

This guidance is based on the Immigration Acts



Preventing illegal working

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About this guidance

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This guidance is for all staff involved in enforcement operations to detect illegal workers.</p> <p>To make sure the actions taken when conducting illegal working operations are correct and legal you must be familiar with the:</p> <ul style="list-style-type: none">• relevant legislation (see related links):<ul style="list-style-type: none">○ Section 8 – Asylum and Immigration Act 1996○ Section 6 – Asylum and Immigration Act 2004○ Immigration Order 2004○ Immigration Order 2007○ Immigration Order 2014○ Section 15 to 26 – Immigration, Asylum and Nationality Act 2006,○ Accession of Croatia (Immigration and Worker Authorisation) Regulations 2013, and• powers of an immigration officer (some of these powers are also used by police officers and the Secretary of State) relating to operations on business premises. See link on left: Powers of a Home Office officer. <p>This guidance does not replace the Immigration Acts or Civil Penalty regulations. You must read the relevant sections and articles together with this guidance.</p> <p>All references to illegal worker (with the exception of Croatian nationals) refer to a person:</p> <ul style="list-style-type: none">• who is subject to immigration control, aged over 16, and• whose conditions of stay do not allow them to work or to carry out the type of work in question, including those whose conditions have expired. <p>Changes to this guidance – This page tells you what has changed since previous versions of this guidance.</p>	<p>In this section</p> <p>Changes to this guidance</p> <p>Contacts</p> <p>Information owner</p> <p>Related links</p> <p>Links to staff intranet removed</p>
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	<p>Contact – This page tells you who to contact for help if your senior caseworker or line manager is unable to answer your question.</p> <p>Information owner – This page tells you about this version of the guidance and who owns it.</p> <p>Safeguarding and promoting child welfare – This page explains your duty to safeguard and promote the welfare of children and tells you where to find more information.</p>	
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Changes to this guidance

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page tells you what changes have been made to the ‘Preventing illegal working’ guidance.</p> <table border="1" data-bbox="490 438 1532 1412"> <thead> <tr> <th data-bbox="490 438 831 480">Date of the change</th> <th data-bbox="831 438 1532 480">Details of the change</th> </tr> </thead> <tbody> <tr> <td data-bbox="490 480 831 708">07 January 2019</td> <td data-bbox="831 480 1532 708"> <ul style="list-style-type: none"> • Changed “Section 29 (1) of the Data Protection Act 1998” to “Schedule 2, Part 1 of the Data Protection Act 2018” • Changed external link “Data Protection Act 1998” to “Data Protection Act 2018” </td> </tr> <tr> <td data-bbox="490 708 831 855">31 July 2014</td> <td data-bbox="831 708 1532 855">Change request to bring the guidance in line with new regulations for the civil penalty scheme.</td> </tr> <tr> <td data-bbox="490 855 831 1412">19 December 2013</td> <td data-bbox="831 855 1532 1412"> <p>Six month review by the modernised guidance team:</p> <ul style="list-style-type: none"> • A2 national’s employment restrictions: <ul style="list-style-type: none"> ○ new third paragraph • Checklist for referrals sent to the civil penalty compliance team (CPCT): <ul style="list-style-type: none"> ○ second paragraph, seventh bullet point new • Employer requests check on immigration status of individuals: <ul style="list-style-type: none"> ○ third paragraph and bullet points deleted • Minor housekeeping changes. </td> </tr> </tbody> </table>	Date of the change	Details of the change	07 January 2019	<ul style="list-style-type: none"> • Changed “Section 29 (1) of the Data Protection Act 1998” to “Schedule 2, Part 1 of the Data Protection Act 2018” • Changed external link “Data Protection Act 1998” to “Data Protection Act 2018” 	31 July 2014	Change request to bring the guidance in line with new regulations for the civil penalty scheme.	19 December 2013	<p>Six month review by the modernised guidance team:</p> <ul style="list-style-type: none"> • A2 national’s employment restrictions: <ul style="list-style-type: none"> ○ new third paragraph • Checklist for referrals sent to the civil penalty compliance team (CPCT): <ul style="list-style-type: none"> ○ second paragraph, seventh bullet point new • Employer requests check on immigration status of individuals: <ul style="list-style-type: none"> ○ third paragraph and bullet points deleted • Minor housekeeping changes. 	<p>Related links</p> <p>See also</p> <p>Contact</p> <p>Information owner</p> <p>Links to staff intranet removed</p>
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		For previous changes you will need to access the archived guidance. See related link: Illegal working – archive .		
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Powers of a Home Office officer

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Croatian nationals

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Croatian nationals employment restrictions

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	<p>asked questions provides further information.</p> <p>Where a Croatian national is in the UK exercising Treaty rights as a student, they will need to get a yellow registration certificate if they want to work while they study. The yellow registration certificate must be obtained before they start work and will allow employment for up to 20 hours during term time and full-time during vacation periods.</p> <p>See related link: Guidance for employers on preventing illegal working: Croatian nationals, for further information on who needs a worker authorisation document and who is exempt from the requirement.</p>	
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What is a Croatian minor?

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Definition of employer

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- questioning the employees
- checking for contracts of employment
- checking for wage or payment slips
- checking the insurance certificate for the premises, and
- checking with Her Majesty's Revenue & Customs (HMRC).

Employers must carry out document checks as required. This is to make sure a potential employee has the correct permission to undertake the work in question before employing them, and an existing employee with time-limited permission continues to have the right to work for them. For more information about the documents checks an employer is required to check, including the frequency of follow-up checks, see related links:

- [Right to work checks: employer's guide](#)
- [Guidance for employers on preventing illegal working: Croatian nationals.](#)

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Preventing illegal working

Pre-visit actions

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Repeat visits

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	<p>For further guidance on actions to take before conducting an illegal working operation see related links:</p> <ul style="list-style-type: none">• Intelligence unit actions• Powers of entry.	
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Intelligence unit actions

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Powers of entry

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Actions during an illegal working operation

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Considering evidence of a statutory excuse

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Issuing a no action notice

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	<p>If the employer cannot be identified, the NAN-E must be issued to the most appropriate responsible person present representing the employer or the business.</p> <p>You must record on the national operations database (NOD) when this notice type has been issued during an illegal working operation, why and for whom. A copy must be kept on record of all NAN-Es issued by the enforcement office responsible for the operation.</p> <p>You must also send a scanned copy of the NAN-E to civil penalty compliance team (CPCT) within 14 days of the visit date, which is a service level agreed timeframe for all performance reporting.</p>	
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Issuing a referral notice

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page tells you how to issue a referral notice to an employer during an illegal working operation.</p> <p>You must complete a referral notice (RN) and issue it to the employer or representative of the business in respect of all identified illegal workers where there is no clear evidence of a statutory excuse, and the officer in charge (OIC) has made the decision to issue this notice type.</p> <p>The RN must be completed with the:</p> <ul style="list-style-type: none">• name of the person to whom it is issued• person's position in the business• date the notice was given• relevant enforcement visit reference• employer's name• employer's registered address• business type• VAT and company number (where applicable)• names of any directors and/or owners• date the breach(es) of section 15 were encountered• business address where the breach(es) were encountered• names, nationalities and dates of birth of the illegal workers to which this notice applies (meaning those illegal workers without a statutory excuse), and• reason for the referral for each of the named illegal workers. <p>You must complete and issue just one RN and then as many additional worker supplements (AWS) as are required to cover the number of identified illegal workers to which this notice type applies.</p>	<p>In this section</p> <p>Actions during an illegal working operation</p> <p>Considering evidence of a statutory excuse</p> <p>Issuing a No Action Notice</p> <p>Issuing a Fixed Penalty Notice</p> <p>Recording evidence of a breach</p> <p>Making notes</p> <p>Interpreters - how to use them on an illegal working visit</p> <p>Questioning the employee</p> <p>Questioning the employer</p> <p>Seizure of evidence</p> <p>What the courts require</p> <p>Refugee status and humanitarian protection</p> <p>Links to staff intranet removed</p>
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	<p>When issuing a RN you must identify the person responsible for employing the illegal worker(s). For example, an agency that recruits on behalf of the business but does not employ them is not liable. But an agency that directly employs the workers they supply to a business is liable.</p> <p>If the employer cannot be identified, the RN and AWS (if applicable) must be issued to the most appropriate responsible person present representing the employer or the business.</p> <p>You must record on national operations database (NOD) when this notice type has been issued during an illegal working operation, why and for whom. A copy must be kept on record of the RN any AWSs issued by the enforcement office responsible for the operation.</p> <p>You must also send the civil penalty compliance team 'CPCT copy' of the RN and any AWS, together with all supporting evidence (the referral pack) to CPCT within 14 days of the visit date, which is a service level agreed timeframe for all performance reporting.</p>	
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Preventing illegal working

Issuing a fixed penalty notice

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Preventing illegal working

Recording evidence of a breach

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Preventing illegal working

Making notes

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	<p>any other relevant evidence of employment.</p> <p>The premises search book (PSB) must be completed for all illegal working operations by the member of staff designated as the PSB officer.</p> <p>All other staff on the operation must record their notes at the scene in an issued pocket notebook (PNB). These are individual to the Home Office members of staff. They must be used to record your activities during operations and to assist in completing the witness statements later.</p> <p>The primary evidence of illegal working is found in these notes.</p>	
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Preventing illegal working

Interpreters: how to use them on an illegal working visit

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Preventing illegal working

Questioning the employee

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Students

If the employee is a student who is permitted to work you also need to establish:

- where they attend their studies
- what they are studying, and
- if they are in term time or vacation time as this may affect employer liability for a civil penalty.

You must ask the employer for evidence of the term and vacation times, which, from 16 May 2014, they are required to obtain and copy, to get a statutory excuse. You must consider whether to contact the place of study and verify this information. This requirement is not retrospective, so does not apply to students whose employment commenced before 16 May 2014 until a follow-up check is required.

Applications for leave as a student who is permitted to work made before 3 March 2010

If an application for leave as a student was made before 3 March 2010 the student can work for no more than 20 hours in term time regardless of the level of course.

Applications for leave as a student who is permitted to work made after 3 March 2010

After 3 March 2010 the hours they can work depends on the level of the course:

- Students at degree level or above may work for no more than 20 hours each week in term time.
- Students below degree level may work for no more than 10 hours each week in term time.

They can all work full-time during vacations. If their place of study has closed down they have 60 days to find a new college or course. This time is classed as vacation, during which they can work full-time. After 60 days, if the student has not notified temporary migration of the new college or course, temporary migration must curtail the student's outstanding leave.

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	<p>Note that not all students are permitted to work. Entitlement will be clearly indicated by an endorsement.</p> <p>The Zhou judgement Full and current guidance on the Zhou judgment can be found in chapter 50.7 of the enforcement instructions and guidance (EIG) see related link: 50 Persons liable to administrative removal under section 10.</p> <p>For more information about students, see related link: Studying in the UK and the Employer's Guide to Right Work Checks, Annex B.</p>	
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Questioning the employer

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- The date they started work and any documents they have to confirm the start date.
- What documentation they gave the employer, if any, to show they were eligible to work?
- Were the documents cleanly copied by the employer?
- Has the employer recorded the date on which the documents were copied?
- Were the right pages copied? For passports:
 - any page with the document expiry date
 - nationality and date of birth
 - biometric information details
 - photograph
 - any page containing information indicating the holder has an entitlement to be in the UK and undertake the work in question, and
 - from 16 March 2016, the front of a passport does not need to be copied.
- Other documents are to be copied in full, including both sides of a biometric residence permit.
- Has the employer recorded the date on which the documents were copied?

If copies of these documents are provided you must retain them.

From 16 May 2014, you must get information about what evidence the employer holds of the academic term and vacation dates of any illegal employed students?

You must refer to the avoiding civil penalties: statutory excuse checklist for the published criteria on establishing and maintaining an excuse. See related external link.

The information must be included in the referral pack sent to the civil penalty compliance team (CPCT) by recorded delivery within 14 working days of the visit.

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Preventing illegal working

Seizure of evidence

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	<ul style="list-style-type: none">• personal details of those working without permission• dates of employment• photographs taken at the scene• notes taken at the time of visit, and• closed circuit television (CCTV) footage – this can be seized where there are reasonable grounds to believe that a criminal offence has been captured on the CCTV camera footage.	
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What the courts require

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- The economic reality test: who bears the risk of loss and the chance of profit? If it is the worker, then they are probably not an employee, but rather an independent contractor. If the worker is working for several different people at the same time, this would suggest they have their own business and are self-employed.

The courts also consider:

- Method of payment.
- Method of selection and termination of the relationship.
- The extent to which the worker can choose their hours and method of work.
- If they can delegate.
- If they pay as you earn (PAYE) and national insurance (NI) contributions are deducted from any payment.
- How the parties themselves see the relationship. And
- The extent to which the parties expect to be called upon to work and to provide work. A loose arrangement where work is provided and done when necessary, suggests self-employment. But a genuine expectation of work being provided consistently indicates employment.

You must consider these tests when undertaking an illegal working operation, and search for evidence to support them.

You must consider taking action against the employer if, when the tests are applied:

- there is sufficient evidence to support the 'employer-employee' relationship, and
- it appears the employer has not established a statutory excuse against a civil penalty liability.

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Refugee status and humanitarian protection

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If so, you must encourage the refugee or person with HP to apply immediately to the Home Office for ILR, free of charge, on a SET (Protection Route) application form (available on the Home Office website). If they have any questions about the application, refer them to the immigration enquiry bureau page of the website. See related link: Home Office website – immigration enquiry bureau.

You must email the contact details of the refugee or person with HP status to the settlement protection inbox (see related link). The team will update CID and send out correspondence to encourage the refugee to apply for settlement.

If the refugee or person with HP has made an in-time application for further leave, you must reassure the employer that the refugee or person with HP has an ongoing right to work while the application is being considered and the application must be submitted without delay. Advise the employer to continue to follow the current guidance for employers on the Home Office website. See related link: Preventing illegal working: frequently asked questions.

Under section 15 of the Immigration Asylum and Nationality (IAN) Act 2006 an employer may be liable for a civil penalty if an employee does not have permission to undertake the work in question.

In view of their right to work you must not include an employee who is currently a refugee or person with HP status with valid leave or an ongoing application for further leave on a referral notice.

Under section 21 of the Immigration, Asylum and Nationality Act 2006 an employer commits a criminal offence if they employ somebody knowing that they do not have permission to undertake the work in question. It is preferable in these cases to encourage the employee to regularise their leave. The Crown Prosecution Service (CPS) advice is to treat each case on its individual merits. You must consider each case carefully. It is not in the public interest to pursue a criminal prosecution of an employer found to be employing a recognised refugee or person with HP who has valid leave or an outstanding application for further leave.

Any general enquiries about illegal working can be directed to the sponsorship, employers

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and education helpline.

Contact details can be found on the contacts page, see related link: [Contact](#).

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Preventing illegal working

Asylum seekers and failed asylum seekers

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page tells Home Office staff about asylum seekers and failed asylum seekers.</p> <p>Asylum seekers and failed asylum seekers have to be granted a right to work by the Home Office before they can work. As part of the implementation of the EU Reception Conditions Directive, paragraph 360 of the Immigration Rules was amended to reflect that from 5 February 2005 asylum applicants who have been waiting for a year for an initial decision may apply for permission to work.</p> <p>Paragraph 360 of the Immigration Rules was further amended on 9 September 2010 to:</p> <ul style="list-style-type: none">• provide for failed asylum seekers obtaining permission to work in certain circumstances, following the Supreme Court judgment in ZO (Somalia), and• restrict the type of employment asylum seekers and failed asylum seekers can take up, to jobs on the shortage occupation list. <p>Paragraph 360 says:</p> <ul style="list-style-type: none">• only asylum seekers who have not received an initial decision on their claim within 12 months and failed asylum seekers who have made further submissions on asylum grounds, but who have not received a decision on those further submissions after 12 months, can apply for permission to work• they will not be eligible for permission to work if any delay was their fault• those granted permission to work will be subject to the following restrictions:<ul style="list-style-type: none">○ they may only work in a job which is included on the shortage occupation list (at the time an offer of employment is accepted)○ they may not be employed in a self-employed capacity, and○ they may not set up a business• permission to work will come to an end:<ul style="list-style-type: none">○ for asylum seekers, once the asylum application has been finally determined (that is, once appeal rights are exhausted)	<p>Links to staff intranet removed</p>
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- for failed asylum seekers, once a negative decision has been taken on a further submission or, in the event that appeal rights are granted, those appeals are exhausted.

The Home Office does not review applicants' qualifications and experience when considering permission to work applications. It is the responsibility of the applicant and their potential employer to make sure the job is one which is included on the list of shortage occupations published by the Home Office.

The asylum seeker and failed asylum seekers' right to work ends once their asylum claim or further submission is decided. An employer has a time-limited statutory excuse if they check the individual's application registration card (ARC) which states that the holder is permitted to take the employment in question with the employer checking service and receive a positive verification notice in response. The time-limited statutory excuse will expire six months from the date on the notice.

For more information on the Home Office national shortage occupation list, see related link.

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Post-visit actions

<ul style="list-style-type: none">About this guidancePowers of a Home Office officerCroatian nationalsDefinition of employerPre-visit actionsActions during an illegal working operationAsylum seekers and failed asylum seekersPost-visit actionsRelevant regulationsContact from employers	<p>This section explains what actions you must carry out following an illegal working operation.</p>	<p>In this section</p> <ul style="list-style-type: none">Recording informationWritten debriefWitness statementsReferring illegal working casesChecklist for referrals sent to the civil penalty compliance team (CPCT)National operations database (NOD)
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Preventing illegal working

Recording information

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page tells you what information to record on the national operations database (NOD) and CID following an illegal working operation.</p> <p>Recording information - NOD</p> <p>You must update NOD with the following information, the:</p> <ul style="list-style-type: none">• outcome of the visit• offenders detected• correct employer details, if different from the employer information previously added, and• notices types issued during the operation, refusal notice (RN), no action notice (NAN-E) or both. <p>Recording Croatian national information - CID</p> <p>The service of a fixed penalty notice (FPN) must be recorded on CID. For instructions on how to do this on see related link: A2 CID Guidance.</p>	<p>In this section</p> <p>Post-visit actions</p> <p>Written debrief</p> <p>Witness statements</p> <p>Referring illegal working cases</p> <p>Checklist for referrals sent to the civil penalty compliance team (CPCT)</p> <p>National operations database (NOD)</p> <p>Links to staff intranet removed</p>
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Preventing illegal working

Written debrief

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page explains who needs to provide a written debrief following an illegal working operation and the information that must be included.</p> <p>The officer in charge (OIC) of the operation must complete a written debrief which will include:</p> <ul style="list-style-type: none">• the names and personal details of the offenders identified• the potential offences• the evidence available• the level of active cooperation provided by the employer as defined in the code of practice on preventing illegal working• evidence of prior reporting of illegal workers before the visit (for which the employer must have a unique reference number), and• the evidence and reasons for issuing any no action notice (NAN-Es) and/or refusal notice (RN). <p>The OIC must sign-off the written debrief before it is added to the referral pack. This must be sent by recorded delivery to the criminal and financial investigation team (CFI) or the civil penalty compliance team (CPCT) within 14 calendar days of the visit.</p> <p>See related links:</p> <ul style="list-style-type: none">• Email: Criminal investigation review team• Email: Home Office civil penalty compliance team.	<p>In this section</p> <p>Post-visit actions</p> <p>Recording information</p> <p>Witness statements</p> <p>Referring illegal working cases</p> <p>Checklist for referrals sent to the civil penalty compliance team (CPCT)</p> <p>National operations database (NOD)</p> <p>Links to staff intranet removed</p>
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Witness statements

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page tells you about who needs to give witness statements following an illegal working operation.</p> <p>Witness statements (MG11) are needed from all Home Office and police staff who are actively or directly involved in the illegal working operation.</p> <p>Staff are not considered to be actively involved in an illegal working operation if they have not:</p> <ul style="list-style-type: none">• served any immigration notices on any persons on the site• interviewed any persons on site• searched or found evidence, or• witnessed the illegal working activity.	<p>In this section</p> <p>Post-visit actions</p> <p>Recording information</p> <p>Written debrief</p> <p>Referring illegal working cases</p> <p>Checklist for referrals sent to the civil penalty compliance team (CPCT)</p> <p>National operations database (NOD)</p>
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Referring illegal working cases

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page tells you about where to refer illegal working breaches.</p> <p>You must send a referral to the criminal and financial investigation team (CFI) and civil penalty compliance team (CPCT) within 14 calendar days of the referral notice being issued. This is a service level agreed timeframe and performance against this will be reported back to immigration, compliance and enforcement (ICE) teams. The referral pack must be securely packaged and sent by recorded delivery.</p> <p>CPCT will consider the referred case and issue the employer with either, a civil penalty notice (CPN), a no action notice (NAN) or a warning notice (WN) as appropriate about illegal workers identified on the referral notice (RN).</p> <table border="1" data-bbox="465 770 1774 1374"> <thead> <tr> <th>Date employment began:</th> <th>Applicable legislation:</th> <th>Refer case to:</th> </tr> </thead> <tbody> <tr> <td>From 27 January 1997 to 28 February 2008</td> <td>S8 1996 Act</td> <td>Local CFI</td> </tr> <tr> <td>From 29 February 2008 onwards</td> <td>S15/S21 2006 Act</td> <td>S21 to CFI S15 to CPCT (Manchester)</td> </tr> <tr> <td>Mix of offenders employed both before and after 29 February 2008</td> <td>S8 1996 Act S15/S21 2006 Act</td> <td>S8 and S21 to CFI S15 to CPCT (Manchester)</td> </tr> <tr> <td>Dates not known or verified</td> <td>S8 1996 Act and/or S15/S21 2006 Act</td> <td>S8 and S21 to CFI S15 to CPCT (Manchester)</td> </tr> <tr> <td>For Croatian nationals fixed penalty notice (FPN): from 1 July 2013 onwards</td> <td>Regulation 16 (Accession of Croatia Regulations 2013)</td> <td>Fixed penalty coordinator CPCT (Manchester)</td> </tr> <tr> <td>For Croatian nationals (prosecution): from 1 July 2013 onwards</td> <td>Regulations 16 and 17 (Accession of Croatia Regulations 2013)</td> <td>Local CFI (Prosecution cases only)</td> </tr> </tbody> </table>	Date employment began:	Applicable legislation:	Refer case to:	From 27 January 1997 to 28 February 2008	S8 1996 Act	Local CFI	From 29 February 2008 onwards	S15/S21 2006 Act	S21 to CFI S15 to CPCT (Manchester)	Mix of offenders employed both before and after 29 February 2008	S8 1996 Act S15/S21 2006 Act	S8 and S21 to CFI S15 to CPCT (Manchester)	Dates not known or verified	S8 1996 Act and/or S15/S21 2006 Act	S8 and S21 to CFI S15 to CPCT (Manchester)	For Croatian nationals fixed penalty notice (FPN): from 1 July 2013 onwards	Regulation 16 (Accession of Croatia Regulations 2013)	Fixed penalty coordinator CPCT (Manchester)	For Croatian nationals (prosecution): from 1 July 2013 onwards	Regulations 16 and 17 (Accession of Croatia Regulations 2013)	Local CFI (Prosecution cases only)	<p>In this section</p> <p>Post-visit actions</p> <p>Recording information</p> <p>Written debrief</p> <p>Witness statements</p> <p>Checklist for referrals sent to the civil penalty compliance team (CPCT)</p> <p>National operations database (NOD)</p> <p>Links to staff intranet removed</p>
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	For Croatian nationals (employer civil penalty): from 1 July 2013 onwards	Regulation 11 (Accession of Croatia Regulations 2013)	CPCT (Manchester)	
<p>A referral that includes a mix of offenders can be split up so relevant cases and individuals are dealt with by the appropriate unit. For example, section 8 cases would go to the local CFI and section 15 to the CPCT.</p>				

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Checklist for referrals sent to the civil penalty compliance team (CPCT)

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page tells you which documents must be included in illegal working referral packs sent to the Civil Penalty Compliance Team (CPCT).</p> <p>The following mandatory documents must be included in the referral pack:</p> <ul style="list-style-type: none">• copies of the power of entry documents• witness statements from all Home Office and police staff who are actively, or directly, involved in the illegal working operation or visit• a copy of the pocket note book (PNB) entries for all officers present on the visit• a copy of the referral notice (RN) and any additional worker supplements (AWSs) issued <p>Additional documents must be included where obtained:</p> <ul style="list-style-type: none">• any other supporting documents or evidence that has been gathered during the operation or visit, for example, contract of employment, till receipts, staff rotas, Companies House certificates, payslips, photographs <p>Email: Home Office Civil Penalty Compliance Team.</p>	<p>In this section</p> <p>Post-visit actions</p> <p>Recording information</p> <p>Written debrief</p> <p>Witness statements</p> <p>Referring illegal working cases</p> <p>National operations database (NOD)</p> <p>Links to staff intranet removed</p>
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Preventing illegal working

National operations database (NOD)

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page explains how to add information to the national operations database (NOD) following an illegal working operation.</p> <p>Complete nodMMX (MMX refers to the version of NOD currently in use) before sending a referral to the civil penalty compliance team (CPCT).</p> <p>The following screens must be updated:</p> <ul style="list-style-type: none">• notice of potential liability (NOPL) details screen• visit debrief screen:<ul style="list-style-type: none">○ 'Notification served' - tick the box○ 'Liability notice served' – tick the box. <p>For more information on how to access the nodMMX database and the range of guidance and training available to you, see related link: National operations database (nodMMX).</p>	<p>In this section</p> <p>Post-visit actions</p> <p>Recording information</p> <p>Written debrief</p> <p>Witness statements</p> <p>Referring illegal working cases</p> <p>Checklist for referrals sent to the civil penalty compliance team (CPCT)</p> <p>Links to staff intranet removed</p>
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Relevant regulations or acts

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This section provides details of the regulations or acts that apply to those found working illegally in the UK.</p>	<p>In this section</p> <p>Relevant regulations: non European Union</p> <p>Relevant regulations: European Union (EU)</p> <p>Facilitation or trafficking</p>
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Relevant regulations: non European Economic Area

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page explains the regulations relevant to non European Economic Area (EEA) migrants found working illegally in the UK.</p> <table border="1"> <thead> <tr> <th data-bbox="465 438 860 478">Regulation or act:</th> <th data-bbox="860 438 1254 478">Who does it cover:</th> <th data-bbox="1254 438 1646 478">Sanction:</th> </tr> </thead> <tbody> <tr> <td data-bbox="465 478 860 662">Section 8 - Asylum and Immigration Act 1996</td> <td data-bbox="860 478 1254 662">Workers over 16, who have no right to work in the UK and are employed between 27 January 1997 - 28 February 2008</td> <td data-bbox="1254 478 1646 662">Criminal offence: Section 8(4)</td> </tr> <tr> <td data-bbox="465 662 860 885">Section 15 and 21 - Immigration, Asylum and Nationality Act 2006</td> <td data-bbox="860 662 1254 885">Workers who are from outside the European Union (EU), who started work in the UK on, or after, 29 February 2008.</td> <td data-bbox="1254 662 1646 885">Penalty notice: Section 15(2) Criminal offence: see Section 21(2)</td> </tr> </tbody> </table>	Regulation or act:	Who does it cover:	Sanction:	Section 8 - Asylum and Immigration Act 1996	Workers over 16, who have no right to work in the UK and are employed between 27 January 1997 - 28 February 2008	Criminal offence: Section 8(4)	Section 15 and 21 - Immigration, Asylum and Nationality Act 2006	Workers who are from outside the European Union (EU), who started work in the UK on, or after, 29 February 2008.	Penalty notice: Section 15(2) Criminal offence: see Section 21(2)	<p>In this section</p> <p>Relevant regulations</p> <p>Relevant regulations: European Union (EU)</p> <p>Facilitation or trafficking</p> <p>Links to staff intranet removed</p>
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Relevant regulations: European Economic Area (EEA)

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page tells you the regulations relevant to European Economic Area (EEA) migrants (A2 nationals).</p> <table border="1" data-bbox="465 438 1626 922"> <thead> <tr> <th data-bbox="465 438 853 480">Regulation or act:</th> <th data-bbox="853 438 1240 480">Who does it cover:</th> <th data-bbox="1240 438 1626 480">Sanction:</th> </tr> </thead> <tbody> <tr> <td data-bbox="465 480 853 922">The Accession of Croatia (Immigration and Worker Authorisation) Regulations 2013</td> <td data-bbox="853 480 1240 922">Workers who are from Croatia who started work in the UK on or after 1 July 2013.</td> <td data-bbox="1240 480 1626 922">Employer civil offence: Regulation 11(1) Employer criminal offence: Regulation 15(1) Employee criminal offence: Regulation 16(1) Employee criminal offence: Regulation 17(1)</td> </tr> </tbody> </table>	Regulation or act:	Who does it cover:	Sanction:	The Accession of Croatia (Immigration and Worker Authorisation) Regulations 2013	Workers who are from Croatia who started work in the UK on or after 1 July 2013.	Employer civil offence: Regulation 11(1) Employer criminal offence: Regulation 15(1) Employee criminal offence: Regulation 16(1) Employee criminal offence: Regulation 17(1)	<p>In this section</p> <p>Relevant regulations</p> <p>Relevant regulations: non European Union</p> <p>Facilitation or trafficking</p> <p>Links to staff intranet removed</p>
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Facilitation or trafficking

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page tells you where to find further guidance on facilitation and human trafficking.</p> <p>Human trafficking is the practice of tricking, luring or otherwise removing someone from their home or country, then forcing them to work for no or low payment or on terms which are highly exploitative.</p> <p>The victims of human trafficking are used in a variety of situations, including prostitution, forced labour and other forms of involuntary servitude.</p> <p>Facilitation describes people involved in the illegal trafficking of people across international borders.</p> <p>For more guidance on what to do if you suspect a person is involved in the facilitation or trafficking of another person, following an illegal working visit, see related link: Human trafficking.</p> <p>For more information on the powers used by Home Office officers who deal with offences relating to facilitation see link on left: Powers of a Home Office officer.</p>	<p>In this section</p> <p>Relevant regulations</p> <p>Relevant regulations: non European Union</p> <p>Relevant regulations: European Union (EU)</p> <p>Links to staff intranet removed</p>
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Preventing illegal working

Contact from employers

About this guidance	<p>This section gives guidance to Home Office staff dealing with employers who contact the Home Office about preventing illegal working.</p> <p>The majority of reports about suspected illegal working come from employers.</p> <p>Because this reporting may lead to enforcement action being taken against the same employer, it is essential a clear and transparent system is followed. Advice given to employers must be consistent across the Home Office.</p> <p>A compliant employer may not automatically avoid liability from prosecution or civil penalty action. Their cooperation and any other factors will be considered in the assessment of the penalty to be imposed.</p>	In this section
Powers of a Home Office officer		Employer asks for general advice
Croatian nationals		Employer requests check on immigration status of individuals
Definition of employer		Employer requests document check
Pre-visit actions		Allegation handling
Actions during an illegal working operation		Educational visits
Asylum seekers and failed asylum seekers		
Post-visit actions		
Relevant regulations		
Contact from employers		

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Employer asks for general advice

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Employer requests check on immigration status of individuals

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- an employer's guide to right to work checks
- an employer's guide to the illegal working civil penalty scheme
- an employer's guide to acceptable right to work documents
- frequently asked questions about the illegal working civil penalty scheme
- code of practice on preventing illegal working: civil penalty scheme for employers
- code of practice for employers: Avoiding unlawful discrimination while preventing illegal working
- an employers 'Right to Work Checklist', and
- an online interactive tool 'Check if someone can work in the UK'.

See related link: Home Office website – guidance for employers.

The employer must inform the job applicant or employee when they are making checks to verify the information the applicant or employee has provided.

To use the ECS the employer must complete all the relevant sections on the request e-form. For the e-form, see related link: Home Office website – Employer checking service e-form.

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Preventing illegal working

Employer requests document check

<p>About this guidance</p> <p>Powers of a Home Office officer</p> <p>Croatian nationals</p> <p>Definition of employer</p> <p>Pre-visit actions</p> <p>Actions during an illegal working operation</p> <p>Asylum seekers and failed asylum seekers</p> <p>Post-visit actions</p> <p>Relevant regulations</p> <p>Contact from employers</p>	<p>This page tells you how to handle requests for checks on documents from employers.</p> <p>Working with employers is encouraged but you must make sure a clear and transparent system, that is fully auditable, is maintained. This makes sure there is a consistent and fair approach to all employers.</p> <p>You must not give employers employment advice or advise them to dismiss someone. Home Office staff can only comment on the validity of the documents provided.</p> <p>The onus is on employers to make a physical check of one or more acceptable documents in the presence of the holder to decide if someone has the right to work. Employers are expected to identify 'reasonably apparent' forgeries.</p> <p>The employer checking service (ECS) will only provide advice on the right to work in three specified circumstances. Only advice from the ECS may be relied upon to support a statutory excuse in these cases.</p>	<p>In this section</p> <p>Contact from employers</p> <p>Employer asks for general advice</p> <p>Employer requests check on immigration status of individuals</p> <p>Allegation handling</p> <p>Educational visits</p>
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This guidance is based on the Immigration Acts

Preventing illegal working

Allegation handling

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Educational visits

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	<p>Officers conducting such a visit must set clear objectives agreed with the employer. A record of the outcome reached and any recommended follow up actions must be kept.</p> <p>Officers who suspect illegal working whilst on an educational visit must document any available evidence and refer it to the relevant intelligence unit for consideration.</p> <p>Officers must not provide employers with an assurance that they will not be subject to a civil penalty or criminal sanction.</p> <p>The civil penalty compliance team (CPCT) can provide further guidance about educational visits, see related link: Email Home Office civil penalty compliance team.</p>	
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Contact

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Information owner

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This page details the information owners for the 'Preventing illegal working' guidance.

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Changes to this guidance can only be made by the guidance, rules and forms team (GRaFT). If you think the policy content needs amending you must contact illegal working operational policy, who will ask the GRaFT to update the guidance, if appropriate.

The GRaFT will accept direct feedback on broken links, missing information or the format, style and navigability of this guidance. You can send these using the link: Email: Guidance, rules and forms team.

In this section

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