Film	The role of sanitation in girl child education	A documentary film trying to create awareness about girl child education, menstrual hygiene, sanitation and safe drinking water. Explores impacts of poor sanitation facilities unsafe drinking water on girls' education.	http://vimeo.com/58771979	1
Online forum	Sustainable sanitation alliance forum – Working Group 6 (Cities and planning)	The aim of this forum is to develop strategies on how cities can adopt an appropriate planning, implementation, and management process that leads towards more sustainable sanitation solutions. The main outcomes of the working group are a factsheet 'Planning for sustainable sanitation', a thematic paper 'Sustainable sanitation for cities' and a book 'Sustainable sanitation in cities: a framework for action' which also includes a collection of cases and good practice.	http://www.susana.org/lang-en/working- groups/wg06	3
Online knowledge resource	Water, Engineering and Development Centre (WEDC) Knowledge Base	WEDC's body of knowledge in water and sanitation has developed over 40 years. WEDC offers evidence-based answers to questions of planning, implementing and sustaining water and sanitation infrastructure and systems in low- and middle-income countries.	http://wedc.lboro.ac.uk/knowledge/know.html	4
Online knowledge resource	Water and Sanitation for the Urban Poor	WSUP's mission is to improve the lives of the urban poor in developing countries by strengthening the capacity of service providers to deliver sustainable water and sanitation services, promote good hygiene and raise the health and environmental standards of the community. They produce a range of publications.	http://www.wsup.com/programme/research-and-learning/resources/	4
Website	Urb.im: Education for girls in slums	Includes perspectives from Lagos, Nairobi, Mumbai, Mexico City and Rio de Janeiro.	http://urb.im/c120820#quicktabs-qt120820=4	2
Online lecture	TED Talk: Education Innovation in the Slums	Charles Leadbeater describes radical new forms of education in the slums of Rio and Kibera, where some of the world's poorest children are finding transformative ways to learn.	Leadbeater, C. (2010) Education innovation in the slums, TED Talks: London. http://www.ted.com/talks/charles_leadbeater_on_education	2
Grey	rogress on	For provision of water and sanitation, the United Nations Joint	WHO and UNICEF (2014) Progress on Drinking	4

Resource type	Title	Description	How to access / full reference	Level of complexity
literature	Drinking Water and Sanitation; 2014 Update	Monitoring Programme (WHO/UNICEF) compiles statistics for rural and urban areas of all nations for the proportion with what it defines as 'improved' and non-improved sources. This is the dataset that is being used to monitor progress on the MDGs, even though the agency admits that it is not measuring who has safe or sufficient water.	Water and Sanitation; 2014 Update, Joint Monitoring Programme, Geneva: WHO and UNICEF. http://www.wssinfo.org/fileadmin/user_upload/resources/JMP_report_2014_webEng.pdf	
Grey literature	Evaluating the health impact of urban WASH programmes: an affordable approach for enhancing effectiveness	This paper argues for more widespread evaluation of the health impacts of WASH interventions, with the aim of encouraging investors and implementers to focus on impacts rather than outputs (such as number of toilets constructed). Also discusses available methods.	WSUP and Sanitation and Hygiene Applied Research for Equity (SHARE) Research Consortium (2011) Evaluating the health impact of urban WASH programmes: an affordable approach for enhancing effectiveness. http://www.wsup.com/wp-content/uploads/2013/05/024-DP001-Health-lmpact-Evaluation.pdf	3
World Bank paper	Poverty, Living Conditions and Infrastructure Access: A Comparison of Slums in Dakar, Johannesburg and Nairobi	This compares indicators of development, infrastructure, and living conditions in the slums of Dakar, Nairobi, and Johannesburg using data from 2004 World Bank surveys. Contrary to the notion that most African cities face similar slum problems, they find that slums in the three cities differ dramatically from each other on nearly every indicator examined.	Gulyani, S. Talukdar, D. and Jack, D. (2010) Poverty, Living Conditions and Infrastructure Access: A Comparison of Slums in Dakar, Johannesburg and Nairobi, Policy Research Working Paper No. 5388, Washington, DC: World Bank http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1650479	4
Academic paper	Ill-health and poverty: a literature review on health in informal settlements	This paper reviews the literature on health in the urban informal settlements in Africa, Asia and Latin America. The review begins with a discussion of papers with a life-course perspective on health, poverty and housing, before considering recent literature on chronic poverty and ill-health over time. It then discusses the literature on the cost, quality and access to care among low-income groups.	Sverdlik, A. (2011) 'Ill-health and poverty: a literature review on health in informal settlements', <i>Environment and Urbanization</i> , Vol. 23, No. 1, pp.123-156. http://eau.sagepub.com/content/23/1/123.full.pdf +html	3
Academic paper	Promoting health and advancing development through improved housing in low-income settings	Claims that adverse effects on health from inadequate housing can occur through a range of mechanisms, both direct and indirect, including as a result of extreme weather, household air pollution, injuries, disease and lack of clean water and sanitation.	Haines, A. et al. (2012) 'Promoting health and advancing development through improved housing in low-income settings', <i>Journal of Urban Health</i> , Volume 90, Issue 5, pp 810-831.	3
IIED paper	Urban environments, wealth and health:	This paper examines urban health in low- and middle-income countries, in relation to i) persistent local environmental	McGranahan, G. (2007) Urban environments, wealth and health: shifting burdens and possible	4

Resource type	Title	Description	How to access / full reference	Level of complexity
	shifting burdens and possible responses in low and middle-income nations	health burdens, notably water, sanitation and housing deficiencies, and ii) emerging global environmental burdens that will be experienced in urban areas, and most notably those associated with climate change.	responses in low and middle-income nations, Human Settlements Discussion Paper, London: IIED. www.iied.org/pubs/pdfs/10553IIED.pdf.	
Book chapter	Community Organization and Alternative Paradigms for Improving Water and Sanitation in Deprived Settlements	The chapter provides examples of the varied ways in which community organisations influence water and sanitation provision in low-income urban neighbourhoods.	McGranahan, G. and Mulenga, M. (2009) 'Community Organization and Alternative Paradigms for Improving Water and Sanitation in Deprived Settlements', in José Esteban Castro and Léo Heller (editors), Water and Sanitation Services: Public Policy and Management, London, Earthscan. http://pubs.iied.org/X00012.html?a=N	2
Book chapter	Slum networking: A paradigm shift to transcend poverty with water, environmental sanitation and hidden resources	Slum Networking is a holistic approach for improving urban infrastructure that uses natural drainage paths to provided sewage infrastructure at a lower cost for the whole city, not just the rich areas. This paper sums up his research into this technique for alleviation of urban poverty.	Parikh, H., and P. Parikh. (2008) 'Slum networking–A paradigm shift to transcend poverty with water, environmental sanitation and hidden resources'. Water and Urban Development Paradigms: Towards an Integration of Engineering, Design and Management Approaches (2008): 357.	3
Book	Cities Transformed; Demographic Change and its Implications in the Developing World	Although ten years old, this is the most detailed summary of health and demographic change in cities in low- and middle-income nations.	Montgomery, M. R., Stren, R., Cohen, B. and Reed, H. E. (editors) (2003) Cities Transformed; Demographic Change and its Implications in the Developing World, Washington DC: The National Academy Press (North America) /Earthscan (Europe).	4
Book	Basic Services for All in an Urbanizing World; the Third Global Report on Local Democracy and Decentralization	This is the most detailed and up-to-date review of provision of basic services in urban contexts.	UCLG (2014) Basic Services for All in an Urbanizing World; the Third Global Report on Local Democracy and Decentralization, London: Routledge. Available at: http://issuu.com/uclgcglu/docs/re_gold_eng	3
Evaluations		-	- MOD (0000) 4	
Grey literature	Global Experiences on Expanding Water and Sanitation Services to	This is an extended version of a previous Water and Sanitation Program publication and is based on research from 19 projects/initiatives across the world (Asia-Pacific,	WSP (2009) Accompanying volume to the Guidance Notes on Services for the Urban Poor: Global Experiences on Expanding Water and	3

Resource type	Title	Description	How to access / full reference	Level of complexity
	the Urban Poor	Latin America, and Africa) and consultations with urban poor communities.	Sanitation Services to the Urban Poor. http://www.wsp.org/sites/wsp.org/files/publicatio ns/Accompanying Volume to Global Guidance Notes.pdf	
DFID Annual Review	Kolkata Urban Services for the Poor	Review against outputs, results and value for money.	DFID (2013) Annual Review of Kolkata Urban Services for the Poor, Crown Copyright. iati.dfid.gov.uk/iati_documents/3864904.doc	3
Grey literature	The Socio-Economic Impact of Favela Bairro: What do the Data Say?	Concluded that the programme had a significant impact on rubbish collection, sewerage and water coverage. However, the study did not find evidence of improved health outcomes.	Soares, F. and Soares, Y. (2005) The Socio- Economic Impact of Favela-Bairro: What do the Data Say? Washington DC:Inter-American Development Bank. http://www.fundacaoitausocial.org.br/arquivose staticos/FIS/pdf/apresentacao_fabio_veras.pdf	5
Academic paper	Water, sanitation and hygiene in Bangladeshi slums: an evaluation of the WaterAid– Bangladesh urban programme	This paper describes the WaterAid-supported programme implemented by local NGOs in the "slums" of Dhaka and Chittagong. This includes community-managed water points and sanitation blocks. The paper also summarises the findings of an external evaluation and discusses the difficulties of reaching the poorest while getting full cost-recovery from users.	Hanchett, S., Akhter, S., Khan, M. H., Mezulianik, S., & Blagbrough, V. (2003) 'Water, sanitation and hygiene in Bangladeshi slums: an evaluation of the WaterAid–Bangladesh urban programme'. <i>Environment and Urbanization</i> , 15(2), 43-56. http://eau.sagepub.com/content/15/2/43.full.pdf http://eau.sagepub.com/content/15/2/43.full.pdf	4
Waste manag	ement			
Online knowledge resource	WB Urban Solid Waste management media resource page	World Bank online resource of events and lecture videos about urban solid waste management in informal settlements	Available <u>here</u> .	3
World Bank paper	What a waste: A global review of solid waste management	A global review of different approaches to solid waste management in urban areas. Both the population and per capita waste production in urban areas are increasing. The review analyses risks and potential solutions.	Hoornweg, Daniel; Bhada-Tata, Perinaz (2012) What a Waste: A Global Review of Solid Waste Management, Washington, DC: World Bank. https://openknowledge.worldbank.org/handle/10 986/17388	3
Academic paper	Civil society participation in urban sanitation and solid waste management in Uganda	This paper reviews the role of NGOs and CBOs in provision of sanitation and solid waste management in Kampala. Data were gathered through a survey, face-to-face interviews, and the use of scientific literature, official reports and informal documents	Tukahirwa, J.T., Mol, A.P. and Oosterveer, P. (2010) 'Civil society participation in urban sanitation and solid waste management in Uganda', <i>Local Environment</i> , 15(1), 1-14. http://www.tandfonline.com/doi/pdf/10.1080/135	2

Urban Services

Resource type	Title	Description	How to access / full reference	Level of complexity
			<u>49830903406032</u>	
Academic paper	Role of informal sector recycling in waste management in developing countries	Reviews the positive and negative aspects of informal recycling. Claims that informal recycling provides significant economic benefits and discusses issues associated with integrating informal recycling into the formal waste management sector.	Wilson, D. C., Velis, C. and Cheeseman, C. (2006) 'Role of informal sector recycling in waste management in developing countries', Habitat international, 30(4), 797-808. DOI: 10.1016/j.habitatint.2005.09.005	3
Academic paper	Solid waste management challenges for cities in developing countries	Analyses literature on urban waste management from 2005 to 2011. The objective of the research was to determine the stakeholders' actions that have a role in the waste management process and influential factors on the system, in more than 30 urban areas in 22 developing countries in four continents.	Guerrero LA, Maas G. and Hogland W. (2013) 'Solid waste management challenges for cities in developing countries', <i>Waste management</i> 33.1: 220-232. http://www.sciencedirect.com/science/article/pii/S0956053X12004205	3
Academic paper	Urban Solid Waste Management in Low-Income Countries of Asia: How to Cope with the Garbage Crisis	This paper reviews urban waste management in 8 different Asian cities with a particular focus on environmental and public health implications and their impacts on the urban poor.	Zurbrugg, C. (2002) 'Urban Solid Waste Management in Low-Income Countries of Asia: How to Cope with the Garbage Crisis'. Presented for: Scientific Committee on Problems of the Environment (SCOPE), Urban Solid Waste Management Review Session, Durban, South Africa. http://slunik.slu.se/kursfiler/TN0280/20155.0910/ Zurbrugg_waste_devpdf	3



Urbanisation and Growth

Including evidence on pro-poor growth and issues of inequality



Urbanisation and Growth

Resource type	Title	Description	How to access / full reference	Level of complexity
Grey Literature	Urban World: Cities and the rise of the consuming class	This report from the McKinsey Global Institute finds that the 600 cities making the largest contribution to a higher global GDP - the City 600 - will generate nearly 65 percent of world economic growth by 2025.	McKinsey Global Institute (2012) <i>Urban World:</i> Cities and the rise of the consuming class, McKinsey and Company. Full report and executive summary available here.	3
UN paper	UN Habitat State of the World's Cities 2012-2013: Prosperity of Cities	UN-Habitat suggests a fresh approach to prosperity beyond a solely economic emphasis, to include dimensions such as quality of life, adequate infrastructure, equity and environmental sustainability. The report proposes a new tool - the City Prosperity Index - to assist policy makers.	UN (2013) UN Habitat State of the World's Cities 2012-2013: Prosperity of Cities, UN- Habitat http://mirror.unhabitat.org/pmss/listItemDetails.aspx?publicationID=3387	3
World Bank paper	Reshaping Economic Geography; World Development Report 2009	This report describes and analyses the spatial transformations needed for development. Then it revisits the policy debates on urbanisation, regional development, and international integration.	World Bank (2009) Reshaping Economic Geography; World Development Report 2009, Washington, DC: The World Bank. Access here.	3
UN paper	Globalization and the Urban Poor	Takes a critical look at current assumptions about globalisation, urban poverty and inequality. Specifically, it addresses the impact of globalisation on marginalised communities in informal settlements.	Perlman, J. (2007) Globalization and the Urban Poor, UN University World Institute for Development Economics Research, Research Paper No. 2007/76, Helsinki: UNU WIDER. Available here.	4
Grey literature	Cities and Growth	IGC paper dealing with economics of agglomeration and causes of geographical differences in productivity and salaries as well as policies for equitable growth.	Moretti, E. (2013) Cities and Growth, International Growth Centre. http://www.theigc.org/news/igc-evidence-paper-cities	3
World Bank paper	Urbanization without growth: a not-so- uncommon phenomenon	The authors find that urbanisation continues even during periods of negative growth, carried by its own momentum. (Note that the findings have been disputed by authors such as Potts.) The relationship between economic incentives and urbanization is weaker in countries with fewer civil or political liberties.	Fay, M. and Opal, C. (1999) <i>Urbanization</i> without growth: a not-so-uncommon phenomenon, Washington DC: World Bank. http://dx.doi.org/10.1596/1813-9450-2412	4
EPS PEAKS Helpdesk Request	What are the features of urbanisation and cities that promote productivity, employment and salaries?	Paper from the Economic and Private Sector PEAKS which provides a short synthesis of the evidence around how urbanisation is linked to economic development and the features of urbanisation that lead to gains in productivity, employment and salaries.	Miller, H. (2014) What are the features of urbanisation and cities that promote productivity, employment and salaries? EPS PEAKS. (Pending publication.)	4
GSDRC Helpdesk Research Report	Costs of Urbanisation	This GSDRC report looks at literature on the economic costs associated with rapid urbanisation, with a focus on South Asia. Costs considered include loss of productivity; environmental costs; and security-related costs.	Haider, H. (2009) Costs of Urbanisation, GSDRC Helpdesk Research Report, Birmingham: GSDRC Available at: http://www.gsdrc.org/docs/open/HD637.pdf .	4

Urbanisation and Growth

Resource type	Title	Description	How to access / full reference	Level of complexity
GSDRC Helpdesk Research Report	Urbanisation and Growth	A summary of research on the links between urban centres, urbanisation and economic growth. Highlights key research gaps, inconclusive evidence and the effects of urban demographics.	Walton, O. (2012) <i>Urbanisation and Growth,</i> GSDRC Helpdesk Research Report, Birmingham: GSDRC. Available at: http://www.gsdrc.org/docs/open/HDQ806.pdf .	4
GSDRC Helpdesk Research Report	Benefits of Urbanisation in Asia	Examines the benefits of urbanisation for poverty reduction in Asia and identifies lessons learned and roles for donors.	Browne, E. (2014) <i>Benefits of Urbanisation in Asia</i> , GSDRC Helpdesk Research Report, Birmingham: GSDRC. Available at: http://www.gsdrc.org/docs/open/HDQ1082.pdf	4
Academic paper	Urbanization and Economic Growth: The Arguments and Evidence for Africa and Asia	This paper reviews the arguments and evidence on whether rapid urban population growth can help to raise living standards. The main finding is that there is no simple linear relationship between urbanisation and economic growth, or between city size and productivity.	Turok, I. and McGranahan, G. (2013) 'Urbanization and Economic Growth: The Arguments and Evidence for Africa and Asia', Environment and Urbanization, Vol. 25, No. 1, pp. 465-482. http://eau.sagepub.com/content/25/2/465.full.p	5
Academic paper	Cities and Development	This paper starts with a "primer" on what we know about the conceptual and empirical links between development and urbanisation. It then considers spatial aspects and the evolution of spatial income inequality.	Henderson, J. V. (2010) 'Cities and Development, <i>Journal of Regional Science</i> , Volume 50, Issue 1, pp. 515–540. http://onlinelibrary.wiley.com/doi/10.1111/j.146 7-9787.2009.00636.x/abstract	5
Academic paper	Can Africa Industrialise?	This paper asks what it will take for low-income economies in Africa to shift labour from low productivity jobs in agriculture and the informal sector to higher productivity jobs in agro-industry, manufacturing or tradable services. Implicit in such questions is the proposition that structural change is needed.	Page, J. (2014) 'Can Africa Industrialise?', Journal of African Economies, Vol. 21, AERC Supplement 2, pp. 86–125 doi:10.1093/jae/ejr045	3
Book	Urban Growth in Emerging Economies; Lessons from the BRICs	This book examines the role of urbanization and urban growth in the emerging economies, taking Brazil, Russia, India, China and South Africa as case studies. Considers how their different approaches towards urbanisation have shaped their development paths.	McGranahan, G. and Martine, G. (2014) <i>Urban Growth in Emerging Economies; Lessons from the BRICs</i> , London: Earthscan Publications. <u>Google Books Preview</u>	3
Book	African Urban Economies: Viability, Vitality or Vitiation?	This book provides insight into the dynamics of African urban economic growth and associated social and political change. Based on city case studies and longitudinal data collection, this book outlines economic trends and key urban theories.	Bryceson, D. F. and Potts, D. (editors) (2006) African Urban Economies: Viability, Vitality or Vitiation?, Basingstoke: Palgrave Macmillan. Amazon	4



Including participatory approaches to slum improvement, small towns, mega-cities and secondary towns



Resource type	Title	Description	How to access / full reference	Level of complexity
Website	Shack/Slum Dwellers International (SDI)	This website has substantial literature, publications and videos on how local governments are working with over 30 organisations and federations of slum/shack dwellers on housing, planning and land development issues.	http://www.sdinet.org/	1
Website	Asian Coalition for Housing Rights	The Asian Coalition for Community Action programme has supported over 1,000 community initiatives.	http://www.achr.net/	1
Website	Cities Alliance	The Cities Alliance is a global partnership for urban poverty reduction and the promotion of the role of cities in sustainable development. Its membership includes local authorities, national governments, NGOs, multi-lateral organisations, and associate members. In 2014 it is focusing on secondary cities.	http://www.citiesalliance.org/	2
Podcast	What's the key to good urban planning?	A 26-minute podcast from The Guardian from September 2012, with input from developers, slum-dwellers and the UN's settlement's agency, UN-Habitat, about the challenges of urbanisation, and how they can be addressed. Focuses on Nairobi, in Kenya, one of the fastest growing capital cities in Africa.	http://www.theguardian.com/global- development/audio/2012/sep/27/global- development-podcast-urban-planning-nairobi	3
Website and videos	Assortment of Arif Hasan articles and videos on human settlements and Karachi	Arif Hasan is a Pakistani architect and planner, activist, university lecturer and social researcher. He established an independent practice which slowly evolved into dealing with national and international urban planning and development issues.	http://arifhasan.org/	3
E-learning	World Bank e-Institute Sustainable Urban Land Use Planning	World Bank e-Institute Sustainable Urban Land Use Planning - e-learning courses: September-November 2014 and March 2015 - May 2015.	http://einstitute.worldbank.org/ei/course/sustainable- urban-land-use-planning	3
TED Talk	A song of the city	Jaime Lerner reinvented urban space in his native Curitiba, Brazil. Along the way, he changed the way city planners worldwide see what is possible in the metropolitan landscape. In this video, he describes the revolutionary urban transport system in Curitiba and innovation in urban planning and transport.	https://www.ted.com/talks/jaime_lerner_sings_of_th e_city	2
Film	BBC Horizons: Megacities	Investigates how innovations in city planning, regeneration and urban renewal are providing solutions for sustainable urban living as cities expand (with reports from Nova Luz in Sao Paolo and Navi Mumbai in India).	http://www.bbc.co.uk/programmes/n3css70w	2

Resource type	Title	Description	How to access / full reference	Level of complexity
Film	The Human Scale	"The Human Scale" makes an excellent case for designing cities around people instead of cars. Andreas M. Dalsgaard's documentary examines cities, from New York to Chongqing, which demonstrate problems, solutions and possibilities for urban development, each example illustrated by distinctive, well-composed cityscapes and discussed by local talking-head officials, planners and architects.	http://thehumanscale.dk/the-film/	3
Online knowledge resource	Planning pool - Social media	Website documenting the use of social media in modern urban planning processes. Has both media links and online forum sections.	http://planningpool.com/about/mix-urban-planning- social-media/	2
Online community/ knowledge resource	This Big City – Ideas for sustainable cities	This Big City is an award winning social media organisation sharing ideas and encouraging discussion about sustainable cities. Also active on Twitter, Facebook and Tumblr. This Big City has worked with charities, NGOs, and corporations on social media projects and events.	http://thisbigcity.net/	3
UN data resource	UN Habitat Urban Info Database	The Urban Info Database System helps to store, present and analyse urban indicators through a variety of presentation tools, such as tables, graphs and maps. UrbanInfo contains information on several topics, such as housing, demography, communication, energy, economy, education, health, nutrition, gender, disaster, crime, migration, income inequalities and transport.	http://unhabitat.org/urban-knowledge-2/global- urban-observatory-guo/	4
Grey literature	The Systems of Secondary Cities: The neglected drivers of urbanisation economies	Cities Alliance report. Secondary cities are growing the most but with fewer capacities to plan and manage urban development and promote employment and economic growth. Secondary cities will play an important role as catalysts and secondary hubs in facilitating the localised production, or transfer of goods, people, trade, information, and services.	Enyedi, G. (1992) 'Urbanisation in east central Europe: social processes and societal responses in the state socialist systems', <i>Urban Studies</i> 29.6 (1992): 869-880.	4
Academic briefing	A city-led strategy for sustainable development	This background briefing gives an overview of IIED's city-led strategy for sustainable development - a global programme of research, learning, training and advocacy to drive urban innovation	Satterthwaite D. (2014) A city-led strategy for sustainable development, London: IIED. http://pubs.iied.org/17230IIED.html	3
UN paper	Planning Sustainable Cities; Global Report	UN-Habitat report recommending a three-step process for effectively responding to urban informality: first, recognising	Arimah BC, Jensen I, Mutizwa-Mangiza. (2009) Planning Sustainable Cities; Global Report on	4

Resource type	Title	Description	How to access / full reference	Level of complexity
	on Human Settlements 2009	the positive role played by urban informal development; second, adopting revisions to policies, laws and regulations to facilitate informal-sector operations; and, third, strengthening the legitimacy of planning and regulatory systems.	<i>Human Settlement</i> s 2009, Kenya: UN-Habitat. Available <u>here</u> .	
IIED paper	Outside the large cities: the demographic importance of small urban centres and large villages in Africa, Asia and Latin America	This paper draws on recent census data for some 70 nations in Africa, Asia and Latin America to examine the proportion of national populations living in 'large villages' and in urban centres in different population-size categories.	Satterthwaite D. (2006) Outside the large cities: the demographic importance of small urban centres and large villages in Africa, Asia and Latin America, London: IIED. http://pubs.iied.org/10537IIED.html	4
Academic paper	Seeing from the South: Refocusing urban planning on the globe's central urban issues	This article argues that a perspective from the global South can be useful in unsettling assumptions about how planning addresses issues of poverty, inequality, informality, rapid urbanisation and spatial fragmentation.	Watson, V. (2009) 'Seeing from the South: Refocusing urban planning on the globe's central urban issues.' <i>Urban Studies</i> 46.11 (2009): 2259- 2275.	3
Academic paper	The changing metabolism of cities	Urban metabolism is the sum total of the technical and socioeconomic processes that occur in cities, resulting in growth, production of energy, and elimination of waste. This paper compares data from urban metabolism studies from eight metropolitan regions across five continents since 1965 and identifies metabolic processes that threaten the sustainability of cities.	Kennedy, C., Cuddihy, J., and Engel-Yan, J. (2007) 'The changing metabolism of cities', <i>Journal of Industrial Ecology</i> 11.2 (2007): 43-59. http://onlinelibrary.wiley.com/doi/10.1162/jie.2007.1 107/pdf	5
Book	Planning, Connecting, and Financing Cities - Now: Priorities for City Leaders	Provides a framework based around planning, connecting, and financing, to help city leaders make informed decisions for sustainable development in their cities.	Lall, Somik V. (2013) Planning, Connecting, and Financing Cities—Now: Priorities for City Leaders. Washington DC: World Bank Publications. http://siteresources.worldbank.org/EXTSDNET/Resources/Urbanization-Planning-Connecting-Financing-2013.pdf	5
Book	How the City moved to Mr Sun: China's New Megacities	Written by a journalist and an architect, this book describes the dramatic transformation of the urban centres in central China. It focuses on the dynamics of urban development from a built environment perspective. Highly readable, full of	Hulshof, M., Roggeveen, D. and Mevius, M. (2011). How the City Moved to Mr. Sun: China's New Megacities. Sun.	3

Resource type	Title	Description	How to access / full reference	Level of complexity
		insightful analysis and human stories of modern city life.		
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A DFID R4D systematic review on urban planning is underway and likely to be completed in 2015: What is the evidence on what makes an effective urban planning framework in low-income or informal settlements? http://r4d.dfid.gov.uk/Project.aspx?ProjectID=61235



Including issues of citizen inclusion, urban management and funding



Resource type	Title	Description	How to access / full reference	Level of complexity
Videos	Shack Dwellers International DVD	SDI has produced a DVD with nine films covering the work of federations of the urban poor in South Africa, Zimbabwe, Cambodia, Kenya, Ghana and India.	Copies of this may be ordered from IIED. http://pubs.iied.org/10534IIED.html	1
IDS paper	Urban governance for adaptation: assessing climate change resilience in ten Asian cities	This research, undertaken with a set of partner research institutes, examines how to manage climate-related impacts in an urban context by promoting planned and autonomous adaptation in order to improve resilience in a changing climate. It investigates the linkages between the characteristics of pro-poor good urban governance, climate adaptation and resilience, and poverty and sustainable development concerns.	Tanner, T., Mitchell, T., Polack, E., and Guenther, B. (2009) <i>Urban governance for adaptation: assessing climate change resilience in ten Asian cities</i> , IDS Working Papers, 2009 (315), 01-47., Brighton: IDS. http://onlinelibrary.wiley.com/doi/10.1111/j.2040-0-0209.2009.00315_2.x/abstract	3
UN paper	Engaging with the urban poor and their organisations for poverty reduction and urban governance	This UNDP paper is primarily about the initiatives of organisations formed by the urban poor themselves and the potential these have as partners for donor agencies. This includes the work of grassroots organisations formed around savings groups, mostly managed by women.	Satterthwaite, D., Patel, S. and Mitlin, D. (2011) Engaging with the Urban Poor and their Organisations for Poverty Reduction and Urban Governance, New York: UNDP, Civil Society Division. Available here.	3
IDS paper	Institutional Capacity, Climate Change Adaptation and the Urban Poor	This article examines the role of urban governance stakeholders (municipal authorities, national governments, utilities and CSOs) in climate change adaptation and their relevance for improving the wellbeing of low-income urban residents.	Dodman, D. and Satterthwaite, D. (2008), 'Institutional Capacity, Climate Change Adaptation and the Urban Poor', <i>IDS Bulletin</i> , 39: 67–74.	3
GSDRC Helpdesk Research Report	Urbanisation and Governance	This paper identifies the main governance issues and trends related to urbanisation. It is based on a rapid literature review and inputs from several experts in the field of governance and urbanisation. The review touches on governance policy issues such as climate change, land policy, growth, inequality and social exclusion, mega-cities and rural hollowing. It also looks at approaches such as multi-level governance, demand-side governance, political economy and decentralisation.	Walton, O. (2011) <i>Urbanisation and Governance</i> , GSDRC Helpdesk Research Report, Birmingham: GSDRC. http://www.gsdrc.org/docs/open/HD759.pdf	3
World Bank paper	Cities in Transition: World Bank Urban and Local Government Strategy	Outlines the World Bank urban strategy approved in 1999, including the rationale for the strategy, the vision for sustainable cities, engagement with municipal governments, and the partnerships, human capital and knowledge needed to implement the strategy.	World Bank (2000) Cities in Transition: World Bank Urban and Local Government Strategy, the International Bank for Reconstruction and Development, Washington DC: World Bank.	3
IIED paper	Migration, local development and governance in small towns: two examples from the Philippines	This paper describes the impacts of migration on two small urban centres in the most economically thriving areas of the country. While remittances have become an essential part of the local economy, they have also increased social inequalities and done little to improve governance and local economic development.	Basa, C., Villamil, L. and de Guzman, V. (2009) Migration, local development and governance in small towns: two examples from the Philippines, Human Settlements Working Paper, London: IIED.	4

Resource type	Title	Description	How to access / full reference	Level of complexity
			http://pubs.iied.org/10576IIED.html	
UN paper	Climate change and urbanization: Effects and implications for urban governance	This paper, published by the UN Department of Economic and Social Affairs, indicates that most adaptation to the likely climate change-related dangers over the next few decades fits well within a local development agenda. There needs to be a significant increase in development funding to help local governments adapt to climate change challenges.	Satterthwaite, D. (2008) Climate change and urbanization: Effects and implications for urban governance. In United Nations Expert Group Meeting on Population Distribution, Urbanization, Internal Migration and Development. http://www.un.org/esa/population/meetings/EGM PopDist/P16 Satterthwaite.pdf	4
The UCLG (2	2014) report on Basic Servi	ices for All in an Urbanizing World has detailed coverage of urban go		/e).
Academic paper	Deep democracy: urban governmentality and the horizon of politics	This paper describes the work of an alliance formed by three civic organizations in Mumbai to address poverty – the NGO SPARC, the National Slum Dwellers Federation and Mahila Milan, a cooperative representing women's savings groups. It highlights their importance as instruments of democracy that are rooted in local context.	Appadurai, A. (2001) 'Deep democracy: urban governmentality and the horizon of politics', Environment and Urbanization, Vol. 13 No 2, pp. 23-43. http://eau.sagepub.com/content/13/2/23.full.pdf +html	4
Academic paper	Addressing poverty and inequality; new forms of urban governance in Asia	This paper looks at different aspects of an Asia-wide initiative to address urban poverty and inequality at scale – the Asian Coalition for Community Action (ACCA) – which was launched in 2009 and is active in 19 Asian nations.	Mitlin, D. and Satterthwaite, D. (2012) 'Addressing poverty and inequality; new forms of urban governance in Asia', <i>Environment and Urbanization</i> , Vol. 24, No. 2, pp. 395-402. http://eau.sagepub.com/content/24/2/395.full.p	4
Academic paper	Valuing social resources or capitalizing on them? Limits to pro-poor urban governance in nine cities of the south	Drawing on research from nine cities in Africa, Asia and Latin America, this paper analyses local-level networks and associational life, to assess where benefits accrue. The author concludes that the social resources of the urban poor (family, kinship, social networks and community-level organisation) are as much an asset for urban development institutions and processes as they are for the poor themselves.	Beall, J. (2001) 'Valuing social resources or capitalizing on them? Limits to pro-poor urban governance in nine cities of the south', International Planning Studies, 6(4), pp. 357-375. http://www.tandfonline.com/doi/abs/10.1080/13 563470120092377#.U38VOZRdVW0	4
Academic paper	Participatory budgeting: a significant contribution to participatory democracy	This paper draws on the experience of 25 municipalities in Latin America and Europe. It considers the extent of control and involvement by local citizens and relationships with the local government as well as sustainability of the process. An update and review of progress on participatory budgeting will be published as an IIED working paper in June 2014.	Cabannes, Y. (2004) 'Participatory budgeting: a significant contribution to participatory democracy', Environment and Urbanization, Vol. 16, No. 1, pp. 27-46 (here). http://eau.sagepub.com/content/16/1/27.full.pdf +html	5
Academic paper	With and beyond the state: co-production as	This paper examines the use of co-productive strategies by citizen groups and social movement organisations to enable	Mitlin, D. (2008) 'With and beyond the state: co-production as a route to political influence,	5

Resource type	Title	Description	How to access / full reference	Level of complexity
	a route to political influence, power and transformation for grassroots organisations	individual members and their associations to secure effective relations with state institutions that address both immediate basic needs and enable them to negotiate for greater benefits.	power and transformation for grassroots organisations', <i>Environment and Urbanization</i> , 20:2, pp. 339-60. http://eau.sagepub.com/content/20/2/339.full.pudf+html	
Academic paper	A World of Cities: The Causes and Consequences of Urbanization in Poorer Countries	This paper models the connection between urban size and institutional failure, and shows that urban anonymity causes institutions to break down.	Glaeser, A. L. (2013) A World of Cities: The Causes and Consequences of Urbanization in Poorer Countries, Working Paper 19745, National Bureau of Economic Research.	5
Book	Urban Governance, Voice and Poverty in the Developing World	In-depth examination of ten cities in Africa, Asia and Latin America. The study involved interviews with key actors within and outside city governments, discussions with poverty groups, community organizations and non-governmental organizations (NGOs), as well as analyses of data on poverty, services and finance.	Devas, C. N. with Amis, P., Beall, J., Grant, U., Mitlin, D., Nunan, F. and Rakodi, C. (2004) Urban Governance, Voice and Poverty in the Developing World, London: Earthscan. Google Books Preview	3
Book	Empowering Squatter Citizen: Local government, civil society and urban poverty reduction	Empowering Squatter Citizen contends that urban poverty is underpinned by the failure of governments and aid agencies to support local processes. It makes the case for redirecting support to local organisations. The book includes case studies of innovative government organisations in Thailand, Mexico, Philippines and Nicaragua, and community-driven processes in India, South Africa, Pakistan and Brazil.	Mitlin, D. and Satterthwaite, D. (eds) (2004) Empowering Squatter Citizen: Local Government, Civil Society and Urban Poverty Reduction, London: Earthscan Publications.	4
Book	Africa's Urban Revolution	Drawing on the expertise of scholars and practitioners associated with the African Centre for Cities, and a diverse array of case studies, Africa's Urban Revolution provides a comprehensive insight into the key issues - demographic, cultural, political, technical, environmental and economic - surrounding African urbanisation.	Parnell, S. and Pieterse, E. (2014) <i>Africa's Urban Revolution</i> , London: Zed Books.	4



Including rural-urban links, migration and urban food security



Resource type	Title	Description	How to access / full reference	Level of complexity
Website	Inclusive Cities	Inclusive Cities is a collaboration of membership-based organizations (MBOs) of the working poor, international alliances and support organisations. Launched in 2008, Inclusive Cities aims to strengthen MBOs in organising, policy analysis and advocacy to ensure that urban informal workers have a voice in urban planning processes.	http://www.inclusivecities.org/	1
Online article taken from book chapter	The factory of the world: recession in Guangdong	Written in an engaging and journalistic style, this online article and book chapter vividly depicts the condition of migrant workers in Guangdong and shows some of the impacts of the post-2008 global economic crisis.	Hsiao-Hung Pai. (2010) Chapter 6, 'The factory of the World: Recession in Guangdong' in Scattered Sand: The Story of China's Rural Migrants, pp. 164 –208, Verso, London. http://places.designobserver.com/feature/migrant-workers-in-guangdong-china/35998/	1
Presentation	Inclusive Cities, Urban Livelihoods and Women's Economic Empowerment: The case of home-based workers, street vendors and waste pickers	Introduces WIEGO and presents the findings of the informal economy monitoring study (IEMS), undertaken in Latin America, Africa and Asia. The objective of the study was to monitor on a longitudinal basis the state of the working poor in the urban informal economy: home-based workers, street vendors and waste pickers via a combination of qualitative and quantitative methods. Includes policy recommendations. (See WIEGO website under Women and Urbanisation section.)	WIEGO (2014) Inclusive Cities, Urban Livelihoods and Women's Economic Empowerment: The case of home-based workers, street vendors and waste pickers, presented at WIEGO-DFID Urban Livelihoods Seminar, March 2014. Available from DFID Livelihoods Cadre.	2
IIED paper	Livelihood diversification and rural-urban linkages in Vietnam's Red River Delta	This paper explores the nature and role of non-farm employment, access to urban markets and migration in the livelihoods of the residents of two villages in Vietnam's Red River delta. The case study province, Ha Nam, presents many of the challenges that the region will need to address in the near future - growing population density, land scarcity, access to markets and temporary migration.	Thanh, X., Anh, H. D. N. and Tacoli, C. (2005) Livelihood diversification and rural-urban linkages in Vietnam's Red River Delta, Rural- Urban Working Papers 11, London: IIED. http://pubs.iied.org/9518IIED.html	4
IIED paper	Capital, Capacities and Collaboration: the Multiple Roles of Community Savings in Addressing Urban Poverty	This paper discusses ideas and methodologies on reducing urban poverty, with particular attention to changes that can be triggered by community savings. The paper describes recent experiences in collective savings among low-income urban citizens, most of them residents of informal settlements. The practices of some of these groups have evolved into sustainable institutional innovations centred on community savings funds.	Mitlin, D., Satterthwaite, D. and Bartlett, S. (2011) Capital, Capacities and Collaboration: the Multiple Roles of Community Savings in Addressing Urban Poverty, Human Settlements Working Paper, London: IIED. http://pubs.iied.org/10611IIED.html	4
Grey literature	Coping with crises: Lingering Recession, Rising Inflation and the	This report proposes a number of policies to help address the existing vulnerabilities of informal workers and minimise the impact of the crisis on them. The emergency measures, employment policies and social protection measures discussed are based on	Horn, Z. E. (2011) Coping with crises: Lingering Recession, Rising Inflation and the Informal Workforce, Inclusive Cities Report http://wiego.org/sites/wiego.org/files/publication	3

Resource type	Title	Description	How to access / full reference	Level of complexity
	Informal Workforce	recommendations prioritised by the study respondents.	s/files/Horn-Global-Economic-Crisis-2_0.pdf	
ODI paper	Opportunity and exploitation in urban labour markets: better economic opportunity does not always mean better work	This ODI briefing paper concludes that the foundations upon which urban expansion occurs have major implications for whether and how the economy transforms, stimulates economic activities and absorbs low skilled and unskilled labour. There will be winners and losers from policies and investment choices – choices that are often made on the basis of efficiency rather than equity.	Grant, U. (2008) Opportunity and exploitation in urban labour markets: better economic opportunity does not always mean better work, Urban labour markets and urban poverty ODI Briefing Paper 44, London: ODI. http://www.odi.org.uk/sites/odi.org.uk/files/odiassets/publications-opinion-files/2638.pdf	3
Grey literature	Urbanization and the Employment Opportunities of Youth in Developing Countries	This paper provides an overview of patterns of urbanisation across developing countries in relation to urban economic growth and implications for urban poverty, particularly among urban youth.	Grant, U. (2012) Urbanization and the Employment Opportunities of Youth in Developing Countries, Paper commissioned for the EFA Global Monitoring Report 2012, Youth and skills: Putting education to work http://unesdoc.unesco.org/images/0021/002178/217879e.pdf	3
World Bank paper	Urbanization and (in)formalization	The first section of this paper asks what exactly is meant by formality and informality. The second core section turns to processes of urbanisation and asks how these processes intersect with the incentives to formalize. The paper examines why cities attract the informal sector and the role that urbanisation plays in growth and job creation through both the formal and informal sectors.	Ghani, E. and Kanbur, R. (2013) <i>Urbanization</i> and (in) formalization, Policy Research working paper WPS 6374, Washington, DC: World Bank. https://openknowledge.worldbank.org/handle/1 0986/13157	4
Academic paper	The slowing of sub-Saharan Africa's urbanization: evidence and implications for urban livelihoods	Reviews a range of evidence on downward shifts in the growth of African urban settlements in different countries and seeks to address the assumption that flows in migration to many African urban areas have not changed. For many urban centres there is evidence of increased circular migration, which has reduced the contribution of in-migration to urban growth.	Potts, D. (2009) 'The slowing of sub-Saharan Africa's urbanization: evidence and implications for urban livelihoods', <i>Environment and Urbanization</i> , Vol. 21 No. 1, pp. 253-259 http://eau.sagepub.com/content/21/1/253.full.pdf+html	4
Academic paper	Inside Informality: The links between poverty and microenterprise, and living conditions in Nairobi's slums	This study of 1,755 households in Nairobi's slums reveals that informal household microenterprises are indeed helping to offset poverty. Additionally, interventions that improve infrastructure and reduce tenure insecurity may be crucial for incubating microenterprises and reinforcing their contribution to poverty alleviation.	Gulyani, S. and Talukdar D. (2010), 'Inside Informality: The links between Poverty and Microenterprises, and Living conditions in Nairobi's slums', <i>World Development</i> , Vol. 38, No. 12, pp. 1710-1726 http://www.sciencedirect.com/science/article/pii/S0305750X1000118X	3

Resource type	Title	Description	How to access / full reference	Level of complexity
Academic paper	Financial exclusion in Latin America or the social costs of not banking the urban poor	This paper summarises the findings of research in Bogotá, Colombia and Mexico City on the use of financial services by the urban population. The paper identifies the characteristics of those who are "unbanked" and the range of reasons for their exclusion.	Solo, T. M. (2008) 'Financial exclusion in Latin America or the social costs of not banking the urban poor', <i>Environment and Urbanization</i> 20:1, pp. 47-66. http://eau.sagepub.com/content/20/1/47.full.pdf +html	4
Academic paper	The miracle of microfinance? Evidence from a randomized evaluation	Reports on the first randomised evaluation of introducing microcredit in 104 slums in Hyderabad, India. The study found no changes in any of the development outcomes believed to be affected by microfinance, including health, education and women's empowerment.	Duflo, E., Banerjee, A., Glennerster, R., and Kinnan, C. G. (2013) 'The miracle of microfinance? Evidence from a randomized evaluation', <i>National Bureau of Economic Research</i> (No. w18950). http://papers.ssrn.com/sol3/papers.cfm?abstract_id=2250500	5
Book	Contested Space: Street Trading, Public Space and Livelihoods in Developing Countries	This book links literature on the informal economy, urban livelihoods, and public space. Based on a research study in four developing cities – Dar Es Salaam, Kumasi, Maseru, and Kathmandu – it explores the survival strategies of street traders and their relationships with city governments. It concludes by exploring the practical and policy implications for pro-poor street management.	Brown, A. (2006) (ed) Contested Space: Street Trading, Public Space and Livelihoods in Developing Countries, Rugby: ITDG Publishing, 169-83	3
Rural-urban I	inks and food security			
Grey literature	Oxfam GB Emergency Food Security and Livelihoods Urban Programme Evaluation	This report sets out findings from three assessments of Oxfam's urban emergency food security and livelihoods programmes in Nairobi, Port-au-Prince and Gaza. These programmes included cash transfers, cash for work, voucher programmes, skills training, business grants, and in kind transfers.	Macauslan, I. and Phelps, L. (2012) Oxfam GB Emergency Food Security and Livelihoods Urban Programme Evaluation: Final Report, Oxford: OPML. http://reliefweb.int/sites/reliefweb.int/files/resources/OGB%20EFSL%20Urban%20Evaluation. pdf	3
IIED paper	Urban poverty, food security and climate change	This paper argues for a broader approach to food security, based on food systems and encompassing all aspects of food production, storage, distribution and consumption, all of which will be affected by climate change. Low and irregular incomes are the root cause of urban food insecurity, but inadequate housing, infrastructure and limited services also contribute to malnutrition and food insecurity.	Tacoli, C., Bukhari, B. and Fisher, S. (2013) Urban poverty, food security and climate change, Human Settlements Working Paper 37, London: IIED. http://pubs.iied.org/10623IIED.html	4
Academic paper	Exploring mobility and migration in the context of rural-urban linkages: why	This paper draws on case studies in Mali, Nigeria, Tanzania and Vietnam to explore the different ways in which migration intersects with the changing relations between rural and urban areas and activities, and in the process transforms livelihoods and the	Tacoli, C. and Mabala, R. (2010) 'Exploring mobility and migration in the context of rural-urban linkages: why gender and generation matter', <i>Environment and Urbanization</i> , 22:2,	3

Resource type	Title	Description	How to access / full reference	Level of complexity
	gender and generation matter	relations between young and older men and women.	pp. 389-96 http://eau.sagepub.com/content/22/2/389.full.p df+html	
Academic paper	Cities feeding people: an update on urban agriculture in equatorial Africa	The article attempts to quantify the extent of urban agriculture in several cities, and assesses its statistical and qualitative relationship to urban food and nutrition security as well as its complex relationship to poverty. The role of urban agriculture in closing eco-cycles is discussed in important new data from three cities on how organic solid waste is, or is not, being re-used.	Lee-Smith, D. (2010) 'Cities feeding people: an update on urban agriculture in equatorial Africa', Environment and Urbanization 22:2, pp. 483-500 http://eau.sagepub.com/content/22/2/483.full.pdf df+html	5
Academic paper	Urbanization and its implications for food and farming	This paper discusses the influences on food and farming of an increasingly urbanised world and a declining ratio of food producers to food consumers. The key issues are whether the growing and changing demands for agricultural products from urban populations can be sustained while underpinning agricultural prosperity and reducing urban poverty.	Satterthwaite, D., McGranahan, G., and Tacoli, C. (2010) 'Urbanization and its implications for food and farming', <i>Philosophical Transactions of the Royal Society B: Biological Sciences</i> , 365(1554), pp. 2809-2820. http://rstb.royalsocietypublishing.org/content/365/1554/2809.full.pdf+html	4
Academic paper	The food price crisis and urban food (in) security	This paper discusses why policy makers should pay greater attention to urban dwellers and describes the multiple pathways through which food price increases have impacts on urban people. It also highlights the evidence on how these impacts have played out during this crisis and discusses how current policy responses could be improved to better protect the urban poor in the short and longer term.	Cohen, M. and Garrett, J. L. (2010) 'The food price crisis and urban food (in) security', Environment and Urbanization, 2010 22:2, pp. 467-482. http://eau.sagepub.com/content/22/2/467.full.pgdf+html	5
Book	The Earthscan Reader in Rural-Urban Linkages	This comprehensive book explores rural—urban approaches and linkages, covering the economic, livelihood, resource use and environmental threads that bind cities and rural areas together. Twenty-two papers are organised around the principal themes and accompanied by editorial introductions and a conclusion clearly setting out the issues, the arguments and the evidence.	Tacoli, C. (ed) (2006) <i>The Earthscan Reader in Rural-Urban Linkages</i> , London: Earthscan Publications. http://pubs.iied.org/10543IIED.html	4



Cities and Environment and Climate Change



Cities and Environment and Climate Change

Resource type	Title	Description	How to access / full reference	Level of complexity
Film	The sustainable city	Sustainable architecture, or green architecture, aims to minimise the negative impact of buildings on the environment by enhancing efficiency and moderating the use of materials, energy, and space. This film looks at the way that ecosystems are being integrated into our cities to reduce their environmental impact.	http://topdocumentaryfilms.com/the- sustainable- chttp://topdocumentaryfilms.com/the- sustainable-city/ity/	2
Website	AsianCitiesAdapt	The AsianCitiesAdapt partnership works with and within eight cities to carry out a cyclical adaptation management process based on sound adaptation research that integrates local adaptation needs into local governments' everyday operations. The website contains diverse learning resources including publications and films.	Website: http://www.asian-cities-adapt.org/ Film: Knowledge and action. Available at: http://youtu.be/Ywf0qbqza54	1
Blog	Environment and Urbanization blog	Environment and Urbanization is a world leading environmental and urban studies journal. Principal authors and contributors to the journal write up-to-date environment and urban relevant commentary on this blog.	http://www.environmentandurbanization.org/blogs	2
Website article	The solar envelope: how to heat and cool cities without fossil fuels	Article on planning a densely populated city where each building is heated and cooled using only natural energy sources.	De Decker, K. (2012) The solar envelope: how to heat and cool cities without fossil fuels, Low-Tech Magazine. http://www.resilience.org/stories/2012-03- 26/solar-envelope-how-heat-and-cool-cities- without-fossil-fuels	3
Online magazine	A2 magazine – Resilient cities	 Arup's business magazine A2 looks at strategic business issues. Themes in this issue include: Managing our city systems collaboratively Getting investment into infrastructure Funding new technologies in a challenging commercial environment Supporting effective leadership and decision making 	Arup (2013) A2 Magazine – Resilient Cities, London: Arup. http://publications.arup.com/Publications/A/A2 magazine/A2 Magazine issue 13.aspx	1
Guide/ Toolkit	World Bank guide to climate change adaptation in cities	This is a practical resource and comprehensive overview on responding to the challenges of climate change adaptation in cities. The principal intended audience is city officials and practitioners in developing countries, who are beginning to consider the issues of climate change adaptation.	World Bank Group (2001) <i>Guide to climate</i> change adaptation in cities. Washington DC: World Bank Group. Guide and toolkit available here.	4
Arup publication	Climate action in megacities	The member cities of the C40 Climate Leadership Group represent 297m people, 18% of global GDP and 10% of carbon emissions. Collectively they have taken 4,734 actions to tackle climate change. The report will help each city to meet its potential to mitigate and adapt to the climate change challenge.	Arup (2011) Climate action in megacities: c40 cities baseline and opportunities, London: Arup. http://publications.arup.com/Publications/C/Climate_Action_in_Megacities.aspx	3

Cities and Environment and Climate Change

Resource type	Title	Description	How to access / full reference	Level of complexity
Arup publication	Cities alive	Cities Alive looks at how we can build nature into our urban systems at all scales through high quality landscape design, via new development or retrofitting through a green infrastructure design approach. The publication analyses existing research and trends in landscape design, drawing out key elements which can help deal with rapidly rising urban populations, mitigate climate change and produce integrated solutions.	Arup (2014) <i>A2 Magazine</i> – <i>Resilient Cities</i> , London: Arup. Available at: http://publications.arup.com/Publications/C/Cities_Alive.aspx	3
BWPI paper	Poverty and Climate Change in Urban Bangladesh	A research output summary paper from the ClimUrb project, conducted by the Brooks World Poverty Institute at the University of Manchester.	BWPI (2013) Poverty and Climate Change in Urban Bangladesh: Climate change makes life harder for the urban poor: policy-makers must act now, Manchester, BWPI. http://www.bwpi.manchester.ac.uk/medialibrary/publications/working_papers/bwpi-wp-14811.pdf	4
World Bank paper	Climate Risk and Adaptation in Asian Coastal Megacities: A Synthesis Report	ADB, the Japan International Cooperation Agency (JICA), and the World Bank undertook an analysis in several coastal megacities to address climate adaptation and prepare a synthesis report based on the city-level findings. The selected cities included Manila, Ho Chi Minh City and Bangkok.	World Bank (2010) Climate Risk and Adaptation in Asian Coastal Megacities: A Synthesis Report, Washington DC: World Bank, http://go.worldbank.org/P8IU2IW370	4
Journal	Towards resilience and transformation for cities I & II	These two issues focus on what builds resilience to climate change in cities and what contributes to mitigation and the avoidance of dangerous climate change. Over the two volumes there are numerous examples of action taken to adapt to climate change and reduce disaster risk. The second volume specifically focuses on these case studies in the context of the IPCC 5 th Assessment.	Environment and Urbanisation (2013/2014) Towards resilience and transformation for cities I & II, London: IIED. Volume I: http://pubs.iied.org/10646IIED.html Volume II: http://pubs.iied.org/10701IIED.html?c=urban	4
IIED paper	Vulnerability profiling of cities: a framework for climateresilient urban development in India	This working paper presents a holistic approach for how a city can customise its rapid vulnerability assessment in order to understand what is required for building climate resilience. The framework can be used to highlight the potential impact of climate change on urban services arising from the geographical setting of a city; the nature, size and density of its settlements; and the existing coping capacity of its society and governance system.	Parikh, J. et al. (2014) Vulnerability profiling of cities: a framework for climate-resilient urban development in India, London: IIED. http://pubs.iied.org/10657IIED.html	4
Grey literature	Urban Climate Resilience: A review of the methodologies adopted under the ACCCRN initiative in Indian cities	This Working Paper aims to document and analyse the urban climate resilience planning methodologies adopted in the seven Indian ACCCRN (Asian Cities Climate Chance Resilience Network) cities. The paper analyses these methodologies and the overall process adopted in each of these cities for its potential for replication in other cities in India, and brings out the inherent	Sharma, D., Singh, R. and Singh, R. (2013) Urban Climate Resilience: A review of the methodologies adopted under the ACCCRN initiative in Indian cities. Asian cities climate resilience workings papers: Working Papers Series 5.	3

Cities and Environment and Climate Change

Resource type	Title	Description	How to access / full reference	Level of complexity
		challenges, gaps and opportunities in achieving this.	http://pubs.iied.org/pdfs/10650IIED.pdf	
Grey literature	Progress and Challenges in the Urban Governance of Climate Change: Results of a Global Survey	First systematic study to look at how cities are approaching climate change planning, adaptation and mitigation efforts. It provides an overview of who is involved, what measures they are taking, and what barriers they are facing. It also explores how climate change work overlaps with other local government priorities.	Aylett, A. (2014) Progress and Challenges in the Urban Governance of Climate Change: Results of a Global Survey, Cambridge, MA: MIT. http://www.urbanclimatesurvey.com/	3
Academic paper	Towards transformative adaptation in cities: the IPCC's Fifth Assessment	This paper considers the very large differences in adaptive capacity among the world's urban centres and how risk levels may change for a range of climatic drivers of impacts in the near and long term with a 2°C and a 4°C warming for Dar Es Salaam, Durban and London. The paper is drawn directly from Chapter 8 of Climate Change 2014: Impacts, Adaptation and Vulnerability, the IPCC Working Group II contribution to the 5th Assessment Report.	Revi, A. et al (2014) 'Towards transformative adaptation in cities: the IPCC's Fifth Assessment', <i>Environment and Urbanization</i> , 26:11, pp. 11-28. http://eau.sagepub.com/content/26/1/11.full.pgdf+html	5
Academic paper	A Framework for Urban Climate Resilience	This article reviews concepts and theories in a range of diverse fields to illustrate how the general notion of urban climate resilience can be developed into an operational framework for planning practitioners.	Tyler, S. and Moench, M. (2012) 'A Framework for Urban Climate Resilience', Climate and Development, No. 4 311-26. http://dx.doi.org/10.1080/17565529.2012.745 389	4
Book	Adapting Cities to Climate Change: Understanding and Addressing the Development Challenges	This volume brings together a wide-ranging and detailed body of information identifying and assessing risk, vulnerability and adaptation to climate change in urban centres in low- and middle-income countries. Framed by an overview of the main possibilities and constraints for adaptation, the contributors examine the implications of climate change for cities in Africa, Asia and Latin America, and propose innovative agendas for adaptation.	Bicknell, J. et al (editors) (2009), Adapting Cities to Climate Change: Understanding and Addressing the Development Challenges, London: Earthscan Publications.	4
Book	Cities and climate change	Drawing on examples from cities in the north and south, illustrated with detailed case-studies, this book enables the reader to understand the potential and limits of addressing climate change at the urban level and to explore consequences for our future cities.	Bulkley, H. (2013) <i>Cities and climate change,</i> London: Routledge. Book available online at: http://www.routledge.com/books/details/9780415597050/	3
Book	Environmental problems in an urbanizing world	This book describes environmental problems in cities and how these affect human health, local eco-systems and global cycles. It also offers practical solutions through building the capacity of urban government, supporting local NGOs and channelling support directly to associations of low income households.	Hardoy, J. E., Mitlin, D. and Satterthwaite, D. (2001) <i>Environmental Problems in an Urbanizing World</i> , London: Earthscan.	4



Including flood protection



Resource type	Title	Description	How to access / full reference	Level of complexity
Lecture /report	Shifting agendas: response to resilience	Jo da Silva, Director of Arup's International Development team, was chosen as the lecturer for the ICE's 9th Brunel International Lecture series. Jo's lecture, 'Shifting Agendas: response to resilience' calls for a new approach to disasters that prioritises creating resilient communities which are able to respond and adapt to changing circumstances and unexpected catastrophes.	Da Silva J (2012). Lecture and report both available at: http://www.jodasilva.me/2012-brunel-international-lecture.html	3
Online forum/blog	Urban climate resilience community of practice	The Urban Climate Resilience Community of Practice (UCR-CoP) is a thematic platform formed in December 2011 under the purview of the Vietnam Urban Forum (VUF). It currently connects an informal network of about 40 national and international organisations as well as individual practitioners that are involved in urban climate resilience in Vietnam. This blog provides space for the UCR-CoP to share knowledge on key issues in urban climate resilience.	Blog available at: http://urbanclimatevn.com	2
Blog	World Bank natural disaster blog	World Bank blog collating up to date material relevant to natural disasters	Blog available at: http://blogs.worldbank.org/category/tags/natural-disasters	2
Atkins report	Future proofing cities	Joint Atkins, UCL and DFID report on preparing urban areas for climate change associated risk. This report provides a fresh approach to the urgent issues arising from rapid urbanisation. It assesses the environmental risks facing cities in an integrated way and identifies more than 100 practical policy options that will be of most benefit to people living in different types of cities.	Ratcliffe S, Finch E, Levy C. (2013) Future proofing cities, London: Atkins. Available at: http://www.futureproofing_cities.com/about_future_proofing_cities.html	3
Arup framework	City resilience framework	Resilience is the capacity of a city to function, so that citizens survive and thrive no matter what stresses or shocks they encounter. This framework, supported by The Rockefeller Foundation and developed by Arup's International Development team gives cities a tool to understand their resilience; to shape urban planning, practice and investment.	Da Silva J, Morera, BE. (2014) <i>City resilience framework</i> , London: Arup. http://publications.arup.com/Publications/C/City-Resilience-Framework.aspx	3
UN paper	Global Assessment Report on Disaster Risk Reduction: Risk and Poverty in a Changing Climate	Global assessment from 2009 of disaster risk reduction prepared in the context of the implementation of the International Strategy for Disaster Reduction (ISDR). The ISDR, launched in 2000, provides a framework to coordinate actions to address disaster risks at the local, national, regional and international levels.	United Nations (2009) Global Assessment Report on Disaster Risk Reduction: Risk and Poverty in a Changing Climate, ISDR, Geneva: UN. http://www.preventionweb.net/english/hyogo/gar/report/index.php?id=9413	3
Policy brief	Towards resilience and transformation for	This brief highlights that no city can be resilient if it has large deficits in risk-reducing infrastructure, little or no	Satterthwaite, D. and Dodman, D. (2013) 'Towards resilience and transformation for	3

Resource type	Title	Description	How to access / full reference	Level of complexity
	cities within a finite planet	public investment capacity and little possibility of managing land use, new construction and urban expansion in ways that support resilience.	cities within a finite planet', Environment and Urbanization Brief 28. London: IIED. http://www.academia.edu/4984242/Towards_resilience_and_transformation_for_cities_with_in_afinite_planet	
IPCC publication	IPCC 5 th assessment report: Impact adaptation and vulnerability	As part of the IPCC's 5 th assessment report, a section focuses on impact adaptation and vulnerability in urban areas. Academics from around the world contributed to the creation of this 113-page report which is recognised as a leading source of information of climate change and disaster.	Satterthwaite D. and Aromar R. (2014) IPCC 5 th assessment report: Impact adaptation and vulnerability. Chapter 8, Urban. Geneva: IPCC. http://ipcc-wg2.gov/AR5/images/uploads/WGIIAR5-Chap8_FGDall.pdf The IPCC chapter on urban adaptation can be downloaded from: http://www.ipcc-wg2.gov/AR5/report/final-drafts/ The IPCC chapter that deals with urban mitigation: http://report.mitigation2014.org/drafts/final-draft_postplenary_chapter12.pdf	4
Grey literature	World Disasters Report 2010: Focus on Urban Risk	The examples in this report show that urban communities are capable of finding their own solutions, asserting their rights and playing a full role in post-disaster recovery and risk reduction but that many need external support which is appropriate to their circumstances.	IFRC (2010) World Disasters Report 2010: Focus on Urban Risk, International Federation of Red Cross and Red Crescent Societies, Geneva: IFRC. http://www.ifrc.org/Global/Publications/disaste rs/WDR/WDR2010-full.pdf	4
UN paper	Revealing Risk, Redefining Development: The 2011 Global Assessment Report on Disaster Risk Reduction	This second edition of the UN Global Assessment Report in 2011 on disaster risk reduction provides a current resource for understanding and analysing global disaster risk. It explores trends and patterns in disaster risk globally, regionally and nationally.	United Nations (2011) Revealing Risk, Redefining Development: The 2011 Global Assessment Report on Disaster Risk Reduction, UN International Strategy for Disaster Reduction, Geneva: UN. http://www.preventionweb.net/english/hyogo/gar/2011/en/home/index.html	4
Grey literature	Meeting the urban challenge: Adapting humanitarian efforts to an urban world	This document provides an overview of current thinking and emerging good practice related to humanitarian action in an urban environment. It is based on the discussions held at the 27th Meeting of the Active Learning Network for Accountability and Performance in Humanitarian Action (ALNAP) on Meeting the Urban Challenge and on a review of recent literature on	Ramalingam, B. and Clarke, P. K. (2012) Meeting the urban challenge: Adapting humanitarian efforts to an urban world, Active Learning Network for Accountability and Performance in Humanitarian Action. http://www.alnap.org/resource/6606	3

Resource type	Title	Description	How to access / full reference	Level of complexity
		humanitarian responses to urban crises. It considers how the nature of urban space and of urban populations influences disasters in cities.		
Academic paper	Advocacy for urban resilience: UNISDR's Making Cities Resilient Campaign	This paper from UCL's Development Planning Unit reviews the actions of local governments in more than 50 cities on disaster risk reduction. It draws on the reports of their participation in the global Making Cities Resilient Campaign and its 10 essential components, and on interviews with city mayors or managers.	Johnson, C. and Blackburn, S. (2014) 'Advocacy for urban resilience: UNISDR's Making Cities Resilient Campaign', Environment and Urbanization, April 2014, 26: 29-52.	3
Book	Cities, Disaster Risk and Adaptation	Explores ways in which resilient cities can be built and sustainable urban transformations achieved. The book provides a comprehensive understanding of urban risk reduction and adaptation planning, exploring key theoretical concepts and analysing the complex interrelation between cities, disasters and climate change.	Wamsler, C. (2014) <i>Cities, Disaster Risk and Adaptation</i> , London: Routledge.	4
Book	Building Back Better: Delivering People- centred Housing Reconstruction at Scale	This book's key concern is the potential for post-disaster housing reconstruction to break the cycle of poverty and dependence, reducing people's vulnerability to disasters and to other adverse events and conditions. It aims to inform policy, programme design and practice.	Lyons, M. and Schilderman, T. (Editors) (2010) Building Back Better: Delivering People-centred Housing Reconstruction at Scale, Rugby: Practical Action Publishing. http://practicalaction.org/docs/ia3/building-back-better-lyons-schilderman.pdf	3





Resource type	Title	Description	How to access / full reference	Level of complexity
Website	Global Land Tool Network	Facilitated by UN Habitat, the Global Land Tool Network (GLTN) is an alliance of global regional and national partners contributing to poverty alleviation through land reform, improved land management and security of tenure particularly through the development and dissemination of pro-poor and gender-sensitive land tools.	http://www.gltn.net/	1
Grey literature	Building Cities; Neighbourhood Upgrading and Urban Quality of Life	The volume analyses the main problems of settlement upgrading programmes and presents ways of solving them. It is based on the practical experience of designing, implementing, and evaluating such programmes in the Latin American and Caribbean region.	Rojas, E. (editor) (2010) Building Cities; Neighbourhood Upgrading and Urban Quality of Life, Inter-American Development Bank, Cities Alliance, Washington DC: Harvard University. http://idbdocs.iadb.org/wsdocs/getdocument.aspx ?docnum=35132311	3
Grey literature	Evaluating impacts of urban land titling	Contains a summary of key issues and findings from a desk review of the literature on land titling projects and programmes in urban and peri-urban areas of developing countries.	Durand-Lasserve, A., & Payne, G. (2006) Evaluating impacts of urban land titling: Results and implications: Preliminary findings. Draft Report for Geoffrey Payne and Associates, London. http://siteresources.worldbank.org/RPDLPROGRAM/Resources/459596-1161903702549/S7-Durand.pdf	4
UN paper	Financing Urban Shelter; Global Report on Human Settlements 2005	This report looks at the financing of social and rental housing, especially through subsidies. The report shows that small housing loans, disbursed through housing microfinance institutions, are among the most promising developments in housing finance of the past decade. It also highlights the increasing popularity of shelter community funds for upgrading informal and slum neighbourhoods.	UN Habitat (2005) Financing Urban Shelter; Global Report on Human Settlements 2005, London: Earthscan Publications. http://www.unhabitat.org.jo/pdf/GRHS.2005.pdf	3
IIED paper	Land ownership, control and contestation in Karachi and implications for low-income housing	Land is hotly contested in Karachi because of speculation and real estate development which brings not only enormous profits but also helps Karachi's different ethnic parties in consolidating their hold in different areas of the city. The Karachi study identifies the actors and factors in the process and proposes a methodology through which other cities can be viewed.	Hasan, A. et al (2013) Land ownership, control and contestation in Karachi and implications for low-income housing, Urbanization and Emerging Population Issues 10, London: IIED. http://pubs.iied.org/10625IIED.html	4
World Bank paper	A systemic analysis of land markets and land institutions in West African cities : rules and practices - the case of	This paper presents a new type of land market analysis relevant to cities with plural tenure systems as in West Africa. The methodology hinges on a systemic analysis of land delivery channels, which helps to show how land is initially made available for circulation, how tenure can be formalised incrementally, and	Durand-Lasserve, A., Durand-Lasserve, M. and Selod, H. (2013) A Systemic Analysis of Land Markets and Land Institutions in West African Cities Rules and Practices The Case of Bamako, Mali, Policy Research	4

Resource type	Title	Description	How to access / full reference	Level of complexity
	Bamako, Mali	the different means whereby households can access land.	Working Paper 6687, Washington DC: World Bank. Available <u>here</u> .	
World Bank paper	Housing and Urbanization in Africa: Unleashing a Formal Market Process	This paper presents the hypothesis that housing is subject to multiple points of vulnerability not found together either in private consumer goods or in other capital goods. Each point of vulnerability can be addressed by appropriate government policies, but addressing only one or two of them has little payoff if the others remain unresolved. Further, the vulnerabilities faced by housing are the responsibility of distinct branches of government, with little natural collaboration.	Collier, P. and Venables, A. J. (2013) Housing and Urbanization in Africa: Unleashing a Formal Market Process, Washington, DC: World Bank. https://openknowledge.worldbank.org/handle/10986/17586	5
EoD Topic Guide	Provision and improvement of housing for the poor	This Evidence on Demand Topic Guide presents evidence and analysis of the methodologies for the provision of housing for the poor in order to stimulate the reader's ideas about what housing is and what it can do, and to help inform approaches to housing provision and improvement.	Patel, K. (2013) Topic Guide: Provision and improvement of housing for the poor. Evidence on Demand, UK: Evidence on Demand. DOI: http://dx.doi.org/10.12774/eod tg11.dec13.patel	4
Academic paper	Land for housing the poor by the poor: experiences from the Baan Mankong nationwide slum upgrading programme	This paper describes one of the most innovative and successful pro-poor housing policies - the nationwide "slum" upgrading (Baan Mankong) programme in Thailand, which supports community organizations to find their own solutions to getting land for housing. Between 2003 and 2008, the programme supported 512 upgrading initiatives involving 1,010 communities.	Boonyabancha, S. (2009) 'Land for housing the poor by the poor: experiences from the Baan Mankong nationwide slum upgrading programme in Thailand', <i>Environment and Urbanization</i> , Vol. 21, No. 2, pp. 309-330. http://www.sdinet.org/media/upload/documents/EU2009212Boonyabancha.pdf	4
Satte	erthwaite and Mitlin (2013) h	ave a review of the different approaches to land and housing and an	assessment of which of approaches have proved eff	ective.
Academic paper	Finance for housing, livelihoods and basic services	Argues that financial services must take account of the vulnerabilities and limited repayment capacities of low-income households. Financial services for low-income groups need to be developed with these groups as they are best able to assess their repayment capacities and develop effective systems.	Mitlin, D. (2008) 'Finance for housing, livelihoods and basic services', <i>Environment and Urbanization</i> , Vol. 20, No. 1, pp. 3-12. http://pubs.iied.org/pdfs/10557IIED.pdf	4
Academic paper	Housing, institutions, money: The failures and promise of human settlements policy and practice in South Africa	This paper considers why the housing subsidy programme in South Africa has had so little impact on poverty reduction despite its scale and generous funding. It discusses how this was linked to the government's conception of housing, the institutions involved and who controlled funding flows for housing.	Bradlow, B., Bolnick, J. and Shearing, C. (2011) 'Housing, institutions, money: The failures and promise of human settlements policy and practice in South Africa', <i>Environment and Urbanization</i> , Vol. 23, No. 1, pp. 267-275. http://eau.sagepub.com/content/23/1/267.full.pdf+html	4
Academic paper	Urban Poverty, Social Exclusion and Social Housing Finance: The	Based on an in-depth study of the work of the Foundation for the Promotion of Local Development (PRODEL) in three cities in Nicaragua during a period of 15 years.	Stein Heinemann, A. (2010) <i>Urban Poverty,</i> Social Exclusion and Social Housing Finance: The Case of PRODEL in Nicaragua, Housing	4

Resource type	Title	Description	How to access / full reference	Level of complexity
	Case of PRODEL in Nicaragua		Development and Management, Lund: Lund University. https://lup.lub.lu.se/search/publication/1604831	
Academic paper	Slum real estate: the low quality high-price puzzle in Nairobi's slum rental market and its implications for theory and practice.	This study of 1755 households in Nairobi's slums challenges the conventional belief that slums offer low-quality low-cost shelter to a population that cannot afford better standards. In Nairobi, slums provide low-quality but high-cost shelter. Although slum residents pay millions of dollars in rents annually, and better quality units command higher rents, very little is being re-invested to upgrade quality.	Gulyani, S. and Talukdar, D. (2008) Slum real estate: the low quality high-price puzzle in Nairobi's slum rental market and its implications for theory and practice. <i>World Development</i> 36:10, 1916-37. doi:10.1016/j.worlddev.2008.02.010 Available here.	4
Academic paper	Rethinking UK aid in urban India: reflections on an impact assessment study of slum improvement projects	This paper reflects on the findings of an impact assessment of slum upgrading programmes in three cities in India. The approach included formal survey work and extensive consultation with households in 12 slums. The findings highlight the many dimensions of deprivation faced by slum dwellers and the relative success of upgrading projects in providing basic infrastructure.	Amis, P. (2001) 'Rethinking UK aid in urban India: reflections on an impact assessment study of slum improvement projects.' <i>Environment and Urbanization</i> , 13(1), 101-113. http://eau.sagepub.com/content/13/1/101.full.pdf	4
Chapter in book	The age of cities and organizations of the urban poor; the work of the South African Homeless People's Federation	This chapter describes efforts to develop approaches to housing that meet the needs of low-income households in South Africa.	Baumann, T., Bolnick, J. and Mitlin, D. (2004) 'The age of cities and organizations of the urban poor; the work of the South African Homeless People's Federation' in Diana Mitlin and David Satterthwaite (eds), <i>Empowering Squatter Citizen</i> , London: Earthscan Publications. Google Books Preview	3
Book	Trading Places: Accessing land in African cities	Trading Places is about urban land markets in African cities. It explores how local practice, land governance and markets interact to shape the ways that people at society's margins access land to build their livelihoods.	Napier, M., Berrisford, S., Kihato, C. W., McGaffin, R. and Royston, L. (2013), <i>Trading</i> Places: Accessing Land in African Cities, African Minds. Google Books preview	3



Women and Urbanisation

Including economic opportunities for women; children and urbanisation



Women and Urbanisation

Resource type	Title	Description	How to access / full reference	Level of complexity
Website	Women in Informal Employment Globalizing and Organizing (WIEGO)	WIEGO is a global action research and policy network that seeks to improve the status of the working poor in the informal economy, especially women. WIEGO has published a lot of material on informal livelihoods, particularly street vendors. Website includes a section with publications and further resources.	http://wiego.org/ http://wiego.org/publications-resources	1
Grey literature	Insecurity and Indignity: Women's Experiences in the Slums of Nairobi, Kenya	This report examines the experiences of women living in four slums in Nairobi. It calls on the Kenyan government to address gender-based violence against women and to ensure women's access to sanitation and public security services.	Amnesty International (2010) Insecurity and Indignity: Women's Experiences in the Slums of Nairobi, Kenya, London: Amnesty International Publications. http://www.amnesty.org/en/library/info/AFR32/002/2010/en	2
Academic journal	April 2013 issue of Environment and Urbanization: Gender and Urban Change	 The April 2013 issue of <i>Environment and Urbanization</i> was on Gender and Urban Change. Examples of issues explored: where and when urban women enjoy advantages over their rural counterparts; community savings schemes that build women's leadership and support upgrading of informal settlements; how transport planning fails to respond to women's travel needs; the often ambivalent role of paid employment in promoting more equal gender relations; the role of women in improving infrastructure and services; how urban contexts can both reduce and increase gender-based violence. 	For its contents list, see <u>here</u> . For a brief summarising the key points from this issue, see <u>here</u> .	3-5
IDS paper	The Health of Women and Girls in Urban Areas with a Focus on Kenya and South Africa: A Review	This thematic review focuses on a range of health challenges faced by women and girls living in low-income urban settlements in expanding cities in Kenya and South Africa. The review was preceded by a literature search of key databases of published literature, as well as a search for grey literature and documents describing interventions aimed at addressing these health challenges.	Hawkins, K., MacGregor, H. and Oronje, R. (2013) The Health of Women and Girls in Urban Areas with a Focus on Kenya and South Africa: A Review, Evidence Report 42, Publisher IDS. Available here.	4
IIED paper	Urbanization and fertility decline: Cashing in on structural change	This paper attempts to combine an analysis of structural and proximate causes of fertility decline in urban areas.	Martine, G., Alves, J. E. and Cavenaghi, S. (2013) <i>Urbanization and fertility decline:</i> Cashing in on structural change, IIED. http://pubs.iied.org/pdfs/10653IIED.pdf	4

Women and Urbanisation

Resource type	Title	Description	How to access / full reference	Level of complexity
IIED paper	Urbanization, gender and urban poverty: paid work and unpaid care work in the city	The paper explores women's engagement in both paid work, which is often informal and subject to increasing insecurity and low earnings, and unpaid work, which results in time poverty for women. It also discusses differential access to shelter and basic services and their importance for safety, security and well-being.	Tacoli, C. (2012) Urbanization, gender and urban poverty: paid work and unpaid care work in the city, Working Paper 7, Urbanization and Emerging Population Issues Series, IIED/UNFPA. http://www.unfpa.org/public/home/publications/pid/10172	4
Academic paper	Cities through a gender lens: a golden urban age for women in the Global South?	This paper reviews the literature on gender and urban development. It discusses disparities in access to education and vocational training and to land and housing ownership through a gender lens. It also considers service deficiencies and associated time burdens, which limit income generation among women.	Chant, S. (2013) 'Cities through a gender lens: a golden urban age for women in the Global South?', Environment and Urbanization 25:1, pp. 9-29 http://eau.sagepub.com/content/25/1/9.full.pdf+html	4
Academic paper	Urbanization and gender- based violence: exploring the paradoxes in the global South	This paper examines the nature and paradoxes of the relationship between urbanization and gender-based violence, especially violence against women. The discussion highlights that while the underlying causes of gender-based violence rooted in patriarchal relations are ubiquitous across place, certain "triggers" or "risks" can lead to variations between urban and rural areas.	McIlwaine, C. (2013) 'Urbanization and gender-based violence: exploring the paradoxes in the global South', <i>Environment and Urbanization</i> , 25(1), pp. 65-79. http://eau.sagepub.com/content/25/1/65.full.pdf +httml	3

Children, Youth and Urbanisation

Resource type	Title	Description	How to access / full reference	Level of complexity
Grey literature	State of the World's Children 2012: Children in an Urban World	UNICEF has dedicated the 2012 edition of The State of the World's Children to the situation of children growing up in urban settings. Cities are known to generate growth, but the report shows that not all children are benefiting from urban expansion.	UNICEF (2012) The State of the World's Children 2012: Children in an Urban World, New York: UN Publications http://www.unicef.org/sowc2012/fullreport.php	3
Grey literature	Evaluation of the UN- HABITAT Youth Programme & Urban Youth Fund	Assessed the relevance of the work carried out by UN-Habitat with urban youth; reviewed the integration of youth issues in the normative and operational work of UN-Habitat; evaluated the Urban Youth Fund; and reviewed the performance of youth empowerment initiatives of UN-Habitat.	UN Habitat (2011) Evaluation of the UN- HABITAT Youth Programme & Urban Youth Fund, UN Human Settlements Programme, Kenya: UN. http://mirror.unhabitat.org/pmss/listItemDetails. aspx?publicationID=3223	3
Book	Cities for Children: Children's Rights, Poverty and Urban Management	Cities for Children is intended to help urban authorities to understand and respond to the rights and requirements of children and adolescents. It discusses measures for meeting obligations with limited resources and multiple demands.	Bartlett, S. et al (1999) Cities for Children: Children's Rights, Poverty and Urban Management, London: Earthscan. Available here.	4