

# **Annex 21: Bibliography**

## **Prosperity Fund - Evaluation and Learning**

March 2018

Document Title			
	[Document ID]	Revision	0
Revision	Written By	Checked By	Approved By
Revision 0	Fionn O'Sullivan	Michael Schultz	
Revision 1			
Revision 2			
Revision 3			
Revision 4			
Revision 5			

- Befani, B. (2013) *Multiple Pathways to Policy Impact: Testing an Uptake Theory with QCA*. CDI Practice Paper 5. Institute of Development Studies
- Befani, B. (2016) *Choosing Appropriate Evaluation Methods: A Tool for Assessment & Selection*. Bond
- Befani, B. and Mayne, J. (2014) *Process Tracing and Contribution Analysis: A Combined Approach to Generative Causal Inference for Impact Evaluation*. IDS Bulletin, 45 (6)
- Cabinet Office (2013) *Government Security Classifications April 2014*. HMG: <https://tinyurl.com/mz3uswn>
- Cabinet Office (2014) *HMG Security Policy Framework*. HMG: <https://tinyurl.com/ya9a74sj>
- Carvalho, S and White, H (2004) *Theory-based evaluation: the case of social funds* American Journal of Evaluation 25(2).
- Culyer, T. et al (2015) *Mid-term evaluation of DFID's Health Research Programme consortia*. Sourced from <https://tinyurl.com/y8bmxpot>
- Davies, R. (2013) *Planning evaluability assessments: a synthesis of the literature with recommendations*. Working Paper 40. DFID. Available at: <https://tinyurl.com/yd33vccd>
- Delahais, T and Toulemonde, J (2017) *Making rigorous causal claims in a real-life context: has research contributed to sustainable forest management?* Evaluation, 23 (4)
- Doi, L., Jepson R. and Cheyne H. (2015) *A realist evaluation of an antenatal programme to change drinking behaviour of pregnant women*. Midwifery 31
- Dropbox (2018) *Complying with Standards and Regulations*. Dropbox: <https://tinyurl.com/y773wn3c>
- Felipe J, Abdon, A & Kumar, U. (2012) *Tracking the Middle-income Trap: What Is It, Who Is in It, and Why?*. Working Paper 715. Ley Economics Institute of Bard College.
- Gates, E. and Dyson, L. (2017) *Implications of the changing conversation about causality for evaluators*. American Journal of Evaluation 38 (1)
- HM Treasury, (2011) *The Magenta Book: guidance for evaluation*. Available at: <https://tinyurl.com/nans2ed>
- International Organisation for Standardisation (2013) *ISO 27001: Information Security Management Systems*. IOS: <https://tinyurl.com/y8stdauy>
- ITAD (2018). *Provision of Monitoring & Evaluation Services for the Conflict, Stability & Security Fund in East Africa*. Project Brief.
- Jankowska, J, Nagengast, A & Perea, J . (2012) *The Middle-Income Trap: Comparing Asian and Latin American Experiences*. Policy Insights No 96. OECD Development Centre.
- Mayne, J. (2008) *Contribution Analysis: an approach to exploring cause and effect*. ILAC Brief 16. Institutional Learning and Change (ILAC) Initiative.
- Mayne, J. (2012). *Contribution analysis: coming of age?* Evaluation vol. 18 no. 3, pp. 270-280.
- Mayne, J. (2017a) *Developing and Using Useful Theories of Change* Evergreen Briefing Note. Sourced from <https://tinyurl.com/ycw28ae9>

- Mayne, J (2017b) *Theory of change analysis: building robust theories of change*. Canadian Journal of Program Evaluation. Sourced from <https://tinyurl.com/yac9omph>
- Mookherji, S. (2013) *Strategies to maximize generalization from multiple case studies: Lessons from the Africa Routine Immunization System Essentials (ARISE) project*. Evaluation 19 (3)
- Paz-Ybarnegaray, R. and Douthwaite, B. (2016) *Outcome evidencing: a method for enabling and evaluating program intervention in complex systems*. American Journal of Evaluation 1 (19)
- Patton, M. (2008) *Utilization-focused evaluation*.: Sage
- Patton, M. (2012) *A utilization-focused approach to contribution analysis*. Evaluation 18 (3)
- Patton, M. and Horton, D. (2009) *Utilization-Focused Evaluation for Agricultural Innovation*. International Labor Accreditation Cooperation Brief No. 22.
- Pawson, R. (2013) *The Science of Evaluation: A Realist Manifesto*. Thousand Oaks, CA: Sage
- Peersman, G., Guijt, I., and Pasanen, T. (2015) *Evaluability Assessment for Impact Evaluation*. Overseas Development Institute
- Podio (2016) *Podio Security White Paper*. Citrix: <https://tinyurl.com/ycytot6z>
- Riley, B. et al (2018) *Using contribution analysis to evaluate the impacts of research on policy: Getting to 'good enough'*. Research Evaluation 27 (1)
- Robertson, P and Le, Y. (2013) *On the existence of a middle income trap*. Economics Discussion Paper 13 (12). University of Western Australia
- Stame, N. (2014) *Positive Thinking Approaches to Evaluation and Program Perspectives*. Canadian Journal of Program Evaluation 29 (2)
- Stern, E et al (2012) *Broadening the range of designs and methods for impact evaluations*. Department for International Development, Working Paper 38.
- Stocks-Rankin, C (2014) *Reflective literature review of Contribution Analysis*. Institute for Innovation and Research in Social Services. Sourced from <https://tinyurl.com/y9f5la2p>
- Van Hemelrijck, A. (2013) *Impact measurement and learning in collaborative settings*. Draft Paper presented at Institute of Water Policy's International Workshop on Innovations in Water Policy, February 2013
- Van Hemelrijck, A., Guijt, I., Holland, J., & Proctor, A. (2013). *PIALA Research Strategy*. Improved Learning Initiative (Internal Document). IFAD
- Gill Westhorp (2014) *Realist Evaluation: An Introduction*. Overseas Development Institute
- Williams, M. (2017) *External validity and policy adaptation*. BSG Working Paper 2017/019. Sourced from <https://tinyurl.com/y8obfbzw>
- Wilson, G (2016). *What is Adaptive Management?* USAID Learning Lab. Available at <https://tinyurl.com/yawxy363>
- Woolcock, M. (2013) *Using Case Studies to Explore the External Validity of 'Complex' Development Interventions*. HKS Research Working Paper 13-048

## 1.1 PF Business Case documents

Colombia Bilateral Programme: Unlocking Economic Opportunities in Colombia's Post-Conflict and Conflict-Affected Regions Business Case (September 2017)

Global Facility for Insurance Development Business Case (February 2017)

UK Contribution to Asian Infrastructure Investment Bank's Special Fund for Project Preparation, with the Aim of Accelerating Infrastructure Development in Asian Developing Countries Business Case (March 2017)

India Economic Reform & Prosperity Programme Business Case (March 2017)

China Prosperity Fund Programme Phase One Business Case (September 2017)

Improving the Business Environment in Emerging Economies Global Programme Draft Business Case (March 2017)

Stimulating Opportunities for Productivity and Growth and Tackling Market Failures in Mexico Business Case (date unspecified)

Digital Access Programme Business Case (June 2017)

FCO-DFID-NCA Anti-Corruption Summit Commitments Programme Business Case 2016-17 (date unspecified)

UK-India Partnership on National Investment and Infrastructure Fund (NIIF) Business Case (June 2017)

UK-Brazil Prosperity Partnership – Poverty Reduction and Productivity Gains Draft Business Case (date unspecified)

Indonesia Prosperity Fund Bilateral Programme, Renewable Energy and Regulatory Reform Business Case, version 2.32 (August 2017)

Global Infrastructure Programme Draft Business Case, version 6.0 (October 2017)

Enabling Future Trade Business Case, version 6.1 (January 2017)

South East Asia Low Carbon Economy Outline Business Case for Gateway Review (date unspecified)

South East Asia Economic Reform Business Case, version 2 (September 2017)