

CORPORATE REPORT

Business Impact Target End of Parliament Report 2019

Non-qualifying Regulations Provisions (NQRPs)
summary

ofqual

Regulator: Ofqual

Business Impact Target Reporting Period Covered: 21 June 2019 – present

Excluded Category*	Summary of measure(s), including any impact data where available**
Measures certified as being below <i>de minimis</i> (measures with an EANDCB below +/- £5 million)	<p>Ofqual estimated a minimal cost for the implementation of new rules for Guided Learning for reformed Functional Skills Qualifications.</p> <p>Ofqual estimated minimal costs/benefits for changes to the following activities:</p> <ul style="list-style-type: none"> • Removal of the use of formal hearings and some final decisions taken by a panel with external members for Ofqual’s Exam Procedures Review Service (EPRS). The remit of EPRS was also extended to include Technical Qualifications. • Continued development and functionality of an outward facing IT system, the AO Portal. This included making available reporting functionality for awarding organisations. • Mandatory collection of certification information about international qualifications awarded by awarding organisations. • Collection of malpractice data for vocational and technical qualifications included in performance tables as well as Pre-U and International Baccalaureate qualifications that were certificated in 2017/18.
EU Regulations, Decisions and Directives and other international obligations, including the implementation of the EU Withdrawal Bill and EU Withdrawal Agreement	Following consideration of the exclusion category, there are no measures for the reporting period that qualify for the exclusion.
Measures certified as concerning EU Withdrawal Bill operability measures	Following consideration of the exclusion category, there are no measures for the reporting period that qualify for the exclusion.
Pro-competition	Following consideration of the exclusion category, there are no measures for the reporting period that qualify for the exclusion.
Systemic Financial Risk	Following consideration of the exclusion category, there are no measures for the reporting period that qualify for the exclusion.
Civil Emergencies	Following consideration of the exclusion category, there are no measures for the reporting period that qualify for the exclusion.
Fines and Penalties	Following consideration of the exclusion category, there are no measures for the reporting period that qualify for the exclusion.

Excluded Category*	Summary of measure(s), including any impact data where available**
Misuse of Drugs	Following consideration of the exclusion category, there are no measures for the reporting period that qualify for the exclusion.
Measures certified as relating to the safety of tenants, residents and occupants in response to the Grenfell tragedy	Following consideration of the exclusion category, there are no measures for the reporting period that qualify for the exclusion.
Casework	<p>Ofqual has undertaken casework activity in the following areas which have involved some engagement with / requests for information from awarding organisations.</p> <p>Ofqual can report the following enforcement activity:</p> <ul style="list-style-type: none"> • 1 Direction to an awarding organisation to take specific action. • 6 Undertakings by awarding organisations, accepted by Ofqual, setting out actions they will take to ensure ongoing compliance with the General Conditions of Recognition. • Special Conditions imposed in 9 cases on 6 awarding organisations to support potential investigations, or as part of the enforcement process, and in 2 further cases for new organisations as part of the recognition process. <p>Ofqual completed 16 audit visits, 1 investigation visit and issued 29 recognition application decisions. Ofqual also handled 150 complaints about awarding organisations and received 58 whistleblowing disclosures.</p>
Education, communications and promotion	<p>Ofqual communicates regularly with awarding organisations to keep them informed of Ofqual’s existing and proposed regulatory requirements and for transparency purposes. This is done through stakeholder events, workshops and via a range of media activities including Portal articles, podcasts, videos, Responsible Officer letters, publications and guidance on the Ofqual website.</p> <p>One key area of regulation Ofqual communicated about was:</p> <ul style="list-style-type: none"> • Maintaining standards for Functional Skills qualifications – a communication sent on how Ofqual will maintain standards within reformed Functional Skills qualifications.
Activity related to policy development	<p>Ofqual formally consulted on the following changes:</p> <ul style="list-style-type: none"> • Regulations for awarding organisation controls for Centre Assessments.

Excluded Category*	Summary of measure(s), including any impact data where available**
	<ul style="list-style-type: none"> • Regulating performance table qualifications. • Amending our Taking Regulatory Action policy. • Changes to the Conditions of Recognition across qualifications regulators in England, Northern Ireland and Wales. <p>Research activity: Key areas of research Ofqual has undertaken that has involved engagement with/requests for information from various awarding organisations are:</p> <ul style="list-style-type: none"> • Moderation - to gain deeper understanding of moderation practices, processes and mark scaling. • Improving awarding - to develop improved methods for maintaining GCSE and A level grading standards. <p>Evaluation of reform - Ofqual is gathering information from awarding organisations and teachers to help us evaluate the impact of new rules implemented as part of the reforms to GCSE, A and AS level qualifications.</p>
Changes to management of regulator	Following consideration of the exclusion category, there are no measures for the reporting period that qualify for the exclusion.



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