



Undertaking given to the Office of Qualifications and Examinations Regulation (Ofqual) in accordance with Condition B8 of the General Conditions of Recognition, August 2018 (The Conditions).

Undertaking:

In the context of:

The Ofqual "Centre Controls Audit 2018" of UAL and;

1. an admission that UAL failed to comply with Conditions of Recognition C1.1 (a) and (b); A6.1 and H2.1 in that UAL failed to:
 - i. Ensure that the arrangements it establishes with third parties allow it to develop, deliver and award qualifications in accordance with the Conditions of Recognition.
 - ii. Monitor and, where appropriate, enforce such arrangements so as to ensure that it is able to develop, deliver and award qualifications in accordance with the Conditions of Recognition.
 - iii. Take all reasonable steps to identify the risk of the occurrence of any incident which could have an Adverse Effect.
 - iv. Have in place effective arrangements to undertake Moderation of assessments.
2. With a view to securing compliance with C1.1 (a) and (b); A6.1 and H2.1, UAL undertakes to:
 - a. Establish an Action Plan addressing the issues contained within the final audit report, in particular identifying actions to:
 - i. Ensure that it has an accurate record of all of the third parties that it has entered into an arrangement with.
 - ii. Ensure that those third parties enable it to develop, deliver and award qualifications in accordance with the Conditions of Recognition.
 - iii. Ensure that it implements reasonable systems and controls so that it can identify, monitor and if necessary take enforcement action in relation to third party arrangements such that it is able to identify the risk of the occurrence of any incident which could have an Adverse Effect and ensure that any new third

party relationship enables it to develop, deliver and award qualifications in accordance with the Conditions of Recognition.

- iv. Ensure that UAL external moderators comply with the UAL requirements for the sampling of Learner's assessment evidence.
 - b. By 15 March 2019, ensure that the actions identified in the Action Plan have been completed (save for the review at paragraph 3 below); and
3. With a view to securing compliance with Condition H2.1 on an ongoing basis, UAL undertakes to:
- a. Review before 1 September 2019, the performance of newly implemented processes and procedures for the moderation of assessments undertaken by UAL during the 2019 examination period, and identify quality improvements for academic year 2020 in accordance with Condition H2.1.
4. With a view to assuring Ofqual that it has complied with this Undertaking, UAL will:
- a. On or before 29 March 2019, provide to Ofqual a Statement of Assurance signed by the Chair of its Governing Body and the Responsible Officer, confirming that it has completed the actions set out in paragraph 2 of this Undertaking and outlining the specific steps it has taken, in the form of a written report.
 - b. On or before 30 September 2019, provide to Ofqual a Statement of Assurance, signed by the Chairman of the Chair of its Governing Body and Responsible Officer, confirming that it has completed the actions set out in paragraph 3 of this Undertaking and outlining the specific steps it has taken (or proposes to take), in the form of a written report.

In giving this Undertaking, I acknowledge on behalf of UAL that:

- 1) Ofqual may publish this Undertaking; and
- 2) Ofqual may take enforcement action in respect of any failure to comply with an Undertaking given to it which may include the issue of a Direction, the imposition of a fine, costs or other such action as it deems appropriate.

A signed version of the undertaking has been provided to Ofqual