

The Animal By-Products (Enforcement) (England) Regulations 2013  
The Animal By-Products (Enforcement) (Scotland) Regulations 2013  
The Animal By-Products (Enforcement) (Wales) Regulations 2014  
Regulation (EC) No. 1069/2009 laying down health rules concerning animal by-products not intended for human consumption

**APHA address:  
ABP Team  
APHA Customer Service Centre  
County Hall, Spetchley Road  
Worcester, WR5 2NP**

Date Received

Ref. No.

Date of Inspection

## Application and Inspection Form for Approval to Process (Render) Animal By-Products

Name of applicant

Name of operator or company if different

Full postal address of premises

Postcode

Telephone no. (incl. national dialling code)

Fax no. (incl. national dialling code)

Email address

CPH No (if applicable)

OS grid map ref

**Important - Instructions for applicant.** The sections of this application form follow the general layout explained below.

- **Legal Requirements.** This section provides a summary of the basic requirements, which must be met before an approval can be issued. Applicants should ensure their premises comply fully with these requirements before sending their application for approval.
- **Guidance.** These notes provide a summary only and should not be taken as exhaustive.
- **Details.** Information to be provided by the applicant. If insufficient space is provided on this form, please continue on a separate sheet quoting the relevant section number.
- **Hazard Analysis and Critical Control Point (HACCP) Plan.** Operators of rendering plants must put in place a permanent procedure developed in accordance with the principles of the HACCP system.
- **Online Guidance.** Please refer to the guidance before completing this form:  
<https://www.gov.uk/government/publications/rendering-animal-by-products-approval-application>
- **Once you have completed this form, please send the signed copy to the Animal By-Product (ABP) Team (address at the top of the page) or email to: [CSCOneHealthABP@apha.gov.uk](mailto:CSCOneHealthABP@apha.gov.uk)**

### Online Fee Guidance

There are application fees and ongoing inspection fees that apply. Please refer to the relevant legislation below:

- **England** - [The Animal By-Products and Pet Passport Fees \(England\) Regulations 2018](#)
- **Scotland** - [The Animal By-Products and Pet Passport Fees \(Scotland\) Regulations 2018](#)
- **Wales** - [The Animal By-Products and Pet Passport Fees \(Wales\) Regulations 2018](#)

Customer (company) name

Please note:

- details in the customer name field above must be entered **exactly** as they will appear on invoices
- the customer name must be the **full legal trading name**
- **do not** use initials and acronyms

Are you a government department or public organisation?

Yes

No

Billing Address for company (if different from Correspondence Address above):

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Postcode

Country

VAT registration number

**Contact for invoices/billing**

Contact email address  
(for all invoices relating to  
the above site)

Full name of contact

Telephone number

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**1. Source of Animal By-Products**

**Legislation.** The details of the legislation covering processing are to be found in Commission Regulation (EU) No. 142/2011 Annex IV.

**Guidance.** The processing plants covered by this application form are those that handle process and store Category 1, Category 2 or Category 3 material using standard processing methods. Category 1 includes waste form means of transport operating internationally.

**Details.** What category and species of materials are you intending to render? If producing insect Processed Animal Protein (PAP) then list the insect species used and the substrates on which those insects were fed.

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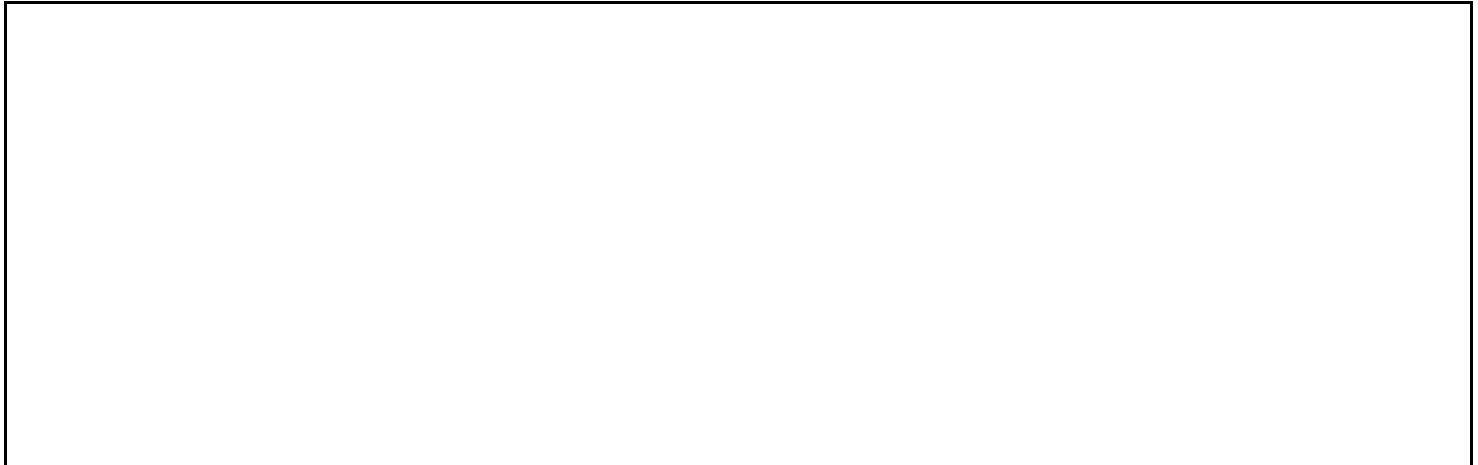
**2. Location of Rendering Plant**

**Requirement.** The location of rendering plants is controlled to protect human and animal health.

**Guidance.** Rendering plants cannot be at the same site as slaughterhouses, unless in a completely separate

building. Animals and unauthorised persons must not have access to the animal by-products (ABPs).

**Details.** Provide a plan of the plant showing its location, in relation to livestock, highways and other premises. If handling or storage plants for different categories of ABP are on the same site show the relationship between them.



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### 3. Layout of Premises

**Requirement.** Rendering plants must be designed, equipped and operated in such a manner as to fulfil the requirements of the Regulation. The operator of a rendering plant must take all necessary precautions to prevent recontamination of the finished product by incoming ABPs.

**Guidance.** The plant must have adequate separation between processed and unprocessed sectors. There must be clear separation between the unloading area, the processing area and the storage area for the processed product. In addition, the different categories of ABPs must be kept separate from each other at all times. The unprocessed sector must include a covered area to receive ABPs, and must be constructed in such a way that it is easy to clean and disinfect. Floors must be laid to facilitate drainage of liquids. If the process requires the size of animal by-products to be reduced, the necessary equipment should be situated in the unprocessed sector, with equipment for loading the crushed ABPs into the processing unit. ABPs must be processed as soon as possible after arrival. They must be stored in accordance with the Regulations until processed. Processed products must not be re-contaminated. For processing Category 3 material, plants must have an installation to check for, and remove, extraneous material (packaging, metal objects, etc) in the ABPs.

**Details.** Provide a plan showing the layout of the premises that includes the storage area for processed and unprocessed ABPs and shows the separate facilities for handling and processing each category ABP. The plan must also show the flow pattern from reception of the by-product to dispatch of processed materials. Give details of the equipment (make, model). The plan must show the washing and changing facilities and designated area for cleaning containers and vehicles. Position of footbaths/disinfectant mats must be shown.

Provide a sketch plan of the site (*please tick to confirm*)

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**4. Drainage**

**Requirement.** All processing plants must have a waste water disposal system which meets requirements of the competent authority.

Plants that remove SRM, plants that process Category 1 material and slaughter houses and processing plants processing Category 2 material must use 6mm trap in the drainage system. Screenings collected in such traps must be processed as Category 1 or Category 2 ABPs as appropriate.

**Details.** Describe the position design of any 6mm traps

Where is the trapped material consigned to?

In Category 3 plants how is any solid material in the drainage system disposed of?

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## 5. General Hygiene Requirements

**Requirement.** Rendering plants must be designed, equipped and operated in such a manner as to fulfil the requirements stated in Commission Regulation (EU) No. 142/2011 Annex IV.

**Details.** Describe the measures in place to:

Separate the clean and dirty areas – how are they separated?

Stop personnel from moving between the clean and dirty areas – how is this prevented?

Prevent the entry of unauthorised personnel – how is this prevented?

The cleaning programme for the plant and vehicles used to transport ABPs – attach a copy of the programme

Provide details of your pest control programme

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## 6. Processing Methods

**Requirement.** The standard processing methods are detailed in Commission Regulation (EU) No. 142/2011 Annex IV Chapter III.

**Detail.** Which processing method will be used in this plant? State if batch or continuous

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**7. Operation of the Plant**

**Requirement.** Operators of rendering plants shall put in place a permanent procedure developed in accordance with the principles of the system of hazard analysis and critical control points (HACCP).

**Details.** Your plant must have a HACCP plan. Attach a copy to this application (*please tick box to confirm a copy has been attached*).

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<b>Does the HACCP plan:</b>	<b>Tick as appropriate</b>
• identify any hazards that must be prevented, eliminated or reduced	<input type="checkbox"/>
• identify the critical control points (CCPs) at the steps at which control is essential	<input type="checkbox"/>
• establish critical limits at CCPs	<input type="checkbox"/>
• establish procedures to monitor the CCPs	<input type="checkbox"/>
• establish corrective actions to be taken if a CCP is not under control	<input type="checkbox"/>
• establish procedures to verify whether the above procedures are working effectively and	<input type="checkbox"/>
• establish documents and records to demonstrate the effective application of the above measures	<input type="checkbox"/>

<b>Are the following points addressed by the HACCP plan and the pre-requisites?</b>	<b>Tick as appropriate</b>
• clean/dirty separation (by-pass) (layout) (product flow)	<input type="checkbox"/>
• covered reception - handling of untreated material	<input type="checkbox"/>
• movement of personnel and equipment (by-pass)	<input type="checkbox"/>
• vehicle cleaning and disinfection (by-pass)	<input type="checkbox"/>
• rodent/pest prevention and control	<input type="checkbox"/>
• clean down procedures/hygiene controls	<input type="checkbox"/>
• monitoring equipment/Repair/Calibration	<input type="checkbox"/>
• sampling protocol (amount/frequency)	<input type="checkbox"/>
• reprocessing (action in the event of processing failure including recall procedures)	<input type="checkbox"/>

List the agreed CCPs, critical limits and corrective actions, associated with the process:

### 8. Laboratory Inspections

**Requirement.** All laboratories carrying out official tests must be accredited to ISO17025.

To find approved laboratories please see <http://www.ukas.com/search-accredited-organisations>

**Details.** Give details of the laboratories to be used.

Provide records, where available, of testing already carried out

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### 9. Record Keeping

**Requirement.** Records must be kept of ABPs received, transported, consigned, processed or disposed of.

Records of the processing parameters achieved during the rendering process must be kept, as listed in Annex IV.

**For Insect PAP Production only:** records must be kept of the insect species used for the production of PAP and the substrates on which those insects were fed.

**Details.** Please give details of how records are to be kept, and backup arrangements.

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**10. Sale of Processed material**

**Details.** What is the destination of the rendered ABPs?

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## 11. Export

**Details.** Do you intend to export animal by-products or derived products into the EU? (please tick box if you intend to export to the EU).

Signature of applicant

Date

### DATA PROTECTION

For information on how we handle personal data please go to [www.gov.uk](http://www.gov.uk) and search Animal and Plant Health Agency Personal Information Charter.

**This application only relates to the Animal By-Products Regulations. It does not relate to such matters as planning permission or environmental control. It is likely that other authorisations will be required.**

**Note:** Following a satisfactory inspection report a provisional approval will be granted, typically for a period of three months. Prior to issuing a full approval for a processing plant the competent authority must check that a validation of the processing plant has been carried out by the operator. You must demonstrate that your plant meets the time/temperature and if appropriate pressure requirements for the system operated, and the microbiological monitoring requirements of the Regulation. In the case of continuous lines you must request the presence of an APHA inspector for the day you intend to carry out the validation.

### Data Protection Act

Details of the name, address and type of the business and its registration number will be made publicly available. This is to enable those in possession of animal by-products to identify legitimate outlets and to enable us to meet EU obligations to provide details of registered premises to the European Commission and other member States. The above details may also be used by Defra, Scottish Government and Welsh Government respectively and shared with each other and with public bodies for the purposes of the Animal By-Products Regulations and related issues, and for consultation on issues of relevance to your business. All of the details on this application form may be made available to the local authorities and other public bodies for enforcement and policy purposes.

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#### Inspector Comments

#### Inspector Recommendation:

- Reject
- Further work needed
- Approve

Inspector Name:

Grade:

#### Notes for inspecting officer on issuing approval

- (1) In England and Wales, obtain AB58 holder countersignature. The ABP Team, CSC One Health hold a list of all AB58 holders.   
In Scotland send to the Lead APHA Veterinarian
- (2) In England and Wales if agreed by AB58 Holder, issue approval   
In Scotland send to Scottish Government to issue approval
- (3) In England and Wales copy to: 
  - (a) CSC One Health: [CSCOneHealthABP@apha.gov.uk](mailto:CSCOneHealthABP@apha.gov.uk)
  - (b) Local Authority
  - (c) Environment Agency (EA)/Natural Resources Wales (NRW)In Scotland, copy to the Scottish Environment Protection Agency

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AB58 holder Authorisation

Comments and mark forward date

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AB58 Holder Signature

Name in  
BLOCK LETTERS

Date

- **In England and Wales, amendments to approvals and suspension of approvals are carried out by the AB58 Holders. The Operational Delivery Network (ODN) may be consulted in formulating a recommendation.**
- **In Scotland, amendments and suspensions are carried out by the Scottish Government. The ODN may be consulted in formulating a recommendation.**

APHA is an Executive Agency of the Department for Environment, Food and Rural Affairs and also works on behalf of the Scottish Government, Welsh Government and Food Standards Agency to safeguard animal and plant health for the benefit of people, the environment and the economy.

<b>HM3 Stamp</b>	Name:	<input type="text"/>	Date Received:	<input type="text"/>	WS ID:	<input type="text"/>
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