



Government
Internal Audit
Agency



The Government Internal Audit Agency

Counter Fraud and Investigation

2019

Who?

A TEAM OF COUNTER FRAUD PROFESSIONALS BASED ACROSS THE UK.

We are a specialist counter fraud and investigation team who are members of the Government Counter Fraud Profession. We have a wide breadth of skills, knowledge and years of experience of working across Government. All members of the team have security clearance to at least 'SC' level, enabling us to handle the most sensitive issues. We align seniority and experience to ensure we most effectively reflect the nature, scope and complexity of each commission.

What?

“Our vision is to deliver a high quality, independent and cost effective specialist counter fraud and investigation service for government departments, Agencies and the wider Public Sector. To create better awareness of risks and provide benefits from improved cross department information sharing.”

We are an award winning organisation and have already supported over 50 organisations across Government since 2016. We are passionate about, and committed to improving the counter fraud response across the public sector. Our unique position at the centre of government enables us to offer a truly cross government perspective on the threats organisations face.

You will find contact details on the back of the brochure, please get in touch and let's discuss how we can help you.

How?

WE PROVIDE A RANGE OF COUNTER FRAUD SERVICES, DELIVERED TO THE HIGHEST STANDARDS, ON TIME AND TO BUDGET.

All our people are adept at quickly understanding the business environment, building trust and remaining independent and objective. Good communication is paramount and we are committed to keeping our customers engaged and informed throughout, delivering our services in an open and transparent way. By accessing many years of counter fraud and investigation skills, knowledge and experience, customers can be assured of engaging a team capable and committed to addressing issues in the most effective way

Why?

FRAUD PRESENTS SIGNIFICANT LOSSES TO PUBLIC SECTOR FUNDS

Fraud is recognised as one of the most prevalent and evolving crimes in the UK. It has never been more important to focus on where and how the risks of fraud can be tackled successfully.

- Where prevention is not a feasible solution, it is important to have effective strategies in place to detect and professionally investigate suspicions of fraud.
- We are committed to protecting public money by supporting government organisations in understanding and managing the risks of fraud presented by staff and suppliers.
- Internal fraud by staff or suppliers of government services is not just about financial loss. The risks to a department or agency's reputation can also be very damaging.
- GIAA provides a range of high quality and specialist counter fraud and investigation services that can inform, coordinate and support counter fraud strategies.
- Effective collaboration with customers and other government functions including Internal Audit, Security and HR, together with an extensive range and depth of experience, skill and expertise ensures the most effective, flexible response, delivered to the highest standards.

Objectives



Drive up quality and standards in tackling fraud across government

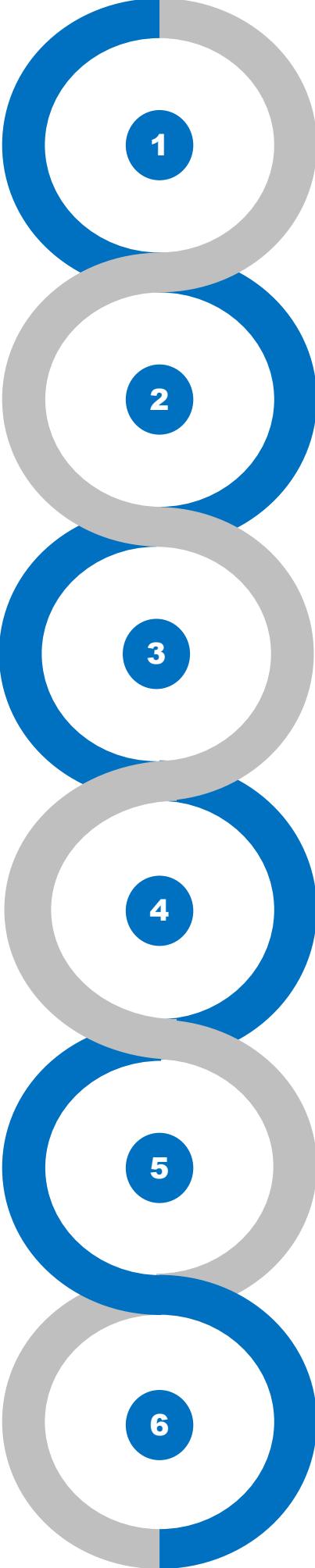
Increase consistency in response to fraud threats

Support organisations with their counter fraud response

Improve value for the public purse, through effective utilisation of skills and experience across organisations.

Our Values

RAISING STANDARDS AND DELIVERING VALUE IS FUNDAMENTAL TO EVERYTHING WE DO. OUR VALUES GUIDE HOW WE WORK WITH OUR CLIENTS AND DETERMINE OUR SUCCESS.



1

Professionalism

Acquire and apply knowledge, skills and best practice to enable all staff to respond to customer needs.

2

Honesty & Integrity

Act honestly, openly, impartially and fairly. Have an objective approach that is consistent and reflects the highest ethical standards.

3

Commitment

Putting the task and the needs of others first. This requires effective tasking, a willingness to take responsibility and the determination to succeed.

4

Pride

Take pride in delivering high quality, professional counter fraud services directly to our customers.

5

Respect

Treat people with respect and dignity regardless of their background or circumstances, showing tact, empathy and compassion.

6

Forward Thinking

Encourage creativity, innovation and long term thinking to identify ways of delivering better outcomes for people and provide value for money.

GIAA Counter Fraud

Our Six

- Provide expert advice and guidance in relation to emerging fraud risks
- Support education and learning activities to raise fraud awareness by staff, managers, and senior leaders
- Publicise concluded investigations, with appropriate tact and sensitivity to aid deterrence
- Produce insight from intelligence available to inform improved counter fraud measures
- Utilise our lead position in the counter fraud community to promote and encourage collaborative working across organisational boundaries

- Conduct proactive exercises into areas at risk of fraud and serious wrongdoing by staff, suppliers and other external parties
- Undertake proactive detection of suspicious activity in transactional data via development of targeted rules
- Operate a whistle-blowers hotline for employees to report their concerns
- Collaborate with other government departments assisting their proactive detection
- Use analytical information to examine and identify areas in which organisations are vulnerable to fraud

- Deploy expert analysts to gather and mine fraud data for patterns, trends and anomalies; provide insight to enable threat management and development of a robust counter fraud culture
- Interpret and use data to identify fraud risks and weaknesses
- Use historic fraud data to identify patterns of fraudulent behaviour; undertake referral analysis to identify and understand gaps and hotspots
- Use documented principles and protocols for data sharing; apply Government Standards to ensure information is safe and transferred securely

PREVENTION & DETERRENCE

DETECTION

DATA & ANALYTICS

& Investigation

Service Lines

INVESTIGATION

- Deploy professionally trained investigators able to work at pace and to criminal standards
- Multi disciplined and flexible and have a proven track record of responding rapidly to emerging threats
- Ability to deliver high quality reports to support robust disciplinary decisions, and enforcement options
- Identify and highlight risk management, control weaknesses and potential improvements
- Trusted to handle the most sensitive cases, deploying staff with appropriate level of security clearance

RISK ASSESSMENT

- Provide expert support enabling organisations to understand fraud risks and their potential impact
- Collaborate with organisations to identify, understand, assess and categorise fraud risks to develop a comprehensive fraud risk assessment, tailored to an organisation's structure and aligned with government counter fraud standards.
- Communicate risks effectively and use our experience of the wider fraud landscape to enable organisations to evaluate existing controls and make informed decisions to reduce the fraud risks faced

INTELLIGENCE AND ANALYSIS

- Assist with clearer understanding of the emerging 'fraudscape' and vulnerabilities
- Maintain and utilise an archive of intelligence drawing from referrals, outcomes, and analysis across partner organisations and key functions
- Conduct horizon scanning activities to identify new, disparate and emerging fraud risks, themes and trends.
- Utilise strategic intelligence to understand the operational landscape and inform the organisation of potential fraud risks.
- Produce intelligence and analysis reports and products in line with Government Standards.

Our Commissioning Process

OUR COMMISSIONING PROCESS IS CRITICAL TO OUR SUCCESS.

We consider each commission consistently, however diverse or complex. Our process remains resilient but flexible to customer requests regardless of the nature of service required. Our collaborative approach ensures all commissions are fully assessed and resourced appropriately.

Request Assistance

We determine whether GIAA is best placed to provide the support.

Counter Fraud

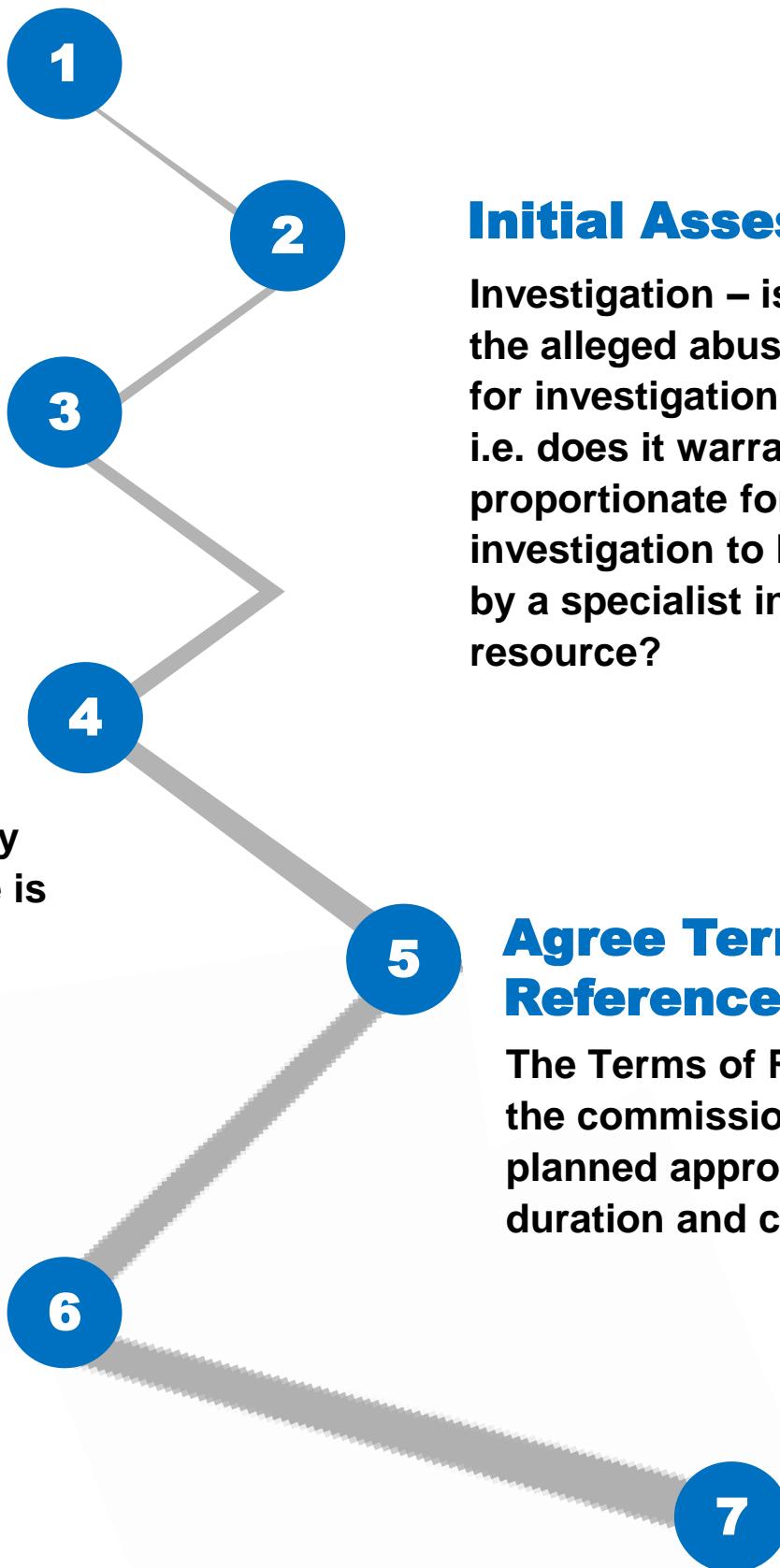
Counter Fraud Activity – we determine if we are best placed to provide the support.

Agree Costs

The charging model is to simply recover our costs. Our premise is one of Government serving Government. For many customers, Counter Fraud requirements are estimated in annual Audit Plans.

Delivery

We ensure regular engagement throughout with progress updates, with appropriate oversight by senior management.



Initial Assessment

Investigation – is the nature of the alleged abuse appropriate for investigation by our team i.e. does it warrant and is proportionate for the investigation to be conducted by a specialist investigative resource?

Agree Terms Of Reference

The Terms of Reference for the commission including planned approach, estimated duration and checkpoints.

To Find Out More Please Contact:

CounterFraud@giaa.gov.uk

Government Counter Fraud Profession

GIAA CF&I PLAYED A KEY ROLE THROUGHOUT THE DEVELOPMENT OF THE GOVERNMENT COUNTER FRAUD PROFESSION.



From participation in embryonic discussions with Cabinet Office, and through each subsequent stage, culminating in the successful launch of the Government Counter Fraud Profession (GCFP) in October 2018.

In collaboration with others across government, we have successfully:

- established a counter fraud community by strengthening links with organisations and providing access to the Counter Fraud Standards;
- empowered individuals by creating access to a career routeway and inclusive tools to enable counter fraud professionals to assess their skills and identify how they can progress in their current role or move to an alternative counter fraud role; and
- recognised the importance of the counter fraud professional role and having consistent standards to deliver this role and the Government Counter Fraud Function.

We have actively contributed to professionalise the counter fraud culture and response across government. We are passionate about, and committed to developing the Profession and supporting others to professionalise their counter fraud measures.



Our contribution to the development of the GCFP was recognised by the Outstanding Contribution of the GCFP Award at the Government Counter Fraud Awards 2019.

Government Internal Audit Agency

THE GOVERNMENT INTERNAL AUDIT AGENCY (GIAA) IS AN EXECUTIVE AGENCY OF HM TREASURY.

Our purpose is to help government departments to manage public money effectively by developing better governance, risk management and internal controls. The Agency offers high quality professional internal audit and counter fraud services bringing a unique depth of experience gained in a wide range of government departments and related bodies, cross-government insight and a strong understanding of our customers' business.

Having internal audit and counter specialists enables GIAA blend the respective skills to respond to fraud, identify vulnerabilities and make recommendations to avoid future recurrence.

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