



**COMMUNICATION FROM THE UNITED KINGDOM OF GREAT BRITAIN
AND NORTHERN IRELAND**

CERTIFICATION OF SCHEDULE OF SPECIFIC COMMITMENTS

The following communication has been submitted to the WTO Secretariat by the United Kingdom of Great Britain and Northern Ireland (hereafter the 'UK') with a request to initiate the certification procedure regarding the UK Schedule of Specific Commitments under the GATS pursuant to the "Procedures for the Certification of Rectifications or Improvements to Schedules of Specific Commitments" (S/L/84). Accordingly, if no objections¹ are communicated to the Secretariat within 45 days from the date of this communication, the certification procedure shall be concluded and the enclosed UK Schedule shall be certified.

The enclosed UK Schedule of specific commitments (hereafter the 'UK Schedule') has been submitted to the WTO Secretariat by the United Kingdom of Great Britain and Northern Ireland (hereafter the 'UK') with a request to initiate its certification in accordance with paragraph 1 of the Procedures for the Certification of Rectifications or Improvements to Schedules of Specific Commitments (S/L/84 of 18 April 2000).

This communication consists of four parts:

- Part I: Note requesting the initiation of the certification procedure and setting out the background and the legal basis of the certification procedure
- Part II: Explanatory note on the changes of a purely technical character reflected in the UK Schedule (pursuant to paragraph 1 of S/L/84)
- Part III: UK Schedule indicating the technical changes²
- Part IV: Clean version of the UK Schedule³

¹ In accordance with paragraph 2 of document S/L/84, any Member wishing to object to the certification shall submit a notification to that effect to the Secretariat for circulation to all Members, to the extent possible identifying the specific elements of the modifications which gave rise to that objection.

² In English only.

³ In English only.

PART I

NOTE REQUESTING THE INITIATION OF THE CERTIFICATION PROCEDURE AND SETTING OUT THE BACKGROUND AND THE LEGAL BASIS OF THE CERTIFICATION PROCEDURE.

1 The UK triggered Article 50 of the Treaty on the European Union on 29 March 2017. As a result, the UK now seeks to separate its GATS commitments from those of the EU and its Member States. This is the UK's purpose in initiating this certification procedure.

2 As laid out in the joint letter of 11 October 2017 from the Permanent Representative of the United Kingdom and the Permanent Representative of the European Union to the Permanent Representatives of the WTO, this UK Schedule replicates the concessions and commitments applicable to the United Kingdom included in the following schedule of specific commitments, and supplements (collectively, the 'EU Schedule'):

- Schedule of Specific Commitments of the European Communities and its Member States (doc. GATS/SC/31 of 15 April 1994) – [GATS/SC/31](#);
- Schedule of Specific Commitments of the European Communities and its Member States, Supplement 2 (doc. GATS/SC/31/Suppl.2 of 28 July 1995) - [GATS/SC/31/Suppl.2](#);
- Schedule of Specific Commitments of the European Communities and its Member States, Supplement 3 (doc. GATS/SC/31/Suppl.3 of 11 April 1997) - [GATS/SC/31/Suppl.3](#);
- Schedule of Specific Commitments of the European Communities and its Member States, Supplement 4, Revision (doc. GATS/SC/31/Suppl.4/Rev.1 of 18 November 1999) - [GATS/SC/31/Suppl.4/Rev.1](#).

3 Pursuant to paragraph 1 of S/L/84, an explanatory note on the changes of a purely technical character that do not alter the scope or the substance of the existing commitments, and which are not resulting from action under the procedures for implementation of Article XXI of the GATS, is annexed.

4 The UK is prepared, upon request, to enter into consultations with any WTO member that makes an objection under paragraph 2 of S/L/84. With a view to ensuring effective consultations, the UK would ask that any objecting Member identifies, to the extent possible, the specific elements which give rise to their objection.

5 If no objections are communicated to the Secretariat within 45 days from the date of circulation of the UK Schedule, this certification procedure shall be considered as concluded, and the UK Schedule shall enter into force on a date to be specified by the UK.¹

¹ The date of application to be specified will not be earlier than the date of expiry of any transition period agreed between the European Union and the United Kingdom pursuant to Article 50 of the Treaty on European Union, or in the absence of such period, 30 March 2019.

PART II

EXPLANATORY NOTE ON CHANGES OF A PURELY TECHNICAL CHARACTER (PURSUANT TO PARAGRAPH 1 OF S/L/84)

1 The authentic texts reflecting the UK's current commitments are the EU Schedule. Therefore, these are the documents that have been used as a starting point for preparing the UK Schedule. The principle underlying the preparation of the UK Schedule is that the UK is bound by the commitments it undertook in the EU Schedule. The UK also wishes to reflect changes for presentational clarity and commitments it offered to WTO Members as part of the EU-25 Schedule of commitments (S/C/W/273 Corr.1 & Add.1). The EU-25 Schedule (not currently in force) was certified by the WTO and ratified by the UK. As this is a technical exercise, the appropriate procedure to use is that set down in S/L/84.

2 Pursuant to paragraph 1 of S/L/84, the present note aims at indicating, for the UK Schedule, the rectifications and improvements (hereafter "the technical changes"), which do not reduce the scope or the substance of the existing commitments.

3 To facilitate examination, the present note describes in a systematic manner the technical changes which have been undertaken to separate the UK's GATS commitments from those of the EU and its Member States.

4 The present note will describe successively: (I) the general technical changes; (II) the technical changes made to horizontal entries; (III) the technical changes made to sector-specific entries; and (IV) the technical changes to the additional commitments. In order to ensure transparency, whenever possible, page references to the EU Schedule are provided in the footnotes to this note. When page references to the UK Schedule are provided, these are to the UK Schedule in Part III indicating the technical changes.

5 For presentational clarity, commitments or reservations which apply exclusively to other EU Member States have been deleted and do not appear in the draft UK Schedule. The draft UK Schedule has then been tracked to clearly show each instance where technical changes have been made.

6 The present note is not an annex to the UK Schedule and does not prejudice the position of the UK with regard to the legal interpretation of GATS and GATS schedules.

1 GENERAL TECHNICAL CHANGES

1.1 DELETION OR REPLACEMENT OF OBSOLETE OR INAPPROPRIATE REFERENCES

1.1. Once the UK leaves the EU, it will no longer be appropriate for the UK Schedule to make reference to the EU, the EU Treaties, EU legislation made under those Treaties, or commitments or reservations made by EU Member States. This is because the UK will no longer be a party to the EU Treaties or a Member of the EU, and will, therefore, be able to undertake commitments only with respect to treatment afforded within its own territory. Similarly, once the UK leaves the EU, the appropriate comparator for assessing national treatment, will be UK nationals rather than EU nationals.

1.2. As a result:

- references to the "EC", the "EEA" or to "member states" have been deleted, or replaced with references to the "UK" (as appropriate);
- references to EU legislation have been deleted; and

- references to "third countries" have generally been replaced with references to "other WTO Members", as the former term is used in the EU Schedule to draw a distinction between EU Member States and other countries.

2 TECHNICAL CHANGES TO THE HORIZONTAL PART

2.1 HEADINGS

2.1. Certain subheadings have been introduced to the **horizontal commitments**¹ in the Market Access and National Treatment columns (pages 9-11), including:

Public Utilities
Legal Entities
Investments
Real Estate Purchases
Exchange Regime

Explanation: These subheadings were added to the EU-25 Schedule. They modify the presentation of the horizontal part of the schedule to better organise the description and listing of the corresponding limitations. In accordance with the principles underlying this exercise, they have, therefore, also been added here.

2.2 PUBLIC UTILITIES

2.2. The following words have been added to the explanatory note of the Mode 3 market access reservation concerning **public utilities**² (page 9):

This limitation does not apply to telecommunications and to computer and related services.

Explanation: This wording was added in the EU-25 Schedule, thus limiting the scope of the reservation. In accordance with the principles underlying this exercise, it has, therefore, also been added here.

2.3 COMMERCIAL PRESENCE

2.3. The Mode 3 national treatment reservation concerning **subsidiaries, branches and agencies**³ has been amended to remove the following paragraph (page 9):

However, this does not prevent a Member State from extending this treatment to branches or agencies established in another Member State by a third-country company or firm, as regards their operation in the first Member State's territory, unless such extension is explicitly prohibited by Community law.

Explanation: Once the UK leaves the EU, it will no longer be a party to the EU Treaties and it will no longer be appropriate to refer to the treatment of branches established in the EU under EU law.

2.4 MOVEMENT OF NATURAL PERSONS

2.4. The formatting of the UK's commitments in Movement of Natural Persons has been adjusted to reflect the way they are presented in the EU-25 Schedule. These changes include the introduction of subheadings that clearly distinguish the three **categories of natural persons** covered under mode 4, and corresponding references to them in the sectoral commitments:

Intra Corporate Transfers (ICT)
Business Visitors (BV)
Contractual Service Suppliers (CSS)

¹ See pages 2-6 of doc. GATS/SC/31 of 15 April 1994.

² See page 2 of doc. GATS/SC/31 of 15 April 1994.

³ See page 2 of doc. GATS/SC/31 of 15 April 1994.

Explanation: These subtitles were introduced to improve the clarity and the transparency of the presentation of the commitments throughout the EU-25 Schedule. In accordance with the principles underlying this exercise, they have, therefore, also been added here.

2.5. The following Mode 4 national treatment reservation concerning the **mutual recognition of diplomas**⁴ has been deleted (page 11):

EC directives on mutual recognition of diplomas do not apply to nationals of third countries. Recognition of the diplomas which are required in order to practise regulated professional services by non-Community nationals remains within the competence of each Member State, unless Community law provides otherwise. The right to practise a regulated professional service in one Member State does not grant the right to practise in another Member State.

Explanation: Once the UK leaves the EU, it will no longer be a party to the EU Treaties and it will no longer be appropriate to refer to requirements concerning recognition of diplomas under EU law.

2.6. When incorporating the Mode 4 market access **commitments on contractual services suppliers**⁵ into the UK Schedule (pages 16-17), the UK has omitted references to: (a) computer and related services; (b) research and development services; (c) higher education services; (d) travel agency and tour operator services; (e) entertainment services; (f) services related to the sale of equipment or to the assignment of a patent.

Explanation: These sectors have been omitted from the horizontal commitments of the UK on contractual service suppliers, as the UK, unlike other EU Member States, is unbound in respect of market access for contractual service suppliers in these sectors.

3 TECHNICAL CHANGES TO THE SECTOR-SPECIFIC COMMITMENTS

Various

3.1. The following footnote has been deleted from those sector-specific commitments⁶ in which the mode 1 limitation is listed as unbound* (pages 31-32, 36, 39-40, 42, 44-45, 47):

A commitment on this mode of supply is not feasible

Explanation: The specific commitments remain unchanged and the rights of members are unaffected. This technical change was made in the EU-25 Schedule, and so in accordance with the principles underlying this exercise, it has been reflected here.

3.2. The footnotes⁷ indicating that the service specified constitutes only a part of the total range of activities covered by the CPC concordance (pages 21, 33, 46-47), have been replaced by the addition of the following words within the brackets referencing the CPC code:

Part of

Explanation: This technical change was made in the EU-25 Schedule, and the UK has chosen to apply throughout the Schedule to ensure consistency in how partial CPC codes are referenced. The commitments are unchanged and the rights of members are unaffected.

1. BUSINESS SERVICES

A. Professional Services

3.3. The following exclusion (page 17) has been deleted from the commitment on **legal advice home country law and public international law**⁸:

⁴ See page 8 of doc. GATS/SC/31 of 15 April 1994.

⁵ See pages 4-5 of doc. GATS/SC/31/Suppl. 2 of 28 July 1995.

⁶ See pages 46, 51, 58-60, 81, 86, 88, 92 of doc. GATS/SC/31 of 15 April 1994.

⁷ See pages 23, 26, 49, 89, 93 of doc. GATS/SC/31 of 15 April 1994.

⁸ See page 12 of doc. GATS/SC/31 of 15 April 1994.

(excluding EC law)

Explanation: Once the UK leaves the EU, it will no longer be appropriate or necessary to exclude EU law from the scope of the commitment concerning legal advice on home country law and public international law.

3.4. The following requirement has been deleted from the Mode 4 market access reservation concerning contractual services suppliers in the **integrated engineering services** sector⁹ (page 20):

Compliance with an economic needs test is required.

Explanation: The reservation allowing the UK to apply an economic needs test to contractual service suppliers in the integrated engineering sector was deleted in the EU-25 Schedule. In accordance with the principles underlying this exercise, it has, therefore, also been deleted here.

3.5. The footnote to the commitment in **Other - Related Scientific Technical Consulting Services**¹⁰ has been clarified (page 22) to read:

The service involved excludes related scientific and technical consulting services on operation of mines, etc.

Explanation: The words "related scientific and technical consulting services on" were added to the footnote in the EU-25 Schedule. Consistently with CPC 8675, this clarifies that the footnote refers to services related to mines, rather than the operation of mines themselves, which do not fall within the scope of professional services. The commitment, and members' rights, are unaffected. According to the principles underlying this exercise, the words have been included here.

E. Rental / Leasing Services without Operators

3.6. In the Mode 2 market access reservation concerning **rental/leasing services without operators relating to aircraft**¹¹, references to the "Community" and its "Member States" have been changed to references to the UK (page 25).

2) Aircraft used by UK air carriers have to be registered in the UK. Waivers can be granted for short term lease contracts or under exceptional circumstances.

Explanation: It is not appropriate for the UK Schedule to reference Mode 2 supply of services to EU consumers; it should address the Mode 2 supply of services to UK consumers. Therefore, the reservation has been amended to refer to "UK carriers".

After leaving the EU, the UK commitments will be limited to UK territory. Therefore, the reservation has been amended to refer to "aircraft...registered in the UK".

2.C TELECOMMUNICATIONS SERVICES

3.7. The presentation of the UK's commitments in Telecommunications services¹² has been amended (pages 33-35) to reflect the drafting in the EU-25 Schedule. The entire section has been reorganised, but the scope and substance of the UK's existing commitments is unchanged.

Explanation: The UK's commitments in Telecommunications were reorganised in the EU-25 Schedule. In accordance with the principles underlying this exercise, the updated presentation has been reflected here.

⁹ See page 8 of doc. GATS/SC/31/Suppl.2 of 28 July 1995.

¹⁰ See page 29 of doc. GATS/SC/31 of 15 April 1994.

¹¹ See page 35 of doc. GATS/SC/31 of 15 April 1994.

¹² See pages 2-7 of doc. GATS/SC/31/Suppl. 3 of 11 April 1997.

7. FINANCIAL SERVICES

3.8. The following explanatory footnote to the introductory wording for the financial services sector commitments¹³, concerning the need for **branches to obtain separate authorisation to operate in each EU Member State**, has been deleted (page 40):

Unlike foreign subsidiaries, branches established directly in a Member State by a non-Community financial institution are not, with certain limited exceptions, subject to prudential regulations harmonized at Community level which enable such subsidiaries to benefit from enhanced facilities to set up new establishments and to provide cross-border services throughout the Communities. Therefore, such branches receive an authorization to operate in the territory of a Member State under conditions equivalent to those applied to domestic financial institutions of that Member State, and may be required to satisfy a number of specific prudential requirements such as, in the case of banking and securities, separate capitalization and other solvency requirements and reporting and publication of accounts requirements or, in the case of insurance, specific guarantee and deposit requirements, a separate capitalization, and the localization in the Member State concerned of the assets representing the technical reserves and at least one-third of the solvency margin. Member States may apply the restrictions indicated in this schedule only with regard to the direct establishment from a third country of a commercial presence or to the provision of cross-border services from a third country; consequently, a Member State may not apply these restrictions, including those concerning establishment, to third country subsidiaries established in other Member States of the Communities, unless these restrictions can also be applied to companies or nationals of other Member States in conformity with Community law.

Explanation: The footnote is specific to the functioning and legislation of the EU. It will not be appropriate for the UK Schedule to replicate this.

3.9. In the Mode 3 market access reservation concerning **banking and other financial services**¹⁴, the references to the "UCITS Directive" have been deleted (page 41).

Explanation: Once the UK leaves the EU, it will no longer be appropriate to refer to the "UCITS Directive" (although the UCITS Directive has been implemented in UK law). The UK considers that the unchanged description of the limitation is clear, and, therefore, in accordance with paragraphs 38 and 40 of the Guidelines for the Scheduling of Specific Commitments under GATS (S/L/92), the UK Schedule does not include a reference to legislation.

11. TRANSPORT SERVICES

F. Road Transport Services

3.10. The following words have been deleted (page 46) from the Mode 3 market access reservation concerning **road transport services – passenger transportation**¹⁵:

"as from 1996".

Explanation: As 1996 has passed, these words have been deleted for the sake of clarity. This does not alter the scope or substance of the UK's commitment.

3.11. The following words have been deleted (page 46) from the Mode 3 market access and national treatment commitment concerning **road transport services – freight transportation**¹⁶:

3) For transport within a Member State by a carrier established in another Member State: unbound.

Explanation: When the UK leaves the EU, it will no longer be appropriate to maintain a reservation in the UK Schedule concerning the operation of carriers as between EU Member States.

¹³ See page 61 of doc. GATS/SC/31 of 15 April 1994.

¹⁴ See page 71 of doc. GATS/SC/31 of 15 April 1994.

¹⁵ See page 89 of doc. GATS/SC/31 of 15 April 1994.

¹⁶ See page 91 of doc. GATS/SC/31 of 15 April 1994.

4 TECHNICAL CHANGES TO THE ADDITIONAL COMMITMENTS

FINANCIAL SERVICES

A. Insurance

4.1. The following entry has been deleted from the additional commitments on financial services¹⁷:

- a) *The European Communities and their Member States note the close co-operation among the insurance regulatory and supervisory authorities of the Member States and encourage their efforts to promote improved supervisory standards.*

Explanation: This paragraph notes and encourages cooperation between the EU and its Member States. It is not appropriate to include such statements in the UK Schedule.

4.2. The following entry has been deleted from the additional commitments on financial services¹⁸:

- d) *The European Communities and their Member States will make their best endeavours to examine any questions pertaining to the smooth operation of the internal market in insurance, and consider any issues that might have an impact on the internal market in insurance.*

Explanation: This paragraph makes commitments which are only applicable to the European Union and its Member States and, therefore, it is not appropriate to include this in the UK Schedule.

4.3. The following words have been deleted from the additional commitments on financial services in the paragraphs concerning motor insurance¹⁹:

under EC law as in force on 31 December 1997.

Explanation: Once the UK leaves the EU, it will no longer be appropriate to refer to EU law. Without prejudice to future legislation, the current position, as a matter of UK law, remains that premiums may be calculated taking several risk factors into account, that prior approval by national supervisory authorities of policy conditions and scales of premiums is generally not required, and that prior approval of increases in premium rates by national supervisory authorities is generally not required.

B. Other Financial Services

4.4. The following words have been deleted from the additional commitments on financial services in the paragraphs in which the UK commits to use its best endeavours to consider complete applications for licences to conduct banking and investment services within 12 months and 6 months respectively²⁰:

In application of the relevant EC Directives

and

as defined in the Investment Services Directive

Explanation: When the UK leaves the EU, it will no longer be appropriate to refer to EU legislation in the UK Schedule. In any event, omitting general references to such legislation is consistent with paragraph 38 of the GATS Scheduling Guidelines.

¹⁷ See page 18 of doc. GATS/SC/31/Suppl.4/Rev.1

¹⁸ See page 18 of doc. GATS/SC/31/Suppl.4/Rev.1

¹⁹ See page 18 of doc. GATS/SC/31/Suppl.4/Rev.1

²⁰ See pages 18-19 of doc. GATS/SC/31/Suppl.4/Rev.1

PART III
UK SCHEDULE INDICATING THE TECHNICAL CHANGES

UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND – SCHEDULE OF SPECIFIC COMMITMENTS

1. The rights and obligations arising from the GATS, including the schedule of commitments, shall have no self-executing effect and thus confer no rights directly to individual natural persons or juridical persons.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---------------------------------------|--|--|------------------------|
| I. HORIZONTAL COMMITMENTS | | | |
| ALL SECTORS INCLUDED IN THIS SCHEDULE | <p>Public Utilities</p> <p>3) Services considered as public utilities at a national or local level may be subject to public monopolies or to exclusive rights granted to private operators.¹</p> <p>Legal Entities</p> <p>3) None</p> | <p>Legal Entities</p> <p>a) Limitations on branches, agencies and representative offices</p> <p>3) a) Treatment accorded to subsidiaries (of third-country companies of another WTO Member) formed in accordance with the UK law of a Member State and having their registered office, central administration or principal place of business within the Communities UK is not extended to branches or agencies established in a Member State the UK by a third-country company of another WTO Member. However, this does not prevent a Member State from extending this treatment to branches or agencies established in another Member State by a third-country company or firm, as regards their operation in the first Member State's territory, unless such extension is explicitly prohibited by Community law.</p> | |

¹ Explanatory Note: Public utilities exist in sectors such as related scientific and technical consulting services, R&D services on social sciences and humanities, technical testing and analysis services, environmental services, health services, transport services and services auxiliary to all modes of transport. Exclusive rights on such services are often granted to private operators, for instance operators with concessions from public authorities, subject to specific service obligations. Given that public utilities often also exist at the sub-central level, detailed and exhaustive sector-specific scheduling is not practical. This limitation does not apply to telecommunications and to computer and related services.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|--|--|------------------------|
| | <p><u>Investments</u></p> <p><u>3) None</u></p> <p><u>Real Estate Purchases</u></p> <p><u>None</u></p> | <p><u>b) Limitations on Subsidiaries</u></p> <p><u>3)</u> b) Treatment less favourable may be accorded to subsidiaries (of third-country companies of another WTO Member) formed in accordance with the UK law of a Member State which have only their registered office in the territory of the CommunitiesUK, unless it can be shown that they possess an effective and continuous link with the economy of the UK of one of the Member States.</p> <p><u>b) c) Limitations additional to those under a) and b)</u></p> <p><u>3) None</u></p> <p><u>4) None</u></p> <p><u>Subsidies:</u></p> <p>3) None, other than for branches established in a Member State the UK by a non-Community company of another WTO Member. Eligibility for subsidies from the Communities or Member States may be limited to juridical persons established within the territory of a Member State the UK or a particular geographical sub-division thereof. Unbound for subsidies for research and development. The supply of a service, or its subsidisation, within the public sector is not in breach of this commitment.</p> <p>4) To the extent that any subsidies are made available to natural persons, their availability may be limited to nationals of a Member State of the Communities the UK.</p> <p><u>Investments</u></p> <p><u>3) None</u></p> <p><u>Real Estate Purchases</u></p> <p><u>None</u></p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|---|--|------------------------|
| | <p><u>Exchange Regime</u></p> <p>1), 2) None</p> <p><u>Categories of natural persons covered under Mode 4</u></p> <p>4) Unbound except for measures concerning the entry into and temporary stay² within a Member Statethe UK, without requiring compliance with an economic needs test,³ of the following categories of natural persons providing services:</p> <p><u>(i) Intra-corporate transfers (ICT):</u></p> <p>↳ Access is subject to the following conditions.</p> <ul style="list-style-type: none"> - <u>the natural person concerned must work within a juridical person other than a non profit making organisation, established in the territory of a WTO member and have been employed by it or have been a partner in it (other than as a majority shareholder) for at least the year immediately preceding the date of admission.</u> - <u>the natural person is temporarily transferred in the context of the provision of a service through commercial presence in the territory of the UK.</u> - <u>the juridical person concerned must have their principal place of business in the territory of a WTO Member other than the UK and the transfer must be to an establishment (subsidiary, branch, office) of that juridical person, effectively providing like services in the territory of the UK.</u> | <p><u>Exchange Regime</u></p> <p>4) None</p> <p><u>Categories of natural persons covered under Mode 4</u></p> <p>4) Unbound except for measures concerning the categories of natural persons referred to in the Market Access column,as indicated below.</p> <p><u>(i) Intra-corporate transfers (ICT):</u></p> <p><u>Unbound except for measures concerning the categories of natural persons referred to and committed in the Market Access column.</u></p> <p>EC Directives on mutual recognition of diplomas do not apply to nationals of third countries. Recognition of the diplomas which are required in order to practice regulated professional services by non-Community nationals remains within the competence of each Member State, unless Community law provides otherwise. The right to practice a regulated professional service in one Member State does not grant the right to practise in another Member State.</p> | |

² ~~All other requirements of the UK's laws and regulations regarding entry, stay, work and social security measures shall continue to apply, including regulations concerning period of stay, minimum wages as well as collective wage agreements. The duration of "temporary stay" is defined by the Member States and, where they exist, Community laws and regulations regarding entry, stay and work. The precise duration can vary according to the different categories of natural persons mentioned in this schedule.~~

³ ~~All other requirements of Community and Member States' laws and regulations regarding entry, stay, work and social security measures shall continue to apply including regulations concerning period of stay, minimum wages as well as collective wage agreements.~~

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|---|-----------------------------------|------------------------|
| | <p>- the natural person concerned must belong to one of the following categories: the temporary presence, as intra corporate transferee,⁴ of natural persons in the following categories, provided that the service supplier is a juridical person and that the persons concerned have been employed by it or have been partners in it (other than as majority shareholders), for at least the year immediately preceding such movement:</p> <p>a) Persons working in a senior position within a juridical person, who primarily direct the management of the establishment, receiving general supervision or direction principally from the board of directors or stockholders of the business or their equivalent, including:</p> <ul style="list-style-type: none"> - directing the establishment or a department or sub-division of the establishment; - supervising and controlling the work of other supervisory, professional or managerial employees; - having the authority personally to hire and fire or recommend hiring, firing or other personnel actions. | | |

⁴-An "intra corporate transferee" is defined as a natural person working within a juridical person, other than a non-profit making organisation, established in the territory of an WTO Member, and being temporarily transferred in the context of the provision of a service through commercial presence in the territory of a Community Member State; the juridical persons concerned must have their principle place of business in the territory of a WTO Member other than the UK and the transfer must be to an establishment (office, branch or subsidiary) of that juridical person, effectively providing like services in the territory of a Member State to which the EEC Treaty applies.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|---|---|------------------------|
| | <p>b) Persons working within a juridical person who possess uncommon knowledge essential to the establishment's service, research equipment, techniques or management. In assessing such knowledge, account will be taken not only of knowledge specific to the establishment, but also of whether the person has a high level of qualification referring to a type of work or trade requiring specific technical knowledge, including membership of an accredited profession.</p> <p><u>An economic needs test will not be required. The duration of "temporary stay" of intra-corporate transfers is defined by the UK laws and regulations regarding entry, stay and work.</u></p> <p>(ii) the temporary presence of natural persons in the following categories:Business Visitors (BV): <u>Entry and temporary stay of the following categories is permitted without application of an economic needs test:</u></p> <p>a) <u>Service sellers:</u> Persons not residing in the territory of a Member State to which the EC treaties apply<u>the UK</u>, who are representatives of a service supplier and are seeking temporary entry for the purpose of negotiating for the sale of services or entering into agreements to sell services for that service provider, where those representatives will not be engaged in making direct sales to the general public or in supplying services themselves.</p> | <p>(ii) Business Visitors (BV): <u>Unbound except for measures concerning the categories of natural persons referred to and committed in the Market Access column.</u></p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|--|--|------------------------|
| | <p>b) <u>Establishment of commercial presence:</u> Persons working in a senior position, as defined in i) a) above, within a juridical person, who are responsible for the setting up, in a Member State<u>the UK</u>, of a commercial presence of a service provider of <u>another WTO Member</u> when:</p> <ul style="list-style-type: none"> - the representatives are not engaged in making direct sales or supplying services; and - the service provider has its principal place of business in the territory of another WTO Member other than the Communities and their Member States and has no other representative, office, branch or subsidiary in that Member State<u>the UK</u>. <p><u>The duration of "temporary stay" of business visitors is defined by the UK laws and regulations regarding entry, stay and work.</u></p> <p>(iii) <u>Contractual service suppliers (CSS):</u> Unbound except for measures concerning the entry into and temporary stay within a Member State of the following category of natural persons without requiring compliance with an economic needs test except where indicated for a specific subsector.</p> | <p>(iii) <u>Contractual service suppliers (CSS):</u> <u>Unbound except for measures concerning the categories of natural persons referred to and committed in the Market Access column.</u></p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|---|-----------------------------------|------------------------|
| | <p>Access is subject to the following conditions⁵:</p> <ul style="list-style-type: none"> - The natural persons are engaged in the supply of a service on a temporary basis as employees of a juridical person, who has no commercial presence in any Member State of the European Communitythe UK. - The juridical person has obtained a service contract, for a period not exceeding 3 months from a final consumer in the Member State concernedUK, through an open tendering procedure or any other procedure which guarantees the bona fide character of the contract (e.g. advertisement of the availability of the contract) where this requirement exists or is introduced, pursuant to the laws, regulations and requirements of the European Community or its Member StatesUK. - The natural person seeking access should be offering such services as an employee of the juridical person supplying the service for at least the year immediately preceding such movement. - The temporary entry and stay within the Member State concernedUK shall be for a period of not more than three months in any 12 months period or for the duration of the contract, whatever is less. - The natural person must possess the necessary academic qualifications and professional experience as specified for the sector or activity concerned in the Member State where the service is suppliedUK. - An economic needs test will not be required except where otherwise indicated for a specific sub-sector. | | |

⁵ ~~All other requirements of European Community and Member States' laws, regulations and requirements regarding entry, stay and work shall continue to apply. The service contract shall comply with the laws, regulations and requirements of the European Community and the Member State where the service contract is executed.~~

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|---|-----------------------------------|------------------------|
| | <ul style="list-style-type: none"> - The commitment relates only to the service activity which is the subject of the contract; it does not confer entitlement to exercise the professional title of the Member State concernedUK. - The number of the persons covered by the service contract shall not be larger than necessary to fulfil the contract, as it may be decided by the laws, regulations and requirements of the European Community and the Member State where the service is suppliedUK. - The service contract has to be obtained in one of the activities mentioned below, <u>subject to a specific commitment being undertaken by the UK in the sector specific part</u> and <u>any subject to the additional conditions mentioned therein in the subsector by the Member State concerned:</u> <ul style="list-style-type: none"> - Legal services - Accounting services - Taxation advisory services - Architectural services, urban planning and landscape architectural services - Engineering services, integrated engineering services — Computer and related services — Research and development services - Advertising - Management consulting services - Services related to management consulting - Technical testing and analysis services - Translation services - Construction services, site investigation work — Higher education services — Travel agencies and tour operator services — Entertainment services | | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|---|------------------------|
| | <p style="color: red;">Services related to the sale of equipment or to the assignment of a patent</p> | | |
| II. SECTOR-SPECIFIC COMMITMENTS | | | |
| <p>a) BUSINESS SERVICES A. <u>Professional Services</u></p> <p>a) Legal advice home country law and public international law (excluding EC law)</p> <p>b) Accounting services (CPC 86212 other than "auditing services", 86213, 86219)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section</u> and subject to the following specific limitations: University degree and professional qualifications and three years' professional experience in the sector.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section and</u> subject to the following specific limitations: University degree and professional qualifications and three years' experience in the sector.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|--|------------------------|
| b) Auditing services ⁶ (CPC 86211 and 86212 other than accounting services) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| b) Bookkeeping services (CPC 86220) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u> | |
| c) Taxation Advisory Services (CPC 863: excluding representation in Court/tribunals) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound except as indicated in the horizontal section and</u> subject to the following specific limitations: University degree and professional qualifications and three years' experience in the sector. | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u> | |

⁶ Given the fact that commercial presence is required to exercise any auditing activity, the cross-border mode is unbound. Only established statutory auditors can be approved by the national professional bodies. Approval is a necessary pre-condition to exercising this activity.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|--|------------------------|
| d) Architectural Services (CPC 8671) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section and</u> subject to the following specific limitations:</p> <p>University degree and professional qualifications and three years' professional experience in the sector.</p> | <p>1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> | |
| e) Engineering services (CPC 8672) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section and</u> subject to the following specific limitations:</p> <p>University degree and professional qualifications and three years' professional experience in the sector.</p> <p>Compliance with an economic needs test is required.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|--|------------------------|
| f) Integrated Engineering Services (CPC 8673) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section</u> and subject to the following specific limitations:</p> <p>University degree and professional qualifications and three years' professional experience in the sector.</p> <p>Compliance with an economic needs test is required.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> | |
| g) Urban Planning and Landscape Architectural Services (CPC 8674) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section</u>—and subject to the following specific limitations:</p> <p>University degree and professional qualifications and three years' professional experience in the sector.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|--|------------------------|
| h) Medical, Dental and Midwives Services (CPC 9312, part of CPC 93191) | 1) Unbound 2) None 3) Establishment for doctors under the National Health Service is subject to medical manpower planning. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| i) Veterinary services (CPC 932) | 1) Unbound 2) None 3) Access through partnership or natural persons only. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| j) Services provided by Nurses, Physiotherapists and Paramedical Personnel (part of CPC 93191 ⁷). | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |

⁷ Indicates that the service specified constitutes only a part of the total range of activities covered by the CPC concordance.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|---|------------------------|
| Supply of pharmaceutical goods to the general public (pharmacists) | 1) Unbound 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | 1) Unbound 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | |
| k) Other Related Scientific Technical Consulting Services ⁸ (CPC 8675) | 1) Unbound for exploration services 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | 1) Unbound for exploration services 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | |
| B. <u>Computer and Related Services</u> | | | |
| a) Consultancy Services related to the Installation of Computer Hardware (CPC 841) | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound except as indicated in the horizontal section. | |
| b) Software Implementation Services (CPC 842) | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. | |

⁸ The service involved excludes [related scientific and technical consulting services on](#) operation of mines, etc.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---------------------------------------|---|--|------------------------|
| c) Data Processing Services (CPC 843) | <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | |
| d) Data Base Services (CPC 844) | <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | |
| Maintenance and Repair (CPC 845) | <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | |
| e) Other Computer Services (CPC 849) | <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|--|------------------------|
| <p>C. <u>Research and Development Services</u></p> <p>b) R&D Services on Social Sciences and Humanities (CPC 852)</p> | <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | |
| <p>D. <u>Real Estate Services</u>⁹</p> <p>a) Involving Own or Leased Property (CPC 821).</p> <p>b) On a Fee or Contract Basis (e.g. Property Evaluation, Estate Management, etc.) (CPC 822)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |

⁹ The Service involved relates to the profession of real estate agents and does not affect any rights and/or restrictions on natural and juridical persons purchasing real estate.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| <p>E. <u>Rental/Leasing Services Without Operators</u></p> <p>a) Relating to ships (CPC 83103)</p> <p>b) Relating to Aircraft (CPC 83104)</p> <p>c) Relating to Other Transport Equipment (CPC 83101, 83102, 83105)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) Aircraft used by Community-UK air carriers have to be registered in the Member State licensing the air carrier or elsewhere in the CommunityUK. Waivers can be granted for short term lease contracts or under exceptional circumstances. 3) To be registered in the aircraft register of these Member States<u>the UK</u>, the aircraft must be owned either by natural persons meeting the specific nationality criteria or by juridical persons meeting specific requirements regarding ownership of capital and control (including nationality of directors). 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None</p> <p>3) None</p> <p>4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|--|------------------------|
| <p>d) Relating to Other Machinery and Equipment (CPC 83106, 83107, 83108, 83109)</p> <p>Rental Services with Operators Rental of Vessels with Crew (CPC 7213 and 7223)</p> <p>Rental of Commercial Road Vehicles with Operator (CPC 7124)</p> | <p>1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section.</p> <p>CSS Unbound</p> <p>1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section.</p> <p>CSS Unbound</p> <p>1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section.</p> <p>CSS Unbound</p> | <p>1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section.</p> <p>CSS Unbound</p> <p>1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section.</p> <p>CSS Unbound</p> <p>1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section.</p> <p>CSS Unbound</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|--|------------------------|
| <p>F. Other Business Services</p> <p>a) Advertising (CPC 871)</p> <p>b) Market Research and Opinion Polling (CPC 864)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except <u>as indicated in the horizontal section.</u></p> <p><u>CSS</u> <u>Unbound except</u> as indicated in the horizontal section <u>and</u> subject to the following specific limitations:</p> <p>Relevant qualifications and three years' professional experience.</p> <p>Compliance with an economic needs test is required.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except</u> as indicated in the horizontal section.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|--|------------------------|
| c) Management Consulting Services (CPC 865) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> <u>Unbound except as indicated in the horizontal section</u></p> <p><u>CSS</u> Unbound except as indicated in the horizontal section <u>and</u> subject to the following specific limitations:</p> <p>Unbound except for managers and senior consultants where: <u>u</u>niversity degree and three years' professional experience.</p> <p>Compliance with an economic needs test is required.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> | |
| d) Services Related to Management Consulting (CPC 866) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> <u>Unbound except as indicated in the horizontal section</u></p> <p><u>CSS</u> Unbound except as indicated in the horizontal section <u>and</u> subject to the following specific limitations:</p> <p>Unbound <u>ex</u>cept for managers and senior consultants where: <u>u</u>niversity degree and three years' professional experience.</p> <p>Compliance with an economic needs test is required.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|--|------------------------|
| e) Technical Testing and Analysis Services (CPC 8676) | 1) None 2) None 3) None 4) ICT and BV <u>Unbound except as indicated in the horizontal section</u> CSS Unbound except as indicated in the horizontal section <u>and</u> —subject to the following specific limitations: University degree or technical qualifications demonstrating knowledge and three years' professional experience Compliance with an economic needs test is required. | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS <u>Unbound except as indicated in the horizontal section.</u> | |
| f) Advisory and Consulting Services Relating to Agriculture, Hunting and Forestry | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS <u>Unbound</u> | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS <u>Unbound</u> | |
| g) Advisory and Consulting Services Relating to Fishing | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS <u>Unbound</u> | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS <u>Unbound</u> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| h) Advisory and Consulting Services Relating to Mining | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | |
| k) Placement and Supply Services of Personnel Executive Search Services (CPC 87201) Placement Services (CPC 87202) Supply Services of Office Support Personnel (CPC 87203) | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound 1) Unbound 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound 1) Unbound 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| Security Services (CPC 87302, 87303, 87304, 87305) | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | |
| n) Maintenance and Repair of Equipment (not including Maritime Vessels, Aircraft or Other Transport Equipment) (CPC 633, 8861, 8866) | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | |
| o) Building-Cleaning Services (CPC 874) | 1) Unbound* 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | 1) Unbound* 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | |
| p) Photographic Services (CPC 875 excluding retail) | 1) Unbound* except for aerial photography: N None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | 1) Unbound* except for aerial photography: n None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. CSS Unbound | |

* A commitment on this mode of supply is not feasible

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| q) Packaging Services (CPC 876) | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| r) Printing and publishing (CPC 88442) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| s) Convention Services | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |

* A commitment on this mode of supply is not feasible

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|--|--|
| <p>t) Other</p> <p>Translation Services (CPC 87905)</p> <p>Interior Design Services (part of CPC 87907)¹⁰</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> <u>Unbound except as indicated in the horizontal section.</u></p> <p><u>CSS</u> Unbound except as indicated in the horizontal section <u>and</u>—subject to the following specific limitations:</p> <p>Relevant qualifications and three years' professional experience.</p> <p>Compliance with an economic needs test is required.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |
| <p>2.C Telecommunications services¹¹</p> <p><u>All services consisting of the transmission and reception of signals by any electromagnetic</u></p> | <p>1) None 2) None 3) None¹⁵ 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> | <p>The European Communities and their Member StatesUK undertakes the obligations contained in the reference paper attached in Annex I hereto for the following</p> |

¹⁰ Indicates that the service specified constitutes only a part of the total range of activities covered by the CPC concordance.

¹¹ Footnote for clarification purposes: The UK reserves its right to maintain public participation in certain telecommunication operators in the future. This is not a market access limitation.

¹⁵ Footnote for clarification purposes: Some EC Member States maintain public participation in certain telecommunication operators. EC Member States reserve their rights to maintain such public participation in the future. This is not a market access limitation.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--------------------------------------|--------------------------------------|---|
| <p><u>means¹², excluding broadcasting¹³.</u></p> <p><u>These services do not cover the economic activity consisting of the provision of content which require telecommunications services for their transport.</u></p> <p><u>Telecommunications services are the transport of electromagnetic signals—sound, data image and any combinations thereof, excluding broadcasting¹⁴. Therefore, commitments in this schedule do not cover the economic activity consisting of content provision which require telecommunications services for its transport. The provision of that content, transported via a telecommunications service, is subject to the specific commitments undertaken by the European Communities and their Member States in other relevant sectors.</u></p> | <p><u>CSS</u> <u>Unbound</u></p> | <p><u>CSS</u> <u>Unbound</u></p> | <p><u>services:additional commitments as contained in the attachment, all parts of which are equally binding.</u></p> <ul style="list-style-type: none"> <u>a) Voice telephone services (CPC7521)</u> <u>b) Packet-switched data transmission services (part of CPC 7523)</u> <u>c) Circuit switched data transmission services (part of CPC 7523)</u> <u>d) Telex services (part of CPC 7523)</u> <u>e) Telegraph services (CPC 7522)</u> <u>f) Facsimile services (part of CPC 7521 + part of CPC 7523)</u> <u>g) Private leased circuit services (part of CPC 7522 + part of CPC 7523)</u> <u>o) Mobile and personal communications services and systems</u> |

¹² Sub-sectors 2.C.h) to 2.C.m) of the Services Sectoral Classification List contained in MTN.GNS/W/120 (value-added services) and Sub-sectors 2.C.a) to 2.C.g) of that List are included here. Sub-sector 2.C.o) of that List is also included here to the extent that it falls under this definition. For the purpose of this Schedule, sub-sector 2.C.n) of that List ('On-line information and/or data processing (including transaction processing)') is a computer and related service and, as such, is reflected in this Schedule of Commitments under 1.B.

¹³ Broadcasting is defined as the uninterrupted chain of transmission required for the distribution of tv and radio programme signals to the general public, but does not cover contribution links between operators.

¹⁴ Broadcasting is defined as the uninterrupted chain of transmission required for the distribution of tv and radio programme signals to the general public, but does not cover contribution links between operators.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| <p>All sub-sectors Domestic and international Domestic and international services provided using any network technology, on a facilities-based or resale basis, for public and non-public use, in the following market segments (these correspond to the following CPC numbers: 7521, 7522, 7523, 7524, 7525, 7526 and 7529, broadcasting is excluded):</p> <p>a. Voice telephone services b. Packet switched data transmission services c. Circuit-switched data transmissions services d. Telex services e. Telegraph services f. Facsimile services g. Leased circuit services h. Value-added services* Electronic Mail, Voice Mail, On-line Information and Data Base Retrieval, EDI, Code and Protocol Conversion o. Other services Mobile and personal communication services and systems</p> | <p>1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.</p> <p>1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.</p> | <p>1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.</p> <p>1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.</p> <p>1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.</p> | |

* Excludes voice telephone, packet and circuit switched data services, telegraph, telex, mobile radio telephone, paging and satellite services.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| <p>3. CONSTRUCTION AND RELATED ENGINEERING SERVICES (CPC 511, 512, 513, 514, 515, 516, 517, 518)</p> | <p>1) Unbound* except for 5111 and 5114: None 2) None 3) None 4) <u>ICT and BV</u> <u>Unbound except as indicated in the horizontal section.</u></p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u></p> <p><u>CSS: for CPC 5111 only:</u> Unbound except <u>as indicated in the horizontal section and subject to the following specific limitations: for Site investigation work (CPC 5111) where: as indicated in the horizontal section under (4) (iii) and subject to the following specific limitations:</u></p> <p>University degree and professional qualifications and three years' professional experience in the sector.</p> <p>Compliance with an economic needs test is required.</p> | <p>1) Unbound* except for <u>CPC</u> 5111 and 5114: nNone 2) None 3) None 4) <u>ICT and BV</u> <u>Unbound except as indicated in the horizontal section.</u></p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> | |
| <p>4. DISTRIBUTION SERVICES¹⁵</p> <p>A. <u>Commission Agents' Services</u> (CPC 621)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |

*-A commitment on this mode of supply is not feasible.

¹⁵ Excluding trade in arms, chemical products, explosives and precious metals.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| B. <u>Wholesale Trade Services</u> (CPC 622) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| C. <u>Retailing Services</u> (CPC 631, 632, 633, 61112, 6113, 6121 excluding 63211) | 1) Unbound except for mail order: None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound except for mail order: None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| D. <u>Franchising</u> (CPC 8929) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| 5. PRIVATELY FUNDED EDUCATION SERVICES A. <u>Primary Education Services</u> (CPC 921) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|--|------------------------|
| B. <u>Secondary Education Services</u> (CPC 922) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| C. <u>Higher Education Services</u> (CPC 923) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound except as indicated in the horizontal section.</u> | |
| D. <u>Adult Education Services</u> (CPC 924) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| 6. ENVIRONMENTAL SERVICES | | | |
| A. <u>Sewage Disposal Services</u> (CPC 9401) | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| B. <u>Refuse Disposal Services</u> (CPC 9402) | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| C. <u>Sanitation and Similar Services</u> (CPC 9403) | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| Cleaning Services of Exhaust Gases (CPC 9404) | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |

* A commitment on this mode of supply is not feasible.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| <p>Nature and Landscape Protection Services (CPC 9406)</p> <p>D. <u>Other Environmental Protection Services</u> (CPC 9409)</p> | <p>1) Unbound[*] 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) Unbound[*] 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) Unbound[*] 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) Unbound[*] 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |
| <p>7. FINANCIAL SERVICES SECTOR¹⁶</p> <ol style="list-style-type: none"> The Communities and their Member StatesUK undertakes commitments on Financial Services in accordance with the provisions of the "Understanding on Commitments in Financial Services" (the Understanding). These commitments are subject to the limitations on market access and national treatment in the "all sectors" section of this schedule and to those relating to the subsectors listed below. The market access commitments in respect of modes (1) and (2) apply only to the transactions indicated in paragraphs B.3 and B.4 of the market access section of the Understanding respectively. Notwithstanding note 1. above, the market access and national treatment commitments in respect of mode (4) on financial services are those in the "all sectors" section of this schedule. The admission to the market of new financial services or products may be subject to the existence of, and consistency with, a regulatory framework aimed at achieving the objectives indicated in Article 2(a) of the Financial Services Annex. As a general rule and in a non-discriminatory manner, financial institutions incorporated in a Member State of the Communitythe UK must adopt a specific legal form. | | | |

¹⁶ ~~Unlike foreign subsidiaries, branches established directly in a Member State by a non-Community financial institution are not, with certain limited exceptions, subject to prudential regulations harmonised at Community level which enable such subsidiaries to benefit from enhanced facilities to set up new establishments and to provide cross-border services throughout the Community. Therefore, such branches receive an authorisation to operate in the territory of a Member State under conditions equivalent to those applied to domestic financial institutions of that Member State, and may be required to satisfy a number of specific prudential requirements such as, in the case of banking and securities, separate capitalisation and other solvency requirements and reporting and publication of accounts requirements or, in the case of insurance, specific guarantee and deposit requirements, a separate capitalisation, and the localisation in the Member State concerned of the assets representing the technical reserves and at least one third of the solvency margin. Member States may apply the restrictions indicated in this schedule only with regard to the direct establishment from a third country of a commercial presence or to the~~

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|---|--|
| A. <u>Insurance and Insurance-Related Services</u> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>The European Communities and their Member StatesUK undertakes additional commitments as contained in the attachmentAnnex II to this schedule.</p> |
| B. <u>Banking and Other Financial Services</u> (excluding insurance) | <p>1) None 2) Sterling issues, including privately led issues, can be lead managed only by a firm established in the European Economic AreaUK. 3)</p> <ul style="list-style-type: none"> - The establishment of a specialised management company is required to perform the activities of management of unit trusts and investment companies (Article 6 and 13 of UCITS Directive, 85/611/ECC). - Only firms having their registered office in the CommunityUK can act as depositories of the assets of investment funds (Articles 8.1 and 15.1 of the UCITS Directive, 85/611/ECC). - Inter-dealer brokers, which are a category of financial institutions dealing in Government debt, are required to be established in the European Economic AreaUK and separately capitalised. <p>4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>The European Communities and their Member StatesUK undertakes additional commitments as contained in the attachmentAnnex II to this schedule.</p> |

~~provision of cross border services from a third country; consequently, a Member State may not apply these restrictions, including those concerning establishment, to third country subsidiaries established in other Member States of the Community, unless these restrictions can also be applied to companies or nationals of other Member States in conformity with Community law.~~

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| <p>8. HEALTH SERVICES AND SOCIAL SERVICES</p> <p>A. <u>Hospital Services</u> (CPC 9311)</p> <p>B. <u>Social Services</u></p> <p>Only Convalescent and Rest Houses, Old People's Homes</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |
| <p>9. TOURISM AND TRAVEL RELATED SERVICES</p> <p>A. <u>Hotels, Restaurants and Catering</u> (CPC 641, 642, 643) (excluding catering in transport services sector)</p> | <p>1) Unbound* except for catering: none 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) Unbound* except for catering: none 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |

* A commitment on this mode of supply is not feasible.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| <p>B. <u>Travel Agencies and Tour Operators Services</u> (including tour managers) (CPC 7471)</p> <p>C. <u>Tourist Guides Services</u> (CPC 7472)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |
| <p>10. RECREATIONAL, CULTURAL AND SPORTING SERVICES (other than Audio-visual Services)</p> <p>A. <u>Entertainment Services</u> (including Theatre, Live Bands and Circus Services) (CPC 9619)</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound except as indicated in the horizontal section</u></p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| <p>B. <u>News and Press Agency Services</u> (CPC 962)</p> <p>C. <u>Sporting and Other Recreational Services other than Gambling and Betting Services</u> (CPC 9641, 96491)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |
| <p>11. TRANSPORT SERVICES</p> <p>A. <u>Air Transport Services</u></p> <p>a) Maintenance and Repair of Aircraft and parts thereof</p> | <p>1) Unbound[*] 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) Unbound[*] 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |

^{*} A commitment on this mode of supply is not feasible.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| Sales and Marketing | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) For distribution through CRS of air transport services provided by CRS parent carrier: unbound. 2) None 3) For distribution through CRS of air transport services provided by CRS parent carrier: unbound. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| Computer Reservations System | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) For obligations of parent or participating carriers in respect of a CRS controlled by an air carrier of one or more third -other countries: unbound. 2) None 3) For obligations of parent or participating carriers in respect of a CRS controlled by an air carrier of one or more third -other countries: unbound. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| B. Rail Transport Services | | | |
| a) Maintenance and Repair of Rail Transport Equipment (CPC 8868) | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound* 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |

* A commitment on this mode of supply is not feasible.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|--|------------------------|
| C. <u>Road Transport Services</u> | | | |
| a) Passenger Transportation (CPC 71213, 7122) | 1) Unbound 2) None 3) For transport within a Member State <u>the UK</u> (cabotage), by a carrier established outside that Member State <u>the UK</u> : unbound except for rental of non-scheduled services of buses with operator (part of 71223) ¹⁷ where no limitation will apply as from 1996 . For 71221 (taxi services): economic needs test ¹⁸ 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound 2) None 3) Unbound for transport within a Member State <u>the UK</u> (cabotage), by a carrier established outside that Member State <u>the UK</u> . 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| b) Freight Transportation (CPC 7123) | 1) Unbound 2) None 3) For transport within a Member State by a carrier established in another Member State: unbound <u>None</u> . 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound 2) None 3) For transport within a Member State by a carrier established in another Member State: unbound <u>None</u> . 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |
| d) Maintenance and Repair of Road Transport Equipment (CPC 6112) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |

¹⁷ Indicates that the service specified constitutes only a part of the total range of activities by the CPC concordance.

¹⁸ Needs test based on the number of service suppliers in the local geographic area.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| <p>D. <u>Services auxiliary to all modes of transport</u></p> <p>a) Storage and Warehouse Services (CPC 742) (other than in ports)</p> <p>b) Freight Transport Agency/Freight Forwarding Services (CPC 748)</p> <p>Pre-shipment Inspection (<u>part of</u> CPC 749)¹⁹</p> | <p>1) Unbound*</p> <p>2) None</p> <p>3) None</p> <p>4) <u>ICT and BV</u></p> <p>Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None</p> <p>2) None</p> <p>3) None</p> <p>4) <u>ICT and BV</u></p> <p>Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None</p> <p>2) None</p> <p>3) None</p> <p>4) <u>ICT and BV</u></p> <p>Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | <p>1) Unbound*</p> <p>2) None</p> <p>3) None</p> <p>4) <u>ICT and BV</u></p> <p>Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None</p> <p>2) None</p> <p>3) None</p> <p>4) <u>ICT and BV</u></p> <p>Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> <p>1) None</p> <p>2) None</p> <p>3) None</p> <p>4) <u>ICT and BV</u></p> <p>Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |

* A commitment on this mode of supply is not feasible.

¹⁹ Indicates that a service specified constitutes only a part of the total range of activities covered by the CPC concordance.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| E. <u>Other Transport Services</u> Land Transport (Provision of Combined Transport Service) | 1) Unbound 2) None 3) None, without any prejudice to the limitations affecting any given mode of transport. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | 1) Unbound 2) None 3) None, without any prejudice to the limitations affecting any given mode of transport. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> <u>Unbound</u> | |

ANNEX I

REFERENCE PAPER ~~ADDITIONAL COMMITMENT BY THE EUROPEAN COMMUNITIES AND THEIR MEMBER STATES~~

SCOPE:

The following are definitions and principles on the regulatory framework for the basic telecommunications services underpinning the market access commitments by the United Kingdom of Great Britain and Northern Ireland. ~~European Communities and their Member States.~~

DEFINITIONS:

User means s service consumerss and service suppliers.

Essential facilities mean facilities of a public telecommunications transport network and service that

- a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- b) cannot feasibly be economically or technically substituted in order to provide a service.

A major supplier is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in the relevant market for basic telecommunications services as a result of:

- a) control over essential facilities; or
- b) use of its position in the market.

1. COMPETITIVE SAFEGUARDS:

1.1. Prevention of anti-competitive practices in telecommunications:

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anti-competitive practices.

1.2. Safeguards:

The anti-competitive practices referred to above shall include in particular:

- a) engaging in anti-competitive cross-subsidization;
- b) using information obtained from competitors with anti-competitive results; and
- c) not making available to other services suppliers on a timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

2. INTERCONNECTION:

2.1. This section applies to linking with suppliers providing public telecommunications transport networks or services in order to allow the users of one supplier to communicate with users of another supplier and to access services provided by another supplier.

2.2. Interconnection to be ensured:

Within the limits of permitted market access, interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided¹:

- a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates²;
- b) in a timely fashion, on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and
- c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.

2.3. Public availability of the procedures for interconnection negotiations:

The procedures applicable for interconnection to a major supplier will be made publicly available.

2.4. Transparency of interconnection arrangements:

It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection offer.

2.5. Interconnection: dispute settlement:

A service supplier requesting interconnection with a major supplier will have recourse, either:

- a) at any time or
- b) after a reasonable period of time which has been made publicly known to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for interconnection within a reasonable period of time, to the extent that these have not been established previously.

3. UNIVERSAL SERVICE:

Any Member has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anti-competitive *per se*, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by the Member.

4. PUBLIC AVAILABILITY OF LICENSING CRITERIA:

Where a licence is required, the following will be made publicly available:

- a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence and
- b) the terms and conditions of individual licences.

The reasons for the denial of a licence will be made known to the applicant upon request.

¹ Suppliers of services or networks not generally available to the public, such as closed user groups, have guaranteed rights to connect with the public telecommunications transport network or services on terms, conditions and rates which are non-discriminatory, transparent and cost-oriented. Such terms, conditions and rates may, however, vary from the terms, conditions and rates applicable to interconnection between public telecommunications networks or services.

² Different terms, conditions and rates may be set in the [CommunitiesUK](#) for operators in different market segments, on the basis of non-discriminatory and transparent national licensing provisions, where such differences can be objectively justified because these services are not considered "like services".

5. INDEPENDENT REGULATORS:

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

6. ALLOCATION AND USE OF SCARCE RESOURCES:

Any procedures for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocated frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

ANNEX II**ADDITIONAL COMMITMENTS BY THE EUROPEAN COMMUNITIES
AND THEIR MEMBER STATES CONCERNING FINANCIAL SERVICES****INSURANCE**

~~a) The European Communities and their Member States note the close co-operation among the insurance regulatory and supervisory authorities of the Member States and encourage their efforts to promote improved supervisory standards.~~

~~ab) Member States~~The UK will make ~~their~~ its best endeavours to consider within 6 months complete applications for licenses to conduct direct insurance underwriting business, through the establishment in ~~a Member State~~the UK of a subsidiary in accordance with the legislation of ~~that Member State~~the UK, by an undertaking governed by the laws of ~~another third country~~WTO Member. In cases where such applications are refused, the ~~Member State~~UK authority will make its best endeavours to notify the undertaking in question and give the reasons for the refusal of the application.

~~be) The supervisory authorities of the Member States~~UK will make their best endeavours to respond without undue delay to requests for information by applicants on the status of complete applications for licences to conduct direct insurance underwriting business, through the establishment in ~~a Member State~~the UK of a subsidiary in accordance with the legislation of ~~that Member State~~the UK by an undertaking governed by the laws of ~~another third country~~WTO Member.

~~d) The European Communities and their Member States will make their best endeavours to examine any questions pertaining to the smooth operation of the internal market in insurance, and consider any issues that might have an impact on the internal market in insurance.~~

~~de) The European Communities and their Member States~~UK notes that, as regards motor insurance, ~~under EC law as in force on 31 December 1997,~~ and without prejudice to future legislation, premiums may be calculated taking several risk factors into account.

~~ef) The European Communities and their Member States~~UK notes that, ~~under EC law, as in force on 31 December 1997, and~~ without prejudice to future legislation, the prior approval by national supervisory authorities of policy conditions and scales of premiums that an insurance undertaking intends to use is generally not required.

~~fg) The European Communities and their Member States~~UK notes that, ~~under EC law, as in force on 31 December 1997, and~~ without prejudice to future legislation, the prior approval by national supervisory authorities of increases in premium rates ~~is~~ generally not required.

OTHER FINANCIAL SERVICES

~~a) In application of the relevant EC Directives,~~ Member StatesThe UK will make ~~their~~ its best endeavours to consider within 12 months complete applications for licenses to conduct banking activities, through the establishment in ~~a Member State~~the UK of a subsidiary in accordance with the legislation of ~~that Member State~~the UK, by an undertaking governed by the laws of ~~another third country~~WTO Member. In cases where such applications are refused, the ~~Member State~~UK will make its best endeavours to notify the undertaking in question and give the reasons for the refusal of the application.

~~b) Member States~~The UK will make ~~their~~ its best endeavours to respond without undue delay to requests for information by applicants on the status of complete applications for licenses to conduct banking activities, through the establishment in ~~a Member State~~the UK of a subsidiary in accordance with the legislation of ~~that Member State~~the UK, by an undertaking governed by the laws of ~~another third country~~WTO Member.

c) ~~In application of the relevant EC Directives, Member States~~The UK will make ~~their~~ its best endeavours to consider within 6 months complete applications for licenses to conduct investment services in the securities field, ~~as defined in the Investment Services Directive,~~ through the establishment in ~~a Member State~~the UK of a subsidiary in accordance with the legislation of ~~that Member State~~the UK, by an undertaking governed by the laws of ~~another third country~~WTO Member. In cases where such applications are refused, the ~~Member State~~UK will make its best endeavours to notify the undertaking in question and give the reasons for the refusal of the application.

d) ~~Member States~~The UK will make ~~their~~ its best endeavours to respond without undue delay to requests for information by applicants on the status of complete applications for licenses to conduct investment services in the securities area, through the establishment in ~~a Member State~~the UK of a subsidiary in accordance with the legislation of ~~that Member State~~the UK, by an undertaking governed by the laws of ~~another third country~~WTO Member.

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PART IV
CLEAN VERSION OF THE UK SCHEDULE
UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND – SCHEDULE OF SPECIFIC COMMITMENTS

1. The rights and obligations from the GATS, including the schedule of commitments, shall have no self-executing effect and thus confer no rights directly to individual natural persons or juridical persons.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---------------------------------------|--|--|------------------------|
| I. HORIZONTAL COMMITMENTS | | | |
| ALL SECTORS INCLUDED IN THIS SCHEDULE | <p><u>Public Utilities</u></p> <p>3) Services considered as public utilities at a national or local level may be subject to public monopolies or to exclusive rights granted to private operators.¹</p> <p><u>Legal Entities</u></p> <p>3) None</p> | <p><u>Legal Entities</u></p> <p>a) Limitations on branches, agencies and representative offices</p> <p>3) Treatment accorded to subsidiaries (of companies of another WTO Member) formed in accordance with UK law and having their registered office, central administration or principal place of business within the UK is not extended to branches or agencies established in the UK by a company of another WTO Member.</p> | |

¹ Explanatory Note: Public utilities exist in sectors such as related scientific and technical consulting services, R&D services on social sciences and humanities, technical testing and analysis services, environmental services, health services, transport services and services auxiliary to all modes of transport. Exclusive rights on such services are often granted to private operators, for instance operators with concessions from public authorities, subject to specific service obligations. Given that public utilities often also exist at the sub-central level, detailed and exhaustive sector-specific scheduling is not practical. This limitation does not apply to telecommunications and to computer and related services.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|--|---|------------------------|
| | <p><u>Investments</u></p> <p>3) None</p> <p><u>Real Estate Purchases</u></p> <p>None</p> <p><u>Exchange Regime</u></p> <p>1), 2) None</p> | <p>b) <u>Limitations on Subsidiaries</u></p> <p>3) Treatment less favourable may be accorded to subsidiaries (of companies of another WTO Member) formed in accordance with UK law which have only their registered office in the UK, unless it can be shown that they possess an effective and continuous link with the economy of the UK.</p> <p>c) <u>Limitations additional to those under a) and b)</u></p> <p>3) None</p> <p>4) None</p> <p><u>Subsidies</u></p> <p>3) None, other than for branches established in the UK by a company of another WTO Member. Eligibility for subsidies may be limited to juridical persons established within the territory of the UK or a particular geographical sub-division thereof. Unbound for subsidies for research and development. The supply of a service, or its subsidisation, within the public sector is not in breach of this commitment.</p> <p>4) To the extent that any subsidies are made available to natural persons, their availability may be limited to nationals of the UK.</p> <p><u>Investments</u></p> <p>3) None</p> <p><u>Real Estate Purchases</u></p> <p>None</p> <p><u>Exchange Regime</u></p> <p>4) None</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|--|---|------------------------|
| | <p><u>Categories of natural persons covered under Mode 4</u></p> <p>4) Unbound except for measures concerning the entry into and temporary stay² within the UK, of the following categories of natural persons providing services:</p> <p>(i) <u>Intra-corporate transfers (ICT):</u></p> <p>Access is subject to the following conditions.</p> <ul style="list-style-type: none"> - the natural person concerned must work within a juridical person other than a non profit making organisation, established in the territory of a WTO member and have been employed by it or have been a partner in it (other than as a majority shareholder) for at least the year immediately preceding the date of admission. - the natural person is temporarily transferred in the context of the provision of a service through commercial presence in the territory of the UK. - the juridical person concerned must have their principal place of business in the territory of a WTO Member other than the UK and the transfer must be to an establishment (subsidiary, branch, office) of that juridical person, effectively providing like services in the territory of the UK. | <p><u>Categories of natural persons covered under Mode 4</u></p> <p>4) Unbound except as indicated below.</p> <p>(i) <u>Intra-corporate transfers (ICT):</u></p> <p>Unbound except for measures concerning the categories of natural persons referred to and committed in the Market Access column.</p> | |

² All other requirements of the UK's laws and regulations regarding entry, stay, work and social security measures shall continue to apply, including regulations concerning period of stay, minimum wages as well as collective wage agreements.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|--|-----------------------------------|------------------------|
| | <p>- the natural person concerned must belong to one of the following categories:</p> <p>a) Persons working in a senior position within a juridical person, who primarily direct the management of the establishment, receiving general supervision or direction principally from the board of directors or stockholders of the business or their equivalent, including:</p> <ul style="list-style-type: none"> - directing the establishment or a department or sub-division of the establishment; - supervising and controlling the work of other supervisory, professional or managerial employees; - having the authority personally to hire and fire or recommend hiring, firing or other personnel actions. <p>b) Persons working within a juridical person who possess uncommon knowledge essential to the establishment's service, research equipment, techniques or management. In assessing such knowledge, account will be taken not only of knowledge specific to the establishment, but also of whether the person has a high level of qualification referring to a type of work or trade requiring specific technical knowledge, including membership of an accredited profession.</p> <p>An economic needs test will not be required.</p> <p>The duration of "temporary stay" of intra-corporate transfers is defined by the UK laws and regulations regarding entry, stay and work.</p> | | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|--|--|------------------------|
| | <p>(ii) Business Visitors (BV): Entry and temporary stay of the following categories is permitted without application of an economic needs test:</p> <p>a) <u>Service sellers:</u> Persons not residing in the territory of the UK, who are representatives of a service supplier and are seeking temporary entry for the purpose of negotiating for the sale of services or entering into agreements to sell services for that service provider, where those representatives will not be engaged in making direct sales to the general public or in supplying services themselves.</p> <p>b) <u>Establishment of commercial presence:</u> Persons working in a senior position, as defined in i) a) above, within a juridical person, who are responsible for the setting up, in the UK, of a commercial presence of a service provider of another WTO Member when:</p> <ul style="list-style-type: none"> - the representatives are not engaged in making direct sales or supplying services; and - the service provider has its principal place of business in the territory of another WTO Member and has no other representative, office, branch or subsidiary in the UK. <p>The duration of "temporary stay" of business visitors is defined by the UK laws and regulations regarding entry, stay and work.</p> | <p>(ii) Business visitors (BV): Unbound except for measures concerning the categories of natural persons referred to and committed in the Market Access column.</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|--|--|------------------------|
| | <p>(iii) <u>Contractual service suppliers (CSS):</u></p> <p>Access is subject to the following conditions:</p> <ul style="list-style-type: none"> - The natural persons are engaged in the supply of a service on a temporary basis as employees of a juridical person, who has no commercial presence in the UK. - The juridical person has obtained a service contract, for a period not exceeding 3 months from a final consumer in the UK, through an open tendering procedure or any other procedure which guarantees the bona fide character of the contract (e.g. advertisement of the availability of the contract) where this requirement exists or is introduced, pursuant to the laws, regulations and requirements of the UK. - The natural person seeking access should be offering such services as an employee of the juridical person supplying the service for at least the year immediately preceding such movement. - The temporary entry and stay within the UK shall be for a period of not more than three months in any 12 months period or for the duration of the contract, whatever is less. - The natural person must possess the necessary academic qualifications and professional experience as specified for the sector or activity concerned in the UK. - An economic needs test will not be required except where otherwise indicated for a specific sub-sector. - The commitment relates only to the service activity which is the subject of the contract; it does not confer entitlement to exercise the professional title of the UK. | <p>(iii) <u>Contractual service suppliers (CSS):</u></p> <p>Unbound except for measures concerning the categories of natural persons referred to and committed in the Market Access column.</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|----------------------|--|-----------------------------------|------------------------|
| | <ul style="list-style-type: none"> - The number of the persons covered by the service contract shall not be larger than necessary to fulfil the contract, as it may be decided by the laws, regulations and requirements of the UK. - The service contract has to be obtained in one of the activities mentioned below, subject to a specific commitment being undertaken by the UK in the sector specific part and any additional conditions mentioned therein: <ul style="list-style-type: none"> - Legal services - Accounting services - Taxation advisory services - Architectural services, urban planning and landscape architectural services - Engineering services, integrated engineering services - Advertising - Management consulting services - Services related to management consulting - Technical testing and analysis services - Translation services - Construction services, site investigation work | | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| II. SECTOR-SPECIFIC COMMITMENTS | | | |
| 1. BUSINESS SERVICES | | | |
| A. <u>Professional Services</u> | | | |
| <p>a) Legal advice home country law and public international law</p> <p>b) Accounting services (CPC 86212 other than "auditing services", 86213, 86219)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: University degree and professional qualifications and three years' professional experience in the sector.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: University degree and professional qualifications and three years' experience in the sector.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| c) Auditing services ³ (CPC 86211 and 86212 other than accounting services) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| d) Bookkeeping services (CPC 86220) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound except as indicated in the horizontal section. | |
| e) Taxation Advisory Services (CPC 863: excluding representation in Court/tribunals) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: University degree and professional qualifications and three years' experience in the sector. | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound except as indicated in the horizontal section. | |

³ Given the fact that commercial presence is required to exercise any auditing activity, the cross-border mode is unbound. Only established statutory auditors can be approved by the national professional bodies. Approval is a necessary pre-condition to exercising this activity.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| f) Architectural Services (CPC 8671) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: University degree and professional qualifications and three years' professional experience in the sector.</p> | <p>1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> | |
| g) Engineering services (CPC 8672) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: University degree and professional qualifications and three years' professional experience in the sector.</p> <p>Compliance with an economic needs test is required.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|---|------------------------|
| h) Integrated Engineering Services (CPC 8673) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: University degree and professional qualifications and three years' professional experience in the sector.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> | |
| i) Urban Planning and Landscape Architectural Services (CPC 8674) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: University degree and professional qualifications and three years' professional experience in the sector.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| j) Medical, Dental and Midwives Services (CPC 9312, part of CPC 93191) | 1) Unbound 2) None 3) Establishment for doctors under the National Health Service is subject to medical manpower planning. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| k) Veterinary services (CPC 932) | 1) Unbound 2) None 3) Access through partnership or natural persons only. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| l) Services provided by Nurses, Physiotherapists and Paramedical Personnel (part of CPC 93191). | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|--|------------------------|
| Supply of pharmaceutical goods to the general public (pharmacists) | 1) Unbound 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| m) Other Related Scientific Consulting Services ⁴ (CPC 8675) | 1) Unbound for exploration services 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound for exploration services 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| B. <u>Computer and Related Services</u> | | | |
| a) Consultancy Services related to the Installation of Computer Hardware (CPC 841) | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) ICT and BV Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound except as indicated in the horizontal section. | |

⁴ The service involved excludes related scientific and technical consulting services on operation of mines, etc.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|---|------------------------|
| b) Software Implementation Services (CPC 842) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound except as indicated in the horizontal section. | |
| c) Data Processing Services (CPC 843) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound except as indicated in the horizontal section. | |
| d) Data Base Services (CPC 844) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound except as indicated in the horizontal section. | |
| Maintenance and Repair (CPC 845) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|---|------------------------|
| e) Other Computer Services (CPC 849) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| C. <u>Research and Development Services</u> R&D Services on Social Sciences and Humanities (CPC 852) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound except as indicated in the horizontal section. | |
| D. <u>Real Estate Services</u> ⁵ a) Involving Own or Leased Property (CPC 821) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

⁵ The Service involved relates to the profession of real estate agents and does not affect any rights and/or restrictions on natural and juridical persons purchasing real estate.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|---|------------------------|
| b) On a Fee or Contract Basis (e.g. Property Evaluation, Estate Management, etc.) (CPC 822) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| E. <u>Rental/Leasing Services Without Operators</u> | | | |
| a) Relating to ships (CPC 83103) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| b) Relating to Aircraft (CPC 83104) | 1) None 2) Aircraft used by UK air carriers have to be registered in the UK. Waivers can be granted for short term lease contracts or under exceptional circumstances. 3) To be registered in the aircraft register of the UK, the aircraft must be owned either by natural persons meeting the specific nationality criteria or by juridical persons meeting specific requirements regarding ownership of capital and control (including nationality of directors). 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|--|------------------------|
| c) Relating to Other Transport Equipment (CPC 83101, 83102, 83105) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| d) Relating to Other Machinery and Equipment (CPC 83106, 83107, 83108, 83109) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| <u>Rental Services with Operators</u> Rental of Vessels with Crew (CPC 7213 and 7223) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| Rental of Commercial Road Vehicles with Operator (CPC 7124) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|--|------------------------|
| <p>F. <u>Other Business Services</u></p> <p>a) Advertising (CPC 871)</p> <p>b) Market Research and Opinion Polling (CPC 864)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: Relevant qualifications and three years' professional experience. Compliance with an economic needs test is required.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|---|------------------------|
| c) Management Consulting Services (CPC 865) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: Unbound except for managers and senior consultants where: university degree and three years' professional experience. Compliance with an economic needs test is required.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> | |
| d) Services Related to Management Consulting (CPC 866) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: Unbound except for managers and senior consultants where: university degree and three years' professional experience. Compliance with an economic needs test is required.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p>CSS Unbound except as indicated in the horizontal section.</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| e) Technical Testing and Analysis Services (CPC 8676) | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: University degree or technical qualifications demonstrating knowledge and three years' professional experience Compliance with an economic needs test is required.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> | |
| f) Advisory and Consulting Services Relating to Agriculture, Hunting and Forestry | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |
| g) Advisory and Consulting Services Relating to Fishing | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| h) Advisory and Consulting Services Relating to Mining | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| k) Placement and Supply Services of Personnel Executive Search Services (CPC 87201) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| Placement Services (CPC 87202) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| Supply Services of Office Support Personnel (CPC 87203) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| Security Services (CPC 87302, 87303, 87304, 87305) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| l) Maintenance and Repair of Equipment (not including Maritime Vessels, Aircraft or Other Transport Equipment) (CPC 633, 8861, 8866) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| m) Building-Cleaning Services (CPC 874) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| n) Photographic Services (CPC 875 excluding retail) | 1) Unbound except for aerial photography: None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound except for aerial photography: None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| o) Packaging Services (CPC 876) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| p) Printing and publishing (CPC 88442) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| q) Convention Services | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|--|------------------------|
| <p>r) Other</p> <p>Translation Services (CPC 87905)</p> <p>Interior Design Services (part of CPC 87907)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section and subject to the following specific limitations: Relevant qualifications and three years' professional experience. Compliance with an economic needs test is required.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|--|---|
| <p>2.C TELECOMMUNICATIONS SERVICES⁶</p> <p>All services consisting of the transmission and reception of signals by any electromagnetic means⁷, excluding broadcasting⁸.</p> <p>These services do not cover the economic activity consisting of the provision of content which require telecommunications services for their transport.</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>The UK undertakes the obligations contained in the reference paper attached in Annex I for the following services:</p> <ul style="list-style-type: none"> a) Voice telephone services (CPC7521) b) Packet-switched data transmission services (part of CPC 7523) c) Circuit switched data transmission services (part of CPC 7523) d) Telex services (part of CPC 7523) e) Telegraph services (CPC 7522) f) Facsimile services (part of CPC 7521 + part of CPC 7523) g) Private leased circuit services (part of CPC 7522 + part of CPC 7523) o) Mobile and personal communications services and systems |

⁶ Footnote for clarification purposes: The UK reserves its right to maintain public participation in certain telecommunication operators in the future. This is not a market access limitation.

⁷ Sub-sectors 2.C.h) to 2.C.m) of the Services Sectoral Classification List contained in MTN,GNS/W/120 (value-added services) and Sub-sectors 2.C.a) to 2.C.g) of that List are included here. Sub-sector 2.C.o) of that List is also included here to the extent that it falls under this definition. For the purpose of this Schedule, sub-sector 2.C.n) of that List ('On-line information and/or data processing (including transaction processing)') is a computer and related service and, as such, is reflected in this Schedule of Commitments under 1.B.

⁸ Broadcasting is defined as the uninterrupted chain of transmission required for the distribution of tv and radio programme signals to the general public, but does not cover contribution links between operators.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|--|------------------------|
| <p>3. CONSTRUCTION AND RELATED ENGINEERING SERVICES (CPC 511, 512, 513, 514, 515, 516, 517, 518)</p> | <p>1) Unbound except for 5111 and 5114: None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> <p>CSS: for CPC 5111 only: Unbound except as indicated in the horizontal section and subject to the following specific limitations: University degree and professional qualifications and three years' professional experience in the sector.</p> <p>Compliance with an economic needs test is required.</p> | <p>1) Unbound except for CPC 5111 and 5114: None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> | |
| <p>4. DISTRIBUTION SERVICES⁹</p> <p>A. <u>Commission Agents' Services</u> (CPC 621)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

⁹ Excluding trade in arms, chemical products, explosives and precious metals.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| <p>B. <u>Wholesale Trade Services</u> (CPC 622)</p> <p>C. <u>Retailing Services</u> (CPC 631, 632, 633, 61112, 6113, 6121 excluding 63211)</p> <p>D. <u>Franchising</u> (CPC 8929)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) Unbound except for mail order: None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) Unbound except for mail order: None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |
| <p>5. PRIVATELY FUNDED EDUCATION SERVICES</p> <p>A. <u>Primary Education Services</u> (CPC 921)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| <p>B. <u>Secondary Education Services</u> (CPC 922)</p> <p>C. <u>Higher Education Services</u> (CPC 923)</p> <p>D. <u>Adult Education Services</u> (CPC 924)</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section.</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |
| <p>6. ENVIRONMENTAL SERVICES</p> <p>A. <u>Sewage Disposal Services</u> (CPC 9401)</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| B. <u>Refuse Disposal Services</u> (CPC 9402) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| C. <u>Sanitation and Similar Services</u> (CPC 9403) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| Cleaning Services of Exhaust Gases (CPC 9404) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| Nature and Landscape Protection Services (CPC 9406) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|---|
| D. <u>Other Environmental Protection Services</u> (CPC 9409) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| 7. FINANCIAL SERVICES SECTOR 1. The UK undertakes commitments on Financial Services in accordance with the provisions of the "Understanding on Commitments in Financial Services" (the Understanding). 2. These commitments are subject to the limitations on market access and national treatment in the "all sectors" section of this schedule and to those relating to the subsectors listed below. 3. The market access commitments in respect of modes (1) and (2) apply only to the transactions indicated in paragraphs B.3 and B.4 of the market access section of the Understanding respectively. 4. Notwithstanding note 1. above, the market access and national treatment commitments in respect of mode (4) on financial services are those in the "all sectors" section of this schedule. 5. The admission to the market of new financial services or products may be subject to the existence of, and consistency with, a regulatory framework aimed at achieving the objectives indicated in Article 2(a) of the Financial Services Annex. 6. As a general rule and in a non-discriminatory manner, financial institutions incorporated in the UK must adopt a specific legal form. | | | |
| A. <u>Insurance and Insurance-Related Services</u> | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | The UK undertakes additional commitments as contained in Annex II to this schedule. |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|--|--|
| <p>B. <u>Banking and Other Financial Services</u> (excluding insurance)</p> | <p>1) None 2) Sterling issues, including privately led issues, can be lead managed only by a firm established in the UK. 3)</p> <ul style="list-style-type: none"> - The establishment of a specialised management company is required to perform the activities of management of unit trusts and investment companies. - Only firms having their registered office in the UK can act as depositories of the assets of investment funds. - Inter-dealer brokers, which are a category of financial institutions dealing in Government debt, are required to be established in the UK and separately capitalised. <p>4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) None 2) None 3) None</p> <p>4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>The UK undertakes additional commitments as contained in Annex II to this schedule.</p> |
| <p>8. HEALTH SERVICES AND SOCIAL SERVICES</p> <p>A. <u>Hospital Services</u> (CPC 9311)</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| <p>B. <u>Social Services</u></p> <p>Only convalescent and Rest Houses, Old People's Homes</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> <u>Unbound</u></p> | |
| <p>9. TOURISM AND TRAVEL RELATED SERVICES</p> <p>A. <u>Hotels, Restaurants and Catering</u> (CPC 641, 642, 643) (excluding catering in transport services sector)</p> <p>B. <u>Travel Agencies and Tour Operators Services</u> (including tour managers) (CPC 7471)</p> <p>C. <u>Tourist Guides Services</u> (CPC 7472)</p> | <p>1) Unbound except for catering: none 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) Unbound except for catering: none 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|---|---|------------------------|
| <p>10. RECREATIONAL, CULTURAL AND SPORTING SERVICES (other than Audio-visual Services)</p> <p>A. <u>Entertainment Services</u> (including Theatre, Live Bands and Circus Services) (CPC 9619)</p> <p>B. <u>News and Press Agency Services</u> (CPC 962)</p> <p>C. <u>Sporting and Other Recreational Services other than Gambling and Betting Services</u> (CPC 9641, 96491)</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound except as indicated in the horizontal section</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| <p>11. TRANSPORT SERVICES</p> <p>A. <u>Air Transport Services</u></p> <p>a) Maintenance and Repair of Aircraft and parts thereof</p> <p>Sales and Marketing</p> <p>Computer Reservations System</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None</p> <p>4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) None 2) None 3) None</p> <p>4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) For distribution through CRS of air transport services provided by CRS parent carrier: unbound. 2) None 3) For distribution through CRS of air transport services provided by CRS parent carrier: unbound. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) For obligations of parent or participating carriers in respect of a CRS controlled by an air carrier of one or more other countries: unbound. 2) None 3) For obligations of parent or participating carriers in respect of a CRS controlled by an air carrier of one or more other countries: unbound. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|--|--|------------------------|
| <p>B. <u>Rail Transport Services</u></p> <p>a) Maintenance and Repair of Rail Transport Equipment (CPC 8868)</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |
| <p>C. <u>Road Transport Services</u></p> <p>a) Passenger Transportation (CPC 71213, 7122)</p> <p>b) Freight Transportation (CPC 7123)</p> | <p>1) Unbound 2) None 3) For transport within the UK (cabotage), by a carrier established outside the UK: unbound except for rental of non-scheduled services of buses with operator (part of 71223) where no limitation will apply. For 71221 (taxi services): economic needs test¹⁰ 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | <p>1) Unbound 2) None 3) Unbound for transport within the UK (cabotage), by a carrier established outside the UK.</p> <p>4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> <p>1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section.</p> <p><u>CSS</u> Unbound</p> | |

¹⁰ Needs test based on the number of service suppliers in the local geographic area.

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|---|---|---|------------------------|
| d) Maintenance and Repair of Road Transport Equipment (CPC 6112) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| D. <u>Services auxiliary to all modes of transport</u> | | | |
| a) Storage and Warehouse Services (CPC 742) (other than in ports) | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| b) Freight Transport Agency/Freight Forwarding Services (CPC 748) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |
| Pre-shipment Inspection (part of CPC 749) | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) None 2) None 3) None 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

Modes of supply: 1. Cross-border 2. Consumption abroad 3. Commercial presence 4. Presence of natural persons

| Sector or Sub-sector | Limitations on Market Access | Limitations on National Treatment | Additional Commitments |
|--|--|--|------------------------|
| E. <u>Other Transport Services</u> Land Transport (Provision of Combined Transport Service) | 1) Unbound 2) None 3) None, without any prejudice to the limitations affecting any given mode of transport. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | 1) Unbound 2) None 3) None, without any prejudice to the limitations affecting any given mode of transport. 4) <u>ICT and BV</u> Unbound except as indicated in the horizontal section. <u>CSS</u> Unbound | |

ANNEX I

REFERENCE PAPER

SCOPE:

The following are definitions and principles on the regulatory framework for the basic telecommunications services underpinning the market access commitments by the United Kingdom of Great Britain and Northern Ireland.

DEFINITIONS:

User means service consumers and service suppliers.

Essential facilities mean facilities of a public telecommunications transport network and service that

- a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- b) cannot feasibly be economically or technically substituted in order to provide a service.

A major supplier is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in the relevant market for basic telecommunications services as a result of:

- a) control over essential facilities; or
- b) use of its position in the market.

1. COMPETITIVE SAFEGUARDS:

1.1. Prevention of anti-competitive practices in telecommunications:

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anti-competitive practices.

1.2. Safeguards:

The anti-competitive practices referred to above shall include in particular:

- d) engaging in anti-competitive cross-subsidization;
- e) using information obtained from competitors with anti-competitive results; and
- f) not making available to other services suppliers on a timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

2. INTERCONNECTION:

2.1. This section applies to linking with suppliers providing public telecommunications transport networks or services in order to allow the users of one supplier to communicate with users of another supplier and to access services provided by another supplier.

2.2. Interconnection to be ensured:

Within the limits of permitted market access, interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided¹:

¹ Suppliers of services or networks not generally available to the public, such as closed user groups, have guaranteed rights to connect with the public telecommunications transport network or services on terms,

- a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates²;
- b) in a timely fashion, on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and
- c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.

2.3. Public availability of the procedures for interconnection negotiations:

The procedures applicable for interconnection to a major supplier will be made publicly available.

2.4. Transparency of interconnection arrangements:

It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection offer.

2.5. Interconnection: dispute settlement:

A service supplier requesting interconnection with a major supplier will have recourse, either:

- a) at any time or
- b) after a reasonable period of time which has been made publicly known to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for interconnection within a reasonable period of time, to the extent that these have not been established previously.

3. UNIVERSAL SERVICE:

Any Member has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anti-competitive *per se*, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by the Member.

4. PUBLIC AVAILABILITY OF LICENSING CRITERIA:

Where a licence is required, the following will be made publicly available:

- a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence and
- b) the terms and conditions of individual licences.

The reasons for the denial of a licence will be made known to the applicant upon request.

5. INDEPENDENT REGULATORS:

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

conditions and rates which are non-discriminatory, transparent and cost-oriented. Such terms, conditions and rates may, however, vary from the terms, conditions and rates applicable to interconnection between public telecommunications networks or services.

² Different terms, conditions and rates may be set in the UK for operators in different market segments, on the basis of non-discriminatory and transparent national licensing provisions, where such differences can be objectively justified because these services are not considered "like services".

6. ALLOCATION AND USE OF SCARCE RESOURCES:

Any procedures for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocated frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

ANNEX II

ADDITIONAL COMMITMENTS CONCERNING FINANCIAL SERVICES

INSURANCE

a) The UK will make its best endeavours to consider within 6 months complete applications for licenses to conduct direct insurance underwriting business, through the establishment in the UK of a subsidiary in accordance with the legislation of the UK, by an undertaking governed by the laws of another WTO Member. In cases where such applications are refused, the UK authority will make its best endeavours to notify the undertaking in question and give the reasons for the refusal of the application.

b) The supervisory authorities of the UK will make their best endeavours to respond without undue delay to requests for information by applicants on the status of complete applications for licences to conduct direct insurance underwriting business, through the establishment in the UK of a subsidiary in accordance with the legislation of the UK by an undertaking governed by the laws of another WTO Member.

c) The UK notes that, as regards motor insurance, and without prejudice to future legislation, premiums may be calculated taking several risk factors into account.

d) The UK notes that, without prejudice to future legislation, the prior approval by national supervisory authorities of policy conditions and scales of premiums that an insurance undertaking intends to use is generally not required.

e) The UK notes that, without prejudice to future legislation, the prior approval by national supervisory authorities of increases in premium rates is generally not required.

OTHER FINANCIAL SERVICES

a) The UK will make its best endeavours to consider within 12 months complete applications for licenses to conduct banking activities, through the establishment in the UK of a subsidiary in accordance with the legislation of the UK, by an undertaking governed by the laws of another WTO Member. In cases where such applications are refused, the UK will make its best endeavours to notify the undertaking in question and give the reasons for the refusal of the application.

b) The UK will make its best endeavours to respond without undue delay to requests for information by applicants on the status of complete applications for licenses to conduct banking activities, through the establishment in the UK of a subsidiary in accordance with the legislation of the UK, by an undertaking governed by the laws of another WTO Member.

c) The UK will make its best endeavours to consider within 6 months complete applications for licenses to conduct investment services in the securities field, through the establishment in the UK of a subsidiary in accordance with the legislation of the UK, by an undertaking governed by the laws of another WTO Member. In cases where such applications are refused, the UK will make its best endeavours to notify the undertaking in question and give the reasons for the refusal of the application.

d) The UK will make its best endeavours to respond without undue delay to requests for information by applicants on the status of complete applications for licenses to conduct investment services in the securities area, through the establishment in the UK of a subsidiary in accordance with the legislation of the UK, by an undertaking governed by the laws of another WTO Member.
