INVITATION

TO

TENDER (ITT)

VOLUME 1: INSTRUCTIONS
(Below Threshold Services)
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INTRODUCTION

1. DFID Mission & Values

DFID’s mission is to help eradicate poverty in the world’s poorest countries and this is underpinned by our set of values:

- Ambition and determination to eliminate poverty
- Ability to work effectively with others
- Desire to listen, learn and be creative
- Diversity and the need to balance work and private life
- Professionalism and knowledge

2. Short Description of Services being Procured – RAPID EVIDENCE ASSESSMENT ON SERIOUS AND ORGANISED CRIME – Development Impacts

Serious and Organised Crime (SOC) is both a major threat to the UK’s national security and to its development objectives internationally. The Department for International Development (DFID) has committed to supporting the delivery of the HMG Serious and Organised Crime Strategy (2018), with a focus on tackling the root causes and drivers of serious and organised crime and the harmful impacts it has on development processes. To inform development of new SOC programming, DFID needs to better understand the evidence on drivers of, and effective approaches to addressing, serious and organised crime.

DFID is committed to ensuring that policy and programmes are informed by a rigorous and up-to-date understanding of the evidence base. As the academic literature on SOC is rapidly growing, including in developing contexts, this requires systematically identifying existing work and evidence gaps. Specifically, we want to better understand the evidence on drivers of, and effective approaches to addressing, serious and organised crime. This will be used to inform the development of a theory of change (ToC) that will in turn be used to shape and inform DFID’s, and wider HMG, programming responses to SOC.

To build our understanding in this area, we wish to commission a rapid evidence assessment (REA) that will provide a structured review and synthesis of the literature on understanding the impact of serious and organized crime on key development outcomes – including a comprehensive understanding of the factors which enable SOC to operate in any given context, both the stabilising and destabilising impacts (incl. harms caused by SOC), and the mechanisms through which SOC impacts on development (i.e. how SOC affects specific
3. Structure of ITT Pack

The Invitation to Tender (ITT) Pack consists of a Covering Letter and 4 Volumes as detailed below:

Volume 1 - Instructions, Scoring Methodology and Evaluation Criteria
Volume 2 - Terms of Reference
Volume 3 - Commercial Pro Formas for the Commercial Tender
Volume 4 - DFID Below Threshold Services Contract Section 2: Standard Terms and Conditions (v2.0 Feb’19)

Any additional documents apart from those mentioned above, will be listed in the Covering Letter.

4. Tender Acceptability / Invitation to Tender (ITT)

The purpose of this ITT Pack is to provide Suppliers with details of the procurement, how DFID will conduct this procurement process and to ensure that Tenders are given equal consideration. It is essential you provide information in the format requested. The ITT Instructions (Volume 1) should be read in conjunction with the Scoring Methodology and Evaluation Criteria and the Terms of Reference (ITT Volume 2) and any additional information provided.

Tenders must be submitted in accordance with these instructions.

Failure to comply with this ITT Pack, in particular to: furnish the information; make a satisfactory response to any question; supply documentation referred to in responses, within the specified timescale, will be deemed non-compliant and may result in a Tender being rejected by DFID whose decision in the matter shall be final and conclusive.

No statement made by DFID express or implied, in the course of this Procurement Process is intended to form any express or implied contractual relationship between the Parties unless and until the Contract is awarded and signed by the Parties

ELIGIBILITY TO SUBMIT A TENDER

5. Untying Aid

UK Development Assistance has been fully untied allowing suppliers from anywhere in the world to tender for DFID contracts.
6. Corporate Social Responsibility

DFID wishes to work with suppliers who embrace the above values, and also demonstrate Corporate Social Responsibility (CSR) by taking account of economic, social and environmental factors. These practices, whether operated locally, regionally or internationally, should also comply with International Labour Organisation (ILO) core standards on labour and social matters.

7. Security Clearance

If the contract requires the provision of personnel to work in a DFID office or have access to DFID IT systems, the successful Tenderer must ensure that related personnel are cleared to the minimum Baseline Security Standard.

https://www.gov.uk/government/publications/government-baseline-personnel-security-standard?_sm_au_=iVVQ6QtJ5qPjb01P

DFID will provide a copy of the required forms to the successful Tenderer only, prior to award of contract.

8. Duty of Care

All Supplier Personnel (including their employees, sub-contractors or agents) who provide services under a DFID contract will come under the Duty of Care of the lead Supplier named in that DFID contract. The lead supplier is responsible for the safety and well-being of their Personnel and any Third Parties affected by their activities, including appropriate security arrangements. The Supplier will also be responsible for the provision of suitable security arrangements for their domestic and business property.

Suppliers must comply with the general responsibilities and duties under relevant health and safety law including appropriate risk assessments, adequate information, instruction, training and supervision, and appropriate emergency procedures. These responsibilities must be applied in the context of the specific requirements the Supplier has been contracted to deliver (if successful in being awarded the contract).

INSTRUCTIONS FOR SUBMITTING A TENDER

9. Supplier Contact Point

Tenderers must provide a single point of contact in their organisation for all correspondence relating to this Competition.

10. Format Requirements of Your Tender

Your Tender should be submitted in English and be set out in 2 main parts (A & B) as outlined
Part A - Technical

- Your proposed methodology for implementation and Names & CVs (showing previous experience) of proposed personnel, addressing the Technical Evaluation criteria below
- A table of Personnel Inputs (person days).

Part B – Commercial

- All prices must be for the duration of the contract and priced in **Sterling using the Commercial Proformas provided in Volume 3.**
- Please note that Government Policy places the burden of exchange rate fluctuations on the supplier, who will be expected to absorb the impact of these within and across the contract.
- DFID reserves the right to clarify with you, any of the information provided in your Tender.

11. Digital Spend (if appropriate)

The Government Digital Service (GDS), on behalf of Cabinet Office, monitors all digital spend across government. DFID is required to report all spend and show that what we have approved meets with the GDS principles (https://www.gov.uk/guidance/government-design-principles) and is good value for money. Our planned digital activity should also be in line with the DFID digital strategy. https://www.gov.uk/government/publications/dfid-digital-strategy-2018-to-2020-doing-development-in-a-digital-world?_sm_au_=iVVDNBpt4FNB1m4s

Digital applies to any external facing service delivered through the internet to citizens, businesses, civil society or non-governmental organisations. This includes, but is not limited to: information services, websites, transactional services, web applications, mobile apps and extranets.

Suppliers must clearly outline within the commercial proposals costs which fall within this criterion. You must also confirm that this adheres to the GDS principles. Approval must be given from DFID’s Digital Panel before any spend can be included within contract, regardless of value. https://www.gov.uk/government/publications/guidance-on-digital-spend-advice-and-controls-for-dfid-partners-and-suppliers

12. Government Tax

Tenderers are responsible for establishing the status of this Requirement for the purpose of any government tax in the UK or Overseas. Any applicable taxes must be shown in the Commercial Pro Forma 3 (ITT Volume 3).
13. Nominated Personnel

You must:

a) Confirm that all necessary personnel will be available to provide the required services for the duration of the contract.

b) Give the name of their employer, clearly state if self-employed or if any of the personnel is not a member of the Tenderer’s staff.

c) If nominating a member of DFID staff who is in service, or on leave of absence, or has been a staff member of DFID within the past 2 years, the individual should obtain prior written agreement from DFID’s Human Resources Department. A copy of this agreement must be provided to the Contract Officer.

d) If nominating an ex-UK Crown Servant who has left the service within the past 2 years, include a letter from their Crown Service employer granting permission for them to undertake the services.

14. Conditions of Tender and Rejection of Non-Compliant Tenders

The instructions contained in this ITT Pack constitute the “Conditions of Tender”. The tenderer confirms their acceptance of these Conditions of Tender by participating in the Tender process. Non-compliant Tenders may be rejected by DFID.

15. Letter and Declaration to Accompany Tenders

Your Tender must be accompanied by a letter (at Annex 1) on Company headed paper showing the full registered and trading name(s), trading and registered office address of the Potential Supplier and, in the case of a Company, the place of incorporation. It should be signed by a person of suitable authority to commit the Potential Supplier to a binding contract. The original signed version of this Letter (at Annex 1) should be scanned and included within your Tender. You must quote the ITT Title, (as provided on the ITT Covering Letter) and include the declarations in Annex 1 within the letter:

16. Submission of Tenders

Your tender must be submitted electronically only. This should be submitted in PDF format in two Electronic Files by e-mail (one containing the Technical Tender and one containing the Commercial Tender (the Electronic File must not exceed 10MB in size).

Tenders must be sent to the e-mail address of the Responsible Officer as detailed in the ITT Covering Letter. The Tender covering e-mail must state the following:

- ITT Title
- Due Date of Tender
- Name of Tenderer
The Tender must be received by the due date and time indicated in the ITT Covering Letter. Late Tenders will not be accepted in any circumstances.

17. Conflict of Interest

Tenderers must disclose in their Tender any circumstances, including personal, financial and business activities that will, or might, give rise to a conflict of interest by taking part in this competition or if awarded the contract. This also applies to any sub-contractors proposed by the Tenderer. Where Tenderers identify any potential conflicts, they should state how they intend to avoid such conflicts. DFID reserves the right to reject any Tender which, in DFID’s opinion, gives rise, or could potentially give rise to, a conflict of interest.

18. Disclosures

Throughout the competition and until the point where the Contract is signed, the Tenderer must disclose;

a) If they or any of the Tenderer’s sub-contractors:

   - are or have been the subject of any proceedings or other arrangements relating to bankruptcy, insolvency or financial standing.
   - has been convicted of any offence concerning professional misconduct.
   - has not fulfilled any obligations relating to the payment of social security contributions.

b) If they or any of the Tenderer’s sub-contractors have been convicted of, or are the subject of any proceedings, relating to:

   - participation in criminal organisation.
   - corruption including the offence of bribery.
   - fraud including theft, and not fulfilling any obligations relating to payment of taxes.
   - money laundering.

c) If they or any of the Tenderer’s sub-contractors are, or have reason to believe that they may have been, or are subject of any proceedings, that may be listed by the World Bank in its ‘Listings of Ineligible Firms” or “Listings of Firms, Letters of Reprimand’ posted at [http://www.worldbank.org](http://www.worldbank.org) or on any similar list maintained by any other donor of development funding, or any contracting authority.

Disclosure extends to any company in the same group of the Tenderer (including but not limited to parent, subsidiary and sister companies, and companies with common shareholders whether direct or indirect and parties with whom the Tenderer is associated in respect of this Tender).
Where any misconduct or complaint is disclosed or should have been disclosed this may impact on the Tenderers tender. DFID will assess whether the Tenderer should be excluded from this ITT.

19. Distribution of Tenders and Freedom of Information

DFID may disclose Tender information to its officers, employees, agents or partners for the purposes of conducting this competitive exercise and subsequent contract management.

In accordance with the obligations and duties placed upon public authorities by the Freedom of Information Act 2000 (the ‘FoIA’), DFID may (acting in accordance with the Secretary of State’s Code of Practice on the Discharge of the Functions of Public Authorities under Part 1 of the said Act, or the EIR) be required to disclose information submitted by the Tenderer to DFID. Further information is available on the DFID website at https://www.gov.uk/make-a-freedom-of-information-request/the-freedom-of-information-act

In respect of any information submitted by a Tenderer that it considers to be commercially sensitive, the Tenderer should:

- clearly identify such information as commercially sensitive;
- explain the potential implications of disclosure of such information; and
- provide an estimate of the period during which the Tenderer believes that such information will remain commercially sensitive.

Where a Tenderer identifies information as commercially sensitive, DFID will endeavor to maintain confidentiality of such information. Tenderers should note, however, that, even such where information is identified as commercially sensitive, DFID may be required to disclose such information in accordance with the FoIA or the EIR. DFID is required to form an independent judgement whether the info is exempt from disclosure under the FoIA or the EIR and whether the public interest favors disclosure or not. Accordingly, DFID cannot guarantee that any information marked ‘confidential’ or ‘commercially sensitive’ will not be disclosed.

Where a Tenderer receives a request for information in relation to the competition process under FoIA or the EIR during the competition, this should be immediately passed on to DFID and the Tenderer should not attempt to answer the request without first consulting with DFID.

20. Collusive Behavior

Any Tenderer who:

a. fixes or adjusts the amount of its Tender by or in accordance with any agreement or arrangement with any other party; or
b. communicates to any party other than DFID or, the amount or approximate amount of its proposed Tender or information which would enable the amount or approximate amount to be calculated (except where such disclosure is made in confidence in order to obtain quotations necessary for the preparation of the Tender or insurance or any necessary security); or

c. enters into any agreement or arrangement with any other party that such other party shall refrain from submitting a Tender; or

d. enters into any agreement or arrangement with any other party as to the amount of any Tender submitted; or

e. offers or agrees to pay or give or does pay or give any sum of money, inducement or valuable consideration directly or indirectly to any party for doing or having done or causing or having caused to be done in relation to any other Tender or proposed Tender, any act or omission, shall (without prejudice to any other civil remedies available to DFID and without prejudice to any criminal liability which such conduct by a Tenderer may attract) be disqualified.

21. Exclusivity Agreements

In compiling your tender, the use of restrictive exclusivity agreements is not permitted. Such agreements imposed on sub-contractors are anti-competitive; restrict supply markets and; can be interpreted by small suppliers as bullying or intimidation. While DFID recognise lead, organisations may seek to take non-restrictive steps to require that the specific content of their tender or contract cannot be disclosed to third parties; we do not accept that potential partners cannot provide their own knowledge and expertise with more than one organisation where they chose to do so.

Any Potential Supplier who:

- enters into any exclusivity agreement or arrangement that limits or restricts the ability of the sub-contractor to contract directly with DFID, a Replacement Supplier, or with any other organisation where they chose to,

shall be deemed non-compliant with these Invitation to Tender instructions, and the Standard Terms and Conditions Clause 7.8.3, and be disqualified from participation in this Procurement Process.

22. Sustainable Development

DFID endorses UK Government policy on sustainable development produced by the Department for Environment, Food and Rural Affairs (Encouraging businesses to manage their impact on the environment - Policies - Inside Government - GOV.UK)
DFID principles and approaches are covered in more detail in the ‘Our procurement policies and initiatives’ section on the DFID website (Procurement at DFID - Inside Government - GOV.UK)

23. Confidentiality

All material issued relating to this ITT shall remain the property of DFID and shall be used only for this procurement exercise. All material and information provided shall be either returned to DFID or securely destroyed by unsuccessful Tenderer’s after the conclusion of the procurement exercise.

The contents of this ITT are being made available by DFID on conditions:

a) Tenderers shall at all times treat the contents of the ITT and any related documents (together called the ‘Information’) as confidential, save in so far as they are already in the public domain;

b) Tenderers shall not disclose copy, reproduce, distribute or pass any information supplied as part of this procurement process to any other person at any time or allow any of these things to happen;

c) Tenderers shall not use any of the Information for any purpose other than for the purposes of submitting (or deciding whether to submit) a Tender; and

d) Tenderers shall not undertake any publicity activity in connection with this ITT within any section of the media.

e) Tenderers should not disclose or make available to the press, or in any other way make public, any information in respect of this ITT without the express written permission of DFID.

Tenderers may disclose, distribute or pass any of the information to the Tenderer’s advisers, sub-contractors or to another person provided that either:

a) This is done for the sole purpose of enabling a Tender to be submitted and the person receiving the Information undertakes in writing to keep the Information confidential on the same terms as if that person were the Tenderer; or

b) The Tenderer obtains the prior written consent of DFID in relation to such disclosure, distribution or passing of information; or

c) The disclosure is made for the sole purpose of obtaining legal advice in relation to the procurement

d) or the Tenderer is legally required to make such a disclosure.
In this section the definition of ‘person’ includes but is not limited to any natural or juristic person, firm, body or association, corporate or incorporate.

24. GDPR

The new data protection legislation aims to protect the privacy of all EU citizens and prevent data breaches. It will apply to any public or private organisation processing personal data.

The GDPR applies to data processing carried out by organisations operating within the EU, including any data processing by those organisations that may happen outside the EU.

25. Right to Cancel, Clarify or Vary the Process

DFID reserves the right to amend, add to or withdraw all or any part of this ITT at any time during the procurement exercise.

26. Costs of the ITT

Tenderers will remain responsible for all costs and expenses incurred by them, their staff, and their advisors or by any third party acting under their instructions in connection with this ITT. This will be regardless of whether such costs arise as a result of any direct or indirect amendments made to this ITT by DFID at any time. For the avoidance of doubt, DFID shall bear no liability whatsoever to Respondents for the costs of any amendments, changes, discussions or communications in respect of this ITT.

If none of the Tenders submitted in response to this ITT are considered satisfactory, DFID reserves the right to consider alternative procurement options or not to award a contract. DFID will not bear any costs in respect of any abortive effort should no contract be awarded.

Scoring Methodology and Evaluation Criteria

27. Technical Evaluation

The Technical Evaluation places emphasis on the degree of confidence the Evaluation Team have in the Tender content and the Tenderer's capability to deliver the outputs effectively.

28. Technical Scoring Methodology

The Evaluation Team will apply the following scoring methodology to the Technical Criteria:
<table>
<thead>
<tr>
<th>Score</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>10 (Expert)</td>
<td>Demonstrates expert understanding of Terms of Reference and proposes excellent and accurate solutions which address all requirements and which are innovative where appropriate. Responses are excellently tailored to the customer’s requirements in all aspects. Level of detail and quality of information provides the highest degree of confidence in certainty of delivery.</td>
</tr>
<tr>
<td>8 (Very Good)</td>
<td>Demonstrates a very good understanding of all issues relating to delivery of the Terms of Reference. Responses are relevantly tailored to the customer’s requirements in all aspects. Provides sufficient detail and quality of information to give a strong level of confidence that they will deliver.</td>
</tr>
<tr>
<td>6 (Good)</td>
<td>Demonstrates a good understanding of most of the issues relating to the delivery of the Terms of Reference. Responses are reasonably tailored to the customer’s requirements for the majority of aspects. Provides a good level of detail and quality of information to give a good level of confidence that they will deliver.</td>
</tr>
<tr>
<td>4 (Satisfactory)</td>
<td>Demonstrates a satisfactory understanding of some of the issues relating to delivery of the Terms of Reference. Only some appetite to tailor to customer’s requirements where required. Provides only some level of detail and quality of information to give only some level of confidence that they will be able to deliver.</td>
</tr>
<tr>
<td>2 (Unsatisfactory)</td>
<td>Demonstrates a poor understanding of the issues relating to delivery of the Terms of Reference. Poor appetite to tailor to the customers’ requirements where required. Generally, an unsatisfactory and a low level of quality information and detail leading to a low level of confidence that they will deliver.</td>
</tr>
<tr>
<td>0 (Fail)</td>
<td>Complete failure to address all material requirements of the Terms of Reference. No tailoring of responses to meet customer requirements. No quality responses providing no confidence that they will deliver.</td>
</tr>
</tbody>
</table>

The above scoring methodology will be applied to each of the Technical Criteria detailed in the table below. The Total Score for each Criteria will comprise of the score awarded (0, 2, 4, 6, 8, 10) multiplied by the weighting allocated to each Criteria.
29. Commercial Evaluation

The commercial evaluation team will apply the following mathematical formulae to calculate the commercial scores:

Total Cost – in comparison to all other tenderers

\[
\text{Total Cost} = \frac{\text{Your proposed Total Cost}}{\text{Lowest proposed Total Cost}} \times \text{Maximum Score achievable (XX)}
\]

30. Evaluation Criteria

The Evaluation Criteria and Weightings that will be applied to this ITT are detailed in the ‘Main Criteria’ table below.

<table>
<thead>
<tr>
<th>Main Criteria</th>
<th>Weighting</th>
<th>Score 0,2,4, 6, 8,10</th>
<th>Total Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personnel - 40</td>
<td>40</td>
<td>0-10</td>
<td>[0-10 x 40]</td>
</tr>
</tbody>
</table>
### Methodology - 40

<table>
<thead>
<tr>
<th>Description</th>
<th>Score</th>
<th>Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Understanding of ToR and requirements, including deliverables</td>
<td>40</td>
<td>0-10</td>
</tr>
<tr>
<td>Suitability of approach, including justified, robust methodology for identification, quality assessment and synthesis of relevant literature</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Approach to collaboration with suppliers delivering related REA on 'what works'</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Approach to contribution to ToC development</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Approach to producing policy relevant, accessible reports – including the use of data visualisation/infographics</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Technical Score

The commercial evaluation team will apply the following mathematical formulas to calculate the commercial scores.

**Total Cost – in comparison to all other tenderers**

\[
\text{Commercial Score} = \left( \frac{\text{Lowest proposed Total Cost}}{\text{Your proposed Total Cost}} \right) \times \text{Maximum Score achievable (XX)}
\]

<table>
<thead>
<tr>
<th>Description</th>
<th>Score</th>
<th>Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Commercial Score</td>
<td>20</td>
<td>[0-10 x 20]</td>
</tr>
<tr>
<td>Overall Total</td>
<td>100</td>
<td>[1000]</td>
</tr>
</tbody>
</table>
Letter and Declaration to Accompany Tenders

1. We have examined the information provided in your Invitation to Tender (ITT) and offer to undertake the work described in accordance with the requirements as set out in the ITT. This Tender is valid for acceptance for 3 months and we confirm that this Tender will remain binding upon us and may be accepted by you at any time before this expiry date.

2. (if applicable) Certain information included in this Tender would, if disclosed, prejudice our commercial interests. The particular information, together with an explanation and the period this information should be withheld, is attached to this letter. You have requested this information in relation to the Freedom of Information Act 2000.

3. We accept that any Contract that may result will a) comprise the Contract Documents issued with the ITT and be based upon the documents submitted as part of our Tender; and b) be placed by the Secretary of State for International Development.

4. The Tender (Commercial & Technical) has been arrived at independently and without consultation, communication, agreement or understanding (for the purpose of restricting competition) with any other supplier invited to tender for this contract.

5. We confirm that the enclosed electronic version of the technical and Commercial Tender is a true and complete copy of our Tender

6. **Delete as Appropriate:**

   We understand the obligations in Paragraph 17. Disclosures of the ITT Instructions and confirm we have no declarations to make.

   **OR**

   We have included the necessary declarations within our Commercial proposal.

7. We confirm that all personnel and/or sub-contractors named in the tender will be available to undertake the services.

8. We agree to bear all costs incurred by us in connection with the preparation and submission of this Tender and to bear any further pre-contract costs.

9. [The Contracting Authority] confirms that we accept DFID’s Standard Terms and Conditions of Contract for Low Value Tenders and we have read and understood the DFID Supply Partner Code of Conduct as it applies to us.

10. I confirm that I have the authority of [name of organisation] to submit this Tender and to clarify any details on its behalf.