



Department for
International Trade

General Trade Licence

Russia sanctions

31 December 2020

GENERAL TRADE LICENCE

General Trade Licence (Russia: sanctions) dated 31 December 2020 granted by the Secretary of State.

The Secretary of State, in exercise of powers conferred by the Russia (Sanctions) (EU Exit) Regulations 2019 (“the Regulations”) and in particular regulation 65 (Trade licences) with reference to: regulation 43 (Technical assistance relating to energy-related goods); regulation 44 (Financial services and funds relating to energy-related goods and energy-related technology); and regulation 45 (Brokering services: non-UK activity relating to energy-related goods and energy-related technology), hereby grants the following General Trade Licence:

Licence

1. Subject to the exclusions, conditions and requirements set out below, this Licence authorises the provision of certain technical assistance, brokering services, financial services, and making available of funds relating to energy-related goods otherwise prohibited by regulations 43, 44 or 45 of the Regulations.
2. The technical assistance permitted by this Licence is the direct or indirect provision of technical assistance related to energy-related goods which are not for use in Russia.
3. The financial services and funds permitted by this Licence are financial services and funds directly or indirectly provided in pursuance of or in connection with an arrangement whose object or effect is specified in regulation 44 of the Regulations, but only where:
 - (a) the relevant energy-related goods are not for use in Russia; or
 - (b) the relevant technical assistance does not relate to energy-related goods for use in Russia.
4. The brokering services permitted by this Licence are brokering services directly or indirectly provided in relation to an arrangement whose object or

effect is specified in regulation 45 of the Regulations but only where:

- (a) the relevant energy-related goods are not for use in Russia; or
- (b) the relevant technical assistance does not relate to energy-related goods for use in Russia.

Exclusions

5. This Licence does not apply:

- (a) if the activity undertaken by the Provider is prohibited by any provision of the Regulations other than regulations 43, 44 or 45;
- (b) if the Provider has been informed by a competent UK authority, or is aware that the transaction will be carried out contrary to the export or import law or regulations of the exporting or importing country;
- (c) where the Provider, at the time of act, has been served with a notice which suspends or revokes their ability to use this Licence, unless the period of suspension or revocation has expired.

Conditions and Requirements

6. The authorisation in paragraphs 1 to 4 above is subject to the following conditions:

7. Within 30 calendar days of the first use of this Licence, the Provider must provide details to the Secretary of State of the name and address at which records are kept in relation to this Licence through registration of such details on SPIRE:

<https://www.spire.trade.gov.uk/spire/fox/espire/LOGIN/login>).

8. The provisions of regulation 76 (General trade licences: records) of the Regulations apply to any act under the authority of this Licence subject to paragraph 9 below.

9. In accordance with the applicable legislation, the Provider shall keep the following records in relation to the activity:

- (a) a description of the act;
- (b) a description of any goods, services or funds to which the act relates;
- (c) the date of the act or the dates between which the act took place;
- (d) in so far as it is known to the Provider, the quantity of any goods and the quantity of any funds to which the act relates;
- (e) the Provider's name and address;
- (f) the name and address of any consignee of goods to which the act relates;
- (g) the name and address of any recipient of services or funds to which the act relates;
- (h) in so far as it is known to the Provider, the name and address of the end-user of the goods, services or funds to which the act relates;
- (i) any further information required by this Licence.

10. In accordance with the applicable legislation, the records required by this licence must be kept for a period of 4 years beyond the end of the calendar year in which the record was created and the Provider must permit them to be inspected and copied by any person authorised by the Secretary of State or the Commissioners.

11. Without prejudice to Part 9 (Enforcement) of the Regulations, failure to comply with any condition may result in the Provider's use of this Licence being revoked or suspended. The Provider will be notified in writing of any such suspension or revocation.

Prohibitions not affected by this Licence

12. Nothing in this Licence affects any prohibition or restriction on the provision of technical assistance, financial services or assistance or brokering or trade or the carrying out of any act with respect to the trade of any goods concerned under, or by virtue of, any enactment other than a prohibition or restriction in the legislation under which this Licence was issued, as set out in the Licence itself.

Interpretation

13. For the purpose of this Licence:

- (1) “arrangement” has the meaning set out at regulation 2 of the Regulations;
- (2) “energy-related goods” means the goods specified at Part 2 of Schedule 3 to the Regulations;
- (3) “Provider” means –
 - (a) Any legal or natural person including financial institutions or other financial services providers located in or operating from within the UK or which is a United Kingdom person as defined in regulation 2 of the Regulations operating anywhere in the world;
 - (b) any legal or natural person providing technical assistance located in or operating from within the UK or which is a United Kingdom person as defined in regulation 2 of the Regulations operating anywhere in the world; or
 - (c) any legal or natural person providing brokering services located in or operating from within the UK or which is a United Kingdom person as defined in regulation 2 of the Regulations operating anywhere in the world, engaging in any activity authorised at paragraphs 1 to 4.
- (3) “Russia” is as defined at regulation 39 of the Regulations and includes Russia’s exclusive economic zone and continental shelf;

- (4) unless the context otherwise requires, any other expression used in this Licence shall have the meaning it bears in the in the Regulations.

Entry into Force

14. This Licence shall come into force at 23:00 on 31 December 2020.

**An Official of the Department for International Trade,
authorised to act on behalf of the Secretary of State**

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