# NATIONAL HEALTH SERVICE, ENGLAND

# The General Ophthalmic Services Contracts (Continuing Education and Training Allowances) Payments Directions 2021

The Secretary of State gives the following Directions as to payments to be made under general ophthalmic services contracts for continuing education and training allowances in exercise of the powers conferred by sections 120(1) and (3)(a) to (d), 272(7) and (8) and 273(1) of the National Health Service Act 2006(a).

The Secretary of State has, in accordance with section 120(4) of that Act, consulted with bodies appearing to the Secretary of State to be representative of persons to whose remuneration these Directions relate and such other persons as the Secretary of State considers appropriate.

# Citation, commencement, extent and application

- **1.**—(1) These Directions may be cited as the General Ophthalmic Services Contracts (Continuing Education and Training Allowances) Payments Directions 2021.
  - (2) These Directions come into force on the day after the day on which they are signed.
  - (3) These Directions extend to England and Wales, but apply to England only(b).
  - (4) These Directions are given to the Board.

# Interpretation

#### 2. In these Directions—

"the Performers List Regulations" means the National Health Service (Performers Lists) (England) Regulations 2013(c);

"the Board" means the National Health Service Commissioning Board(d);

"CET allowance" means an allowance paid to a contractor in respect of continuing education and training undertaken during the relevant year by the contractor or by a person employed or engaged by the contractor to perform ophthalmic services under a GOS contract;

"contractor" means a party to a GOS contract other than the Board;

"GOS contract" means a general ophthalmic services contract within the meaning of section 117 of the National Health Service Act 2006(e) (general ophthalmic services contracts: introductory);

"ophthalmic medical practitioner" and "ophthalmic practitioner" have the meaning given in regulation 36 of the Performers List Regulations (interpretation);

<sup>(</sup>a) 2006 c.41. Section 120(3) has been amended by the Health and Social Care Act 2012 (c.7) ("the 2012 Act"), Schedule 4, paragraph 58.

<sup>(</sup>b) By virtue of section 271(1) of the National Health Service Act 2006 ("the 2006 Act"), the functions being exercised in the making of these Directions by the Secretary of State are exercisable only in relation to England.

<sup>(</sup>c) S.I. 2013/335, amended by S.I. 2015/362; there are other amending instruments but none is relevant.

<sup>(</sup>d) The National Health Service Commissioning Board (known as "NHS England") was established by section 1H of the 2006 Act. Section 1H was inserted by section 9(1) of the 2012 Act.

<sup>(</sup>e) Section 117 was amended by paragraph 55 of Schedule 4 to the 2012 Act.

"ophthalmic performers list" has the meaning given in regulation 2 of the Performers List Regulations (interpretation);

"register" has the meaning given in regulation 36 of the Performers List Regulations (interpretation);

"registered optometrist" means a person registered on the register; and

"relevant year" means the period beginning with 1st January 2020 and ending with 31st December 2020.

# Amount of CET allowance payable

- **3.**—(1) The amount of a CET allowance payable for the relevant year is £584.
- (2) The Board may pay no more than one CET allowance in respect of any individual ophthalmic practitioner for the relevant year.
  - (3) Payment of the CET allowance is subject to directions 4 and 5.

#### **Entitlement conditions to a CET allowance**

- **4.**—(1) A CET allowance is only payable by the Board to a contractor in respect of an individual ophthalmic practitioner if the following entitlement conditions are met.
  - (2) The entitlement conditions are that—
    - (a) the name of the ophthalmic practitioner in respect of whom the CET allowance is claimed has been included in an ophthalmic performers list for a period of at least six months during the relevant year;
    - (b) a claim for the CET allowance is made in accordance with direction 5; and
    - (c) the conditions specified in either paragraph (3) or paragraph (4), as the case may be, are satisfied.
- (3) Where a CET allowance is claimed in respect of an ophthalmic practitioner who is a registered optometrist, the conditions specified in this paragraph are that the registered optometrist has—
  - (a) performed primary ophthalmic services under a GOS contract during the relevant year; and
  - (b) undertaken such continuing education and training during that year as is accepted by the General Optical Council as sufficient for the purposes of enabling that optometrist to remain registered on the register.
- (4) Where a CET allowance is claimed in respect of an ophthalmic practitioner who is an ophthalmic medical practitioner, the conditions are that the ophthalmic medical practitioner has—
  - (a) performed primary ophthalmic services under a GOS contract during the relevant year;
  - (b) not had any medical employment apart from medical or optical activity performed during that year under a GOS contract; and
  - (c) undertaken continuing education and training during that year.

# Claim and payment arrangements

- **5.**—(1) Payment of a CET allowance under these Directions may only be made by the Board to a contractor if—
  - (a) a claim is made by a contractor in respect of an ophthalmic practitioner who has undertaken continuing education and training during the relevant year;

- (b) a claim is made electronically using the online claim form and online portal approved by the Board(a);
- (c) all signatures required on the online claim form are signed in digital ink by the contractor making the claim and by the ophthalmic practitioner, in respect of whom the claim for a CET allowance is made, as appropriate; and
- (d) the online claim form is received by the Board on or after 1st July 2021 but before 1st November 2021.
- (2) Where a CET allowance is payable in respect of an ophthalmic practitioner who is employed by two or more contractors, the Board may only pay that allowance to the contractor that is nominated by that ophthalmic practitioner to receive it by way of declaration on the online claim form.

#### Revocation

**6.** The General Ophthalmic Services Contracts (Continuing Education and Training Allowances) Payments Directions 2020, which were signed on 31st July 2020, are revoked(**b**).

Mitte Adais

Signed by authority of the Secretary of State for Health and Social Care

Alette Addison
A member of the Senior Civil Service
Department of Health and Social Care

7th June 2021

 $<sup>\</sup>textbf{(a)} \quad \text{The online claim form can be accessed from: https://secure.pcse.england.nhs.uk/HomePortal.}$ 

<sup>(</sup>b) A copy of these Directions is available from the Department of Health and Social Care website at https://www.gov.uk/government/publications/general-ophthalmic-service-continuing-education-and-training-payment-2020 and hard copies are available from the Dental and Eye Care Team, Department of Health and Social Care, 4<sup>th</sup> Floor, 39 Victoria Street, London, SW1H 0EU.