



Education & Skills
Funding Agency

**This document has
been withdrawn as it
is out of date.**

Academy general annual grant allocation guide: 2021 to 2022 academic year

**A guide for special and alternative
provision academies and special and
alternative provision free schools
opening between 1 September 2021 and
31 March 2022**

June 2021

Contents

Introduction	3
How to use this guide	3
Who is the guide for?	3
The GAG statement explained	4
Summary statement	4
Academic year 2021 to 2022 summary table	4
Table A: high needs place funding	5
Sample Table A: academic year 2021 to 2022 high needs place funding	6
Pre-16 breakdown	6
Hospital education breakdown	7
Table B: post-opening grant (start-up grant)	8
Start-up grant (SUG)	8
Post-opening grant (POG)	8
Sample Table B: academic year 2021 to 2022 post-opening grant (start-up grant)	9
Funding outside the GAG	10
Risk protection arrangement (RPA)	10
List of acronyms used in this guidance	11

Introduction

This operational guide helps you understand how the Education and Skills Funding Agency (ESFA) has calculated your 2021 to 2022 academic year funding.

This guide supports your general annual grant (GAG) statement. It sets out the funding you will receive, how we have calculated it, the factors that have been applied and the source of the data we use.

How to use this guide

We have produced this guide in a format that allows you to easily access the specific parts that interest you or areas where you require more detailed information.

These chapters take you through an example funding statement table by table, explaining each line in turn.

We recognise that GAG funding makes up only part of the overall funding for your academy. The section at the end of the guide lists the main [non-GAG revenue and capital funding lines](#). It includes links to information about how and when these are paid.

Who is the guide for?

This guide is for special and alternative provision (AP) academies and special and AP free schools open from 1 September 2021. Separate guidance is available for [mainstream academies and free schools](#).

The GAG statement explained

The following sections of this guide walk you through the GAG statement for the 2021 to 2022 academic year. Please note that the figures in the sample tables A and B **are intended as an illustration only**.

Summary statement

The first page of your GAG statement is a summary of the tables that make up the remainder of your GAG. The information used to populate the summary table comes from each of the tables in your statement.

Academic year 2021 to 2022 summary table


 Education & Skills Funding Agency		Academy General Annual Grant allocation statement: 2021 to 2022 academic year Special and alternative provision academies	
Name	Provider name		
LA name	LA name		
LAESTAB	LAEstabNumber		
UKPRN	UKPRN		
URN	URN		
Opening date	Date		
1. Breakdown of academic year 2021 to 2022 school allocation			
Post-opening grant (start-up grant)		£0.00	See Table B
Total school allocation		£0.00	N/A
2. Breakdown of academic year 2021 to 2022 high needs allocation			
Pre-16 high needs place funding		£1,340,000.00	See Table A
Hospital education funding		£0.00	See Table A
Total high needs allocation		£1,340,000.00	(Excludes any top-up funding from local authority)
Total allocation (1+2)		£1,340,000.00	N/A
Information on funding lines that are in addition to GAG will be provided separately (pupil premium, for example).			

Table A: high needs place funding

Local authorities have a role in funding high needs pupils in academies, as a commissioner of special education provision for these pupils. This means that academies receive funding for pupils with high needs from both ESFA (place funding) and local authorities (top-up funding). In the case of AP, the top-up funding can also come directly from other schools, where they commission places directly. More information about the role of the local authority in agreeing and commissioning high needs places is available in [high needs funding arrangements for 2021 to 2022](#).

High needs funding for pre-16 special educational needs (SEN) is funded on the number of agreed places, while AP is funded on a per place basis.

In the 2021 to 2022 academic year, we will roll forward your 2020 to 2021 academic year place numbers and use these to calculate your allocation. The exception to this is where your local authority has requested a change in place numbers which was agreed with you (or accepted by ESFA where there was disagreement). In this instance, we would use this changed figure in your allocation. More information on this process is available in [high needs funding arrangements: 2021 to 2022](#).

AP free schools are out of scope of this process. Place numbers for AP free schools will be determined by ESFA based on a range of data, including the school's financial plan, commissioning arrangements and occupancy levels.

Top-up funding above these levels, based on the assessed needs of the pupil and the cost of meeting these in the setting, should be agreed between the commissioning local authority and the special or AP academy. Top-up funding is paid for the period that the pupil is actually occupying the place in the academy. It is paid directly to the academy on a monthly basis, unless a different payment frequency is agreed with the local authority. Top-up funding for pupils in AP can be paid directly by other academies and schools if they commission the places for those pupils. As top-up funding is not paid by ESFA, it is not shown on your GAG funding statement. Read the [high needs funding 2021 to 2022: operational guide](#) for more information on top up funding, including the minimum funding guarantee for special schools at annex 1.

Hospital education places that exist in some academies will be funded at the same rate as in 2020 to 2021, unless the local authority has agreed a higher rate. Pupils occupying these places do not attract top-up funding.

More information on [high needs funding](#) is available.

Sample Table A: academic year 2021 to 2022 high needs place funding

[Back to summary statement](#)

Pre-16 breakdown

Type	Place numbers	Annual per place unit value	Total pre-16 allocation	Allocation to August 2022	Calculation	Explanation
1. Special	134	£10,000.00	£1,340,000.00	£1,340,000.00		<p>Place numbers: for <u>academies</u>: rolled forward pre-16 2020 to 2021 places (or agreed place numbers where a change in place numbers has been requested); for <u>free schools</u>: as agreed by ESFA</p> <p>Unit value: £10,000</p> <p>Total allocation = place numbers x unit value</p>
2. Alternative provision	0	£10,000.00	£0.00	£0.00		N/A

3. Total pre-16 high needs place funding	N/A	N/A	£1,340,000.00	£1,340,000.00	=1+2	Total pre-16 allocation (special) + total pre-16 allocation (alternative provision), proportioned from the time you open until the end of the academic year, 31 August 2022. For schools opening on 1 September 2021, this will be the full year's allocation
---	-----	-----	---------------	---------------	------	---

Hospital education breakdown

Place numbers	Total hospital allocation	Allocation to August 2022	Explanation
0	£0.00	£0.00	<p>For academies: Funding per place remains the same as in 2020 to 2021, unless the local authority has agreed a higher rate. It is an amount unique to the academy that will normally have been calculated by the local authority who previously maintained the provision.</p> <p>For free schools: This is calculated by the local authority based on the agreed number of places you will provide. The local authority informs ESFA of the relevant amount</p>

Table B: post-opening grant (start-up grant)

Table B will only be populated in your 2021 to 2022 funding statement if you are due to receive start-up grant (SUG) or post-opening grant (POG) funding.

Start-up grant (SUG)

SUG is paid to full sponsored academies only. If your school is a free school these lines will not be populated on your statement.

For academies, there are two elements, part A and part B. Part A is a flat rate allocation that is paid in the first year of opening. Part B is a formulaic allocation that is intended to contribute to costs, such as leadership, as a new academy grows towards full capacity. It is paid over 5 years. All pupil or place counts will be agreed at the point of opening and will not change for the purposes of calculating SUG thereafter.

The part A rates and the calculation of part B are different for primary and secondary, and for special and AP academies. More information is available in [sponsored academies funding: advice for sponsors](#).

Post-opening grant (POG)

POG is paid to special and AP free schools that meet the eligibility criteria. It is funding in addition to the per pupil funding to reflect the additional costs in establishing a new publicly-funded school which cannot be met through the GAG. The POG is paid in 2 parts: the per pupil non-staffing resources element, to cover items such as buying books and equipment; and the leadership diseconomies element, to meet the costs of employing key staff as the school builds up its cohorts over time.

The first element (resources) is paid each year that the school builds up to capacity for each new pupil expected to be on roll.

The second element (leadership) is paid annually based on the number of year groups that the school will ultimately have that do not yet have pupils. The amount is paid to mainstream schools with pupils aged 5 to 15 each year, depending on how many year groups (cohorts) are empty.

Special and AP free schools established through the local authority presumption route will not attract POG, as the local authority is responsible for the pre-opening development costs and post-opening funding required to address diseconomies of scale until the school reaches full capacity.

Sample Table B: academic year 2021 to 2022 post-opening grant (start-up grant)

[Back to summary statement](#)

Line	Value	Calculation	Explanation
1. Start-up grant part A	£0.00		This is a one-off payment. Free schools do not receive SUG A.
2. Start-up grant part B – formulaic allocation	£0.00		Special and AP academies or free schools do not receive SUG B
3. Post-opening grant – per pupil resources	£0.00		For special and AP free schools, this is the total of the resource element of the POG
4. Post-opening grant – leadership diseconomies	£0.00		For special and AP free schools, this is the total of the diseconomies element of the POG
5. Total post-opening grant (start-up grant) allocation	£0.00	= 1 + 2 + 3+ 4	The sum of SUG or POG elements as applicable

Funding outside the GAG

The previous sections explain the elements that make up your GAG funding. As an academy, you will receive other revenue funding that is not part of your GAG. Some non-GAG funding has not been confirmed for 2021 to 2022.

Currently confirmed are:

- [early years funding](#) – paid by the local authority to academies with a nursery class through the early years national funding formula (EYNFF)
- national non-domestic rates (NNDR) – paid on receipt of a claim using an [online form](#) in a single amount outside of the school budget share (SBS)
- [pupil premium](#) – paid in four instalments by ESFA.
- high needs top-up funding – paid by the local authority where required. In the case of AP, top-up funding can be paid directly by other schools and academies. Information about the [high needs funding arrangements for 2021 to 2022](#) is available
- [PE and sports premium](#) – assists academies and schools to be able to deliver half of a child's recommended daily physical activity
- [coronavirus \(COVID-19\) catch-up premium](#) – find out the eligibility and conditions in relation to the new catch-up premium using the link provided

In addition, your academy may receive [capital funding](#) from ESFA.

Risk protection arrangement (RPA)

If you are a member of [RPA](#) any adjustment made to your funding will be shown on your monthly pay schedule. It is not shown on your allocation statement, as it does not affect your allocation, but does affect the amount you will receive on a monthly basis. If you opt into RPA after the allocation was published you will not receive an adjusted allocation statement, but your monthly pay schedule will be reissued. Details of the values for new academies are on the monthly remittance advice notes issued to the academy trust.

List of acronyms used in this guidance

ESFA: Education and Skills Funding Agency

EYNFF: early years national funding formula

GAG: general annual grant

NNDR: national non-domestic rates

POG: post-opening grant

SBS: school budget share

SEN: special educational needs

SUG: start-up grant

UTC: university technical college



Education & Skills
Funding Agency

© Crown copyright 2021

This publication (not including logos) is licensed under the terms of the Open Government Licence v3.0 except where otherwise stated. Where we have identified any third party copyright information you will need to obtain permission from the copyright holders concerned.

To view this licence:

visit www.nationalarchives.gov.uk/doc/open-government-licence/version/3

email psi@nationalarchives.gsi.gov.uk

write to Information Policy Team, The National Archives, Kew, London, TW9 4DU

About this publication:

enquiries www.education.gov.uk/contactus

download www.gov.uk/government/publications

Reference:



Follow us on Twitter:
[@educationgovuk](https://twitter.com/educationgovuk)



Like us on Facebook:
facebook.com/educationgovuk