Recommendation(s) Status: Possession irregularity near Manor Park

This report is based on information provided to the RAIB by the relevant safety authority or public body.

The status of implementation of the recommendations, as reported to us, has been divided into six categories:

Key to Recommendation Status

Implemented:	All actions to deliver the recommendation have been completed.

Implemented by alternative means:	The intent of the recommendation has been satisfied in a way that was not identified by the RAIB during the investigation.

Implementation ongoing:	Work to deliver the intent of the recommendation has been agreed and is in the process of being delivered.

In-progress:	The relevant safety authority has yet to be satisfied that an appropriate plan, with timescales, is in place to implement the recommendation; and work is in progress to provide this.

Non-implementation:	Regulation 12(2)(b)(iii) = recommendation considered and no implementation action to be taken.

Awaiting response:	Awaiting initial report from the relevant safety authority or public body on the status of the recommendation.

RAIB concerns on actions taken by organisations in response to recommendations are reflected in this report and are indicated by one of the following.

 The red triangle shows recommendations where the RAIB has concerns that no actions have been taken in response to a recommendation.

 The blue triangle shows recommendations where the RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.

 The white triangle shows recommendations where the RAIB notes substantive actions have been reported, but the RAIB still has concerns.

Note: The tables which follow, report the status of recommendations on 31 December 2015. In some other cases the end implementer has already sent information to the relevant safety authority about the actions it has taken, or proposes to take and the safety authority is considering whether it is satisfied that those actions and the associated timescales are accepted.

Number/ Date/ Report No/ Inv Title / Current Status	Safety Recommendation	Summary of current status (based on latest report from the relevant safety authority or public body)
1 19/03/2006 26/2007 Possession irregularity near Manor Park Status: Implemented	(a) Network Rail should: Review their possession planning principles and formulate criteria for limiting the complexity of work sites within a possession. This is to aid compliance with Rule T3 10.7 which requires that COSSs sign form RT3199 personally (paragraph 89 and 119); (b) Network Rail should: Undertake a review of the risks/benefits associated with long work sites covering different items of work compared to multiple short work sites unless those items of work are less than 300 m apart2 (paragraph 90); (c) Network Rail should: Review, and implement changes as necessary in, procedures to ensure that contractors are aware of major changes to planned possessions and that a record of this communication is maintained (paragraph 102).	Network Rail has reported that it has taken actions in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.
2 19/03/2006 26/2007 Possession irregularity near Manor Park Status: Implemented	(a) Kier Rail should: Introduce systems to ensure that any changes to planned possessions are identified by systematic review of Network Rail planning documents, and are identified to all staff involved in the planning and mobilisation of the work (paragraph 92). (b) Kier Rail should: Introduce formal controls over the handling of changes to possessions and work sites so that the changes can be tracked, and so that it is clear that all involved have been correctly informed (paragraph 93). (c) Kier Rail should: Revise their RIMINI plan design to highlight key information such as the possession and site location and times, and to remove superfluous information (paragraph 80, 116c); (d) Kier Rail should: Revise their document distribution system to allow COSSs sufficient time to read RIMINI plans thoroughly before they start shifts (paragraph 82, 116d). (e) Kier Rail should: Revise their contract supervision systems to ensure that COSSs are appropriately briefed by their supervisors before they start work, and that the contract supervisor and the COSS have a clear understanding of the work to be carried out, its time and location (paragraph 84, 116a).	Kier Rail has reported that it has taken actions in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.
3 19/03/2006 26/2007 Possession irregularity near Manor Park Status: Implemented	a) Network Rail should: Review the possession planning system to ensure that any changes in possessions reflect back into the planned work sites that are recorded in the system (paragraph 112). (b) Network Rail should; review the procedures for the storage of archived data, particularly any information associated with an incident which may be required to support a subsequent investigation, whether internally or by a statutory body (paragraph 113).	Network Rail has reported that it has taken actions in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.