## Annex A2

### UNCONDITIONAL AMENDMENT A - EP(UK) 1,624,810

CLAIMS:

10

1

5 1. A fixation device for engaging tissue comprising:

a pair of fixation elements (18) each having a first end, a free end opposite the first end, and an engagement surface (50) therebetween for engaging the tissue, the first ends being movably coupled together such that the fixation elements (18) are moveable between 1) a closed position wherein the engagement surfaces (50) face each other, and 2) an inverted position wherein the engagement surfaces (50) face away from each other; and

an actuation mechanism (58) adapted for coupleding to the fixation elements adapted to move the fixation elements (18) between the closed position and the inverted position, further comprising a pair of gripping elements (16), each gripping element (16) moveable with respect to one of the fixation elements (18) and being disposed in

- 15 opposition to one of the engagement surfaces (50) so as to capture tissue therebetween, wherein the gripping elements (16) are movable from an undeployed configuration in which each gripping element (16) is separated from an opposing engagement surface (50), to a deployed configuration in which the gripping element (16) is adjacent to the opposing engagement surface (50) and wherein each fixation element (18) is at least partially
- 20 concave and each gripping element (16) is at least partially recessed within the fixation element (18) in the deployed configuration or wherein the gripping elements (16) are approximately parallel to each other in the undeployed configuration.

A fixation device as in claim 1, wherein the fixation elements (18) are further
 moveable to an open position between the closed position and the inverted position.

A fixation device as in claim 1, wherein in the open position the engagement surface (50) of one fixation element (18) forms an angle of more than about 5° and less than about 90° with the engagement surface (50) of the other fixation element (18), or
 wherein in the open position the engagement surface (50) of one fixation element (18) forms an angle of more than about 90° and less than about 180° with the engagement surface (50) of the other fixation element (18).

4. A fixation device as in claim 1, wherein the gripping elements (16) have frictional features (60) configured to enhance grip on tissue engaged thereby.

5. A fixation device as in claim 1, wherein the gripping elements (16) are movable
5 independently of the fixation elements (18) or wherein the gripping elements (16) are biased toward the engagement surfaces (50).

6. A fixation device as in any one of the preceding claims, wherein the actuation mechanism (58) comprises a pair of link members (68) coupled to the fixation elements
10 (18) whereby moving the link members (68) moves the fixation elements (18).

7. A fixation device as in claim 6, wherein the actuation mechanism (58) further comprises a coupling member (19) and a stud (74) slidably coupled to the coupling member, the first ends being coupled to one of either the coupling member (19) or the stud (74), and the link members (68) being coupled to the other of either the coupling member (19) or the stud (74).

A fixation device as in claim 7, wherein the link members (68) are connected to one of either the coupling member (19) or the stud (74) by a hinge wherein the link members
 are connected to the fixation elements (18) by a hinge.

9. A fixation device as in any one of the preceding claims, further comprising a locking mechanism (106) adapted to lock the fixation elements (18) in a desired position.

25 10. A fixation device as in any one of claims 1 to 6, further comprising a locking mechanism (106) adapted to lock the fixation elements (18) in a desired position, wherein the actuation mechanism (68) comprises a movable stud (74) coupled to the fixation elements (18), the locking mechanism (106) being configured to frictionally engage the stud to prevent movement thereof.

30

15

11. A fixation device as in claim 10, wherein the locking mechanism comprises a wedging element (110) for frictionally engaging the stud (74), further comprising a coupling member (19) having a pair of sidewalls (116), at least one of the sidewalls sloping toward

the other sidewall, the stud (74) being slideable between the sidewalls (116), the wedging element (110) being movably disposed between the stud and at least one sidewall.

12. A fixation device as in claim 11, wherein the wedging element comprises a rolling element (110) configured to roll along the at least one sidewall (116) in engagement with the stud wherein the rolling element comprises a barbell (110) having a pair of generally cylindrical caps and a shaft therebetween, the shaft being configured to engage the stud between the caps.

- 10 13. The fixation device of any one of claims 9, 10, 11 or 12, further comprising an unlocking mechanism adapted to unlock the fixation elements (18) so as to be movable from the desired position, the unlocking mechanism comprising a harness (108) coupled to the wedging element (110), the harness adapted to move the wedging element to reduce frictional engagement of the stud (74).
- 15

14. The fixation device of any one of the preceding claims, further comprising a coupling member (19) for detachably coupling the fixation device to a delivery device.

15. The fixation device of any of claims 1 to 6, further comprising a coupling member
(19) for detachably coupling the fixation device to a delivery device, wherein the coupling member (19) comprises an outer member having an axial channel, the outer member being coupled to one of either the fixation elements (18) or the actuation mechanism (58), and an inner member extending slidably through the axial channel and coupled to the other of either the fixation elements (18) or the actuation mechanism (58), wherein outer member
25 has a mating surface for engagement with the delivery device, the mating surface having a sigmoid shape.

16. The fixation device of any one of the preceding claims, further comprising a covering (100) on the fixation elements (18) adapted for promoting tissue growth.

30

1

17. The fixation device of any one of the preceding claims, further comprising a coating on the fixation elements (18) adapted for delivering a therapeutic agent.

18. A fixation device as in any one of the preceding claims, wherein the fixation elements (18) have longitudinal edges between the first end and the free end, the longitudinal edges being curved about a longitudinal axis away from the engagement surfaces.

5

19. A fixation device as in any one of the preceding claims, wherein the free ends of the fixation elements (18) curve away from the engagement surfaces about a transverse axis generally parallel to the engagement surface (50), wherein the free ends of the fixation elements (18) are curved about a second access not parallel to the transverse axis.

10

### 20. A system comprising:

a catheter (86, 300) having a proximal end and a distal end, the catheter configured to pass from remote vasculature of a patient to a location within the patient's body near a tissue; and

15

I

## Annex B2

### CONDITIONAL AMENDMENT B - EP(UK) 1,624,810

#### CLAIMS:

10

1

5 1. A fixation device for engaging tissue <u>for the repair of a valve of the heart, the</u> <u>fixation device</u> comprising:

a pair of fixation elements (18) each having a first end, a free end opposite the first end, and an engagement surface (50) therebetween for engaging the tissue, the first ends being movably coupled together such that the fixation elements (18) are moveable between 1) a closed position wherein the engagement surfaces (50) face each other, and 2) an

inverted position wherein the engagement surfaces (50) face away from each other; and an actuation mechanism (58) adapted for coupleding to the fixation elements adapted to move the fixation elements (18) between the closed position and the inverted position, further comprising a pair of gripping elements (16), each gripping element (16)

- 15 moveable with respect to one of the fixation elements (18) and being disposed in opposition to one of the engagement surfaces (50) so as to capture tissue therebetween, wherein the gripping elements (16) are movable from an undeployed configuration in which each gripping element (16) is separated from an opposing engagement surface (50), to a deployed configuration in which the gripping element (16) is adjacent to the opposing
- 20 engagement surface (50) and wherein each fixation element (18) is at least partially concave and each gripping element (16) is at least partially recessed within the fixation element (18) in the deployed configuration or wherein the gripping elements (16) are approximately parallel to each other in the undeployed configuration.

25 2. A fixation device as in claim 1, wherein the fixation elements (18) are further moveable to an open position between the closed position and the inverted position.

A fixation device as in claim 1, wherein in the open position the engagement surface (50) of one fixation element (18) forms an angle of more than about 5° and less
 than about 90° with the engagement surface (50) of the other fixation element (18), or wherein in the open position the engagement surface (50) of one fixation element (18) forms an angle of more than about 90° and less than about 180° with the engagement surface (50) of the other fixation element (18)

4. A fixation device as in claim 1, wherein the gripping elements (16) have frictional features (60) configured to enhance grip on tissue engaged thereby.

5. A fixation device as in claim 1, wherein the gripping elements (16) are movable
5 independently of the fixation elements (18) or wherein the gripping elements (16) are biased toward the engagement surfaces (50).

6. A fixation device as in any one of the preceding claims, wherein the actuation mechanism (58) comprises a pair of link members (68) coupled to the fixation elements
10 (18) whereby moving the link members (68) moves the fixation elements (18).

7. A fixation device as in claim 6, wherein the actuation mechanism (58) further comprises a coupling member (19) and a stud (74) slidably coupled to the coupling member, the first ends being coupled to one of either the coupling member (19) or the stud

(74), and the link members (68) being coupled to the other of either the coupling member(19) or the stud (74).

8. A fixation device as in claim 7, wherein the link members (68) are connected to one of either the coupling member (19) or the stud (74) by a hinge wherein the link members
20 are connected to the fixation elements (18) by a hinge.

9. A fixation device as in any one of the preceding claims, further comprising a locking mechanism (106) adapted to lock the fixation elements (18) in a desired position.

25 10. A fixation device as in any one of claims 1 to 6, further comprising a locking mechanism (106) adapted to lock the fixation elements (18) in a desired position, wherein the actuation mechanism (68) comprises a movable stud (74) coupled to the fixation elements (18), the locking mechanism (106) being configured to frictionally engage the stud to prevent movement thereof.

30

35

1

11. A fixation device as in claim 10, wherein the locking mechanism comprises a wedging element (110) for frictionally engaging the stud (74), further comprising a coupling member (19) having a pair of sidewalls (116), at least one of the sidewalls sloping toward the other sidewall, the stud (74) being slideable between the sidewalls (116), the wedging element (110) being movably disposed between the stud and at least one sidewall.

12. A fixation device as in claim 11, wherein the wedging element comprises a rolling element (110) configured to roll along the at least one sidewall (116) in engagement with the stud wherein the rolling element comprises a barbell (110) having a pair of generally cylindrical caps and a shaft therebetween, the shaft being configured to engage the stud between the caps.

13. The fixation device of any one of claims 9, 10, 11 or 12, further comprising an unlocking mechanism adapted to unlock the fixation elements (18) so as to be movable
10 from the desired position, the unlocking mechanism comprising a harness (108) coupled to the wedging element (110), the harness adapted to move the wedging element to reduce frictional engagement of the stud (74).

14. The fixation device of any one of the preceding claims, further comprising acoupling member (19) for detachably coupling the fixation device to a delivery device.

15. The fixation device of any of claims 1 to 6, further comprising a coupling member (19) for detachably coupling the fixation device to a delivery device, wherein the coupling member (19) comprises an outer member having an axial channel, the outer member being coupled to one of either the fixation elements (18) or the actuation mechanism (58), and an inner member extending slidably through the axial channel and coupled to the other of either the fixation elements (18) or the actuation mechanism (58), wherein outer member has a mating surface for engagement with the delivery device, the mating surface having a sigmoid shape.

25

35

I

5

16. The fixation device of any one of the preceding claims, further comprising a covering (100) on the fixation elements (18) adapted for promoting tissue growth.

17. The fixation device of any one of the preceding claims, further comprising a coating30 on the fixation elements (18) adapted for delivering a therapeutic agent.

18. A fixation device as in any one of the preceding claims, wherein the fixation elements (18) have longitudinal edges between the first end and the free end, the longitudinal edges being curved about a longitudinal axis away from the engagement surfaces.

19. A fixation device as in any one of the preceding claims, wherein the free ends of the fixation elements (18) curve away from the engagement surfaces about a transverse axis generally parallel to the engagement surface (50), wherein the free ends of the fixation elements (18) are curved about a second access not parallel to the transverse axis.

5 elements (18) are curved about a second acce

### 20. A system comprising:

10

I

a catheter (86, 300) having a proximal end and a distal end, the catheter configured to pass from remote vasculature of a patient to a location within the patient's body near a tissue; and

# Annex C2

### CONDITIONAL AMENDMENT C - EP(UK) 1,624,810

#### CLAIMS:

5 1. A fixation device for engaging tissue <u>for the repair of a valve of the heart, the</u> <u>fixation device</u> comprising:

a pair of fixation elements (18) each having a first end, a free end opposite the first end, and an engagement surface (50) therebetween for engaging the tissue, the first ends being movably coupled together such that the fixation elements (18) are moveable between 1) a closed position wherein the engagement surfaces (50) face each other, and 2) an inverted position wherein the engagement surfaces (50) face away from each other, wherein the fixation elements (18) are further moveable between a first position for capturing the tissue and a second position for fixing the tissue, wherein the engagement surfaces (50) are spaced apart in the first position and are closer together and generally

15

10

an actuation mechanism (58) adapted for coupleding to the fixation elements adapted to move the fixation elements (18) between the closed position and the inverted position, further comprising a pair of gripping elements (16), each gripping element (16) moveable with respect to one of the fixation elements (18) and being disposed in

face toward each other in the second position; and

- 20 opposition to one of the engagement surfaces (50) so as to capture tissue therebetween, wherein the gripping elements (16) are movable from an undeployed configuration in which each gripping element (16) is separated from an opposing engagement surface (50), to a deployed configuration in which the gripping element (16) is adjacent to the opposing engagement surface (50) and wherein each fixation element (18) is at least partially
- 25 concave and each gripping element (16) is at least partially recessed within the fixation element (18) in the deployed configuration or wherein the gripping elements (16) are approximately parallel to each other in the undeployed configuration.

A fixation device as in claim 1, wherein the <u>first position is fixation elements (18) are</u>
 further moveable to an open position between the closed position and the inverted position.

3. A fixation device as in claim 1, wherein in the open position the engagement surface (50) of one fixation element (18) forms an angle of more than about 5° and less than about 90° with the engagement surface (50) of the other fixation element (18), or wherein in the open position the engagement surface (50) of one fixation element (18)

79

30

35

forms an angle of more than about 90° and less than about 180° with the engagement surface (50) of the other fixation element (18).

4. A fixation device as in claim 1, wherein the gripping elements (16) have frictional
5 features (60) configured to enhance grip on tissue engaged thereby.

5. A fixation device as in claim 1, wherein the gripping elements (16) are movable independently of the fixation elements (18) or wherein the gripping elements (16) are biased toward the engagement surfaces (50).

10

A fixation device as in any one of the preceding claims, wherein the actuation mechanism (58) comprises a pair of link members (68) coupled to the fixation elements (18) whereby moving the link members (68) moves the fixation elements (18).

15 7. A fixation device as in claim 6, wherein the actuation mechanism (58) further comprises a coupling member (19) and a stud (74) slidably coupled to the coupling member, the first ends being coupled to one of either the coupling member (19) or the stud (74), and the link members (68) being coupled to the other of either the coupling member (19) or the stud (74).

20

1

8. A fixation device as in claim 7, wherein the link members (68) are connected to one of either the coupling member (19) or the stud (74) by a hinge wherein the link members are connected to the fixation elements (18) by a hinge.

9. A fixation device as in any one of the preceding claims, further comprising a locking mechanism (106) adapted to lock the fixation elements (18) in a desired position.

A fixation device as in any one of claims 1 to 6, further comprising a locking mechanism (106) adapted to lock the fixation elements (18) in a desired position, wherein
 the actuation mechanism (68) comprises a movable stud (74) coupled to the fixation elements (18), the locking mechanism (106) being configured to frictionally engage the stud to prevent movement thereof.

A fixation device as in claim 10, wherein the locking mechanism comprises a
wedging element (110) for frictionally engaging the stud (74), further comprising a coupling

member (19) having a pair of sidewalls (116), at least one of the sidewalls sloping toward the other sidewall, the stud (74) being slideable between the sidewalls (116), the wedging element (110) being movably disposed between the stud and at least one sidewall.

- 5 12. A fixation device as in claim 11, wherein the wedging element comprises a rolling element (110) configured to roll along the at least one sidewall (116) in engagement with the stud wherein the rolling element comprises a barbell (110) having a pair of generally cylindrical caps and a shaft therebetween, the shaft being configured to engage the stud between the caps.
- 10

15

1

13. The fixation device of any one of claims 9, 10, 11 or 12, further comprising an unlocking mechanism adapted to unlock the fixation elements (18) so as to be movable from the desired position, the unlocking mechanism comprising a harness (108) coupled to the wedging element (110), the harness adapted to move the wedging element to reduce frictional engagement of the stud (74).

14. The fixation device of any one of the preceding claims, further comprising a coupling member (19) for detachably coupling the fixation device to a delivery device.

15. The fixation device of any of claims 1 to 6, further comprising a coupling member (19) for detachably coupling the fixation device to a delivery device, wherein the coupling member (19) comprises an outer member having an axial channel, the outer member being coupled to one of either the fixation elements (18) or the actuation mechanism (58), and an inner member extending slidably through the axial channel and coupled to the other of
either the fixation elements (18) or the actuation mechanism (58), wherein outer member has a mating surface for engagement with the delivery device, the mating surface having a sigmoid shape.

16. The fixation device of any one of the preceding claims, further comprising a30 covering (100) on the fixation elements (18) adapted for promoting tissue growth.

17. The fixation device of any one of the preceding claims, further comprising a coating on the fixation elements (18) adapted for delivering a therapeutic agent.

18. A fixation device as in any one of the preceding claims, wherein the fixation elements (18) have longitudinal edges between the first end and the free end, the longitudinal edges being curved about a longitudinal axis away from the engagement surfaces.

5

19. A fixation device as in any one of the preceding claims, wherein the free ends of the fixation elements (18) curve away from the engagement surfaces about a transverse axis generally parallel to the engagement surface (50), wherein the free ends of the fixation elements (18) are curved about a second access not parallel to the transverse axis.

10

20. A system comprising:

a catheter (86, 300) having a proximal end and a distal end, the catheter configured to pass from remote vasculature of a patient to a location within the patient's body near a tissue; and

15

I

## Annex D2

### CONDITIONAL AMENDMENT D - EP(UK) 1,624,810

#### CLAIMS:

5 1. A fixation device for engaging tissue <u>for the repair of a valve of the heart, the</u> <u>fixation device</u> comprising:

a pair of fixation elements (18) each having a first end, a free end opposite the first end, and an engagement surface (50) therebetween for engaging the tissue, the first ends being movably coupled together such that the fixation elements (18) are moveable between

1) a closed position wherein the engagement surfaces (50) face each other, and 2) an
 inverted position wherein the engagement surfaces (50) face away from each other,
 wherein in the inverted position the engagement surfaces (50) provide an atraumatic
 surface to deflect tissue, wherein the fixation elements (18) are further moveable between
 a first position for capturing the tissue and a second position for fixing the tissue, wherein
 the engagement surfaces (50) are spaced apart in the first position and are closer together
 and generally face toward each other in the second position; and

an actuation mechanism (58) adapted for coupleding to the fixation elements adapted to move the fixation elements (18) between the closed position and the inverted position, further comprising a pair of gripping elements (16), each gripping element (16)

- 20 moveable with respect to one of the fixation elements (18) and being disposed in opposition to one of the engagement surfaces (50) so as to capture tissue therebetween, wherein the gripping elements (16) are movable from an undeployed configuration in which each gripping element (16) is separated from an opposing engagement surface (50), to a deployed configuration in which the gripping element (16) is adjacent to the opposing
- engagement surface (50) and wherein each fixation element (18) is at least partially concave and each gripping element (16) is at least partially recessed within the fixation element (18) in the deployed configuration or wherein the gripping elements (16) are approximately parallel to each other in the undeployed configuration.
- A fixation device as in claim 1, wherein the <u>first position is fixation elements (18) are</u>
   <u>further moveable to</u> an open position between the closed position and the inverted position.

A fixation device as in claim 1, wherein in the open position the engagement surface (50) of one fixation element (18) forms an angle of more than about 5° and less than about 90° with the engagement surface (50) of the other fixation element (18), or

35

wherein in the open position the engagement surface (50) of one fixation element (18) forms an angle of more than about 90° and less than about 180° with the engagement surface (50) of the other fixation element (18).

5 4. A fixation device as in claim 1, wherein the gripping elements (16) have frictional features (60) configured to enhance grip on tissue engaged thereby.

5. A fixation device as in claim 1, wherein the gripping elements (16) are movable independently of the fixation elements (18) or wherein the gripping elements (16) are
10 biased toward the engagement surfaces (50).

A fixation device as in any one of the preceding claims, wherein the actuation mechanism (58) comprises a pair of link members (68) coupled to the fixation elements (18) whereby moving the link members (68) moves the fixation elements (18).

15

7. A fixation device as in claim 6, wherein the actuation mechanism (58) further comprises a coupling member (19) and a stud (74) slidably coupled to the coupling member, the first ends being coupled to one of either the coupling member (19) or the stud (74), and the link members (68) being coupled to the other of either the coupling member (19) or the stud (74).

8. A fixation device as in claim 7, wherein the link members (68) are connected to one of either the coupling member (19) or the stud (74) by a hinge wherein the link members are connected to the fixation elements (18) by a hinge.

25

1

20

9. A fixation device as in any one of the preceding claims, further comprising a locking mechanism (106) adapted to lock the fixation elements (18) in a desired position.

A fixation device as in any one of claims 1 to 6, further comprising a locking
 mechanism (106) adapted to lock the fixation elements (18) in a desired position, wherein the actuation mechanism (68) comprises a movable stud (74) coupled to the fixation elements (18), the locking mechanism (106) being configured to frictionally engage the stud to prevent movement thereof.

11. A fixation device as in claim 10, wherein the locking mechanism comprises a wedging element (110) for frictionally engaging the stud (74), further comprising a coupling member (19) having a pair of sidewalls (116), at least one of the sidewalls sloping toward the other sidewall, the stud (74) being slideable between the sidewalls (116), the wedging element (110) being movably disposed between the stud and at least one sidewall.

12. A fixation device as in claim 11, wherein the wedging element comprises a rolling element (110) configured to roll along the at least one sidewall (116) in engagement with the stud wherein the rolling element comprises a barbell (110) having a pair of generally cylindrical caps and a shaft therebetween, the shaft being configured to engage the stud between the caps.

13. The fixation device of any one of claims 9, 10, 11 or 12, further comprising an unlocking mechanism adapted to unlock the fixation elements (18) so as to be movable
15 from the desired position, the unlocking mechanism comprising a harness (108) coupled to the wedging element (110), the harness adapted to move the wedging element to reduce frictional engagement of the stud (74).

14. The fixation device of any one of the preceding claims, further comprising a20 coupling member (19) for detachably coupling the fixation device to a delivery device.

15. The fixation device of any of claims 1 to 6, further comprising a coupling member (19) for detachably coupling the fixation device to a delivery device, wherein the coupling member (19) comprises an outer member having an axial channel, the outer member being coupled to one of either the fixation elements (18) or the actuation mechanism (58), and an inner member extending slidably through the axial channel and coupled to the other of either the fixation elements (18) or the actuation mechanism (58), wherein outer member has a mating surface for engagement with the delivery device, the mating surface having a sigmoid shape.

30

1

5

10

16. The fixation device of any one of the preceding claims, further comprising a covering (100) on the fixation elements (18) adapted for promoting tissue growth.

17. The fixation device of any one of the preceding claims, further comprising a coatingon the fixation elements (18) adapted for delivering a therapeutic agent.

18. A fixation device as in any one of the preceding claims, wherein the fixation elements (18) have longitudinal edges between the first end and the free end, the longitudinal edges being curved about a longitudinal axis away from the engagement

5 surfaces.

10

19. A fixation device as in any one of the preceding claims, wherein the free ends of the fixation elements (18) curve away from the engagement surfaces about a transverse axis generally parallel to the engagement surface (50), wherein the free ends of the fixation elements (18) are curved about a second access not parallel to the transverse axis.

20. A system comprising:

a catheter (86, 300) having a proximal end and a distal end, the catheter configured to pass from remote vasculature of a patient to a location within the patient's body near a

15 tissue; and

1

# Annex E2

### CONDITIONAL AMENDMENT E - EP(UK) 1,624,810

#### CLAIMS:

5 1. A fixation device for engaging tissue <u>for the repair of a valve of the heart, the</u> <u>fixation device</u> comprising:

a pair of fixation elements (18) each having a first end, a free end opposite the first end, and an engagement surface (50) therebetween for engaging the tissue, the first ends being movably coupled together such that the fixation elements (18) are moveable between

1) a closed position wherein the engagement surfaces (50) face each other, and 2) an
 inverted position wherein the engagement surfaces (50) face away from each other, wherein in the inverted position the engagement surfaces (50) provide an atraumatic surface to deflect tissue, wherein the fixation elements (18) are further moveable between a first position for capturing the tissue and a second position for fixing the tissue, wherein
 the engagement surfaces (50) are spaced apart in the first position and are closer together and generally face toward each other in the second position; and

and generally face toward each other in the second position; and an actuation mechanism (58) adapted for coupleding to the fixation elements adapted to move the fixation elements (18) between the closed position and the inve

adapted to move the fixation elements (18) between the closed position and the inverted position, further comprising a pair of gripping elements (16), each gripping element (16) moveable with respect to one of the fixation elements (18) and being disposed in

- 20 moveable with respect to one of the fixation elements (18) and being disposed in opposition to one of the engagement surfaces (50) so as to capture tissue therebetween, wherein the gripping elements (16) are movable from an undeployed configuration in which each gripping element (16) is separated from an opposing engagement surface (50), to a deployed configuration in which the gripping element (16) is adjacent to the opposing
- engagement surface (50) and wherein each fixation element (18) is at least partially concave and each gripping element (16) is at least partially recessed within the fixation element (18) in the deployed configuration or wherein the gripping elements (16) are approximately parallel to each other in the undeployed configuration.
- A fixation device as in claim 1, wherein the <u>first position is fixation elements (18) are</u>
   further moveable to an open position between the closed position and the inverted position.

A fixation device as in claim 1, wherein in the open position the engagement surface (50) of one fixation element (18) forms an angle of more than about 5° and less than about 90° with the engagement surface (50) of the other fixation element (18), or

....

35

wherein in the open position the engagement surface (50) of one fixation element (18) forms an angle of more than about 90° and less than about 180° with the engagement surface (50) of the other fixation element (18).

5 4. A fixation device as in claim 1, wherein the gripping elements (16) have frictional features (60) configured to enhance grip on tissue engaged thereby.

5. A fixation device as in claim 1, wherein the gripping elements (16) are movable independently of the fixation elements (18) or wherein the gripping elements (16) are
10 biased toward the engagement surfaces (50).

A fixation device as in any one of the preceding claims, wherein the actuation mechanism (58) comprises a pair of link members (68) coupled to the fixation elements (18) whereby moving the link members (68) moves the fixation elements (18).

15

7. A fixation device as in claim 6, wherein the actuation mechanism (58) further comprises a coupling member (19) and a stud (74) slidably coupled to the coupling member, the first ends being coupled to one of either the coupling member (19) or the stud (74), and the link members (68) being coupled to the other of either the coupling member (19) or the stud (74).

8. A fixation device as in claim 7, wherein the link members (68) are connected to one of either the coupling member (19) or the stud (74) by a hinge wherein the link members are connected to the fixation elements (18) by a hinge.

25

1

20

9. A fixation device as in any one of the preceding claims, further comprising a locking mechanism (106) adapted to lock the fixation elements (18) in a desired position.

A fixation device as in any one of claims 1 to 6, further comprising a locking
 mechanism (106) adapted to lock the fixation elements (18) in a desired position, wherein the actuation mechanism (68) comprises a movable stud (74) coupled to the fixation elements (18), the locking mechanism (106) being configured to frictionally engage the stud to prevent movement thereof.

11. A fixation device as in claim 10, wherein the locking mechanism comprises a wedging element (110) for frictionally engaging the stud (74), further comprising a coupling member (19) having a pair of sidewalls (116), at least one of the sidewalls sloping toward the other sidewall, the stud (74) being slideable between the sidewalls (116), the wedging element (110) being movably disposed between the stud and at least one sidewall.

12. A fixation device as in claim 11, wherein the wedging element comprises a rolling element (110) configured to roll along the at least one sidewall (116) in engagement with the stud wherein the rolling element comprises a barbell (110) having a pair of generally cylindrical caps and a shaft therebetween, the shaft being configured to engage the stud between the caps.

13. The fixation device of any one of claims 9, 10, 11 or 12, further comprising an unlocking mechanism adapted to unlock the fixation elements (18) so as to be movable
15 from the desired position, the unlocking mechanism comprising a harness (108) coupled to the wedging element (110), the harness adapted to move the wedging element to reduce frictional engagement of the stud (74).

14. The fixation device of any one of the preceding claims, further comprising a20 coupling member (19) for detachably coupling the fixation device to a delivery device.

15. The fixation device of any of claims 1 to 6, further comprising a coupling member (19) for detachably coupling the fixation device to a delivery device, wherein the coupling member (19) comprises an outer member having an axial channel, the outer member being coupled to one of either the fixation elements (18) or the actuation mechanism (58), and an inner member extending slidably through the axial channel and coupled to the other of either the fixation elements (18) or the actuation mechanism (58), wherein outer member has a mating surface for engagement with the delivery device, the mating surface having a sigmoid shape.

30

1

5

10

16. The fixation device of any one of the preceding claims, further comprising a covering (100) on the fixation elements (18) adapted for promoting tissue growth.

17. The fixation device of any one of the preceding claims, further comprising a coatingon the fixation elements (18) adapted for delivering a therapeutic agent.

18. A fixation device as in any one of the preceding claims, wherein the fixation elements (18) have longitudinal edges between the first end and the free end, the longitudinal edges being curved about a longitudinal axis away from the engagement

5 surfaces.

10

19. A fixation device as in any one of the preceding claims, wherein the free ends of the fixation elements (18) curve away from the engagement surfaces about a transverse axis generally parallel to the engagement surface (50), wherein the free ends of the fixation elements (18) are curved about a second access not parallel to the transverse axis.

20. A system comprising:

a catheter (86, 300) having a proximal end and a distal end, the catheter configured to pass from remote vasculature of a patient to a location within the patient's body near a

15 tissue; and

1