

Recommendation(s) Status: Derailment at Ropley (Mid Hants Railway)

This report is based on information provided to the RAIB by the relevant safety authority or public body.

The status of implementation of the recommendations, as reported to us, has been divided into six categories:

Key to Recommendation Status

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| Implemented: | All actions to deliver the recommendation have been completed. |
| Implemented by alternative means: | The intent of the recommendation has been satisfied in a way that was not identified by the RAIB during the investigation. |
| Implementation ongoing: | Work to deliver the intent of the recommendation has been agreed and is in the process of being delivered. |
| In-progress: | The relevant safety authority has yet to be satisfied that an appropriate plan, with timescales, is in place to implement the recommendation; and work is in progress to provide this. |
| Non-implementation: | Regulation 12(2)(b)(iii) = recommendation considered and no implementation action to be taken. |
| Awaiting response: | Awaiting initial report from the relevant safety authority or public body on the status of the recommendation. |

RAIB concerns on actions taken by organisations in response to recommendations are reflected in this report and are indicated by one of the following.

-  The red triangle shows recommendations where the RAIB has concerns that no actions have been taken in response to a recommendation.
-  The blue triangle shows recommendations where the RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.
-  The white triangle shows recommendations where the RAIB notes substantive actions have been reported, but the RAIB still has concerns.

Note: The tables which follow, report the status of recommendations on 31 December 2015. In some other cases the end implementer has already sent information to the relevant safety authority about the actions it has taken, or proposes to take and the safety authority is considering whether it is satisfied that those actions and the associated timescales are accepted.

| Number/ Date/ Report No/ Inv Title / Current Status | Safety Recommendation | Summary of current status (based on latest report from the relevant safety authority or public body) |
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| 1 25/07/2006 20/2007 Derailment at Ropley (Mid Hants Railway) Status: Implemented | The MHRPLC should ensure that existing plans for the provision of train detection on No.4 points at Ropley are implemented without further delay (paragraphs 110 and 111). | The MHRPLC has reported that it has taken actions in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate. |
| 2 25/07/2006 20/2007 Derailment at Ropley (Mid Hants Railway) Status: Implemented | The MHRPLC should ensure that if staff other than signalmen are to be involved in receiving tokens from or handing tokens to drivers at any station: a. their use should be planned; b. the specific individual undertaking the role should be identified within the relevant operating notice; c. they should always work under the supervision of the signalman; d. they should be competent to perform the role (paragraph 111). | The MHRPLC has reported that it has taken actions in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate. |
| 3 25/07/2006 20/2007 Derailment at Ropley (Mid Hants Railway) Status: Implemented | The MHRPLC should make explicit in its procedures that staff who are to be subject to drugs and alcohol screening do not leave MHR premises until the screening has been undertaken (paragraph 112). | The MHRPLC has reported that it has taken actions in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate. |
| 4 25/07/2006 20/2007 Derailment at Ropley (Mid Hants Railway) Status: Implemented | The MHRPLC should conduct a review of its safety management system to identify non-compliances and develop/implement actions plans to resolve them (paragraph 113). | The MHRPLC has reported completion of the review of its safety management system in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate. |
| 5 25/07/2006 20/2007 Derailment at Ropley (Mid Hants Railway) Status: Implemented | The MHRPLC should provide train detection on the points at the north end of Medstead station (paragraph 114). | The MHRPLC has reported that it has taken actions in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate. |
| 6 25/07/2006 20/2007 Derailment at Ropley (Mid Hants Railway) Status: Implemented | The HRA should: a. Issue new guidance on competence and medical standards for safety-critical staff. This should be based on the advice contained within the letter from the ORR (HMRI) to the HRA of August 2006. It should include the standards to be achieved for all staff that undertake safety critical duties, denoted on a rolebased model. b. When the guidance has been prepared and issued, advise heritage railway operators to review their safety management systems to take account of its provisions (paragraph 115). | HRA has reported that it has taken actions in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate. |