<u>**Annex A - I**</u>

Research Protocol for Literature Review on Evaluation Studies

In order to determine the sample of cases that will be included in this review, I will begin the research process by examining Susan Wong's research on the impact of the World Bank's CDD programs[1]. This study as well as other documents[2] on the World Bank's website contain information on where and when these programs were implemented, and a brief description of their contents and outcomes. This information will be entered into a spreadsheet for comparison with other lists that will be obtained during the research process.

Generic searches on Google and Google Scholar will be used to find CDD programs outside of the World Bank. I will use combined keywords including the name of a country from the OECD's publications (2013-2014) of fragile and conflict-affected countries (FCAS), and the term "community-driven development" to find out if the country had implemented any CDD programs in the past. The timeframe includes programs implemented in 1990 and beyond. This procedure will be repeated for each country, and in the process, I will note the various synonyms for CDD. These synonyms will be used to find in-depth information on the specified program. Other key terms that will be combined with the country names include:

- CDD program
- Community development reconstruction program,
- CDR program
- CDD/CDR impact evaluation
- Social Investment Funds

Similar keywords will be used to search the websites of development agencies and organizations such as the:

- Inter-American Development Bank
- United States Agency for International Development
- Asian Development Bank

Several databases will also be searched using similar keywords mentioned above to locate appropriate journal articles on past CDD programs. These databases include Web of Science, Social Sciences Citation Index, JSTOR, and ProQuest.

I will attempt to gain access to unpublished reports by contacting the authors myself via email or phone. If this fails, I will request assistance from the IRC on this matter. If I am unable to gain

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[1] See Susan Wong, What Have Been the Impact of the World Bank Community-Driven Programs? CDD Impact Evaluation Review and Operational & Research Implications. Washington, DC: The World Bank, 2012.

[2] See for example, The World Bank, Community-Driven Development in the Context of Conflict-Affected Countries: Challenges and Opportunities. Report No. 36425-GLB. Washington, DC: 2006.

access after repeated attempts, the report will not be included in the review. However, it will still be entered into the evaluation catalog and flagged to indicate that it was not read.

Using the search results, I will a create matrix to determine which countries will be included in this review. Only final evaluation reports and other types of impact assessment studies such as academic articles and working papers will be included. All publications must be rigorous and include a description of the CDD program, target population, methodology, measures, outputs, outcomes, and conclusions. Rigorous studies include those that utilize an experimental or quasi-experimental design testing to determine the impact of the program such as randomized control trials, difference-in-differences, regression discontinuity, panel/fixed effects, propensity score matching, or pre-post methodologies. The review will also include the qualitative components of the different evaluation designs.

Backward and forward citation tracking will be used to trace the literature on CDD programs in FCAS from 1990 onwards. This technique includes searching for publications that cited or were cited by the publications that were accessed and read based on the criteria above. I will use Google, Google Scholar, and the databases mentioned above to search for these references. Again, I will attempt to access grey studies myself or with the assistance of the IRC. Studies that are inaccessible will not be included in the review, but entered and flagged in the evaluation catalog.

Both quantitative and qualitative data will be extracted from each document and entered into a standardized reading instrument for further analysis. Documents that are not written in English will also be recorded in the CDD catalog; however, they will be flagged to indicate that they were not read.

A preliminary examination of review studies shows that they may include important insights on past CDD evaluations[3]. So, in addition to keyword searches mentioned above, I will also conduct generic searches using the terms listed above. These terms will be searched separately, and in combination with the term "impact evaluation" to narrow the results. The main findings from these studies will be entered into the evaluation catalog. Publications that are inaccessible will be flagged in the evaluation catalog.

All publications that have been accessed, read, and entered into the standardized reading instrument will be systematically compared with each other. The inferences drawn from these studies will be summarized in a PowerPoint document and submitted to the IRC for final review.

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[3] See for example, Elisabeth King, A Critical Review of Community-Driven Development Programs in Conflict-Affected Contexts. London: Department for International Development (DFID), Research for Development and New York: International Rescue Committee (IRC), 2013. See also Ghazala Mansuri and Vijayendra Rao, Community-Based and –Driven Development: A Critical Review, 2004.

Policy and Theoretical Review Search Protocol

Community-driven development as a development policy tool has become popular in recent years. There is a growing literature on the role of CDD in promoting development, reducing poverty, among other goals. Understanding the key theories driving the literature is a critical first step in understanding the exact role CDD can play in enhancing development priorities.

I intend to use a variety of methods to identify papers, articles, etc, related to the task:

1. Utilize university library system to:
    a. Access to research databases such as JSTOR, ProQuest, WileyOnlineLibrary
    b. Access to wide variety of journals such as Oxford Journals, Journal of Development, Journal of Development Studies
    c. Access to the Washington Library Consortium where I can obtain books, articles from other Washington, DC university libraries

2. Visit websites of key organizations, for example:
    a. The World Bank: http://www.worldbank.org/en/topic/communitydrivendevelopment
    b. Inter-American Development Bank: http://www.iadb.org/en/publications/publication-detail,7101.html?id=26032
    c. Institute of Development Studies, Sussex: http://www.ids.ac.uk/
    d. International Fund for Agricultural Development: http://www.ifad.org/english/cdd/index_full.htm

3. General searches
    a. Utilize 'Google' and 'Google Scholar' to identify other papers, articles. Word searches will include:
        i. Community-driven development
        ii. CDD
        iii. Theory and community-driven development
        iv. Participation and community-driven development
        v. Social capital and community-driven development

4. 'Snow-ball' Technique
    a. Utilize the reference section in papers and articles to identify additional resources

The literature review will focus on pieces authored from the 1990 to present day.